



The Public Utilities Commission of Ohio

Original AGG Case Number	Version
- -EL-AGG	August 2004

RENEWAL APPLICATION FOR AGGREGATORS/POWER BROKERS

Please print or type all required information. Identify all attachments with an exhibit label and title (Example: Exhibit A-10 Corporate Structure). All attachments should bear the legal name of the Applicant. Applicants should file completed applications and all related correspondence with the Public Utilities Commission of Ohio, Docketing Division; 180 East Broad Street, Columbus, Ohio 43215-3793.

**This PDF form is designed so that you may input information directly onto the form.
You may also download the form, by saving it to your local disk, for later use.**

A. RENEWAL INFORMATION

A-1 Applicant's legal name, address, telephone number, PUCO certificate number, and web site address

Legal Name Seven - Utility Management Consultants, LLC
Address 19840 Cypress Church Rd., Cypress, TX 77433
PUCO Certificate # and Date Certified 10-226E(2) June 12, 2012
Telephone # (281) 213-9910 Web site address (if any) www.sevenutility.com

A-2 List name, address, telephone number and web site address under which Applicant will do business in Ohio

Legal Name Seven - Utility Management Consultants, LLC
Address 19840 Cypress Church Rd., Cypress, TX 77433
Telephone # (281) 213-9910 Web site address (if any) www.sevenutility.com

A-3 List all names under which the applicant does business in North America

Seven - Utility Management Consulti

A-4 Contact person for regulatory or emergency matters

Name Alex Toutounchi
Title Managing Director
Business address 19840 Cypress Church Rd., Cypress, TX 77433
Telephone # (281) 213-9910 Fax # (281) 213-9801
E-mail address (if any) atoutounchi@sevenutility.com

A-5 Contact person for Commission Staff use in investigating customer complaints

Name Alex Toutouchi
Title Managing Director
Business address 19840 Cypress Church Rd., Cypress, TX 77433
Telephone # (281) 213-9910 Fax # (281) 213-9801
E-mail address (if any) atoutouchi@sevenutility.com

A-6 Applicant's address and toll-free number for customer service and complaints

Customer Service address 19840 Cypress Church Rd., Cypress, TX 77433
Toll-free Telephone # (866) 857-3836 Fax # (281) 213-9801
E-mail address (if any) _____

A-7 Applicant's federal employer identification number # 90-0227800

A-8 Applicant's form of ownership (check one)

- | | |
|--|---|
| <input type="checkbox"/> Sole Proprietorship | <input type="checkbox"/> Partnership |
| <input type="checkbox"/> Limited Liability Partnership (LLP) | <input checked="" type="checkbox"/> Limited Liability Company (LLC) |
| <input type="checkbox"/> Corporation | <input type="checkbox"/> Other _____ |

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:

A-9 Exhibit A-9 "Principal Officers, Directors & Partners" provide the names, titles, addresses and telephone numbers of the applicant's principal officers, directors, partners, or other similar officials.

A-10 Exhibit A-10 "Corporate Structure," provide a description of the applicant's corporate structure, including a graphical depiction of such structure, and a list of all affiliate and subsidiary companies that supply retail or wholesale electricity or natural gas to customers and companies that aggregate customers in North America.

B. APPLICANT MANAGERIAL CAPABILITY AND EXPERIENCE

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:

B-1 Exhibit B-1 "Jurisdictions of Operation," provide a list of all jurisdictions in which the applicant or any affiliated interest of the applicant is, at the date of filing the application, certified, licensed, registered, or otherwise authorized to provide retail or wholesale electric services including aggregation services.

B-2 Exhibit B-2 "Experience & Plans," provide a description of the applicant's experience and plan for contracting with customers, providing contracted services, providing billing statements, and responding to customer inquiries and complaints in accordance with Commission rules adopted pursuant to Section 4928.10 of the Revised Code.

B-3 **Exhibit B-3 "Disclosure of Liabilities and Investigations,"** provide a description of all existing, pending or past rulings, judgments, contingent liabilities, revocation of authority, regulatory investigations, or any other matter that could adversely impact the applicant's financial or operational status or ability to provide the services it is seeking to be certified to provide.

B-4 Disclose whether the applicant, a predecessor of the applicant, or any principal officer of the applicant have ever been convicted or held liable for fraud or for violation of any consumer protection or antitrust laws within the past five years.
☒ No ☐ Yes

If yes, provide a separate attachment labeled as **Exhibit B-4 "Disclosure of Consumer Protection Violations"** detailing such violation(s) and providing all relevant documents.

B-5 Disclose whether the applicant or a predecessor of the applicant has had any certification, license, or application to provide retail or wholesale electric service including aggregation service denied, curtailed, suspended, revoked, or cancelled within the past two years.
☒ No ☐ Yes

If yes, provide a separate attachment labeled as **Exhibit B-5 "Disclosure of Certification Denial, Curtailment, Suspension, or Revocation"** detailing such action(s) and providing all relevant documents.

C. FINANCIAL CAPABILITY AND EXPERIENCE

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:

C-1 **Exhibit C-1 "Annual Reports,"** provide the two most recent Annual Reports to Shareholders. If applicant does not have annual reports, the applicant should provide similar information in Exhibit C-1 or indicate that Exhibit C-1 is not applicable and why.

C-2 **Exhibit C-2 "SEC Filings,"** provide the most recent 10-K/8-K Filings with the SEC. If applicant does not have such filings, it may submit those of its parent company. If the applicant does not have such filings, then the applicant may indicate in Exhibit C-2 that the applicant is not required to file with the SEC and why.


C-3 **Exhibit C-3 "Financial Statements,"** provide copies of the applicant's two most recent years of audited financial statements (balance sheet, income statement, and cash flow statement). If audited financial statements are not available, provide officer certified financial statements. If the applicant has not been in business long enough to satisfy this requirement, it shall file audited or officer certified financial statements covering the life of the business.

- C-4 **Exhibit C-4 "Financial Arrangements,"** provide copies of the applicant's financial arrangements to conduct CRES as a business activity (e.g., guarantees, bank commitments, contractual arrangements, credit agreements, etc.).
- C-5 **Exhibit C-5 "Forecasted Financial Statements,"** provide two years of forecasted financial statements (balance sheet, income statement, and cash flow statement) for the applicant's CRES operation, along with a list of assumptions, and the name, address, e-mail address, and telephone number of the preparer.
- C-6 **Exhibit C-6 "Credit Rating,"** provide a statement disclosing the applicant's credit rating as reported by two of the following organizations: Duff & Phelps, Dun and Bradstreet Information Services, Fitch IBCA, Moody's Investors Service, Standard & Poors, or a similar organization. In instances where an applicant does not have its own credit ratings, it may substitute the credit ratings of a parent or affiliate organization, provided the applicant submits a statement signed by a principal officer of the applicant's parent or affiliate organization that guarantees the obligations of the applicant.
- C-7 **Exhibit C-7 "Credit Report,"** provide a copy of the applicant's credit report from Experian, Dun and Bradstreet or a similar organization.
- C-8 **Exhibit C-8 "Bankruptcy Information,"** provide a list and description of any reorganizations, protection from creditors or any other form of bankruptcy filings made by the applicant, a parent or affiliate organization that guarantees the obligations of the applicant or any officer of the applicant in the current year or within the two most recent years preceding the application.
- C-9 **Exhibit C-9 "Merger Information,"** provide a statement describing any dissolution or merger or acquisition of the applicant within the five most recent years preceding the application.



Signature of Applicant & Title

Sworn and subscribed before me this 11 day of June, 2014
Month Year



Signature of official administering oath
Notary Public, State of Texas
My Commission Expires
June 10, 2014



Print Name and Title

My commission expires on June 10, 2014



Renewal Attachments

Seven – Utility Management Consultants

A-9 Exhibit A-9 "Principal Officers, Directors & Partners" provide the names, titles, addresses and telephone numbers of the applicant's principal officers, directors, partners, or other similar officials.

Alex Toutouchi, Managing Director
19840 Cypress Church Rd.
Cypress, TX 77433
281-213-9910

Tim Krajenke, Director of Midwest Office
3845 Still Meadow Lane
Lexington, KY 40509
859-321-4928

A-10 Exhibit A-10 "Corporate Structure," provide a description of the applicant's corporate structure, including a graphical depiction of such structure, and a list of all affiliate and subsidiary companies that supply retail or wholesale electricity or natural gas to customers and companies that aggregate customers in North America.

Seven – Utility Management Consultants, LLC

B. APPLICANT MANAGERIAL CAPABILITY AND EXPERIENCE

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:

B-1 Exhibit B-1 "Jurisdictions of Operation," provide a list of all jurisdictions in which the applicant or any affiliated interest of the applicant is, at the date of filing the application, certified, licensed, registered, or otherwise authorized to provide retail or wholesale electric services including aggregation services.

Texas – June 10, 1999
Maine – October 24, 2006
Illinois – December 10, 2010
Massachusetts – March 16, 2011
Maryland – June 5, 2013
New Jersey – September 18, 2013

B-2 Exhibit B-2 "Experience & Plans," provide a description of the applicant's experience and plan for contracting with customers, providing contracted services, providing billing statements, and responding to customer inquiries and complaints in accordance with Commission rules adopted pursuant to Section 4928.10 of the Revised Code. 3

Process – after customer decides to use SUMC services, we will obtain the Client Agreement and follow through on steps below:

- Analyze the last 14 months of usage date. The customer's staff may not have the time or expertise to analyze themselves.
- Correct any mischarges and have them credited back to the customer.
- Formalize a Procurement Strategy.
- Prepare a formal Request for Quotation, including all pertinent information.
- Send RFQ to the top Energy Companies in your market. (Approved Suppliers must meet our strict guidelines).
- Analyze and evaluate the bids.
- Present Executive Summary.
- Negotiate a contract, with the winning bidder, with the best terms and conditions available in the marketplace on your behalf. (The customer must execute the contract)
- Present Client with Executable contract.
- Ensure contract is properly executed and implemented by Supplier.
- SUMC will manage the Client's Energy on an ongoing basis. Once you become a client of SUMC, we will contact you on a quarterly basis to review all of your accounts. Should an opportunity exist to renegotiate your rates, we will do so – proactively. As a value add, our Energy Consultants will handle any and all matters related to your utility company (billing issues, address changes, location drop/adds etc.)

B-3 Exhibit B-3 "Disclosure of Liabilities and Investigations," provide a description of all existing, pending or past rulings, judgments, contingent liabilities, revocation of authority, regulatory investigations, or any other matter that could adversely impact the applicant's financial or operational status or ability to provide the services it is seeking to be certified to provide.

N/A

B-4 Disclose whether the applicant, a predecessor of the applicant, or any principal officer of the applicant have ever been convicted or held liable for fraud or for violation of any consumer protection or antitrust laws within the past five years.

N/A

If yes, provide a separate attachment labeled as Exhibit B-4 "Disclosure of Consumer

Protection Violations” detailing such violation(s) and providing all relevant documents.

B-5 Disclose whether the applicant or a predecessor of the applicant has had any certification, license, or application to provide retail or wholesale electric service including aggregation service denied, curtailed, suspended, revoked, or cancelled within the past two years.

N/A

If yes, provide a separate attachment labeled as Exhibit B-5 "Disclosure of Certification Denial, Curtailment, Suspension, or Revocation" detailing such action(s) and providing all relevant documents.

C. FINANCIAL CAPABILITY AND EXPERIENCE

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C-1 Exhibit C-1 “Annual Reports,” provide the two most recent Annual Reports to Shareholders. If applicant does not have annual reports, the applicant should provide similar information in Exhibit C-1 or indicate that Exhibit C-1 is not applicable and why.

N/A – Privately held company

C-2 Exhibit C-2 “SEC Filings,” provide the most recent 10-K/8-K Filings with the SEC. If applicant does not have such filings, it may submit those of its parent company. If the applicant does not have such filings, then the applicant may indicate in Exhibit C-2 that the applicant is not required to file with the SEC and why.

N/A – Privately held company

C-3 Exhibit C-3 “Financial Statements,” provide copies of the applicant’s two most recent years of audited financial statements (balance sheet, income statement, and cash flow statement). If audited financial statements are not available, provide officer certified financial statements. If the applicant has not been in business long enough to satisfy this requirement, it shall file audited or officer certified financial statements covering the life of the business.

Our financial statements are being reviewed by our CPA and will forward soonest.

C-4 Exhibit C-4 “Financial Arrangements”, provide copies of the applicant’s financial arrangements to conduct CRES as a business activity (e.g., guarantees, bank commitments, contractual arrangements, credit agreements).

N/A

C-C-6 Exhibit C-6 "Credit Rating," provide a statement disclosing the applicant's credit rating as reported by two of the following organizations: Duff & Phelps, Dun and Bradstreet Information Services, Fitch IBCA, Moody's Investors Service, Standard & Poors, or a similar organization. In instances where an applicant does not have its own credit ratings, it may substitute the credit ratings of a parent or affiliate organization, provided the applicant submits a statement signed by a principal officer of the applicant's parent or affiliate organization that guarantees the obligations of the applicant.

D&B Score: 79

C-7 Exhibit C-7 "Credit Report," provide a copy of the applicant's credit report from Experian, Dun and Bradstreet or a similar organization.

Copy attached.

C-8 Exhibit C-8 "Bankruptcy Information," provide a list and description of any reorganizations, protection from creditors or any other form of bankruptcy filings made by the applicant, a parent or affiliate organization that guarantees the obligations of the applicant or any officer of the applicant in the current year or within the two most recent years preceding the application.

N/A

C-9 Exhibit C-9 "Merger Information," provide a statement describing any dissolution or merger or acquisition of the applicant within the five most recent years preceding the application.

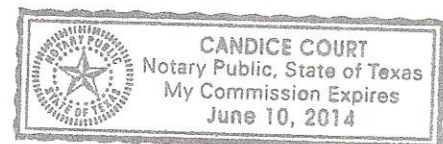
N/A

A. Tarihi
Signature of Applicant & Title

Sworn and subscribed before me this 10th day of June, 2014
Month Year

Candice Court
Signature of official administering oath Print Name and Title

My commission expires on June 10th 2014



Credit eValuator Plus Report

SEVEN UTILITY MANAGEMENT CONSU

Report as of : June 2, 2014 **

19840 CYPRESS CHURCH RD
CYPRESS, TX 77433
Phone: 281 213-9910
D-U-N-S Number: 17-793-7427



Need more in-depth information and analysis?

Upgrade to the Comprehensive Insight Plus Report and receive the credit for the amount of your evaluator purchase

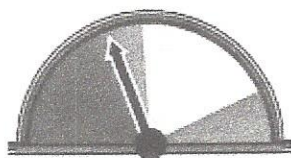
[>Learn more](#)

Credit Information

Payment Trend

**Included with this Credit eValuator Report are continuous tracking of key business changes and free Alert messages in the View My Reports/Alerts page. You can also choose to receive e-mail notifications of the important changes. IMPORTANT NOTE: You will not receive e-mail alerts if you have opted out of receiving communications from D&B.

Risk Summary



Lower Risk

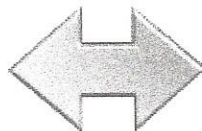
Higher Risk

Risk of Late Payment

Risk of late payment is based on the following prioritized factors in addition to other information in D&B's files:

- No factors available

Indications of slowness can be the result of disputes over merchandise, skipped invoices, etc.



Unchanged

Payment Performance Trend

The payment performance trend for this company is Unchanged. The most recent payment information in D&B's files is:

- Payments currently: 2 days beyond terms.
- Payments 3 months ago: 2 days beyond terms.
- Industry average: on terms.

*Note: Payments to suppliers are averaged weighted by dollar amounts.

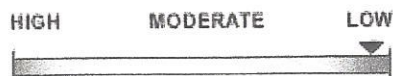
Credit Limit Recommendation

Recommendation **JUN 02**
Date: **2014**

Risk category for this business: **LOW**

**D&B's
Recommendations:**

Conservative
Credit Limit: **\$2,500**



Aggressive
Credit Limit: **\$2,500**

Risk is assessed using D&B's scoring methodology and is one factor used to create the recommended limits. See [Help](#) for details.

Company Profile

Chief Executive:
Years in business:

Jason Mass, Prin
9

Line of business:
Management Services

Legal Filings and Other Important Information

Bankruptcies: None
Judgments: 1 Open / 0 Closed
Judgments Amounts: \$62,500 Open / \$0 Closed
Liens: None
Suits: None

Negative Payment Experiences: None
Payments Placed for Collection: None

The public record items reported may have been paid, terminated, vacated or released prior to the date this data is transmitted.

SPECIAL EVENT : 12/31/13

The name and address of this business have been confirmed by D&B using available sources.

**Included with this Payment Trend Report are continuous tracking of key business changes and free Alert messages in the View My Reports/Alerts page. You can also choose to receive e-mail notifications of the important changes. IMPORTANT NOTE: You will not receive e-mail alerts if you have opted out of receiving communications from D&B.

Summary

SEVEN UTILITY MANAGEMENT CONSU

19840 Cypress Church Rd

Cypress, TX 77433

This is a **single** location.

D-U-N-S Number: 17-793-7427

Primary Industry SIC: 8741

Description: Management services

PAYMENT ACTIVITY:

Total Payment Experiences in D&B's File: 6

Payments Within Terms: 65%
(not dollar weighted)

Total Placed For Collection: 0

Average Highest Credit: \$1,033

Largest High Credit:	\$2,500
Highest Now Owing:	\$0
Highest Past Due:	\$0

Indications of slowness can be the result of dispute over merchandise, skipped invoices, etc. Accounts are sometimes placed for collection even though the existence or amount of the debt is disputed.

PAYDEX Scores - This Business**3- and 12- Month**

Shows the D&B PAYDEX scores as calculated on the most recent 3 months and 12 months of payment experiences.

The D&B PAYDEX is a unique, dollar weighted indicator of payment performance based on up to 6 payment experiences as reported to D&B by trade references. A detailed explanation of how to read and interpret PAYDEX scores can be found at the end of this report.

Jump to: [How to Read the PAYDEX Score](#)

12-Month D&B PAYDEX: 79

When weighted by dollar amount, payments to suppliers average 2 days beyond terms.

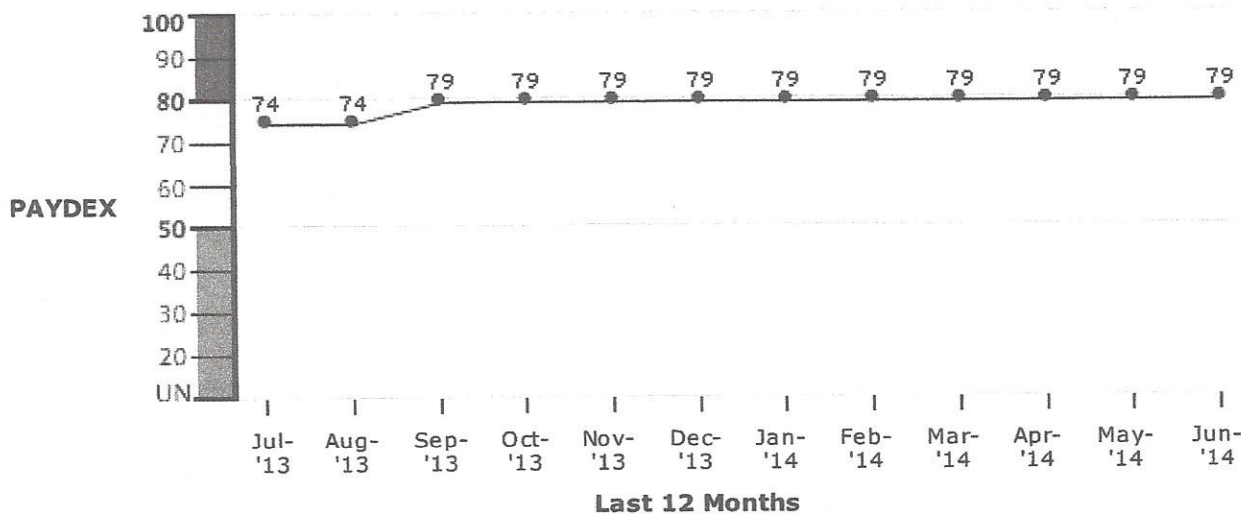


Based on payments collected over last 12 months.

PAYDEX Yearly Trend - This Business**12 Months**

Shows the trend in D&B PAYDEX scoring over the past 12 months.

SEVEN UTILITY MANAGEMENT CONSU DUNS 17-793-7427



Based on payments collected over the last 12 months.

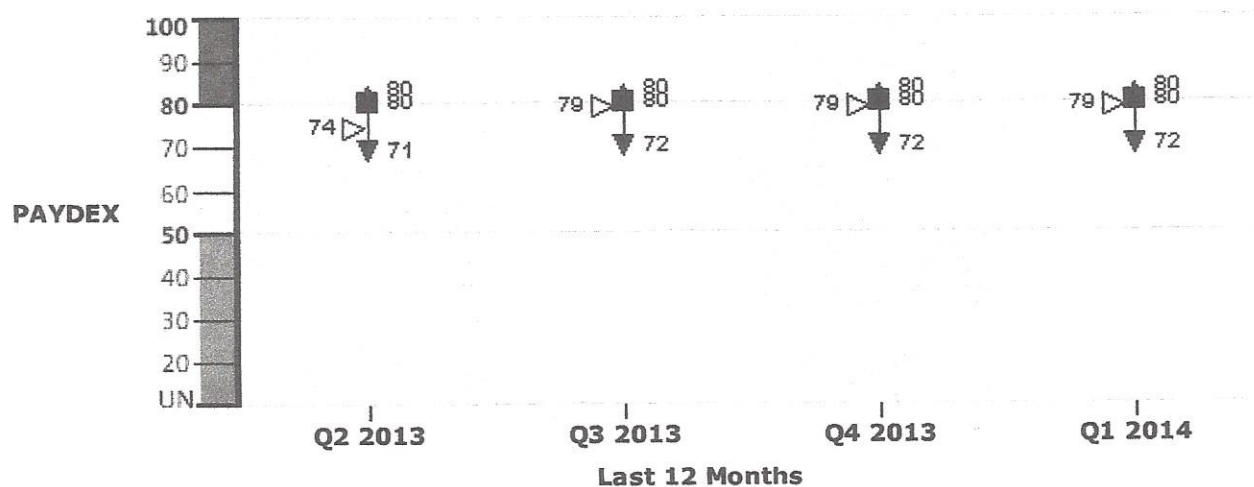
- Current PAYDEX for this Business is 79, or equal to 2 days beyond terms
- The 12-month high is **79**, or equal to 2 days beyond terms
- The 12-month low is **74**, or equal to 9 days beyond terms

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PAYDEX Score Comparison - Business to Primary Industry**4 Quarters**

Shows PAYDEX scores of this Business compared to the Primary Industry from each of the last four quarters. The Primary Industry is Management services, based on SIC code 8741.

SEVEN UTILITY MANAGEMENT CONSU DUNS 17-793-7427



Based on payments collected over the last 4 quarters.

Score Comparison Key:

▷ This Business

▲ Industry upper quartile

■ Industry median

▼ Industry lower quartile

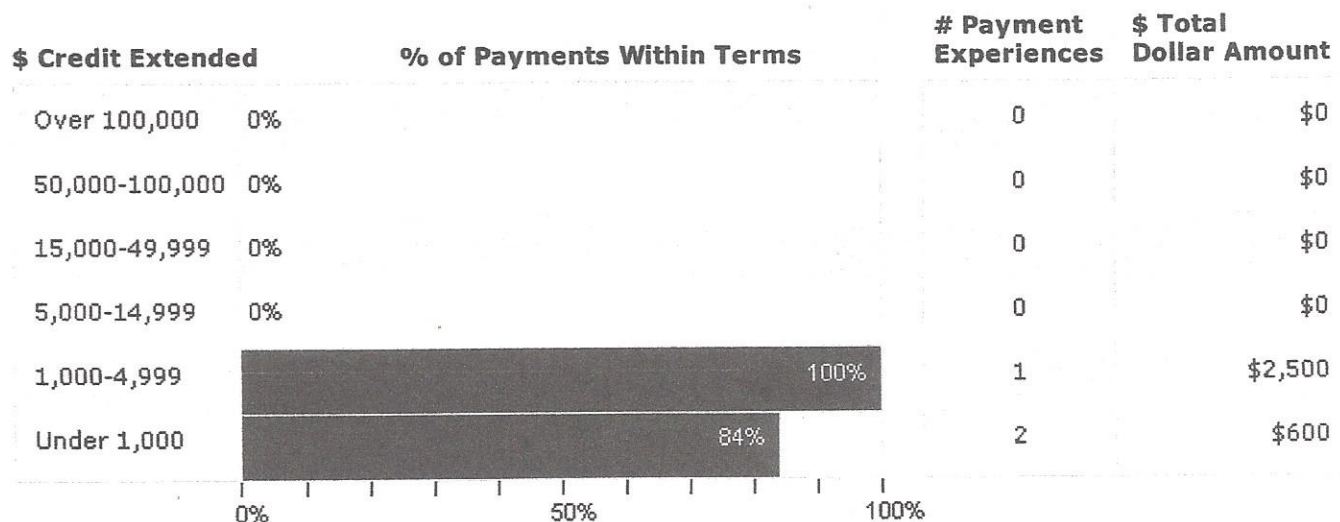
- Current **PAYDEX** for this Business is **79**, or equal to 2 days beyond terms
- The present industry **median score** is **80**, or equal to generally within terms.
- Industry upper quartile represents the performance of the payers in the 75th percentile
- Industry lower quartile represents the performance of the payers in the 25th percentile

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Business Payment Habit by Amount of Credit Extended 12 Months

For all payment experiences within a given amount of credit extended, shows the percent that this Business paid within terms. Provides number of experiences used to calculate the percentage, and the total dollar value of the credit extended.

SEVEN UTILITY MANAGEMENT CONSU DUNS 17-793-7427



Based on payments collected over the last 12 months.

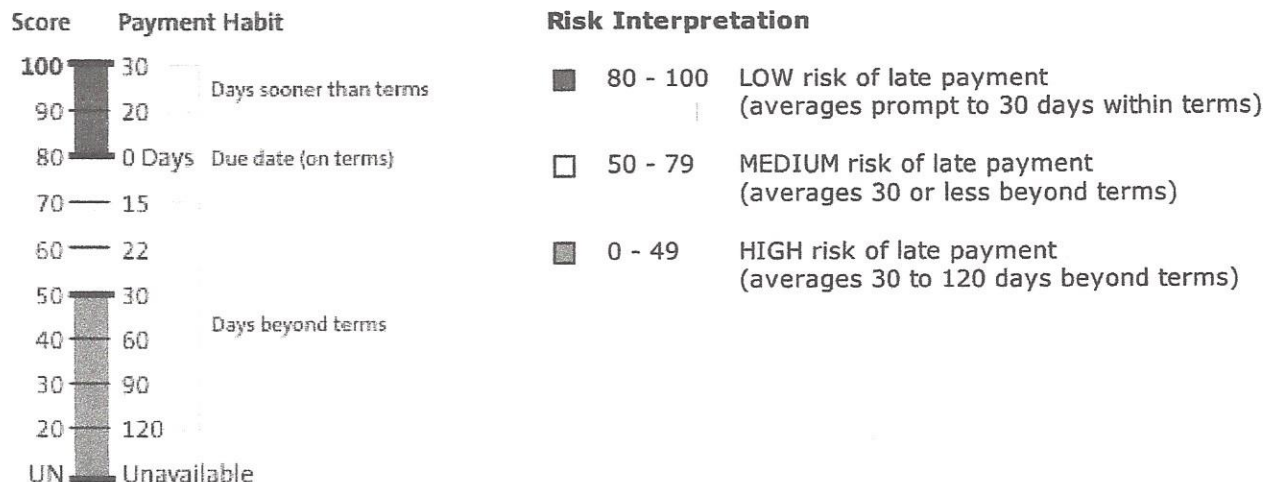
Payment experiences reflect how bills are met in relation to the terms granted. In some instances, payment beyond terms can be the result of disputes over merchandise, skipped invoices, etc.

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How to Read the D&B PAYDEX Score

Use this key to help you interpret the D&B PAYDEX Score and meaning.

The D&B PAYDEX is a unique, dollar weighted indicator of a business' payment performance based on the total number of payment experiences in D&B's file.



Need more in-depth information and analysis? Upgrade to the Comprehensive Insight Plus Report and receive the credit for the amount of your evaluator purchase

This report is prepared and provided under contract for the exclusive use of Candice Thompson, J. Andrew Associates, Inc..

This report may not be reproduced in whole or in part by any means of reproduction.

AFFIDAVIT

State of Texas :

____ ss.
(Town)

County of HARRIS :

Alex Tautovich, Affiant, being duly sworn/affirmed according to law, deposes and says that:

He/She is the Managing Director (Office of Affiant) of Seven Utility Mgmt (Name of Applicant);

That he/she is authorized to and does make this affidavit for said Applicant,

1. The Applicant herein, attests under penalty of false statement that all statements made in the application for certification renewal are true and complete and that it will amend its application while the application is pending if any substantial changes occur regarding the information provided in the application.
2. The Applicant herein, attests it will timely file an annual report with the Public Utilities Commission of Ohio of its intrastate gross receipts, gross earnings, and sales of kilowatt-hours of electricity pursuant to Division (A) of Section 4905.10, Division (A) of Section 4911.18, and Division (F) of Section 4928.06 of the Revised Code.
3. The Applicant herein, attests that it will timely pay any assessments made pursuant to Sections 4905.10, 4911.18, or Division F of Section 4928.06 of the Revised Code.
4. The Applicant herein, attests that it will comply with all Public Utilities Commission of Ohio rules or orders as adopted pursuant to Chapter 4928 of the Revised Code.
5. The Applicant herein, attests that it will cooperate fully with the Public Utilities Commission of Ohio, and its Staff on any utility matter including the investigation of any consumer complaint regarding any service offered or provided by the Applicant.
6. The Applicant herein, attests that it will fully comply with Section 4928.09 of the Revised Code regarding consent to the jurisdiction of Ohio Courts and the service of process.
7. The Applicant herein, attests that it will use its best efforts to verify that any entity with whom it has a contractual relationship to purchase power is in compliance with all applicable licensing requirements of the Federal Energy Regulatory Commission and the Public Utilities Commission of Ohio.
8. The Applicant herein, attests that it will comply with all state and/or federal rules and regulations concerning consumer protection, the environment, and advertising/promotions.
9. The Applicant herein, attests that it will cooperate fully with the Public Utilities Commission of Ohio, the electric distribution companies, the regional transmission entities, and other electric suppliers in the event of an emergency condition that may jeopardize the safety and reliability of the electric service in accordance with the emergency plans and other procedures as may be determined appropriate by the Commission.
10. If applicable to the service(s) the Applicant will provide, the Applicant herein, attests that it will adhere to the reliability standards of (1) the North American Electric Reliability Council (NERC), (2) the appropriate regional reliability council(s), and (3) the Public Utilities Commission of Ohio. (Only applicable if pertains to the services the Applicant is offering)

11. The Applicant herein, attests that it will inform the Commission of any material change to the information supplied in the renewal application within 30 days of such material change, including any change in contact person for regulatory purposes or contact person for Staff use in investigating customer complaints.

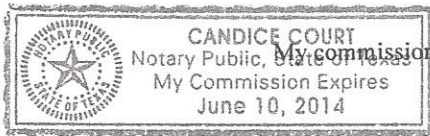
That the facts above set forth are true and correct to the best of his/her knowledge, information, and belief and that he/she expects said Applicant to be able to prove the same at any hearing hereof.

A. Tatarakis
Signature of Affiant & Title

Sworn and subscribed before me this 11 day of JUNE, 2014 Year
Month

Candice Court
Signature of official administering oath

CANDICE COURT
Print Name and Title



My commission expires on June 10, 2014

This foregoing document was electronically filed with the Public Utilities

Commission of Ohio Docketing Information System on

6/11/2014 11:40:02 AM

in

Case No(s). 10-0801-EL-AGG

Summary: Certificate This is for our Renewal Recertification. electronically filed by Mrs. Candice Thompson on behalf of Toutouchi, Alex Mr.