



The Public Utilities Commission of Ohio

Renewal Instructions for Aggregators/Power Brokers

I. Where To File: Renewal applications should be sent to: Public Utilities Commission of Ohio, Docketing Division, 180 East Broad Street, Columbus Ohio 43215-3793.

II. What To File: Applicant must submit one original notarized application signed by a principal officer and three copies including all exhibits, affidavits, and other attachments. All attachments, affidavits, and exhibits should be clearly identified. For example, Exhibit A-10 should be marked “Exhibit A-10 ‘Corporate Structure.’” All pages should be numbered and attached in a sequential order. **VERY IMPORTANT: The renewal application must be docketed in the applicant’s original EL-AGG case number.**

III. Renewal Application Form: The renewal application is available on the Commission’s web site, www.puco.ohio.gov or directly from the Commission at: Public Utilities Commission of Ohio, Docketing Division, 180 East Broad Street, Columbus Ohio 43215-3793.

IV. Confidentiality: If any of an applicant’s answers require the applicant to disclose what the applicant believes to be privileged or confidential information not otherwise available to the public, the applicant should designate at each point in the application that the answer requires the applicant to disclose privileged and confidential information. Applicant must fully support its request to maintain confidentiality for the information it believes to be confidential or proprietary in a motion for protective order filed pursuant to Rule 4901-1-24 of the Ohio Administrative Code.

V. Commission Process for Certification Renewal: An application for renewal shall be made on forms approved and supplied by the Commission. The applicant shall complete the appropriate application form in its entirety and supply all required attachments, affidavits, and evidence of capability specified by the form at the time an application is filed. The Commission renewal process begins when the Commission’s Docketing Division receives and time/date stamps the application. An incomplete application may be suspended or rejected. An application that has been suspended as incomplete will cause delay in certification.

The Commission may approve, suspend, or deny a renewal application within 30 days. If the Commission does not act within 30 days, the application is deemed automatically approved on the 31st day after the official filing date. If the Commission suspends the application, the Commission shall notify the applicant of the reasons for such suspension and may direct the applicant to furnish additional information. The Commission shall act to approve or deny a suspended application within 90 days of the date that the application was suspended. Upon Commission approval, the applicant shall receive notification of approval and a numbered certificate that specifies the service(s) for which the applicant is certified and the dates for which the certificate is valid.

Unless otherwise specified by the Commission, a competitive retail electric service provider's certificate is valid for a period of two years, beginning and ending on the dates specified on the certificate. The applicant may renew its certificate in accordance with Rule 4901:1-24-09 of the Ohio Administrative Code.

CRES providers shall inform the Commission of any material change to the information supplied in a renewal application within thirty days of such material change in accordance with Rule 4901:1-24-10 of the Ohio Administrative Code.

VI. Questions: Questions regarding filing procedures should be directed to
CRES@puc.state.oh.us.

VII. Governing Law: The certification/renewal of competitive retail electric suppliers is governed by Chapter 4901:1-24 of the Ohio Administrative Code, Chapter 4901:1-21 of the Ohio Administrative Code, and Section 4928.08 of the Ohio Revised Code.



The Public Utilities Commission of Ohio

Original AGG Case Number	Version
12 - 1939 -EL-AGG	August 2004

RENEWAL APPLICATION FOR AGGREGATORS/POWER BROKERS

Please print or type all required information. Identify all attachments with an exhibit label and title (Example: Exhibit A-10 Corporate Structure). All attachments should bear the legal name of the Applicant. Applicants should file completed applications and all related correspondence with the Public Utilities Commission of Ohio, Docketing Division; 180 East Broad Street, Columbus, Ohio 43215-3793.

**This PDF form is designed so that you may input information directly onto the form.
You may also download the form, by saving it to your local disk, for later use.**

A. RENEWAL INFORMATION

A-1 Applicant's legal name, address, telephone number, PUCO certificate number, and web site address

Legal Name BKE Mechanical, Inc.

Address 11524 W. 183rd Street, Suite 200, Orland Park, IL 60467

PUCO Certificate # and Date Certified 12-546E(1) July 28th, 2012

Telephone # (312) 590-1350 Web site address (if any) WWW. Bkeenergy.com

A-2 List name, address, telephone number and web site address under which Applicant will do business in Ohio

Legal Name BKE Energy, Inc.

Address 11524 W. 183rd Street, Suite 200, Orland Park, IL 60467

Telephone # (312) 590-1350 Web site address (if any) WWW. Bkeenergy.com

A-3 List all names under which the applicant does business in North America

BKE Mechanical, Inc.

BKE Energy, Inc.

A-4 Contact person for regulatory or emergency matters

Name John R. Lyons

Title President

Business address 11524 W. 183rd Street, Suite 200, Orland Park, IL 60467

Telephone # (708) 923-9950

Fax # (708) 923-6851

E-mail address (if any) john@bkeenergy.com

A-5 Contact person for Commission Staff use in investigating customer complaints

Name John R. Lyons
Title President
Business address 11524 W. 183rd Street, Suite 200, Orland Park, IL 60467
Telephone # (708) 923-9950 Fax # (708) 923-6851
E-mail address (if any) john@bkeenergy.com

A-6 Applicant's address and toll-free number for customer service and complaints

Customer Service address 11524 W. 183rd Street, Suite 200, Orland Park, IL 60467
Toll-free Telephone # _____ Fax # (708) 923-6851
E-mail address (if any) john@bkeenergy.com

A-7 Applicant's federal employer identification number # 87-0726130

A-8 Applicant's form of ownership (check one)

- | | |
|--|--|
| <input type="checkbox"/> Sole Proprietorship | <input type="checkbox"/> Partnership |
| <input type="checkbox"/> Limited Liability Partnership (LLP) | <input type="checkbox"/> Limited Liability Company (LLC) |
| <input checked="" type="checkbox"/> Corporation | <input type="checkbox"/> Other _____ |

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:

A-9 Exhibit A-9 "Principal Officers, Directors & Partners" provide the names, titles, addresses and telephone numbers of the applicant's principal officers, directors, partners, or other similar officials.

A-10 Exhibit A-10 "Corporate Structure," provide a description of the applicant's corporate structure, including a graphical depiction of such structure, and a list of all affiliate and subsidiary companies that supply retail or wholesale electricity or natural gas to customers and companies that aggregate customers in North America.

B. APPLICANT MANAGERIAL CAPABILITY AND EXPERIENCE

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:

B-1 Exhibit B-1 "Jurisdictions of Operation," provide a list of all jurisdictions in which the applicant or any affiliated interest of the applicant is, at the date of filing the application, certified, licensed, registered, or otherwise authorized to provide retail or wholesale electric services including aggregation services.

B-2 Exhibit B-2 "Experience & Plans," provide a description of the applicant's experience and plan for contracting with customers, providing contracted services, providing billing statements, and responding to customer inquiries and complaints in accordance with Commission rules adopted pursuant to Section 4928.10 of the Revised Code.

B-3 **Exhibit B-3 "Disclosure of Liabilities and Investigations,"** provide a description of all existing, pending or past rulings, judgments, contingent liabilities, revocation of authority, regulatory investigations, or any other matter that could adversely impact the applicant's financial or operational status or ability to provide the services it is seeking to be certified to provide.

B-4 Disclose whether the applicant, a predecessor of the applicant, or any principal officer of the applicant have ever been convicted or held liable for fraud or for violation of any consumer protection or antitrust laws within the past five years.

☒ No ☐ Yes

If yes, provide a separate attachment labeled as **Exhibit B-4 "Disclosure of Consumer Protection Violations"** detailing such violation(s) and providing all relevant documents.

B-5 Disclose whether the applicant or a predecessor of the applicant has had any certification, license, or application to provide retail or wholesale electric service including aggregation service denied, curtailed, suspended, revoked, or cancelled within the past two years.

☒ No ☐ Yes

If yes, provide a separate attachment labeled as **Exhibit B-5 "Disclosure of Certification Denial, Curtailment, Suspension, or Revocation"** detailing such action(s) and providing all relevant documents.

C. FINANCIAL CAPABILITY AND EXPERIENCE

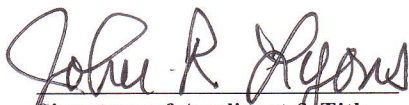
PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:

C-1 **Exhibit C-1 "Annual Reports,"** provide the two most recent Annual Reports to Shareholders. If applicant does not have annual reports, the applicant should provide similar information in Exhibit C-1 or indicate that Exhibit C-1 is not applicable and why.


C-2 **Exhibit C-2 "SEC Filings,"** provide the most recent 10-K/8-K Filings with the SEC. If applicant does not have such filings, it may submit those of its parent company. If the applicant does not have such filings, then the applicant may indicate in Exhibit C-2 that the applicant is not required to file with the SEC and why.

C-3 **Exhibit C-3 "Financial Statements,"** provide copies of the applicant's two most recent years of audited financial statements (balance sheet, income statement, and cash flow statement). If audited financial statements are not available, provide officer certified financial statements. If the applicant has not been in business long enough to satisfy this requirement, it shall file audited or officer certified financial statements covering the life of the business.

- C-4 **Exhibit C-4 "Financial Arrangements,"** provide copies of the applicant's financial arrangements to conduct CRES as a business activity (e.g., guarantees, bank commitments, contractual arrangements, credit agreements, etc.).
- C-5 **Exhibit C-5 "Forecasted Financial Statements,"** provide two years of forecasted financial statements (balance sheet, income statement, and cash flow statement) for the applicant's CRES operation, along with a list of assumptions, and the name, address, e-mail address, and telephone number of the preparer.
- C-6 **Exhibit C-6 "Credit Rating,"** provide a statement disclosing the applicant's credit rating as reported by two of the following organizations: Duff & Phelps, Dun and Bradstreet Information Services, Fitch IBCA, Moody's Investors Service, Standard & Poors, or a similar organization. In instances where an applicant does not have its own credit ratings, it may substitute the credit ratings of a parent or affiliate organization, provided the applicant submits a statement signed by a principal officer of the applicant's parent or affiliate organization that guarantees the obligations of the applicant.
- C-7 **Exhibit C-7 "Credit Report,"** provide a copy of the applicant's credit report from Experian, Dun and Bradstreet or a similar organization.
- C-8 **Exhibit C-8 "Bankruptcy Information,"** provide a list and description of any reorganizations, protection from creditors or any other form of bankruptcy filings made by the applicant, a parent or affiliate organization that guarantees the obligations of the applicant or any officer of the applicant in the current year or within the two most recent years preceding the application.
- C-9 **Exhibit C-9 "Merger Information,"** provide a statement describing any dissolution or merger or acquisition of the applicant within the five most recent years preceding the application.


 Signature of Applicant & Title
 PRESIDENT

Sworn and subscribed before me this 31 day of MAY, 2014
 Month Year


 Signature of official administering oath

LAURA J. LYONS
 Print Name and Title

My commission expires on 4-4-16



AFFIDAVIT

State of IL :

ORLAND PARK.
(Town)

County of COOK :

JOHN R. LYONS, Affiant, being duly sworn/affirmed according to law, deposes and says that:

He/She is the PRESIDENT (Office of Affiant) of BKE ENERGY, INC. (Name of Applicant);

That he/she is authorized to and does make this affidavit for said Applicant.

1. The Applicant herein, attests under penalty of false statement that all statements made in the application for certification renewal are true and complete and that it will amend its application while the application is pending if any substantial changes occur regarding the information provided in the application.
2. The Applicant herein, attests it will timely file an annual report with the Public Utilities Commission of Ohio of its intrastate gross receipts, gross earnings, and sales of kilowatt-hours of electricity pursuant to Division (A) of Section 4905.10, Division (A) of Section 4911.18, and Division (F) of Section 4928.06 of the Revised Code.
3. The Applicant herein, attests that it will timely pay any assessments made pursuant to Sections 4905.10, 4911.18, or Division F of Section 4928.06 of the Revised Code.
4. The Applicant herein, attests that it will comply with all Public Utilities Commission of Ohio rules or orders as adopted pursuant to Chapter 4928 of the Revised Code.
5. The Applicant herein, attests that it will cooperate fully with the Public Utilities Commission of Ohio, and its Staff on any utility matter including the investigation of any consumer complaint regarding any service offered or provided by the Applicant.
6. The Applicant herein, attests that it will fully comply with Section 4928.09 of the Revised Code regarding consent to the jurisdiction of Ohio Courts and the service of process.
7. The Applicant herein, attests that it will use its best efforts to verify that any entity with whom it has a contractual relationship to purchase power is in compliance with all applicable licensing requirements of the Federal Energy Regulatory Commission and the Public Utilities Commission of Ohio.
8. The Applicant herein, attests that it will comply with all state and/or federal rules and regulations concerning consumer protection, the environment, and advertising/promotions.
9. The Applicant herein, attests that it will cooperate fully with the Public Utilities Commission of Ohio, the electric distribution companies, the regional transmission entities, and other electric suppliers in the event of an emergency condition that may jeopardize the safety and reliability of the electric service in accordance with the emergency plans and other procedures as may be determined appropriate by the Commission.
10. If applicable to the service(s) the Applicant will provide, the Applicant herein, attests that it will adhere to the reliability standards of (1) the North American Electric Reliability Council (NERC), (2) the appropriate regional reliability council(s), and (3) the Public Utilities Commission of Ohio. (Only applicable if pertains to the services the Applicant is offering)

11. The Applicant herein, attests that it will inform the Commission of any material change to the information supplied in the renewal application within 30 days of such material change, including any change in contact person for regulatory purposes or contact person for Staff use in investigating customer complaints.

That the facts above set forth are true and correct to the best of his/her knowledge, information, and belief and that he/she expects said Applicant to be able to prove the same at any hearing hereof.

John R. Lyons -
Signature of Affiant & Title
PRESIDENT

Sworn and subscribed before me this 31 day of MAY, 2014
Month Year

Laura J. Lyons
Signature of official administering oath

LAURA J. LYONS
Print Name and Title

My commission expires on 4-4-16



Exhibit A- 9 “Principal Officers, Directors & Partners”

John R. Lyons, President and Treasurer
11524 W. 183rd Street, Suite 200
Orland Park, IL 60467
Cell 312-590-1350
Office 708-923-9950
Fax 708-923-6851
Email john@bkeenergy.com

Laura J. Lyons, Secretary and Agent
11524 W. 183rd Street, Suite 200
Orland Park, IL 60467
Office 708-923-9950

Exhibit A-1 ⁰ **"Corporate Structure"**

John R. Lyons-President and Treasurer

Laura J. Lyons-Secretary and Agent

Exhibit B-1 "Jurisdictions of Operations"

Currently, John R. Lyons is licensed in the state of Illinois as an agent, broker, and consultant for the procurement of natural gas and electricity. Below is his current approved licensing for the state of Illinois:

STATE OF ILLINOIS

ILLINOIS COMMERCE COMMISSION

BKE Energy, Inc.	::	
	:	
Application for Licensure of Agents, Brokers	:	
and Consultants under Section 16-115C of	:	12-0112
the Public Utilities Act.	:	

ORDER

By the Commission:

I. INTRODUCTION

On February 14, 2012, BKE Energy, Inc. ("Applicant") filed a verified application with the Illinois Commerce Commission ("Commission") requesting a certificate of service authority to operate as an agent, broker, or consultant ("ABC") in Illinois pursuant to Section 16-115C of the Public Utilities Act ("Act"), 220 ILCS 5/1-101 et seq., and 83 Ill. Adm. Code 454, "Licensure of Retail Electric Agents, Brokers and Consultants" ("Part 454"). Applicant requests authority to offer services as an ABC for the procurement or sale of retail electricity supply for third parties in the State of Illinois. The Administrative Law Judge requested additional information and clarification of certain matters contained in the application. Applicant filed a verified response on February 21, 2012.

II. Requirements for All Applicants under Section 16-115C of the Act

Applicant is a business organized under the laws of Illinois and licensed to transact business in Illinois. Applicant also conducts business under the name of BKE Mechanical, Inc. Applicant has certified that it will comply with all applicable regulations; that it will comply with informational and reporting requirements established by Commission rule; that it will comply with informational and reporting requirements pursuant to Section 16-115C of the Act; and that it will comply with all other applicable laws, regulations, terms, and conditions required to the extent they have application to the services being offered by an ABC. Applicant has agreed to ensure that any person who acts on its behalf will comply with all applicable sections of Part 454. Applicant agrees that it will remain in compliance with the provisions of the Act and Part 454, and will ensure that authorizations received from customers, and all other applicable records are retained for a period of not less than three calendar years after the calendar year in

which they were created. Applicant has agreed to adopt and follow rules and procedures to preserve the confidentiality of its customers' data. Applicant is not currently licensed in any other state but plans to enter the Ohio market. Applicant reports that it has not had any complaints filed against it.

III. FINANCIAL, TECHNICAL, AND MANAGERIAL REQUIREMENTS OF SECTION 16-115C

Applicant is required by Section 454.60 to demonstrate that it meets the managerial qualifications necessary to provide services as an ABC. Applicant must further provide an organizational chart that indicates the position of persons which satisfy the managerial qualification. Applicant has demonstrated that it meets the managerial qualifications set forth in Section 454.60, as demonstrated in Attachments B and C. Attachment B contains a corporate organizational chart and Attachment C identifies the occupational background information of the persons being used to meet the requirements of Section 454.60(a).

Applicant is required by Section 454.70 to demonstrate that it meets the technical qualifications necessary to provide services as an ABC. Applicant has demonstrated that it meets the technical qualifications set forth in Section 454.70 with the information provided, including the information in Attachment C. Attachment C contains occupational background information on the person being used to meet the requirements of Section 454.70(a).

Pursuant to the requirements of Section 454.80 of Part 454, Applicant provided a surety bond in the amount of \$5,000 issued by a qualifying surety authorized to transact business in Illinois. Applicant further agrees to comply with the Code of Conduct for ABCs contained in Section 454.90.

IV. Commission Conclusion and Certificate of Service Authority

The Commission has reviewed the application and attachments along with the supplementary information provided by Applicant regarding the technical, managerial, and financial requirements and all other requirements of the Act and Part 454 and finds that the Applicant sufficiently demonstrates compliance with the requirements. The Commission concludes, therefore, that Applicant's request for a certificate of service authority to operate as an ABC in Illinois should be granted and should include the following authority:

CERTIFICATE OF SERVICE AUTHORITY

IT IS CERTIFIED that BKE Energy, Inc. is granted service authority to operate as an agent, broker, or consultant for the procurement or sale of retail electricity supply for third parties in the State of Illinois.

V. FINDINGS AND ORDERING PARAGRAPHS

The Commission, having reviewed the entire record, is of the opinion and finds that:

- (1) Applicant, a business organized under the laws of Illinois, seeks a certificate of service authority to operate as an ABC under Section 16-115C of the Act;
- (2) the Commission has jurisdiction over the party hereto and the subject matter hereof;
- (3) the recitals of fact and conclusions reached in the prefatory portion of this Order are supported by the record and are hereby adopted as findings of fact;
- (4) Applicant has demonstrated that it possesses sufficient financial, managerial, and technical resources and abilities to provide services as an ABC for the procurement or sale of retail electricity supply to third parties in the State of Illinois;
- (5) Applicant has complied with Section 16-115C of the Act and Part 454; and
- (6) Applicant should be granted a certificate of service authority to operate as an ABC as specified in this Order.

IT IS THEREFORE ORDERED by the Illinois Commerce Commission that BKE Energy, Inc. is hereby granted a Certificate of Service Authority authorizing it to operate as an agent, broker, or consultant; said Certificate of Service Authority shall read as that set forth in Section IV of this Order.

IT IS FURTHER ORDERED that BKE Energy, Inc. shall comply with all applicable Commission rules and orders now and as hereafter amended.

IT IS FURTHER ORDERED that, subject to the provisions of Section 10-113 of the Public Utilities Act and 83 Ill. Adm. Code 200.880, this Order is final; it is not subject to the Administrative Review Law.

By order of the Commission this 7th day of March, 2012.

(SIGNED) DOUGLAS P. SCOTT

Chairman

Exhibit B-2 "Experience & Plans"

JOHN R. LYONS

13420 ARLINGTON LANE

ORLAND PARK, IL 60462

HOME OFFICE (708) 923 - 9950

HOME (708) 923 - 6850

CELL (312) 590 - 1350

WORK EXPERIENCE

7/2007 - PRESENT

BKE CONTRACTING, INC.

General Contractor - Founded and operate my own General Contracting business. We handle all types of General Contracting work ranging from commercial office space, industrial facilities, and retail centers. We bring a vast expertise to projects which incorporate extensive MEP usage. Examples of these types of projects include trading floors, data centers, communication and technology facilities. Often these types of projects require back-up electrical generation & fuel storage, large chiller plants and large utility electrical transformation.

6/2004 - 5/2007

BKE MECHANICAL, INC.

Mechanical Consultant - Founded and operate my own consulting business specializing in electrical and mechanical consulting. Largest and most recent project was the redesign and fabrication of a natural gas waste gasification unit and converted it into a totally electric unit. Designed a high temperature air handling system to handle the 1000 degree F. synthesis gases. Selected and sized the 2000 deg F. silicon carbide heating elements, taking into effect, the power factor changes as the heating elements aged, to flare off the synthesis gases for spotless emissions.

7/2000 - 6/2004

AMERIMAR CHICAGO MANAGEMENT, INC.

Operations Manager/ Owner's Representative at 600 West Chicago Ave. - Responsible for the administration, operation and the \$120 million dollars base-building redevelopment. The project goal was to convert a 1.8 million square foot warehouse to a mixed use development of telco, commercial office and retail operations. I was responsible for General Contracting over \$32 million of that budget, saving ownership over \$3 million dollars. Responsibilities included acting as the liaison with multiple City, State and Federal government departments including DCAP, CDDT, Landmarks, IDNR, EPA, Army Corp of Engineers, Coast Guard, etc.

1/2000 - 7/2000

AMERIMAR CHICAGO MANAGEMENT, INC.

Chief Engineer at 600 West Chicago Ave. - Responsible for the administration and operation of the High Pressure boiler central plant and office operations including: contract negotiation and administration, energy management, preventative and predictive maintenance, supervision of licensed stationary engineers, continuing education, maintained a close working relationship with all Architects, Engineers and Contractors during tenant construction and completion of punch lists.

1989 - 1991

FIFIELD ASSET MANAGEMENT

Director of Engineering - Responsibilities included performing property evaluations of the mechanical systems and operations of 12 commercial office properties. Organized and implemented computerized maintenance programs. Facilitated numerous training and educational programs. Represented FIFIELD during presentations for third party asset management opportunities.

1986 - 2000

FIFIELD ASSET MANAGEMENT, FC&S, KOLL & C B RICHARD ELLIS

Chief Engineer at 225 W. Washington - Responsible for the administration and operation of the base-building central plant and office operations including: contract negotiation and administration, energy management, preventative and predictive maintenance, supervision of three licensed stationary engineers, continuing education, maintained a close working relationship with all Architects, Engineers and Contractors during tenant construction and completion of punchlists. I was Involved extensively with all contractors prior to the building completion in January 1987.

1989 - 1995

LYONS CONSULTING, INC.

Electrical Utility Auditing Consultant - Founded and operated my own consulting business specializing in electrical utility auditing. Responsible for performing electrical utility audits of commercial buildings including analysis of utility bills and site surveys of electrical service and metering. These audits have also incorporated energy saving operating techniques taught to the maintenance personnel. Obtained over \$4 million dollars in credits for my clients.

1976 - 1986

AMERICAN MEDICAL ASSOCIATION

Chief Engineer - Held the position of Stationary Engineer for the first two years of employment before being promoted to Assistant Chief. The last 5 ½ years were in the capacity of Chief Engineer. Was responsible for: the 24 hour, 7 day-a-week operation of the high-pressure boiler plant and was Project manager of all construction, operation of steam absorption refrigeration plant, etc.

1973 - 1976**CNA INSURANCE**

Boiler Fireman - Responsible for operating and maintaining high pressure boilers and associated equipment. This position was a training program for a stationary engineer. Performed basic maintenance on pumps, chillers, piping, etc.

EDUCATION

- Licensed Stationary Engineer in Chicago
- Licensed Supervising Electrician in Chicago
- Registered Electrical Contractor in Chicago
- Registered General Contractor in Chicago
- Attained numerous certificates : Honeywell Building Automation, electrical systems, air conditioning, pneumatics, Lotus 1-2-3
Certified Welder

EXPERTISE

- | | |
|--|---|
| • Energy management | • Systems troubleshooting |
| • Contract negotiations & administration | • Computerized fire/life/safety |
| • Construction supervision | • HVAC pneumatic and hydronic balancing |
| • Administrative reporting | • VAV and vane axial fan systems |
| • Computerized building automation | • State of the Art building systems |
| • Design and building of residential homes | • Supervisory skills |

HOBBIES AND INTERESTS

- Avid Golfer
- Private Pilot
- Certified Scuba Diver
- Volunteer in church ministries
- Avid equity trader

John R. Lyons is looking to be an agent, broker, and consultant in all deregulated states for natural gas and electricity, with his core business being in Illinois. He is currently working on national accounts across the country in retail and manufacturing. He has worked in sales with Energy Choices, Inc., an extremely large broker and consulting company, for natural gas and electric under the supervision of Craig Schuttenberg for one year. Energy Choices, Inc. has another company that they run their smaller businesses to meet the states guidelines, Economic Energy, Inc.

Exhibit B-3 "Disclosure of Liabilities and Investigations"

None.

Exhibit C-1 "Annual Reports"

Not Applicable. BKE Energy is a privately held corporation, not a publicly held company.

Exhibit C-2 "SEC Filings"

Not applicable. BKE Energy, Inc. is not a publicly traded company, but is privately held.

Exhibit C-3 "Financial Statements"

BKE Energy is a privately held corporation, not a publicly held company. Below is the Profit and Loss Detail for the period the company had opened in 2012 to date. It is verified and signed by John R. Lyons, President of BKE Energy, Inc.

Exhibit C-3

11:19 AM
06/01/14
Accrual Basis

BKE Energy, Inc.
Profit & Loss
January 1, 2012 through June 1, 2014

	Jan 1, '12 - Jun 1, 14
Ordinary Income/Expense	
Income	
Consulting Income	
AEP	81,078.66
Energy.me	66,220.55
First Energy Solutions	0.00
First Energy Solutions (misc)	107.43
Integrus Energy	6,783.00
Nordic	642.72
Consulting Income - Other	142,467.93
Total Consulting Income	297,300.29
Total Income	297,300.29
Expense	
66000 - Payroll expenses	
66001-employer matching SS/Medi	1,202.00
66002 - commission expenses	10,000.00
Total 66000 - Payroll expenses	11,202.00
66700 - Professional fees	
67003 - Payroll service fees	0.95
Total 66700 - Professional fees	0.95
Advertising and Promotion	4,509.00
Automobile Expense	2,249.29
Bank Service Charges	83.00
cost of goods	0.00
Dues and Subscriptions	675.00
Insurance Expense	
General Liability Insurance	50.00
Health Insurance	3,100.83
Insurance Expense - Other	18,097.67
Total Insurance Expense	21,248.50
Meals and Entertainment	211.41
Office Supplies	2,156.39
Professional Fees	9,338.55
Rent Expense	2,092.00
Sales commissions	34,174.59
Sales commissions to Wade	17,547.00
Telephone Expense	921.28
Travel Expense	0.00
Utilities	943.87
Total Expense	107,352.83
Net Ordinary Income	189,947.46
Net Income	189,947.46

Verified 6-1-2014
John R. Lyons
President
BKE ENERGY, INC.

Exhibit C-4 "Financial Arrangements"

Mr. Lyons has over \$300,000 of personal cash assets to secure the financial stability and operating expenses of the business. BKE Energy, Inc. currently does not have any guarantees, bank commitments, or contractual arrangements.

Exhibit C-5 "Forecasted Financial Statements"

BKE Energy, Inc. has been in business just over two years now. We have earned over \$185,000 from start-up to date. We are forecasting to earn over \$300,000 for this fiscal year and over \$400,000 for the following year 2015

Exhibit C-6 "Credit Rating"

BKE Energy, Inc. has a commercial credit rating of 503 by the Dun and Bradstreet Credibility Corp. Being a new company, BKE Energy, Inc. does not have any other credit ratings at this time.

Exhibit C-7 "Credit Report"

On the following page is attached a copy of BKE Energy, Inc.'s credit report from Dun and Bradstreet.

-Attachment

Dashboard

My Companies

Other Companies

Bke Mechanical, Inc. DUNS: 03-243-8700

CB CreditBuilder

Added: 06/01/2014

Manage your report, get alerted to score changes and inquiries, and benchmark your company.

ALERTS

No Alerts

Scores

PAYDEX®

80

Delinquency
Predictor Class

3 ▼

Financial
Stress Class

2

CS CreditSignal

Added: 06/01/2014

You have not submitted your business information. Dun & Bradstreet Credibility Corp. needs certain information on your company to create your credit file. Please [Click Here](#) to begin.



Call 1.800.700.2733 to speak with a Credit Advisor Today!

Customer Resources

Products
Education Center
Glossary
Contact Us
Customer Support
Communication Preferences
Search for D&B D-U-N-S

Our Company

About Us
Company History
Business Credit History
Careers
Press Releases
News
Success Stories

Our Network

Partners
Affiliates
Blog
Access to Capital
Entrepreneurial Initiative
D&B International
Credibility LIVE

Site Links

Business Directory
Site Map
Privacy Policy
Terms of Service

The Official Card of Dun & Bradstreet Credibility Corp.



Exhibit C-8 "Bankruptcy Information"

We have not had any reorganizations or bankruptcies.

Exhibit C-9 "Merger Information"

We have not had any dissolution, merger, or acquisition within the five most recent years.

This foregoing document was electronically filed with the Public Utilities

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Case No(s). 12-1939-EL-AGG

Summary: Text Renewal application for aggregators /power brokers - electric electronically filed by Mr. John R Lyons on behalf of BKE Energy, Inc.