BEFORE THE PUBLIC UTILITIES COMMISSION OF OHIO

| IN THE MATTER OF THE COMPLAINT OF MATERIAL SCIENCES CORPORATION, |))) |
|--|-------------|
| Complainant, |) |
| V. |) |
| THE TOLEDO EDISON COMPANY |) |
| Respondent. |) |

Case No. 13-2145-EL-CSS

RESPONDENT THE TOLEDO EDISON COMPANY'S NOTICE OF FILING DEPOSITION TRANSCRIPT OF JIM AUGSBURGER

Respondent The Toledo Edison Company hereby gives notice that, pursuant to Section 4901-1-21(N) of the Ohio Administrative Code, the deposition transcript of Complainant's witness, Jim Augsburger, received by Respondent on May 19, 2014, is being filed this date.

<u>/s/ Laura C. McBride</u> Carrie M. Dunn (0076952) Counsel of Record FirstEnergy Service Company 76 South Main Street Akron, Ohio 44308 Phone: (330) 761-2352 Fax: (330) 384-3875 cdunn@firstenergycorp.com

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<u>CERTIFICATE OF SERVICE</u>

I hereby certify that a copy of the foregoing Notice of Filing Deposition

Transcript of Jim Augsburger was served this 19th day of May, 2014, via electronic mail upon:

Craig I. Smith 15700 Van Aken Blvd., #26 Shaker Heights, Ohio 44120 wttpmlc@aol.com

> /s/ Christine E. Watchorn On behalf of The Toledo Edison Company

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1 BEFORE THE PUBLIC UTILITIES COMMISSION 2 OF OHIO 3 IN THE MATTER OF THE) COMPLAINT OF 4) 5 MATERIAL SCIENCES CORPORATION,)) 6 Complainant,)) 7) 13-2145-EL-CSS vs.) 8 THE TOLEDO EDISON CO.,)) 9 Respondent.) 10 11 12 DEPOSITION OF JIM AUGSBURGER 13 14 DATE: May 14, 2014 at 1:59 p.m. 15 Material Sciences Corporation Walbridge PLACE: 30610 East Broadway 16 Walbridge, Ohio 43465 17 REPORTER: Angela Ellis Notary Public 18 19 20 21 22 23 24

419-255-1010

1 **APPEARANCES:** 2 On behalf of the Complainant: 3 LAW OFFICES OF CRAIG I. SMITH: Craig I. Smith 4 15700 Van Aken Boulevard #26 Shaker Heights, Ohio 44120 (216) 571-2717 5 6 On behalf of the Respondent: 7 ULMER BERNE LLP: 8 Laura McBride 1660 West 2nd Street, Suite 1100 Cleveland, Ohio 44113 9 (216) 583-7034 10 ULMER BERNE LLP: 11 Christine E. Watchorn 88 East Broad Street, Suite 1600 12 Columbus, Ohio 43215 (614) 229-0034 13 On behalf of First Energy (via telephone): 14 Carrie M. Dunn 76 South Main Street 15 Akron, Ohio 44308 (330) 761-2352 16 17 Also Present: 18 Peter Blazunas 19 20 21 22 23 24

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1 JIM AUGSBURGER, a Witness herein, called by the Respondent as if upon 2 3 Examination, was by me first duly sworn, as hereinafter 4 certified, deposed and said as follows: 5 EXAMINATION BY MS. MCBRIDE: 6 7 0. Good afternoon, Mr. Augsburger. My name is Laura McBride. We met earlier this morning. I'm one of the 8 9 attorneys for the Toledo Edison Company. Have you ever been deposed before? 10 11 Huh-uh. Α. 12 Ο. Okay. So one of the first rules is that you have to 13 speak words rather than uh-huh. 14 Α. I'm sorry. No, I have not. So that is one of the practices that we both 15 Ο. Okay. 16 need to follow. You can't just nod. You have to say yes or 17 no. No uh-huh or huh-uh. Also, obviously, the court 18 reporter is here taking down all our words. So we don't want 19 to speak over each other or speak at the same time. That 20 makes it difficult for her. If you don't understand my 21 question or any term that I am using, let me know and I will 22 rephrase it. We'll get started. 23 Α. Okay. 24 Can you state your full name for the record? Q.

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1 Α. James Dale Augsburger. A-u-q-s-b-u-r-q-e-r? 2 0. 3 Α. That's correct. 4 0. And is there any reason that you can't give truthful testimony today? 5 Α. No. 6 7 Q. And are you currently employed? 8 Α. Yes. 9 And who is your employer? Ο. Material Sciences Walbridge Coating. 10 Α. 11 Q. How long have you worked for -- can I call it MSC? 12 Α. Sure. 13 How long have you work for MSC? Q. 14 Α. Since 1987. 27 years almost in August. 15 And your current title is engineering manager; is Q. 16 that right? 17 That is right. Α. 18 How long have you served as the engineering Ο. 19 manager? 20 Since 2006. Α. 21 0. Okay. So eight years. All right. And in your work 22 as an engineering manager, are you at a desk, out in the 23 plant, or both? 24 Α. Both.

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1 Ο. And do you have any role in managing the plant's electric usage? 2 3 Α. I'm responsible to keep the computer running that 4 monitors electric using. Okay. And just for my own context, is that the same Ο. 5 system that broke down on September 11? 6 7 Α. Yes. Okay. And is there a name for that system? 8 0. 9 We call it the Power Logic computer. Α. Power Logic? 10 Ο. 11 Α. Yes. 12 Do you review the plant's monthly electric bill? 0. 13 I report Power Factor to, it used to be the Α. I do. 14 plant manager, now it is the operations manager, every 15 month. 16 Okay. And is that an analysis that you perform in Ο. 17 conjunction with the bill that comes from Toledo Edison? 18 We pay for service from Toledo Edison where we Α. No. 19 can go on-line and get the data and bring it into an Excel 20 spreadsheet. Then I do the analysis there of what the total overall Power Factor is. 21 22 Okay. And is that system that you're referring to, Ο. 23 is that the same thing as the Power Logic computer system or 24 is that different?

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1 Α. No, that's different. I'm trying to think. It's a First Energy product, I think it's meter profile, is what we 2 3 purchased from you guys. 4 0. And is that a -- is that data that's available to 5 you on a daily basis? I get e-mails when it is updated. So from our Α. 6 No. 7 monthly reports, that's usually within, I don't know, three or four days after the end of the month. It is updated. 8 Ι 9 can download it and do the analysis. 10 Ο. So is it updated once a month? It's periodic. I'm not sure, you know, what 11 Α. No. 12 rate it is, but it's periodically updated. 13 Okay. You mentioned that you provide these monthly 0. 14 reports, it used to be to the plant manager but now the 15 operations manager? 16 Α. Correct. 17 0. And so before when you were reporting, you were 18 submitting these reports to John Siffer? 19 Α. Correct. 20 Okay. And now who is the operations manager? Ο. 21 Α. Jeff Ramsay. 22 So you provide those monthly reports now to 0. 23 Mr. Ramsay? 24 Α. Correct.

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1 Ο. And what do they do with those reports? Well, it used to be, when Mr. Siffer was in charge, 2 Α. it would be on his score card for -- I don't know what he 3 4 reported to his upper management for achieving or not achieving a goal. So the goal, I believe, it was .92 Power 5 Factor. 6 7 And is it your understanding that that .92 goal was 0. a goal set by Material Sciences Corporation? 8 9 Yes, it was an internal goal, yes. Α. 10 0. Okay. And in your monthly report, do you provide 11 analysis other than Power Factor? 12 Α. Yes. 13 What else? What other type of information do you Ο. 14 report? 15 Basically, it's just a spreadsheet. The same Α. spreadsheet that is printed out in the exhibits. 16 17 Q. To your testimony? I believe, it was -- I would have to check to 18 Α. Yes. 19 see if that was on my testimony or not, but it was, yes, it's 20 on my testimony. 21 Ο. Okay. Is that your testimony in front of you? 22 Α. Yes. 23 I am thinking maybe it's Exhibit JA4? 0. 24 Α. Correct.

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1 Ο. That is it? Α. Yes. 2 3 So was this an example of a monthly report that you 0. 4 provided to previously Mr. Siffer and now Mr. Ramsay? Correct. Correct. And there's more to it, but this 5 Α. But mostly, yes, it is broken down as to here 6 is the crux. 7 is the Power Factor overall of the facility for that month, and on the e-mail this would just be attached. 8 Okay. And so if we look at the columns here on 9 Ο. Exhibit JA4 -- by the way, it says JA9 on the side, do you 10 11 know what that refers to? 12 Α. I think that was just a revision probably. 13 Okay. All right. So the columns look like date and Ο. 14 time and then peak, and then can you help me with the other columns after that? 15 That's the KVA and that would be for the 16 Α. Sure. 17 number one transformer, the receiving transformer, and then KVA number 2 transformer. 18 19 And then KVA 1 and 2? Q. 20 Yes. Α. 21 0. KWH 1 and 2?22 Α. Right. 23 KWD 1 and 2?0. 24 Α. Yes.

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And then it's your Power Factor, that PF 1 and 2? 1 0. Yep. So all of that information is from 2 Α. Yep. Then everything to the right is calculated 3 Toledo Edison. 4 because we're not given, you know, what our totals are. So I can calculate it by knowing the basic information. 5 Okay. And is this something that is like an Excel Ο. 6 7 formula --8 Δ Correct. 9 -- so it creates that automatically. Okay. 0. And can 10 you explain to me the difference between Power Factor and 11 Load Factor? 12 Α. Well, Power Factor is a function of the relationship 13 between kilowatt and KVA. So I think what Toledo Edison and 14 anyone is looking for is a unity Power Factor as your ideal, but you always have a lagging Power Factor in the industry 15 16 because of the processes that are involved. So it's less 17 efficient when you have a lagging Power Factor. I'm not sure 18 what else you're looking for there. And how is it that Power -- does Power -- MSC's 19 Q. 20 Power Factor affect its electricity costs? 21 Α. Not to a huge degree. We are an electroplater, so 22 our usage is very high for the plating process. So the Power 23 Factor as far as like on transformer 1 is very low impact 24 compared to the plating Power Factor, which is very good. So

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1 our overall Power Factor has been up to like .94, in that 2 area. 3 0. And so the two different transformers, are they 4 associated with different parts of your process? The first transformer is the original Α. Yes. 5 transformer that was installed on the original plant site 6 7 back in 1979 or 1980. So that did, you know, all the processes at that point in time. When we added the 8 9 electroplating portion of our facility around 1985, transformer two was added just for plating. So number two is 10 11 for all of our plating rectifiers, electro cleaner, electro 12 pickler. 13 Ο. So why does MSC ask for or set these goals for Power Factor? 14 15 Like I said, it was just an internal -- just Α. 16 internal thing that we reporting on every month. 17 But do you know why they cared about Power Factor? Q. Well, you want to be as efficient as you can, 18 Α. 19 certainly. 20 Okay. So it's -- I think what you're saying, it's a 0. 21 measure of efficiency, but not necessarily -- doesn't 22 necessarily correlate to a decrease in energy cost; is that 23 right? 24 Α. Could you rephrase that?

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1 0. Well, I think I asked -- let me ask again -- whether the plant's Power Factor has any impact on its rates, 2 If you know. 3 electric rates. 4 Α. Yes. I'm not sure. Okay. And I believe you have said that the plant 5 Ο. currently has a Power Factor of about .94? 6 7 Yes. In that area, yes. Α. And that reflects an increase over time? 8 0. I mean, it varies month to month, and basically the 9 Α. Power Factor that, you know, gets recorded is the peak. 10 So 11 you will have one peak KVA that Toledo Edison will pick up 12 That is what you get billed on for your KVA peak demand on. 13 and it is your Power Factor at that one point in time, that one half-hour interval. 14 15 Does MSC ever make any adjustments to its process as Ο. a result of this Power Factor? 16 17 Our loads are very -- you know, based on Α. No. No. 18 the material that we run. 19 Meaning you don't have much of an opportunity to 0. 20 adjust it. 21 Α. Correct. 22 Ο. I think you mentioned that you do see the monthly 23 bill from Toledo Edison? I do. 24 Α.

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1 Q. What do you do when you get those? File them, mostly. 2 Α. 3 Do you review them at all? Ο. 4 Α. Not in any detail, no. 5 Do you know if anybody else is reviewing the monthly Ο. Toledo Edison bills? 6 7 Α. Yes. I don't know. It was handled at corporate. We would get copies. I would get a copy. 8 9 By corporate, do you mean Illinois? Ο. Correct. 10 Α. Are the bills sent to Illinois first? 11 Ο. 12 Α. Yes. 13 And do you know who in Illinois would be looking at Ο. 14 them? 15 I think they go to accounting. Pam Carlson is where Α. 16 they go to. I think Mr. Wilson was in the loop there. 17 And that's Mike Wilson? Q. 18 Α. Yes. 19 Do you know whether MSC has ever considered getting Q. 20 a portion of its electric service from someone other than Toledo Edison? 21 22 I don't know that for sure. Α. 23 Do you think that happened or, I mean --0. Ask that question again. 24 Α.

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| _ | | 14 |
|----|----------|--|
| 1 | Q. | Yes. Do you know whether MSC has ever considered |
| 2 | | its electric service from a provider other than |
| 3 | Toledo E | |
| | | |
| 4 | Α. | I guess, how do you mean considered? |
| 5 | Q. | Has MSC ever talked to any alternative supplier? |
| 6 | A. | Yes. |
| 7 | Q. | And do you know when those discussions occurred? |
| 8 | Α. | No. |
| 9 | Q. | Was it within the last five years? |
| 10 | Α. | Yes. |
| 11 | Q. | Within the last two years? |
| 12 | Α. | Yes. |
| 13 | Q. | Within the last year? |
| 14 | Α. | I'm not sure about that. |
| 15 | Q. | Okay. And were you involved in those discussions? |
| 16 | A. | No. |
| 17 | Q. | Do you know who was involved from MSC? |
| 18 | A. | Yes. I believe Mr. Wilson was involved. |
| 19 | Q. | Was anybody from the Walbridge facility involved? |
| 20 | A. | Not that I'm aware of. |
| 21 | Q. | Okay. And do you know what the result of those |
| 22 | discussi | ons were? |
| 23 | Α. | No, I don't. |
| 24 | Q. | Are you familiar with the term special contract or |
| | | |

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| 1 | reasonal | ole arrangement with Toledo Edison? |
|----|----------|---|
| 2 | A. | No. |
| 3 | Q. | Do you know if MSC has ever considered applying for |
| 4 | a reasor | nable arrangement or a special contract? |
| 5 | Α. | I don't know. |
| 6 | Q. | Has MSC, here at the plant, instituted any energy |
| 7 | efficier | ncy initiatives to reduce its usage or demand? |
| 8 | Α. | Yes. |
| 9 | Q. | What has the plant done? |
| 10 | Α. | We have replaced anno plates that were very thin. |
| 11 | We have | installed a flatness gauge for trying to get the |
| 12 | strip fl | latter as it goes through our process, which is a big |
| 13 | deal. (| Other than that, just the normal things, energy |
| 14 | efficier | nt motors, capacitors, you know, small items. |
| 15 | Q. | And have those steps made any impact on MSC's |
| 16 | consumpt | cion? |
| 17 | Α. | Yes. |
| 18 | Q. | What has the impact been? |
| 19 | Α. | Well, we're estimating like 3 million kilowatt hours |
| 20 | annually | 7. |
| 21 | Q. | And when were those steps taken? |
| 22 | Α. | Within the last couple years. |
| 23 | Q. | And in the anno plates and the flatness gauges, |
| 24 | these an | re particular parts of your production process? |
| | | |

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1 Α. Correct. And by replacing the plate or --2 0. 3 Α. Installing the gauge. 4 0. -- installing the gauge, that reduces the amount of 5 energy used by the process? Correct. 6 Α. 7 Have any of those steps made an impact on MSC's Ο. demand? 8 9 Α. I'm sure it has to a degree, yes. Do you have -- has that been quantified? 10 0. 11 Α. I have not quantified that, no. 12 And do you ever examine or review MSC's Load Factor 0. 13 as opposed to Power Factor? 14 Α. No. 15 And why don't you look at the Load Factor? Ο. 16 Again, as we discussed, we have no real control over Α. 17 what products our customers are demanding and, in fact, we 18 have had some down weeks. So when you are running a peak 19 load of, you know, 30,000 or 29,000 KVA, you have to put that 20 product out as efficiently as possible. Then you are down 21 for two weeks out of the month, your load factor is going to 22 There is nothing we can control with that. be terrible. 23 Has MSC explored whether it can shift any load off Ο. 24 peak?

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1 Α. Many years ago. There hasn't been recent discussion with that. 2 3 Ο. And by many, you're meaning, like, more than five? 4 Α. T believe so. And during those discussions many years ago, was 5 Ο. anything done, or what were the results of that analysis? 6 7 Well, my recollection is somewhere along the line is Α. that we were told that off peak, we did not get credit for 8 9 that anymore, and so basically stopped pursuing that as an 10 option. But the other issue we had was just, again, you have 11 various products and you will try to run them efficiently, 12 like from wide to narrow, from a process standpoint. So it's 13 difficult to just locate those high demand products and 14 schedule them for just in the evening and still be efficient 15 in your production process. 16 Were you involved in any discussions between MSC and 0. 17 Toledo Edison in 2011 and 2012 about MSC electric rates? 18 Α. I believe there was one meeting that was held, 19 yes. 20 And did you attend the meeting? 0. 21 Α. I believe so. I saw a letter that said I did, so, 22 yes. 23 Other than the letter, do you have any recollection Ο. 24 of the meeting?

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1 Α. No. Do you recall what was discussed at the meeting? 2 0. 3 Well, according to the letter it was some Α. 4 alternatives that were brought up for lowering our cost, and one was putting in capacitors, which was very cost 5 prohibitive. The other was of DS2 submittals. 6 7 Ο. And what is your understanding of the DS2 submittals? 8 9 In what way? Α. What does that mean, DS2 submittals? 10 0. 11 Α. Submitting energy saving projects, that kind of 12 thing, to offset the C2 charges. 13 And was -- did MSC submit any application for that 0. afterwards? 14 15 We have tried going through the COSE, and so I think Α. 16 there's still an application pending with them. 17 With COSE? Q. They said they have all the information we 18 Α. Yes. 19 need, back in March, and they were going to get with us as 20 the next step. We haven't heard anything back yet. 21 0. That was just this past March in 2014? 22 Correct. We talked prior to that, probably the year Α. 23 I'm not sure what all transpired there. before. 24 Okay. Are you familiar with Toledo Edison's Rider Q.

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| | | 12 |
|----|-----------|--|
| 1 | GEN? | |
| 2 | Α. | No. |
| 3 | Q. | Do you what types of costs are recovered through |
| 4 | Rider GEN | 15 |
| 5 | Α. | No. |
| 6 | Q. | Do you ever look at a breakdown of MSC's costs by |
| 7 | Rider? | |
| 8 | Α. | Our representative, Kathy Garcia, sends a listing of |
| 9 | each line | e item where the bill comes from, but, yes, I don't |
| 10 | go throug | gh that in detail. |
| 11 | Q. | Do you know if anybody else in the plant goes |
| 12 | through t | chat analysis? |
| 13 | Α. | I don't believe so. The only other person might |
| 14 | have beer | n John, but I'm sure Jeff doesn't. |
| 15 | Q. | John Siffer. |
| 16 | Α. | John Siffer, may have. I don't know. |
| 17 | Q. | Do you know whether MSC's electric rates vary by |
| 18 | season, w | vinter versus summer? |
| 19 | Α. | I have seen correspondence to that effect, so I |
| 20 | would say | v that it appears to. |
| 21 | Q. | Do you have any sense as to whether winter or |
| 22 | spring | - excuse me, winter or summer is higher, which one? |
| 23 | Α. | No. |
| 24 | Q. | Do you have any familiarity with Rider NMB? |
| | | |

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| 1 | Α. | No. |
|----|----------|--|
| 2 | Q. | Do you know what costs are recovered through Rider |
| 3 | vmb? | Jo jou milow what copes are recovered emough krach |
| 4 | A. | No. |
| | | |
| 5 | Q. | Are you familiar with the Rider ELR? |
| б | Α. | I am now. |
| 7 | Q. | When did you first become familiar with Rider ELR? |
| 8 | Α. | Probably with the penalty letter. |
| 9 | Q. | And have you ever read Rider ELR? |
| 10 | Α. | Yes. |
| 11 | Q. | And when did you first read Rider ELR? |
| 12 | Α. | I would say shortly after the penalty letter. |
| 13 | Q. | Before September 11 or before September 2013, were |
| 14 | you awar | e that MSC was participating in Rider ELR? |
| 15 | Α. | Not per se. I knew there were emergency |
| 16 | curtailm | ents. That was the extent. |
| 17 | Q. | Do you know are you familiar with the name PJM or |
| 18 | PJM Inte | rconnection? |
| 19 | Α. | Uh-huh. |
| 20 | Q. | Say yes? |
| 21 | Α. | Yes. |
| 22 | Q. | What is your understanding as to who PJM is or what |
| 23 | it is th | at they do? |
| 24 | A. | I believe they control the transmission of lines and |
| | | |

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1 power flow. And are you familiar with the entity ATSI? 2 Ο. 3 Α. No. 4 Ο. Is it your understanding that MSC participates 5 now -- is it your understanding that MSC participates in Rider ELR and is required to curtail under certain 6 7 circumstances? 8 Α. Yes. 9 And is it your understanding that MSC choose to Ο. participate in Rider ELR, meaning that it was voluntary as 10 opposed to required? 11 12 I believe they agreed with Toledo Edison, yes. Α. 13 And are you familiar with the term firm load as it Ο. relates to Rider ELR? 14 15 Α. Yes. 16 And what is your understanding of firm load? 0. 17 2000 kilowatt KVA. Α. 18 And is that the level that MSC needs to reduce its 0. 19 load to in an event? 20 Α. Correct. 21 Ο. And do you know how that 2000 KW value was 22 selected? 23 No, I do not. Α. 24 Do you know whether MSC chose that 2000 value? 0.

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1 Α. I do not, no. Does the 2000 KW load have any significance in MSC's 2 Ο. 3 operations? 4 Α. Not that I'm aware of. And can MSC continue any of its production processes 5 Ο. while maintaining 2000 KW or below? 6 7 Not on the main line, no. Α. Are there side lines or --8 0. There's some side lines that are smaller loads 9 Yes. Α. 10 but, yes, the line that does the electroplating and the 11 painting and the processes, that can't be run. 12 Okay. But MSC could continue the side lines? 0. That 13 sounds strange. 14 Α. Like an inspection line. 15 So those could continue to operate and still be Ο. below 2000? 16 17 Possibly. Α. Is it your understanding that MSC receives a credit 18 0. 19 on its electric rates in exchange for participating in Rider 20 ELR? 21 Α. Yes. 22 Do you know how much MSC receives in credits on its Ο. electric service under Rider ELR? 23 24 Α. Yes.

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1 0. How much is it? You have an exhibit here on the penalty letter. 2 Α. 3 That would be Exhibit JA9. So I think those would be the 4 credits, the ones under ELRPC. I see. For like program credits. Is Exhibit JA9, 5 0. is this a table that you created? 6 7 Α. It is. And where did you get the KWH values? 8 0. From Toledo Edison. 9 Α. From the meters or --10 Ο. From the bills. 11 Α. 12 Ο. From the bills. And the next two columns refer to 13 original cost. What does that mean? 14 Α. That is the bill, the amount on the bill, and then the cost per kilowatt hour would be that cost divided by 15 kilowatt hour. 16 17 And does that original cost include the program Ο. 18 credits or --19 Α. Yes. 20 Okay. And so this total penalty column --0. 21 Α. Would be the addition of the three prior columns. 22 The credits under ELR and EDR. 0. I see. Okay. Ι 23 forgot what I was asking. How did we get here? The credits. 24 Okay. Yes. Okay. Do you receive notices of the emergency

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1 events that require MSC to curtail? Α. T do. 2 3 Ο. And why were you selected or why did you volunteer 4 to receive those notices? Α. Because I manage the computer system and the, I 5 guess I am the electrical expert in the facility. So I can 6 7 help if there's any issues. That does seem to be the case, by the way. 8 Ο. Mr. 9 Siffer said all the time, ask Jim. And how do you receive the notices, e-mail, phone, fax? 10 11 Α. Yes. 12 0. All three? 13 Α. Yes. 14 Q. Okay. And you have attached as JA1 a number of e-mails relating to the emergency events. And you received 15 each of these e-mails; is that correct? 16 17 That is correct. Α. And all of these e-mails come from the e-mail 18 Ο. 19 address, curtailmentadministrator@FirstEnergyCorp.com; is 20 that right? 21 Α. I didn't specifically look at that, but I can if you 22 would like me to. 23 That would be great, yes. Thank you. 0. 24 Α. Yes. That is correct.

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1 Ο. Do you know if you ever received e-mails from that address that were not related to an ECE or an emergency 2 event? 3 4 Α. We do get the -- I would imagine it's from the same I haven't -- again, I haven't specifically looked for 5 place. an address, so I'm not sure what all else we get from them. 6 So before -- well, I believe the penalty 7 Sure. 0. letter was dated in October. Does that sound right to you, 8 October of 2013? 9 I believe that's an exhibit. It's Exhibit 2, 10 Α. 11 October 4th. 12 Okay. So does that mean you became -- basically you Ο. read Rider ELR for the first time in October 2013? 13 14 Α. Yes. Okay. And before October 2013, did you have an 15 Ο. 16 understanding that MSC would be required to curtail its load 17 at a certain point in time? 18 Yes. Α. 19 Was MSC required to curtail its load at any time Ο. 20 prior to 2013? 21 Α. Yes. 22 Were those emergency situations? Ο. 23 Α. Yes. 24 Do you know on how many occasions MSC was required Q.

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to curtail its load before 2013? 1 Α. No. 2 3 Ο. Okay. Before October 2013, had you ever spoken with 4 any representative of Toledo Edison about the curtailment 5 process or the emergency event? Α. No. 6 7 And were you at work on September 11, 2013? Ο. 8 Α. Yes. 9 Were you working a normal -- what is your normal day Ο. hours, in terms of hours at the plant? 10 11 7:00 in the morning to 5:00 or 6:00 at night. Α. 12 Ο. And does that -- is that when you believe you were 13 at work on that day? I believe that's true. 14 Α. 15 Q. Do you recall where you were when you received the 16 notice on September 11? 17 Α. No. 18 Do you recall how you first got notice, phone, Ο. 19 e-mail, fax? 20 It would have been e-mail. Α. 21 0. Okay. Do you have -- I mean, would you even get the 22 e-mail if you were out on the plant floor? 23 Α. Uh-huh. 24 You have to say yes. Q.

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1 Α. Yes. I'm sorry. Do you recall what time you received the notice? 2 0. 3 Α. No. 4 0. And what happened when you received a notice? What. 5 do you do next? There's not a lot I have to do. John Siffer will 6 Α. 7 typical order a shutdown and Jeff Ramsay will make sure everything, you know, the line is stopped and processes are 8 turned off for the shutdown procedure. If there is an issue 9 with the computer system, they give me a call. Sometimes 10 11 Mr. Siffer would ask for an update on how we're progressing 12 load-wise, but it doesn't happen every time. 13 So do you have any role in the actual shutdown 0. 14 process? 15 Α. No. 16 And do you recall on September 11, did, you know, Ο. 17 when you got the e-mail, the notice, did you call Mr. Siffer? 18 Did he call you? Do you remember what happened? 19 Α. No, I don't remember. 20 Did you read the e-mail when you received it? Ο. 21 Α. T believe so. 22 What did you understand the e-mail to mean? Ο. 23 That there was an emergency curtailment. Α. 24 Did you, after reading the e-mail notice on Q.

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| | 28 |
|----|---|
| 1 | September 11, did you believe that MSC was required to |
| 2 | curtail its load? |
| 3 | A. Based on the e-mail, yes, it appeared to be a |
| 4 | mandatory situation. |
| 5 | Q. Do you recall whether you had any discussion with |
| 6 | Mr. Siffer or Mr. Ramsay on September 11 when the notice came |
| 7 | in? |
| 8 | A. I don't know right when the notice came in. I think |
| 9 | there was there obviously was discussions on the computer |
| 10 | system. |
| 11 | Q. Yes. Can you explain to me what happened to the |
| 12 | computer system that day? |
| 13 | A. Yes. They called and said the computer system |
| 14 | wasn't working. I looked at it. There was no display, and |
| 15 | so I tried replacing the monitor. Still no display. I tried |
| 16 | rebooting it. No display. So since a different monitor |
| 17 | didn't work, I looked to see if perhaps one of the components |
| 18 | in the computer was malfunctioning. I disconnected the |
| 19 | floppy disk, the drive and the CD drive. That still did not |
| 20 | work. So I dug around and found a power supply. I replaced |
| 21 | the power supply in the computer. It still did not function, |
| 22 | so that was pretty much the extent of what we can do here, |
| 23 | and so that's where we ended up. |
| 24 | Q. And this is the Power Logic system; is that right? |

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1 Α. Correct. Do you use the Power Logic system for anything other 2 0. 3 than emergency events? 4 Α. Sometime we use it for economic events, where you have the elevated costs so we can estimate what the extra 5 amount of the bill is and advise whether to run or not. 6 But. 7 that's about it. Do you recall that there was an emergency event that 8 Ο. 9 day before, on September 10? T do. 10 Α. 11 Ο. Was the Power Logic system working on September 12 10? 13 As far as I know, it was. Α. And I believe there were -- in addition to the 14 0. September 10 and 11 event, that there were also three events 15 16 in July. Does that sound right? 17 That is correct. Α. Yes. 18 Do you know whether the Power Logic system was 0. 19 working during those events? 20 Α. Yes. 21 0. Was it working? 22 Α. Yes. 23 Do you recall whether the plant had already shut 0. 24 down for other reasons when an emergency event was called, at

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| 1 | all? |
|----|--|
| 2 | A. I don't remember that. |
| 3 | Q. And what did what were Mr. Siffer's instructions |
| 4 | on September 11 after the notice came in? |
| 5 | A. What his instructions were, yes. I don't think he |
| 6 | gave me any instructions. |
| 7 | Q. Did Mr. Siffer tell you at any point on September 11 |
| 8 | that MSC did not need to curtail its load? |
| 9 | A. No, he did not tell me that. |
| 10 | Q. Did you believe that MSC's curtailment on September |
| 11 | 11 was voluntary? |
| 12 | A. Yes, it wasn't said whether if it was, you know, |
| 13 | voluntary or not. It was just, we had to curtail. |
| 14 | Q. Did you have any opinion as to whether MSC, you |
| 15 | know, was required to curtail or whether it was voluntary? |
| 16 | A. No. No. We're my whole thing was on the |
| 17 | computer, getting it up and going. |
| 18 | Q. In any of the previous curtailment events, did |
| 19 | like were you looking at the system and could you tell that |
| 20 | the load was a firm load and you had to adjust something? |
| 21 | A. We didn't have to adjust. It was just reporting |
| 22 | functions, other than that everything else was fine. This is |
| 23 | what the last half hour showed. |
| 24 | Q. That Power Logic data, is that real time or does |
| | |

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1 it --It is every five minutes. 2 Α. 3 Ο. Every five minutes? 4 Α. Yes. Okay. So during the previous events in 2013, the 5 Ο. shutdown procedures, basically brought MSC to its firm load 6 7 and it stayed there? Correct. 8 Α. 9 Does MSC have any other ability to track its usage, Ο. other than the Power Logic system? 10 11 Α. No. 12 Do you remember -- did anyone at MSC consider 0. 13 contacting Toledo Edison during the events to try to 14 determine its usage? 15 Α. No. 16 And I believe you said that the meter profile data 0. 17 that you get from Toledo Edison, that is not used in 18 connection with an emergency event? 19 Α. Right. As indicated, it would be a couple days late 20 at best. 21 0. Do you agree that MSC's load exceeded its firm load 22 during the emergency event on September 11? 23 I have no way of knowing what the load was, Α. Yes. 24 since our system wasn't working.

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| 1 | Q. Do you have any reason to believe that Toledo |
| 2 | Edison's data is incorrect? |
| 3 | A. No. |
| 4 | Q. Have you had any issues with the meters at the plant |
| 5 | in the last five years? |
| 6 | A. Yes. I think it was in I don't know if it was |
| 7 | one of the discoveries or what. We had some periods of time |
| 8 | where the meter profile would show zero. We had to provide |
| 9 | estimated consumption data and that kind of thing. That was |
| 10 | it. |
| 11 | Q. And do you recall when that was? |
| 12 | A. Not off the top of my head, no. |
| 13 | Q. I'm trying to remember, too. Does 2012 and 2013 |
| 14 | sound right? |
| 15 | A. I would have to look. I'm not sure. |
| 16 | Q. Other than that period of time in which the meter |
| 17 | readings were zero, have you identified have you seen any |
| 18 | issues or had any issues with the meters? |
| 19 | A. Not that I'm aware of. |
| 20 | Q. Have you ever requested that the meters be tested? |
| 21 | A. Just during those periods of when we were not |
| 22 | getting any readings. |
| 23 | Q. So you haven't requested a meter testing, other than |
| 24 | that one issue that arose? |
| | |

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1 Α. Correct. Do you know if the meters have been tested or 2 0. 3 repaired at any point since September 11, 2013? 4 Α. No, I don't know. Have you ever, at any point in time, compared the 5 Ο. Power Logic results with Toledo Edison's meters? 6 7 Α. Yes. And when did you do that? 8 0. 9 I want to say within the last six months. Α. And what were the results? 10 Ο. 11 Α. They are very close. 12 Did the differences between the Power Logic system 0. 13 and Toledo Edison's make you believe something needed to be fixed with the meters? 14 15 Basically it confirmed that our measurements are the Α. 16 same as what Toledo Edison is having. 17 Okay. Okay. As a lawyer and a former chemistry Ο. 18 major, this will be a little painful for me. Work with me on 19 some math in terms of exceeded by and exceeded of. 20 We have an exhibit for that. Α. Sure. 21 0. Okay. I think it's JA3. 22 Α. Okay. 23 So it looks like what you have in Exhibit JA3 0. Okav. 24 is a calculation of how or -- how would I say this? The

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1 value of what -- how one would calculate exceeded by, a percentage, exceeded X by a percentage; is that right? 2 3 Α. Correct. It shows how to calculate if you're doing 4 a percent of and it shows you how to calculate when you exceed by a certain percentage. 5 Okay. And so if we look at sort of the second chunk Ο. 6 7 here, it starts with value. Α. Yes. 8 9 So in your example, X is 2000, which is MSC's firm Ο. load, right? 10 11 Α. Uh-huh. 12 Ο. You have to say yes. I'm sorry. 13 Α. Yes. 14 Ο. It is very unnatural. Okay. So than the next line, it is 110 percent of X and to calculate that, you would 15 16 multiply X by 1.1? 17 That is correct. Α. And so if MSC's firm load was 2000 and MSC had a 18 Ο. 19 load of 2200, MSC would have reached 110 percent of its firm 20 load. 21 Α. Correct. 22 And then the distinction below that would be 0. 23 exceeded by, so in order to calculate that, it is a little 24 bit more involved. Let's see how. If you exceeded your firm

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| 1 | load by 11 | 0 percent, you would need to multiply the firm load |
|----|------------|---|
| 2 | by 2.1? | |
| 3 | A. Y | es, which is adding an additional X. |
| 4 | Q. S | o if MSC's load on September 11 during the |
| 5 | emergency | event was 3041 KVA, not a load but the demand, that |
| 6 | would refl | ect 152 percent of MSC's firm load; is that |
| 7 | correct? | |
| 8 | A. I | didn't calculate that but, yes. The percent down |
| 9 | there is 5 | 2. I did calculate that. That was in the penalty |
| 10 | letter. | |
| 11 | Q. S | o the 3041 KVA reflects would lead you to the |
| 12 | conclusion | that MSC exceeded its firm load by 52 percent? |
| 13 | A. C | correct. |
| 14 | Q. A | nd would also lead you to conclude that MSC |
| 15 | exceeded 1 | 52 percent of its firm load. |
| 16 | A. I | believe that's correct. |
| 17 | Q. 0 | kay. Like you said, you're adding another X to it, |
| 18 | adding ano | ther 100 percent basically. So that if MSC reached |
| 19 | 3041 KVA d | uring the event on September 11, it would have |
| 20 | exceeded 1 | 52 percent of its firm load? |
| 21 | A. 0 | f its firm load, yes. |
| 22 | Q. 0 | n pages on pages, I guess, the bottom of 10, |
| 23 | going into | 11, they talk about the penalties that Toledo |
| 24 | Edison cal | culated would apply to MSC for failing to reach its |
| | | |

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| 1 | firm loa | ad throughout the whole event on September 11. Do you | | | |
|----|-----------|---|--|--|--|
| 2 | see that? | | | | |
| 3 | Α. | Uh-huh. | | | |
| 4 | Q. | Have you run any calculations to see if the | | | |
| 5 | calculat | ions made by Toledo Edison are correct? You know the | | | |
| 6 | I'm] | ooking here at page 11, line 3, the \$2.4 million | | | |
| 7 | assessme | ent. | | | |
| 8 | Α. | Yes. I don't understand the question. | | | |
| 9 | Q. | I'm sorry. Do you understand how that \$2.4 million | | | |
| 10 | was calo | culated? | | | |
| 11 | A. | Yes. | | | |
| 12 | Q. | And have you run the calculations yourself to see if | | | |
| 13 | that num | nber is correct? | | | |
| 14 | Α. | I know where you're getting the number from, yes. | | | |
| 15 | Q. | And have you identified any inaccuracies in the | | | |
| 16 | calculat | ions? | | | |
| 17 | Α. | In the calculations, no. | | | |
| 18 | Q. | And on September 11, 2013, did you believe that | | | |
| 19 | notice o | of ECE was late? | | | |
| 20 | Α. | Can you ask the question again? | | | |
| 21 | Q. | Sure. On September 11, 2013, did you believe the | | | |
| 22 | notice o | of ECE was late? | | | |
| 23 | Α. | Yes. I had no concept of late on September 11. We | | | |
| 24 | were jus | st told to shut jeff was told to shut the plant | | | |
| | | | | | |

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1 down. I was told to fix the computer. And so what would happen if Mr. Siffer wasn't at the 2 Ο. 3 plant when a notice was received or sent? 4 Α. Well, his phone would get the e-mails as well, but if he wasn't in contact, Mr. Ramsay would have taken over. 5 Okay. And is there ever a time where neither 6 Ο. 7 Mr. Siffer or Mr. Ramsay are at the plant? I mean, they're not here 24 hours. There are 8 Α. Yes. 9 production supervisors here. So is there, you know, a third-level person who 10 0. would be responsible if neither of them were here? 11 You would have to ask them. I'm not sure of what 12 Α. 13 the procedure is after those two guys. 14 Ο. Okay. I guess my question was, would you ever be in a position where you were responsible? 15 16 Α. No. So you would not be in a situation where you were 17 Ο. 18 responsible for reading the notice and directing the plant to 19 shut down? 20 Α. Correct. 21 0. And why do you believe the notices of the emergency 22 curtailment events were late? 23 That would be the ELR part D. Α. 24 0. Of Rider ELR?

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1 Α. Correct. Okay. I'm not sure you have it attached. 2 Ο. 3 Α. I don't have it in mine. 4 Ο. I will give you a copy. That's already an --(Court Reporter marked Respondent's Exhibit 5 2.) 6 7 The court reporter has just handed you what Ο. Okay. has been marked as Exhibit 2, it also show ups in the 8 9 right-hand corner, JS1, which means it was attached to 10 Mr. Siffer's testimony. We have just talked about why you 11 believe the ECE was late. I think you referenced section D? 12 Α. That's correct. 13 Would that be section D on page 3? Ο. 14 Α. That is correct. And so what does being late mean? 15 Ο. 16 The Rider states that upon no less than two Α. Yes. 17 hours advance notification provided by the company that the 18 customer must curtail. And all of your notices were less 19 than two hours. 20 And less than two hours before what? 0. 21 Α. Less than two hours before the curtailment time. 22 0. And when you say curtailment time, are you referring 23 to -- to what exactly? 24 Α. We have the first exhibit, so it would be Exhibit

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1 JA1. So where it states in the subject of the -- or in the body of the e-mail, it says initiate an emergency load 2 3 curtailment event at 3:50. 4 Ο. Okay. So, and this e-mail was sent, when? It looks like 2:09 p.m. 5 Correct. Correct. 6 Α. 7 So what you're saying is that because the e-mail was 0. sent at 2:09, and 2:09 is not at least two hours before 3:50, 8 the notice was late? 9 Correct. Correct. It was less than a two-hour 10 Α. 11 advance notice. 12 And when you received this notice that we're looking 0. 13 at, the July 15 notice, did you believe it was late? 14 Α. Again, I had no concept of late at that time. Okay. So when you got this notice on July 15, you 15 Ο. 16 believe that MSC was required to curtail at that point? 17 Α. At 3:50 p.m., yes. 18 And are you able to read and understand military 0. 19 time? 20 Α. Yes. 21 Ο. Are you able to read and understand dates when 22 written as a numeral instead of written out with a month, for 23 example, 2, instead of February? 24 Α. Yes.

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1 0. And did MSC response to the notice of September 11, was it -- did it change at all based on the fact that the 2 notice was sent out at 12:05 for a 2:00 curtailment? 3 4 Α. We reacted to this less-than-two-hour notice the same way we reacted to all the less-than-two-hour notices. 5 6 Would you react any differently if the notice was 0. 7 received at 12:00 instead of 12:05? You would have to talk to Mr. Siffer. 8 Α. I don't 9 believe they could have acted any faster than they did. 10 Ο. And when you received the notices of the ECEs in 11 2013, so they were five of them, three in July and two in 12 September. When you received those notices, were you 13 confused about what MSC's obligations were in response? 14 Α. Again, I didn't know the obligations, other than we 15 needed to curtail. 16 So when you got each of those notices, it was your Ο. 17 understanding that MSC was required to curtail? 18 Α. Yes. 19 Did you attend a webinar offered by Toledo Edison Q. 20 regarding Rider ELR? 21 Α. No. 22 Do you know if anybody else from MSC did? Ο. 23 Mr. Wilson. Α. 24 And how often would you interact with Mr. Wilson in Ο.

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1 your role as the engineering manager? 2 Not very frequently. Α. Would Mr. Wilson's primary point of contact at the 3 0. 4 plant be Mr. Siffer? Α. That is correct. 5 And did you have any role or responsibility in 6 0. 7 developing the shutdown procedure for the plant? Some of the weekend shutdown procedures, I was 8 Α. involved with years ago, yes. 9 10 0. And you said weekend procedure? 11 Α. Yes. During slow times, we call them weekend 12 procedures, but during slows times during the recession, we 13 were trying to get as low as possible when we're not here 14 producing. So we went through and made a list of the 15 breakers and every possible thing that could be safely shut down on the list. Yes, that was part of the procedures. 16 17 They have other procedures as well. I wasn't involved with 18 all of them. 19 0. Do you know whether those procedures that you just 20 mentioned, the weekend procedures, are those the same procedures used when an ECE occurs? 21 22 I believe they institute all of those, yes. Α. Yes. 23 And do you know how long it took MSC to shut down Ο. 24 its processes in response to an ECE?

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1 only time that I recall talking with her on one. Because the e-mails were changing. I said, what the heck is going on. 2 Is it going to go back to an emergency. What do we need to 3 4 do here. 5 And I can't remember if you said, who is the 0. customer --6 7 Α. Kathy Garcia. 8 Ο. -- representative was. It was you that spoke with Ms. Garcia on March 4th? 9 10 Α. Yes. 11 Ο. So you were at home probably sleeping when the 12 e-mail came in? 13 I was at home when the e-mail came in, yes. Α. Ι 14 called her. It would have been when this second one, the 7:52. 15 16 Okay. And on March 4th, 2014, that was the first Ο. 17 time you had spoken with Ms. Garcia about the ECE notices? 18 Correct. Α. 19 And did she respond to you with an explanation? Q. 20 My understanding is it was a mistake. Α. 21 Ο. Did you actually speak with her on the phone? 22 Α. Yes. MS. McBRIDE: Let's take a short break. 23 24 (Brief recess was had.)

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1 Ο. Okay. We talked about your receiving the notices for emergency events. Other people at MSC also received the 2 3 notices; is that correct? 4 Α. Yes. And do you know who else received those notices in 5 0. 2013?6 7 Α. I'm not sure of the complete list. We submit that to Kathy Garcia. So she would have a list of the names that 8 9 are involved there, but Jeff and John are both on the list. So both Mr. Siffer and Mr. Ramsay are on the list? 10 0. 11 Α. Correct. 12 Okay. And do you know whether they received notices 0. on each day in which events occurred? 13 14 Α. I believe they did, yes. Okay. We also briefly looked at Exhibit JA1, one of 15 Ο. 16 the notices. We didn't actually talk about it, but a notice 17 of a voluntary event on January 7, which is, I think, the --18 maybe we looked at the one on January 23rd. 19 Α. Which one? 20 0. I'm sorry. January 23rd. 21 Α. Okay. 22 And did MSC curtail its load on January 23 after it 0. 23 received this notice? 24 Α. I don't know.

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1 0. Okay. Did you receive this notice on January 23? Α. 2 Yes. 3 And were you at the plant when you received the 0. 4 notice? 5 Α. I don't believe I was at the plant. And do you not know whether MSC curtailed, Ο. Okav. 6 7 because you were not involved in the shutdown procedures? Well, I just don't recall. I would -- my 8 Α. 9 recollection is that there were some voluntary curtailments that we did curtail, but I don't believe we did all of them. 10 11 I'm not sure if on the 23rd if we complied with that or 12 not. 13 Okay. And the other voluntary events based on --0. 14 are these copies of all the notices you received in 2013 and 15 2014? 16 Α. I believe that's true, yes. So if we look at those, it looks like there was what 17 0. was determined to eventually be a curtailment event on March 18 19 4th, this one on January 23, one on January 8, and one on 20 January 7. And do you recall whether MSC curtailed in 21 response to the events on January 7 or 8? 22 No, I don't recall specifically which one. Α. 23 MS. McBRIDE: Okay. That's all I have. 24 Thank you very much for your time.

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| 1 | THE WITNESS: Thank you. |
|----|---|
| 2 | MR. SMITH: We will reserve to read. |
| 3 | (Deposition concluded and witness excused |
| 4 | at 3:18 p.m.) |
| 5 | (Signature reserved.) |
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P.U.C.O. No. 8

Sheet 101 1" Revised Page 1 of 5

RIDER ELR Economic Load Response Program Rider

APPLICABILITY:

Toledo, Ohio

This Economic Load Response Program Rider ("Program") is available to customers taking service from the Company at primary voltages or higher voltages provided that all of the following seven conditions are met at the time of initiation of service to the customer under this Rider and on a continuing basis thereafter; (i) the customer took service under the Company's interruptible tariffs set forth below as of February 1, 2008 or the customer took service under an interruptible contract with the Company as of February 1, 2008 that subsequently expired or was terminated; (ii) the customer can successfully demonstrate to the Company that it can reduce its instantaneous measured load to a pre-established contract Firm Load (as defined under Other Provisions, paragraph A., below) within two hours of notification provided by the Company without the need of a generator (A customer may intend to use a generator to reduce its usage to below its Firm Load, but if the generator does not operate, the customer must still reduce its usage to or below its Firm Load. Failure of a customer to reduce its usage to or below its Firm Load shall result in the consequences listed in the Emergency Curtailment Event Section herein.); (iii) the customer executes the Company's standard Program contract; (iv) the customer is taking generation service from the Company; (v) the customer is not participating in any other load curtailment or demand response program, including without limitation a demand response program offered by PJM Interconnection, L.L.C. ("PJM") or any other independent system operator; (vi) the customer commits its demand response capability to Company for Integration Into Company's R.C. § 4928.66 compliance programs; and, (vii) the Commission finds that the demand response capabilities of customers electing service under this rider shall count towards the Company's compliance with the peak demand reduction benchmarks set forth in R.C. § 4928.66 as applied by the Commission's applicable rules and regulations and shall be considered incremental to Interruptible load on the Company's system that existed in 2008. Nothing herein shall preclude a customer from requesting and receiving an exemption from any mechanism designed to recover the cost of energy efficiency and peak demand reduction programs to the extent the exemption is requested to reasonably encourage the commitment of customer-sited capabilities to the Company.

Interruptible Power Rate "PV-46"

Original Sheet No. 63

RATES:

In addition to any other charges under any other rate schedules applicable to customer's service, customers participating in the Program shall also pay the charges and receive the credit set forth below:

Charges:

Program Administrative Charge:

\$150.00 per month

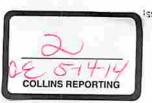
EBT Charge:

During an Economic Buy Through Option Event (as defined under Other Provisions, paragraph E., below), the portion of the customer's actual measured load that exceeds its pre-established contract Firm Load for any and all hours during such event shall be assessed an EBT Charge, which is calculated for each hour of the event as follows:

EBT Charge = $(AL \times MPD) \times (1 + LAF) \times ([1/(1 - CAT)])$

Filed pursuant to Order dated August 25, 2010, in Case No. 10-388-EL-SSO, before

The Public Utilities Commission of Ohio



Issued by: Charles E. Jones Jr., President

Elfective: June 1, 2011

Toledo, Ohio

RIDER ELR Economic Load Response Program Rider

Where:

- AL = the customer's actual hourly load during an Economic Buy Through Option Event that exceeds the customer's pre-established contract Firm Load.
- MPD = the market price differential, which shall be calculated by subtracting the applicable charges set forth in the Generation Service Rider (GEN) from the PJM LMP for the period in which the Economic Buy Through Option Event occurred for each hour that results in a MPD greater than zero.

PJM LMP is the final Day Ahead Locational Marginal Price as defined and specified by PJM at the appropriate pricing node during the applicable hour(s).

- CAT = the Commercial Activity Tax rate as established in Section 5751.03 of the Ohio Revised Code.
- LAF = Loss Adjustment Factor 3.0% for primary voltages 0.1% for subtransmission voltages 0.0% for transmission voltages

ECE Charge:

During an Emergency Curtailment Event (as defined under Other Provisions, paragraph D., below), the portion of the customer's actual measured load that exceeds its pre-established contract Firm Load for any and all hours during such event shall be assessed an ECE Charge which is calculated for each hour of the event as follows. Revenue collected by the Company as a result of any ECE Charge less amounts associated with the CAT (as defined above) shall be credited towards costs to be collected through the DSE1 charge of Rider DSE:

ECE Charge = (AL x PJM LMP x 300%) x (1 + LAF) x ([1/(1-CAT)])

Program Credit ("PC"):

Customers taking service under this Rider shall receive a monthly Program Credit which shall be calculated as follows:

PC = CL x (\$5.00) /kW/month

Where:

CL is the Curtailable Load, which shall be calculated by the Company for each customer by subtracting the customer's contract Firm Load from its monthly highest thirty (30) minute integrated kW load occurring during the non-holiday weekday hours of 11 a.m. to 5 p.m. Eastern Standard Time (equivalent to noon to 6 p.m. EDT). In no circumstance can the CL be negative nor can the CL be in excess of a contract amount determined based upon the customers 12 month history as of February 1, 2008. Holidays are defined as New Year's Day, Memorial Day, Independence Day, Labor Day, Thanksgiving Day and Christmas Day.

Filed pursuant to Order dated August 25, 2010, in Case No. 10-388-EL-SSO, before

The Public Utilities Commission of Ohio

Issued by: Charles E. Jones Jr., President

Taleda, Ohio

P.U.C.O. No. 8

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RIDER ELR Economic Load Response Program Rider

<u>Minimum Bill:</u>

The application of the Program Credit shall not produce a total monthly bill for any customer, after including the effects of all rate schedules and riders, that results in an average price per kWh less than two (2) cents per kWh.

OTHER PROVISIONS:

A. Firm Load

For purposes of this rider, "Firm Load" shall be that portion of a customer's electric load that is not subject to curtailment. A customer may request a reduction to its contract Firm Load no more than once in any twelve month period. The Firm Load may be reduced to the extent that such reduction is consistent with other terms and conditions set forth in this Rider. Any such change in Firm Load shall be applied beginning with the customer's January bill immediately following the year in which the change has been approved by the Company, provided that advance written request is provided to the Company no less than thirty (30) days prior to the effective billing month of the change. The Company may increase the Firm Load at any time if the Company, at its sole discretion, determines the Firm Load is at a level that the customer fails to demonstrate that they can reach. The Company shall promptly notify the customer of any such change.

8. Load Response Program Contract

Customers taking service under this optional Rider shall execute the Company's standard Program contract which, among other things, will establish the Customer's Firm Load and commit the Customer's demand response capability to Company for purposes of Company's compliance with the peak demand reduction benchmarks set forth in R.C. § 4928.66 as applied by the Commission's applicable rules and regulations.

C. Metering

The customer must arrange for interval metaring consistent with the Company's Miscellaneous Charges, Tariff Sheet 75.

D. Emergency Curtailment Event

Upon no less than two hour advance notification provided by the Company, a customer taking service under this rider must curtail all load above its Firm Load during an Emergency Curtailment Event consistent with the Company's instructions. For purposes of this rider, an Emergency Curtailment Event shall be one in which the Company, a regional transmission organization and/or a transmission operator determines, in its respective sole discretion, that an emergency situation exists that may jeopardize the integrity of either the distribution or transmission organization, the area. If the Emergency Curtailment Event is requested solely by the regional transmission organization, the maximum duration that load must be curtailed will be 6 hours and shall be limited to ten events per planning year as defined by PJM. Any interruptions requested by the regional transmission organization will only occur between 12:00 PM (Noon) to 8:00 PM (Eastern Prevailing Time) for the months of May through September and 2:C0 PM to 10:00 PM for the months of October through April, on weekdays other than PJM Holidays.

Filed pursuant to Order dated August 25, 2010, in Case No. 10-388-EL-SSO, before

The Public Ulilities Commission of Ohio

Toledo, Ohio

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RIDER ELR

Economic Load Response Program Rider

During the entire period of an Emergency Curtailment Event, the customer's actual measured load must remain at or below its Firm Load with such load being measured every clock half hour. A customer's actual measured load shall be determined using the greater of the customer's highest lagging kVA or highest kW during the Emergency Curtailment Event.

If at any time during the Emergency Curtallment Event a customer's actual measured load exceeds its contract Firm Load, the Company may disconnect the customer from the transmission system for the duration of the Emergency Curtailment Event, at the customer's expense. The Company shall not be liable for any direct or indirect costs, losses, expenses, or other damages, special or otherwise, including, without limitation, lost profits that arise from such disconnection.

If at any time during the Emergency Curtailment Event a customer's actual measured load exceeds 110% of its Firm Load, the customer shall be subject to all four (4) of the foilowing: (i) forfelt its Program Credit for the month in which the Emergency Curtailment Event occurred; (ii) pay the ECE Charge set forth in the Rates section of this Rider; (iii) pay the sum of all Program Credits received by the customer under the Program during the immediately preceding twelve billing months which shall include credits from this Rider and the Economic Development Rider; and (iv) the Company's right, at its sole discretion, to remove the customer from the Program for a minimum of 12 months.

If at any time during the Emergency Curtailment Event a customer's actual measured load is greater than 100% and less than or equal to 110% of its Firm Load during the Emergency Curtailment Event, the customer shall forfeit its Program Credit for the month in which the Emergency Curtailment Event occurred and shall pay the ECE Charge set forth in the Rates section of this Rider.

In a calendar year when an Emergency Curtallment Event has not been requested of customers on this Rider between June 1 and August 15, the Company shall simultaneously interrupt all customers on this Rider by September 30 In order to meet the Company's PJM test obligations for Load Management Resources. The duration of this test will be one hour. The Company will schedule the test and Customers shall receive advance notification of the test. All provisions of this Rider shall apply to this test.

In the event of any conflict between the terms and conditions set forth in this Rider and other service reliability requirements and/or obligations of the Company, the latter shall prevail.

E. Economic Buy Through Option Event

Upon no less than a 90 minute advance notification provided to the customer, the Company shall call an Economic Buy Through Option Event ("EBT") when a "Market Premium Condition" exists. A Market Premium Condition is defined as a point in time that the PJM LMP exceeds the product of 1.5 times the wholesale price resulting from the Company's competitive bid process held for generation service commencing on June 1, 2011 and updated anytime there is a price change in generation service. The number of hours of EBT cannot exceed 10% of the hours 'n any twelve month period beginning in June of each calendar year.

Effective: June 1, 2011

Toledo, Ohio

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RIDER ELR Economic Load Response Program Rider

F. Notification

Customers served under this Rider shall be provided notification of Economic Buy Through Option Events and Emergency Curtailment Events by the Company. Customers shall be provided clock times of the beginning and ending of these events, except the Emergency Curtailment Event notification may be stated such that customers must curtail their actual measured load to its Firm Load in two hours from the time the notification is issued. Receipt of notifications set out in this paragraph shall be the sole responsibility of the customer.

Notification of an Economic Buy Through Option Event and Emergency Curtailment Event consists of an electronic message issued by the Company to a device or devices such as telephone, facsimile, pager or email, selected and provided by the customer and approved by the Company. Two-way information capability shall be incorporated by the Company and the customer in order to provide confirmation of receipt of notification messages. Operation, maintenance and functionality of such communication devices selected by the customer shall be the sole responsibility of the customer.

G. Term

This rider shall become effective for service rendered beginning June 1, 2011, and shall expire with service rendered through May 31, 2014.

A customer may terminate its participation in the Program upon no less than thirty six (36) months advance written notice to the Company. Except as otherwise provided in this Rider, a qualifying customer may return to the Program after a hiatus from the Program of at least one (1) year on the first day of the customer's billing cycle upon at least thirty days prior written notice of the customer's intent to return.

H. Conditions

Payment by the customer of all charges herein is a condition of service under this Economic Load Response Program Rider,

SIGNATURE PAGE Date of Deposition: May 14, 2014 Correction page(s) enclosed? Yes____ No____ How many correction pages?_____ Jim Augsburger Date Please return this signed signature page along with correction page(s) to: COLLINS REPORTING SERVICE, INC. 405 North Huron Street Toledo, Ohio 43604 (419) 255-1010 Worksheet No.: AE14-2887

1 CERTIFICATE 2 3 I, Angela Ellis, a Notary Public in and for the 4 State of Ohio, duly commissioned and qualified, do hereby certify that the within-named witness was by me first duly 5 sworn to tell the truth, the whole truth, and nothing but the 6 7 truth in the cause aforesaid; that the testimony then given was by me reduced to stenotype in the presence of said 8 witness and afterwards transcribed; that the foregoing is a 9 true and correct transcription of the testimony so given as 10 11 aforesaid. 12 I do further certify that this deposition was taken 13 at the time and place in the foregoing caption specified. 14 I do further certify that I am not a relative, 15 employee of or attorney for any of the parties in this 16 action; that I am not a relative or employee of an attorney 17 of any of the parties in this action; that I am not 18 financially interested in this action, nor am I or the court 19 reporting firm with which I am affiliated under a contract as 20 defined in the applicable civil rule. 21 22 23 24

419-255-1010

| 1 | IN WITNESS WHEREOF, I have hereunto set my |
|----|--|
| 2 | hand and affixed my seal of office at Toledo, Ohio on this |
| 3 | day of May, 2014. |
| 4 | |
| 5 | Angela Ellis |
| 6 | Notary Public in and for the State of Ohio |
| 7 | |
| 8 | My Commission expires January 23, 2016. |
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405 N. Huron St.

Collins Reporting Service, Inc. Toledo, Ohio

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This foregoing document was electronically filed with the Public Utilities

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5/19/2014 5:49:30 PM

in

Case No(s). 13-2145-EL-CSS

Summary: Notice of Filing Deposition Transcript of Complainant's Witness Jim Augsburger electronically filed by Ms. Laura C. McBride on behalf of The Toledo Edison Company