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14-814-TP-ATA

May 1, 2014

Barcy McNeal, Secretary  
Docketing Division  
Public Utilities Commission of Ohio  
180 East Broad Street, 11<sup>th</sup> Floor  
Columbus, OH 43215-3793

RECEIVED-DOCKETING DIV  
2014 MAY -1 PM 12:17  
PUCO

Re: *In the Matter of the Application of Sherwood Mutual Telephone Association, Inc. to Provide Notice of an Increase to BLES Rates Pursuant to Ohio Adm. Code §§ 4901:1-6-14(D) and (F)(4) TRF Docket No. 90-5037-TP-TRF*

Dear Ms. McNeal:

Enclosed are the original and one (1) copy of the *Application of Sherwood Mutual Telephone Association, Inc. to Provide Notice of an Increase to BLES Rates Pursuant to Ohio Adm. Code §§ 4901:1-6-14(D) and (F)(4)* for filing in the above-referenced matter. Please time stamp the extra copies of the *Application* and return them to our courier.

Thank you for your assistance.

Very truly yours,

BAILEY CAVALIERI LLC

  
William A. Adams

WAA/sg

Enclosure

FILE

*The Public Utilities Commission of Ohio*  
**TELECOMMUNICATIONS FILING FORM**

(Effective: 01/20/2011)

This form is intended to be used with most types of required filings. It provides check boxes with rule references for the most common types of filings. It does not replace or supersede Commission rules in any way.

In the Matter of the Application of Sherwood Mutual  
Telephone Association, Inc. to Provide Notice of an  
Increase to BLES Rates Pursuant to Ohio Adm. Code  
§§4901:1-6-14(D) and (F)(4)

TRF Docket No. 90-5037-TP-TRF  
Case No. 14-814 - TP-ATA

NOTE: Unless you have reserved a Case #, leave the "Case No" fields  
BLANK.

Name of Registrant(s) Sherwood Mutual Telephone Association, Inc.

DBA(s) of Registrant(s) \_\_\_\_\_

Address of Registrant(s) P.O. Box 4572, Sherwood, OH 43556

Company Web Address \_\_\_\_\_

Regulatory Contact Person(s) William A. Adams, Attorney Phone 614-229-3278 Fax 614-221-0479

Regulatory Contact Person's Email Address William.Adams@baileycavalieri.com

Contact Person for Annual Report Lynn Bergman, General Manager Phone 419-899-2121

Address (if different from above) \_\_\_\_\_

Consumer Contact Information Lynn Bergman, General Manager Phone 419-899-2121

Address (if different from above) \_\_\_\_\_

Motion for protective order included with filing? ☐ Yes ☒ No

Motion for waiver(s) filed affecting this case? ☐ Yes ☒ No [Note: Waivers may toll any automatic timeframe.]

**Notes:**

Section I and II are Pursuant to Chapter 4901:1-6 OAC.

Section III – Carrier to Carrier is Pursuant to 4901:1-7 OAC, and Wireless is Pursuant to 4901:1-6-24 OAC

Section IV – Attestation.

(1) Indicate the Carrier Type and the reason for submitting this form by checking the boxes below.

(2) For requirements for various applications, see the identified section of Ohio Administrative Code Section 4901 and/or the supplemental application form noted.

(3) Information regarding the number of copies required by the Commission may be obtained from the Commission's web site at [www.puco.ohio.gov](http://www.puco.ohio.gov) under the docketing information system section, by calling the docketing division at 614-466-4095, or by visiting the docketing division at the offices of the Commission.

(4) An Incumbent Local Exchange Carrier (ILEC) offering basic local exchange service (BLES) outside its traditional service area should choose CLEC designation when proposing to offer BLES outside its traditional service area or when proposing to make changes to that service.

**All Filings that result in a change to one or more tariff pages require, at a minimum, the following exhibits.**

Exhibit	Description:
A	The tariff pages subject to the proposed change(s) as they exist before the change(s)
B	The Tariff pages subject to the proposed change(s), reflecting the change, with the change(s) marked in the right margin.
C	A short description of the nature of the change(s), the intent of the change(s), and the customers affected.
D	A copy of the notice provided to customers, along with an affidavit that the notice was provided according to the applicable rule(s).

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## Section I – Part I - Common Filings

<b>Carrier Type</b> <input type="checkbox"/> Other (explain below)	<input type="checkbox"/> For Profit ILEC	<input checked="" type="checkbox"/> Not For Profit ILEC	<input type="checkbox"/> CLEC
Change terms & conditions of existing BLES	<input type="checkbox"/> ATA 1-6-14(H) (Auto 30 days)	<input type="checkbox"/> ATA 1-6-14(H) (Auto 30 days)	<input type="checkbox"/> ATA 1-6-14(H) (Auto 30 days)
Introduce non-recurring charge, surcharge, or fee to BLES			<input type="checkbox"/> ATA 1-6-14(H) (Auto 30 days)
Introduce or Increase Late Payment	<input type="checkbox"/> ATA 1-6-14(I) (Auto 30 days)	<input type="checkbox"/> ATA 1-6-14(I) (Auto 30 days)	<input type="checkbox"/> ATA 1-6-14(I) (Auto 30 days)
Revisions to BLES Cap.	<input type="checkbox"/> ZTA 1-6-14(F) (0 day Notice)		
Introduce BLES or expand local service area (calling area)	<input type="checkbox"/> ZTA 1-6-14(H) (0 day Notice)	<input type="checkbox"/> ZTA 1-6-14(H) (0 day Notice)	<input type="checkbox"/> ZTA 1-6-14(H) (0 day Notice)
Notice of no obligation to construct facilities and provide BLES	<input type="checkbox"/> ZTA 1-6-27(C) (0 day Notice)	<input type="checkbox"/> ZTA 1-6-27(C) (0 day Notice)	
Change BLES Rates	<input type="checkbox"/> TRF 1-6-14(F) (0 day Notice)	<input checked="" type="checkbox"/> TRF 1-6-14(F)(4) (0 day Notice)	<input type="checkbox"/> TRF 1-6-14(G) (0 day Notice)
To obtain BLES pricing flexibility	<input type="checkbox"/> BLS 1-6-14 (C)(1)(c) (Auto 30 days)		
Change in boundary	<input type="checkbox"/> ACB 1-6-32 (Auto 14 days)	<input type="checkbox"/> ACB 1-6-32 (Auto 14 days)	
Expand service operation area			<input type="checkbox"/> TRF 1-6-08(G)(0 day)
BLES withdrawal			<input type="checkbox"/> ZTA 1-6-25(B) (0 day Notice)
<b>Other*</b> (explain) _____			

## Section I – Part II – Customer Notification Offerings Pursuant to Chapter 4901:1-6-7 OAC

Type of Notice	Direct Mail	Bill Insert	Bill Notation	Electronic Mail
<input type="checkbox"/> 15-day Notice	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<input checked="" type="checkbox"/> 30-day Notice	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
<b>Date Notice Sent: April 28, 2014</b>				

## Section I – Part III –IOS Offerings Pursuant to Chapter 4901:1-6-22 OAC

IOS	Introduce New	Tariff Change	Price Change	Withdraw
<input type="checkbox"/> IOS	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

## Section II – Part I – Carrier Certification - Pursuant to Chapter 4901:1-6-08, 09 & 10 OAC

Certification	ILEC (Out of Territory)	CLEC	Telecommunications Service Provider Not Offering Local	CESTC	CETC
* See Supplemental form	<input type="checkbox"/> ACE <u>1-6-08</u> * (Auto 30- day)	<input type="checkbox"/> ACE <u>1-6-08</u> *(Auto 30 day)	<input type="checkbox"/> ACE <u>1-6-08</u> *(Auto 30 day)	<input type="checkbox"/> ACE <u>1-6-10</u> (Auto 30 day)	<input type="checkbox"/> UNC <u>1-6-09</u> *(Non-Auto)

\*Supplemental Certification forms can be found on the Commission Web Page.

## Section II – Part II – Certificate Status & Procedural

Certificate Status	ILEC	CLEC	Telecommunications Service Provider Not Offering Local
Abandon all Services		<input type="checkbox"/> ABN <u>1-6-26</u> (Auto 30 days)	<input type="checkbox"/> ABN <u>1-6-26</u> (Auto 30 days)
Change of Official Name *	<input type="checkbox"/> ACN <u>1-6-29(B)</u> (Auto 30 days)	<input type="checkbox"/> ACN <u>1-6-29(B)</u> (Auto 30 days)	<input type="checkbox"/> CIO <u>1-6-29(C)</u> (0 day Notice)
Change in Ownership *	<input type="checkbox"/> ACO <u>1-6-29(E)</u> (Auto 30 days)	<input type="checkbox"/> ACO <u>1-6-29(E)</u> (Auto 30 days)	<input type="checkbox"/> CIO <u>1-6-29(C)</u> (0 day Notice)
Merger *	<input type="checkbox"/> AMT <u>1-6-29(E)</u> (Auto 30 days)	<input type="checkbox"/> AMT <u>1-6-29(E)</u> (Auto 30 days)	<input type="checkbox"/> CIO <u>1-6-29(C)</u> (0 day Notice)
Transfer a Certificate *	<input type="checkbox"/> ATC <u>1-6-29(B)</u> (Auto 30 days)	<input type="checkbox"/> ATC <u>1-6-29(B)</u> (Auto 30 days)	<input type="checkbox"/> CIO <u>1-6-29(C)</u> (0 day Notice)
Transaction for transfer or lease of property, plant or business *	<input type="checkbox"/> ATR <u>1-6-29(B)</u> (Auto 30 days)	<input type="checkbox"/> ATR <u>1-6-29(B)</u> (Auto 30 days)	<input type="checkbox"/> CIO <u>1-6-29(C)</u> (0 day Notice)

\* Other exhibits may be required under the applicable rule(s). ACN, ACO, AMT, ATC, ATR and CIO applications see the 4901:1-6-29 Filing Requirements on the Commission's Web Page for a complete list of exhibits.

## Section III – Carrier to Carrier (Pursuant to 4901:1-7), and Wireless (Pursuant to 4901:1-6-24)

Carrier to Carrier	ILEC	CLEC
Interconnection agreement, or amendment to an approved agreement	<input type="checkbox"/> NAG <u>1-7-07</u> (Auto 90 day)	<input type="checkbox"/> NAG <u>1-7-07</u> (Auto 90 day)
Request for Arbitration	<input type="checkbox"/> ARB <u>1-7-09</u> (Non-Auto)	<input type="checkbox"/> ARB <u>1-7-09</u> (Non-Auto)
Introduce or change c-t-c service tariffs,	<input type="checkbox"/> ATA <u>1-7-14</u> (Auto 30 day)	<input type="checkbox"/> ATA <u>1-7-14</u> (Auto 30 day)
Request rural carrier exemption, rural carrier suspension or modification	<input type="checkbox"/> UNC <u>1-7-04</u> or 05 (Non-Auto)	
Changes in rates, terms & conditions to Pole Attachment, Conduit Occupancy and Rights- of-Way.	<input type="checkbox"/> UNC <u>1-7-23(B)</u> (Non-Auto)	
Wireless Providers See <u>4901:1-6-24</u>	<input type="checkbox"/> RCC [Registration & Change in Operations]	<input type="checkbox"/> NAG [Interconnection Agreement or

Section IV. – Attestation

Registrant hereby attests to its compliance with pertinent entries and orders issued by the Commission.

**AFFIDAVIT**  
*Compliance with Commission Rules*

I, Lynn Bergman, am an officer/agent of the applicant corporation, Sherwood Mutual Telephone Association, Inc., and am authorized to make this statement on its behalf.

Please Check ALL that apply:

☒ I attest that these tariffs comply with all applicable rules for the state of Ohio. I understand that tariff notification filings do not imply Commission approval and that the Commission's rules as modified and clarified from time to time, supersede any contradictory provisions in our tariff. We will fully comply with the rules of the state of Ohio and understand that noncompliance can result in various penalties, including the suspension of our certificate to operate within the state of Ohio.

☒ I attest that customer notices accompanying this filing form were sent to affected customers, as specified in Section II, in accordance with Rule 4901:1-6-7, Ohio Administrative Code.

I declare under penalty of perjury that the foregoing is true and correct.

Executed on (Date) April 29, 2014 at (Location) Sherwood, Ohio

\*(Signature and Title) Lynn Bergman (Date) April 29, 2014  
Lynn Bergman, General Manager

- This affidavit is required for every tariff-affecting filing. It may be signed by counsel or an officer of the applicant, or an authorized agent of the applicant.

**VERIFICATION**

I, William A. Adams, verify that I have utilized the Telecommunications Filing Form for most proceedings provided by the Commission and that all of the information submitted here, and all additional information submitted in connection with this case, is true and correct to the best of my knowledge.

\*(Signature and Title) William A. Adams (Date) May 1, 2014

\*Verification is required for every filing. It may be signed by counsel or an officer of the applicant, or an authorized agent of the applicant.

Send your completed Application Form, including all required attachments as well as the required number of copies, to:

Public Utilities Commission of Ohio  
Attention: Docketing Division  
180 East Broad Street, Columbus, OH 43215-3793  
Or

Make such filing electronically as directed in Case No 06-900-AU-WVR

## **LIST OF EXHIBITS**

- Exhibit A:** Tariff page subject to the proposed changes, as they exist before the changes.
- Exhibit B:** Tariff page subject to the proposed changes, reflecting the changes, with the charges marked in the right margin.
- Exhibit C:** Short description of the nature and intent of the changes, and the customers affected.
- Exhibit D:** Copy of the notice provided to customers and affidavit that notice was properly provided.

**EXHIBIT A**  
**(Current Tariff Sheets)**

P.U.C.O. NO. 5

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EXCHANGE RATES

The following identified service rates entitle subscribers of telephone service to call, without additional charge, the subscribers of the Paulding Exchange of Windstream Ohio, in addition to the other subscribers of the Sherwood Exchange.

The following listed rates for local exchange telephone service shall apply throughout the exchange area as depicted on the Sherwood Exchange Map herein.

<u>CLASS OF SERVICE</u>	<u>EXCHANGE ACCESS SERVICE *</u>	<u>COIN SUPERVISION ADDITIVE</u>
Business		
One Party	\$15.00	---
Residence		
One Party	\$14.00	---
Payphone		
Coin Operated Telephone Access Line	\$10.95	\$7.20

\* Includes touch tone service.

MEMBERSHIP

A non-recurring initial charge of \$5.00 is made for each membership in the association; which is a requirement for connection of one main station and supplemental equipment on premises located within the exchange area of the Company, and occupied by such member. Membership is redeemable but not transferable.

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Issued: May 1, 2013

Effective: June 1, 2013

In Accordance with Case No. 90-5037-TP-TRF  
Issued by the Public Utilities Commission of Ohio  
Lynn Bergman, General Manager  
Sherwood, Ohio



**EXHIBIT B**  
**(Proposed Tariff Sheets)**

P.U.C.O. NO. 5

---

EXCHANGE RATES

The following identified service rates entitle subscribers of telephone service to call, without additional charge, the subscribers of the Paulding Exchange of Windstream Ohio, in addition to the other subscribers of the Sherwood Exchange.

The following listed rates for local exchange telephone service shall apply throughout the exchange area as depicted on the Sherwood Exchange Map herein.

<u>CLASS OF SERVICE</u>	<u>EXCHANGE ACCESS SERVICE*</u>	<u>COIN SUPERVISION ADDITIVE</u>	
Business			
One Party	\$18.00	---	(I)
Residence			
One Party	\$17.00	---	(I)
Payphone			
Coin Operated Telephone Access Line	\$10.95	\$7.20	

\* Includes touch tone service.

MEMBERSHIP

A non-recurring initial charge of \$5.00 is made for each membership in the association; which is a requirement for connection of one main station and supplemental equipment on premises located within the exchange area of the Company, and occupied by such member. Membership is redeemable but not transferable.

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Issued: May 1, 2014

Effective: June 1, 2014

In Accordance with Case No. 90-5037-TP-TRF  
Issued by the Public Utilities Commission of Ohio  
Lynn Bergman, General Manager  
Sherwood, Ohio

## **EXHIBIT C**

Pursuant to Ohio Adm. Code §§ 4901:1-6-14(D) and (F)(4), Sherwood Mutual Telephone Association, Inc. hereby raises its residential customers' BLES rate by \$3.00 (from \$14.00 to \$17.00) and business customers by \$3.00 (from \$15.00 to \$18.00) effective June 1, 2014. By doing so, the Company will move toward the revised universal service "urban rate floor" set in accordance with the FCC's Report and Order and Further Notice of Rulemaking, WC Docket Nos. 10-90, et al., FCC 11-161 (Released November 18, 2011).

**EXHIBIT D**  
**(Customer Notice Affidavit)**

The customer notice accompanying this affidavit was sent to the affected customers through bill message mailed on April 28, 2014, in accordance with Rule 4901:1-6-14(F)(5), Ohio Administrative Code. I declare under penalty of perjury that the foregoing is true and correct. Executed this 28 day of April, 2014, at Sherwood, Ohio.

  
\_\_\_\_\_  
Lynn Bergman, General Manager  
Sherwood Mutual Telephone Association, Inc.

Effective on June 1, 2014, the Basic Telephone Residential Rate will increase \$3 from \$14 to \$17. The business rate also will increase \$3 from \$15 to \$18.

Also, effective on June 1, 2014, customers that enroll in the Company's electronic billing/automatic payment program will receive a monthly discount of \$5.00.