

FILE NC

14-0708-EL-AGG 43



The Public Utilities Commission of Ohio

PUCO USE ONLY			
Date Received	Case Number		Version
	-	-EL-AGG	August 2004

CERTIFICATION APPLICATION FOR AGGREGATORS/POWER BROKERS

Please print or type all required information. Identify all attachments with an exhibit label and title (Example: Exhibit A-5 Experience). All attachments should bear the legal name of the Applicant. Applicants should file completed applications and all related correspondence with the Public Utilities Commission of Ohio, Docketing Division; 180 East Broad Street, Columbus, Ohio 43215-3793.

This PDF form is designed so that you may input information directly onto the form.
You may also download the form, by saving it to your local disk, for later use.

A. APPLICANT INFORMATION

A-1 Applicant's legal name, address, telephone number and web site address

Legal Name Walter Jones
Address 66 Via Villena, San Clemente, CA 92673
Telephone # 949.485.8633 Web site address (if any) _____

A-2 List name, address, telephone number and web site address under which Applicant will do business in Ohio

Legal Name Barranca Energy Procurement Services, LLC
Address 66 Via Villena, San Clemente, CA 92673
Telephone # (949) 485-8633 Web site address (if any) _____

A-3 List all names under which the applicant does business in North America

Walter Alan Jones
Barranca Energy Procurement Services, LLC

A-4 Contact person for regulatory or emergency matters

Name Walter Jones
Title Manager

This is to certify that the images appearing are an accurate and complete reproduction of a case file document delivered in the regular course of business.
Technician SW Date Processed APR 18 2014

RECEIVED-DOCKETING DIV
2014 APR 18 AM 11:33
PUCO

Business address 66 Via Villena, San Clemente, CA 92673
Telephone # (949) 485-8633 Fax # (800) 485-4699
E-mail address (if any) wjones@barrancaenergy.com

A-5 Contact person for Commission Staff use in investigating customer complaints

Name Walter Jones
Title Manager
Business address 66 Via Villena, San Clemente, CA 92673
Telephone # (949) 485-8633 Fax # (800) 485-4699
E-mail address (if any) wjones@barrancaenergy.com

A-6 Applicant's address and toll-free number for customer service and complaints

Customer Service address 66 Via Villena, San Clemente, CA 92673
Toll-free Telephone # (800) 485-4699 Fax # (949) 498-4550
E-mail address (if any) wjones@barrancaenergy.com

A-7 Applicant's federal employer identification number # 462919234

A-8 Applicant's form of ownership (check one)

- | | |
|--|--|
| <input type="checkbox"/> Sole Proprietorship | <input type="checkbox"/> Partnership |
| <input type="checkbox"/> Limited Liability Partnership (LLP) | <input type="checkbox"/> Limited Liability Company (LLC) |
| <input type="checkbox"/> Corporation | <input type="checkbox"/> Other _____ |

A-9 (Check all that apply) Identify each electric distribution utility certified territory in which the applicant intends to provide service, including identification of each customer class that the applicant intends to serve, for example, residential, small commercial, mercantile commercial, and industrial. (A mercantile customer, as defined in (A) (19) of Section 4928.01 of the Revised Code, is a commercial customer who consumes more than 700,000 kWh/year or is part of a national account in one or more states).

- | | | | | |
|--|--------------------------------------|-------------------------------------|-------------------------------------|-------------------------------------|
| <input type="checkbox"/> First Energy | | | | |
| <input type="checkbox"/> Ohio Edison | <input type="checkbox"/> Residential | <input type="checkbox"/> Commercial | <input type="checkbox"/> Mercantile | <input type="checkbox"/> Industrial |
| <input type="checkbox"/> Toledo Edison | <input type="checkbox"/> Residential | <input type="checkbox"/> Commercial | <input type="checkbox"/> Mercantile | <input type="checkbox"/> Industrial |
| <input type="checkbox"/> Cleveland Electric Illuminating | <input type="checkbox"/> Residential | <input type="checkbox"/> Commercial | <input type="checkbox"/> Mercantile | <input type="checkbox"/> Industrial |
| <input type="checkbox"/> Duke Energy | <input type="checkbox"/> Residential | <input type="checkbox"/> Commercial | <input type="checkbox"/> Mercantile | <input type="checkbox"/> Industrial |
| <input type="checkbox"/> Monongahela Power | <input type="checkbox"/> Residential | <input type="checkbox"/> Commercial | <input type="checkbox"/> Mercantile | <input type="checkbox"/> Industrial |
| <input type="checkbox"/> American Electric Power | | | | |
| <input type="checkbox"/> Ohio Power | <input type="checkbox"/> Residential | <input type="checkbox"/> Commercial | <input type="checkbox"/> Mercantile | <input type="checkbox"/> Industrial |
| <input type="checkbox"/> Columbus Southern Power | <input type="checkbox"/> Residential | <input type="checkbox"/> Commercial | <input type="checkbox"/> Mercantile | <input type="checkbox"/> Industrial |
| <input type="checkbox"/> Dayton Power and Light | <input type="checkbox"/> Residential | <input type="checkbox"/> Commercial | <input type="checkbox"/> Mercantile | <input type="checkbox"/> Industrial |

A-10 Provide the approximate start date that the applicant proposes to begin delivering services

July 1, 2014

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:

- A-11** **Exhibit A-11 "Principal Officers, Directors & Partners"** provide the names, titles, addresses and telephone numbers of the applicant's principal officers, directors, partners, or other similar officials.
- A-12** **Exhibit A-12 "Corporate Structure,"** provide a description of the applicant's corporate structure, including a graphical depiction of such structure, and a list of all affiliate and subsidiary companies that supply retail or wholesale electricity or natural gas to customers and companies that aggregate customers in North America.
- A-13** **Exhibit A-13 "Company History,"** provide a concise description of the applicant's company history and principal business interests.
- A-14** **Exhibit A-14 "Articles of Incorporation and Bylaws,"** if applicable, provide the articles of incorporation filed with the state or jurisdiction in which the Applicant is incorporated and any amendments thereto.
- A-15** **Exhibit A-15 "Secretary of State,"** provide evidence that the applicant has registered with the Ohio Secretary of the State.

B. APPLICANT MANAGERIAL CAPABILITY AND EXPERIENCE

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:

- B-1** **Exhibit B-1 "Jurisdictions of Operation,"** provide a list of all jurisdictions in which the applicant or any affiliated interest of the applicant is, at the date of filing the application, certified, licensed, registered, or otherwise authorized to provide retail or wholesale electric services including aggregation services.
- B-2** **Exhibit B-2 "Experience & Plans,"** provide a description of the applicant's experience and plan for contracting with customers, providing contracted services, providing billing statements, and responding to customer inquiries and complaints in accordance with Commission rules adopted pursuant to Section 4928.10 of the Revised Code.

B-3 **Exhibit B-3 "Summary of Experience,"** provide a concise summary of the applicant's experience in providing aggregation service(s) including contracting with customers to combine electric load and representing customers in the purchase of retail electric services. (e.g. number and types of customers served, utility service areas, amount of load, etc.).

B-4 **Exhibit B-4 "Disclosure of Liabilities and Investigations,"** provide a description of all existing, pending or past rulings, judgments, contingent liabilities, revocation of authority, regulatory investigations, or any other matter that could adversely impact the applicant's financial or operational status or ability to provide the services it is seeking to be certified to provide.

B-5 Disclose whether the applicant, a predecessor of the applicant, or any principal officer of the applicant have ever been convicted or held liable for fraud or for violation of any consumer protection or antitrust laws within the past five years.

☒ No ☐ Yes

If yes, provide a separate attachment labeled as **Exhibit B-5 "Disclosure of Consumer Protection Violations"** detailing such violation(s) and providing all relevant documents.

B-6 Disclose whether the applicant or a predecessor of the applicant has had any certification, license, or application to provide retail or wholesale electric service including aggregation service denied, curtailed, suspended, revoked, or cancelled within the past two years.

☒ No ☐ Yes

If yes, provide a separate attachment labeled as **Exhibit B-6 "Disclosure of Certification Denial, Curtailment, Suspension, or Revocation"** detailing such action(s) and providing all relevant documents.

C. APPLICANT FINANCIAL CAPABILITY AND EXPERIENCE

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:

C-1 **Exhibit C-1 "Annual Reports,"** provide the two most recent Annual Reports to Shareholders. If applicant does not have annual reports, the applicant should provide similar information in Exhibit C-1 or indicate that Exhibit C-1 is not applicable and why.

C-2 **Exhibit C-2 "SEC Filings,"** provide the most recent 10-K/8-K Filings with the SEC. If applicant does not have such filings, it may submit those of its parent company. If the applicant does not have such filings, then the applicant may indicate in Exhibit C-2 that the applicant is not required to file with the SEC and why.

- C-3 Exhibit C-3 “Financial Statements,”** provide copies of the applicant’s two most recent years of audited financial statements (balance sheet, income statement, and cash flow statement). If audited financial statements are not available, provide officer certified financial statements. If the applicant has not been in business long enough to satisfy this requirement, it shall file audited or officer certified financial statements covering the life of the business.
- C-4 Exhibit C-4 “Financial Arrangements,”** provide copies of the applicant's financial arrangements to conduct CRES as a business activity (e.g., guarantees, bank commitments, contractual arrangements, credit agreements, etc.,).
- C-5 Exhibit C-5 “Forecasted Financial Statements,”** provide two years of forecasted financial statements (balance sheet, income statement, and cash flow statement) for the applicant’s CRES operation, along with a list of assumptions, and the name, address, e-mail address, and telephone number of the preparer.
- C-6 Exhibit C-6 “Credit Rating,”** provide a statement disclosing the applicant’s credit rating as reported by two of the following organizations: Duff & Phelps, Dun and Bradstreet Information Services, Fitch IBCA, Moody’s Investors Service, Standard & Poors, or a similar organization. In instances where an applicant does not have its own credit ratings, it may substitute the credit ratings of a parent or affiliate organization, provided the applicant submits a statement signed by a principal officer of the applicant’s parent or affiliate organization that guarantees the obligations of the applicant.
- C-7 Exhibit C-7 “Credit Report,”** provide a copy of the applicant’s credit report from Experian, Dun and Bradstreet or a similar organization.
- C-8 Exhibit C-8 “Bankruptcy Information,”** provide a list and description of any reorganizations, protection from creditors or any other form of bankruptcy filings made by the applicant, a parent or affiliate organization that guarantees the obligations of the applicant or any officer of the applicant in the current year or within the two most recent years preceding the application.

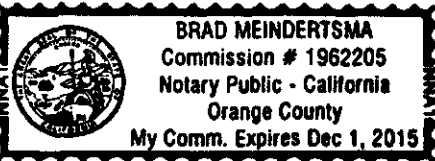
C-9 Exhibit C-9 "Merger Information," provide a statement describing any dissolution or merger or acquisition of the applicant within the five most recent years preceding the application.

Walt Jan, Manager Berrance Energy Procurement Service LL
Signature of Applicant & Title

Sworn and subscribed before me this 16 day of April, 2014
Month Year

[Signature]
Signature of official administering oath

Brad Meindertma, Notary
Print Name and Title
Public



My commission expires on 12/1/2015

AFFIDAVIT

State of California :

Scullements ss.
(Town)

County of Orange :

Walter A Jones, Affiant, being duly sworn/affirmed according to law, deposes and says that:

He/She is the Manager (Office of Affiant) of Bartel Energy Procurement Services, LLC (Name of Applicant);
That he/she is authorized to and does make this affidavit for said Applicant,

1. The Applicant herein, attests under penalty of false statement that all statements made in the application for certification are true and complete and that it will amend its application while the application is pending if any substantial changes occur regarding the information provided in the application.
2. The Applicant herein, attests it will timely file an annual report with the Public Utilities Commission of Ohio of its intrastate gross receipts, gross earnings, and sales of kilowatt-hours of electricity pursuant to Division (A) of Section 4905.10, Division (A) of Section 4911.18, and Division (F) of Section 4928.06 of the Revised Code.
3. The Applicant herein, attests that it will timely pay any assessments made pursuant to Sections 4905.10, 4911.18, or Division F of Section 4928.06 of the Revised Code.
4. The Applicant herein, attests that it will comply with all Public Utilities Commission of Ohio rules or orders as adopted pursuant to Chapter 4928 of the Revised Code.
5. The Applicant herein, attests that it will cooperate fully with the Public Utilities Commission of Ohio, and its Staff on any utility matter including the investigation of any consumer complaint regarding any service offered or provided by the Applicant.
6. The Applicant herein, attests that it will fully comply with Section 4928.09 of the Revised Code regarding consent to the jurisdiction of Ohio Courts and the service of process.
7. The Applicant herein, attests that it will comply with all state and/or federal rules and regulations concerning consumer protection, the environment, and advertising/promotions.
8. The Applicant herein, attests that it will use its best efforts to verify that any entity with whom it has a contractual relationship to purchase power is in compliance with all applicable licensing requirements of the Federal Energy Regulatory Commission and the Public Utilities Commission of Ohio.
9. The Applicant herein, attests that it will cooperate fully with the Public Utilities Commission of Ohio, the electric distribution companies, the regional transmission entities, and other electric suppliers in the event of an emergency condition that may jeopardize the safety and reliability of the electric service in accordance with the emergency plans and other procedures as may be determined appropriate by the Commission.
10. If applicable to the service(s) the Applicant will provide, the Applicant herein, attests that it will adhere to the reliability standards of (1) the North American Electric Reliability Council (NERC), (2) the appropriate regional reliability council(s), and (3) the Public Utilities Commission of Ohio. (Only applicable if pertains to the services the Applicant is offering)

11. The Applicant herein, attests that it will inform the Commission of any material change to the information supplied in the application within 30 days of such material change, including any change in contact person for regulatory purposes or contact person for Staff use in investigating customer complaints.

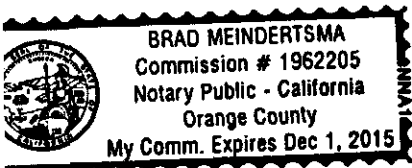
That the facts above set forth are true and correct to the best of his/her knowledge, information, and belief and that he/she expects said Applicant to be able to prove the same at any hearing hereof.

Wallafam, Manager
Signature of Affiant & Title

Sworn and subscribed before me this 16 day of April, 2014
Month Year

[Signature]
Signature of official administering oath

Brad Meindersma, Notary Public
Print Name and Title



My commission expires on 12/1/2015

State of Ohio Application
Certification Application for Aggregators/Power Brokers

Barranca Energy Procurement Services, LLC

EXHIBITS

APRIL 16, 2014
BARRANCA ENERGY PROCURMENT SERVICES, LLC
66 Via Villena, San Clemente, CA 92673

Barranca Energy Procurement Services, LLC

A-11 Exhibit A-11 "Principal Officers, Directors & Partners" provide the names, titles, addresses and telephone numbers of the applicant's principal officers, directors, partners, or other similar officials.

Barranca Energy Procurement Services, LLC, under its' Articles of Organization, includes only "One Manager".

*Walter Jones
Manager
66 Via Villena
San Clemente, CA 92673
949-485-8633 mobile
800-485-4699 toll-free*

A-13 Exhibit A-13 "Company History," provide a concise description of the applicant's company history and principal business interests.

For over nearly 14-years, Mr. Jones has negotiated US-wide national deals for large companies such as Bank of America, Supervalu, Taubman Malls, and Marriott International.

In 2014, Walter Jones opened Barranca Energy Procurement. The Barranca mission places a decided emphasis on negotiating electric contracts for the underserved small to medium commercial & industrial end-users in Ohio.

Barranca's goal is to capture better procurement value for the smaller C&I end-users. Companies that more-than-deserve the cost efficiencies a broker model can provide to their energy costs. For these companies, every dollar saved seems to have a higher significance to the bottom line.

The generation elements of the Ohio end-user electric bill (commodity, capacity, ISO retail adders, etc.) can be complex. These costs can be kWh based, kW demand dependent, ISO mandated, etc. Some 3rd party supply contracts use "grossed-up for line losses" as an opportunity to confuse an end-user. Capacity tags and costs have a "newness" surround them leaving some end-users perplexed.

At Barranca, we are able to provide our clients with insight regarding their entire electricity "supply equation". With the unbundling of Ohio rates, brokers/consultants have an obligation to understand all the moving parts of the client regulated UDC T&D invoice too. These cost components have kW demand, kWh usage, and various tax rules.

A consultant must have the skills to model all the energy components so that Ohio users can run their business in the most efficient manner possible. Being able to rate model the historical hourly interval usage of an account using the rules for T&D and the terms of the generation contract is essential. Clients can then budget correctly, identify operational best practices (viewing interval data vs rate drivers), and accurately quantify vendor value proposals (cause/effect of DSM or renewables). Barranca will bring that to the Ohio end-user!

Barranca Energy Procurement Services, LLC

A-14 Exhibit A-14 "Articles of Incorporation and Bylaws," if applicable, provide the articles of incorporation filed with the state or jurisdiction in which the Applicant is incorporated and any amendments thereto.

See below attachments (additional page)

LLC-1	Articles of Organization of a Limited Liability Company (LLC)	201315410036		
<p>To form a limited liability company in California, you can fill out this form, and submit for filing along with:</p> <ul style="list-style-type: none">- A \$70 filing fee.- A separate, non-refundable \$15 service fee also must be included, if you drop off the completed form or document. <p>Important! LLCs in California may have to pay a minimum \$800 yearly tax to the California Franchise Tax Board. For more information, go to https://www.ftb.ca.gov.</p> <p>LLCs may not provide "professional services," as defined by California Corporations Code sections 13401(a) and 13401.3.</p> <p>Note: Before submitting the completed form, you should consult with a private attorney for advice about your specific business needs.</p> <p>For questions about this form, go to www.sos.ca.gov/business/filing-tips.htm.</p>		<p>FILED Secretary of State State of California MAY 31 2013</p> <p>100 This Space For Office Use Only</p>		
<p>LLC Name</p> <p>① <u>Barranca Energy Procurement Services, LLC</u> <small>Proposed LLC Name</small></p> <p><small>The name must end with "LLC," "L.L.C.," "Limited Liability Company," "Limited Liability Co.," "Ltd. Liability Co.," or "Ltd. Liability Company," and may not include "bank," "trust," "trustee," "interposed," "inc.," "corporation," or "corp." "insurer," or "insurance company." For general entity name requirements and restrictions, go to www.sos.ca.gov/business/filing-tips.htm.</small></p>				
<p>Purpose</p> <p>② The purpose of the limited liability company is to engage in any lawful act or activity for which a limited liability company may be organized under the Beverly-Killea Limited Liability Company Act.</p>				
<p>LLC Addresses</p> <p>③ a. <u>66 Via Villena</u> <u>San Clemente</u> <u>CA</u> <u>92673</u> <small>Initial Street Address of LLC City (no abbreviations) State Zip</small></p> <p>b. <u>66 Via Villena</u> <u>San Clemente</u> <u>CA</u> <u>92673</u> <small>Initial Mailing Address of LLC, if different from 3a City (no abbreviations) State Zip</small></p>				
<p>Service of Process (List a California resident or an active 1505 corporation in California that agrees to be your initial agent to accept service of process in case your LLC is sued. You may list any adult who lives in California. You may not list an LLC as the agent. Do not list an address if the agent is a 1505 corporation.)</p> <p>④ a. <u>Walter A. Jones</u> <small>Agent's Name</small></p> <p>b. <u>66 Via Villena</u> <u>San Clemente</u> <u>CA</u> <u>92673</u> <small>Agent's Street Address (if agent is not a corporation) City (no abbreviations) State Zip</small></p>				
<p>Management (Check only one.)</p> <p>⑤ The LLC will be managed by:</p> <p><input checked="" type="checkbox"/> One Manager <input type="checkbox"/> More Than One Manager <input type="checkbox"/> All Limited Liability Company Member(s)</p>				
<p>This form must be signed by each organizer. If you need more space, attach extra pages that are 1-sided and on standard letter-sized paper (8 1/2" x 11"). All attachments are made part of these articles of organization.</p> <p><u>Stephen A. Stafford, Esq.</u> <small>Organizer - Sign here Print your name here</small></p>				
<p>Make check/money order payable to: Secretary of State</p> <p>Upon filing, we will return one (1) uncertified copy of your filed document for free, and will certify the copy upon request and payment of a \$5 certification fee.</p> <table border="0"><tr><td>By Mail Secretary of State Business Entities, P.O. Box 944228 Sacramento, CA 94244-2280</td><td>Drop-Off Secretary of State 1500 11th Street, 3rd Floor Sacramento, CA 95814</td></tr></table>			By Mail Secretary of State Business Entities, P.O. Box 944228 Sacramento, CA 94244-2280	Drop-Off Secretary of State 1500 11th Street, 3rd Floor Sacramento, CA 95814
By Mail Secretary of State Business Entities, P.O. Box 944228 Sacramento, CA 94244-2280	Drop-Off Secretary of State 1500 11th Street, 3rd Floor Sacramento, CA 95814			
<p><small>Corporations Code §§ 17091, 17052, 17375, Revenue and Taxation Code § 17941 LLC-1 (Rev. 1/2013)</small></p> <p><small>2013 California Secretary of State www.sos.ca.gov/business</small></p>				



(I hereby certify that the foregoing
transcript of _____
is a full, true and correct copy of the
original record in the custody of the
California Secretary of State's office.)

JUN 3 2013

Date

John Bowen
DEBRA BOWEN, Secretary of State

Barranca Energy Procurement Services, LLC

A-14 Exhibit A-14 "Articles of Incorporation and Bylaws,"

Form W-9 (Rev. December 2011) Department of the Treasury Internal Revenue Service	Request for Taxpayer Identification Number and Certification	Give Form to the requester. Do not send to the IRS.																																				
Print or type See Specific Instructions on page 2.	Name (as shown on your income tax return) Walter A. Jones																																					
	Business name/disregarded entity name, if different from above Barranca Energy Procurement Services, LLC																																					
	Check appropriate box for federal tax classification: <input checked="" type="checkbox"/> Individual/sole proprietor <input type="checkbox"/> C Corporation <input type="checkbox"/> S Corporation <input type="checkbox"/> Partnership <input type="checkbox"/> Trust/estate <input type="checkbox"/> Limited liability company. Enter the tax classification (C=C corporation, S=S corporation, P=partnership) ▶ <input type="checkbox"/> Other (see instructions) ▶																																					
	Address (number, street, and apt. or suite no.) 66 Via Villena City, state, and ZIP code San Clemente, CA 92673																																					
	List account number(s) here (optional)																																					
Part I Taxpayer Identification Number (TIN)																																						
Enter your TIN in the appropriate box. The TIN provided must match the name given on the "Name" line to avoid backup withholding. For individuals, this is your social security number (SSN). However, for a resident alien, sole proprietor, or disregarded entity, see the Part I instructions on page 3. For other entities, it is your employer identification number (EIN). If you do not have a number, see <i>How to get a TIN</i> on page 3. Note. If the account is in more than one name, see the chart on page 4 for guidelines on whose number to enter.																																						
<table border="1" style="width: 100%; border-collapse: collapse;"><tr><td colspan="9" style="text-align: center;">Social security number</td></tr><tr><td style="width: 25%;"> </td><td style="width: 25%;"> </td><td style="width: 25%;"> </td><td style="width: 25%;"> </td><td style="width: 25%;"> </td><td style="width: 25%;"> </td><td style="width: 25%;"> </td><td style="width: 25%;"> </td><td style="width: 25%;"> </td></tr></table> <table border="1" style="width: 100%; border-collapse: collapse;"><tr><td colspan="9" style="text-align: center;">Employer identification number</td></tr><tr><td style="width: 25%;">4</td><td style="width: 25%;">6</td><td style="width: 25%;">2</td><td style="width: 25%;">9</td><td style="width: 25%;">1</td><td style="width: 25%;">8</td><td style="width: 25%;">2</td><td style="width: 25%;">3</td><td style="width: 25%;">4</td></tr></table>			Social security number																		Employer identification number									4	6	2	9	1	8	2	3	4
Social security number																																						
Employer identification number																																						
4	6	2	9	1	8	2	3	4																														
Part II Certification																																						
Under penalties of perjury, I certify that:																																						
<ol style="list-style-type: none">1. The number shown on this form is my correct taxpayer identification number (or I am waiting for a number to be issued to me), and2. I am not subject to backup withholding because: (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding, and3. I am a U.S. citizen or other U.S. person (defined below).																																						
Certification instructions. You must cross out item 2 above if you have been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest and dividends on your tax return. For real estate transactions, item 2 does not apply. For mortgage interest paid, acquisition or abandonment of secured property, cancellation of debt, contributions to an individual retirement arrangement (IRA), and generally, payments other than interest and dividends, you are not required to sign the certification, but you must provide your correct TIN. See the instructions on page 4.																																						
Sign Here	Signature of U.S. person ▶ <i>Walter A. Jones</i>	Date ▶ <i>Jan 22, 2013</i>																																				
General Instructions																																						
Section references are to the Internal Revenue Code unless otherwise noted.																																						
Purpose of Form																																						
A person who is required to file an information return with the IRS must obtain your correct taxpayer identification number (TIN) to report, for example, income paid to you, real estate transactions, mortgage interest you paid, acquisition or abandonment of secured property, cancellation of debt, or contributions you made to an IRA.																																						
Use Form W-9 only if you are a U.S. person (including a resident alien), to provide your correct TIN to the person requesting it (the requester) and, when applicable, to:																																						
<ol style="list-style-type: none">1. Certify that the TIN you are giving is correct (or you are waiting for a number to be issued),2. Certify that you are not subject to backup withholding, or3. Claim exemption from backup withholding if you are a U.S. exempt payee. If applicable, you are also certifying that as a U.S. person, your allocable share of any partnership income from a U.S. trade or business is not subject to the withholding tax on foreign partners' share of effectively connected income.																																						
Note. If a requester gives you a form other than Form W-9 to request your TIN, you must use the requester's form if it is substantially similar to this Form W-9.																																						
Definition of a U.S. person. For federal tax purposes, you are considered a U.S. person if you are:																																						
<ul style="list-style-type: none">• An individual who is a U.S. citizen or U.S. resident alien,• A partnership, corporation, company, or association created or organized in the United States or under the laws of the United States,• An estate (other than a foreign estate), or• A domestic trust (as defined in Regulations section 301.7701-7).																																						
Special rules for partnerships. Partnerships that conduct a trade or business in the United States are generally required to pay a withholding tax on any foreign partners' share of income from such business. Further, in certain cases where a Form W-9 has not been received, a partnership is required to presume that a partner is a foreign person, and pay the withholding tax. Therefore, if you are a U.S. person that is a partner in a partnership conducting a trade or business in the United States, provide Form W-9 to the partnership to establish your U.S. status and avoid withholding on your share of partnership income.																																						


Cat. No. 10231X

Form W-9 (Rev. 12-2011)

Barranca Energy Procurement Services, LLC

A-15 Exhibit A-15 "Secretary of State," provide evidence that the applicant has registered with the Ohio Secretary of the State.

Barranca Energy Procurement Services, LLC was granted a State of Ohio business license on March 3, 2014 and assigned the "Entity Number" of "2273200". Below is the on-line registration approval screen print and the "State of Ohio Certificate" dated March 4, 2014.

 http://www2.sos.state.oh.us/b/s/bsqry/?p=100:18:110796389293691::NO:18:P18_TYPE:P18_NUM:CORP:2273200

[Print this report](#)

Corporation Details

Corporation Details		
Entity Number	2273200	
Business Name	BARRANCA ENERGY PROCUREMENT SERVICES, LLC	
Filing Type	FOREIGN LIMITED LIABILITY COMPANY	
Status	Active	
Original Filing Date	03/03/2014	
Expiry Date		
Location:	County: State: CALIFORNIA	
Agent / Registrant Information		
REGISTERED AGENTS INC 2012 W 25TH STE 501 CLEVELAND, OH 44113 Effective Date: 03/03/2014 Contact Status: Active		
Filings		
Filing Type	Date of Filing	Document Number/Name
REG. OF FOR. PROFIT LIM. LIAB. CO.	03/03/2014	201406202770

Barranca Energy Procurement Services, LLC

A-15 Exhibit A-15 "Secretary of State," cont.



DATE:	DOCUMENT ID	DESCRIPTION	FILING	EXPED	PENALTY	CERT	COPY
03/04/2014	201406202770	REG. OF FOR. PROFIT LIM. LIAB. CO. (LFP)	125.00	100.00	.00	.00	.00

Receipt

This is not a bill. Please do not remit payment.

BARRANCA ENERGY PROCUREMENT SERVICES, LLC
WALTER JONES
66 VIA VILLENA
SAN CLEMENTE, CA 92673

STATE OF OHIO CERTIFICATE

Ohio Secretary of State, Jon Husted
2273200

It is hereby certified that the Secretary of State of Ohio has custody of the business records for
BARRANCA ENERGY PROCUREMENT SERVICES, LLC
and, that said business records show the filing and recording of:

Document(s)
REG. OF FOR. PROFIT LIM. LIAB. CO.

Document No(s):
201406202770

Effective Date: 03/03/2014



United States of America
State of Ohio
Office of the Secretary of State

Witness my hand and the seal of
the Secretary of State at Columbus,
Ohio this 4th day of March, A.D.
2014.

Jon Husted

Ohio Secretary of State

Barranca Energy Procurement Services, LLC

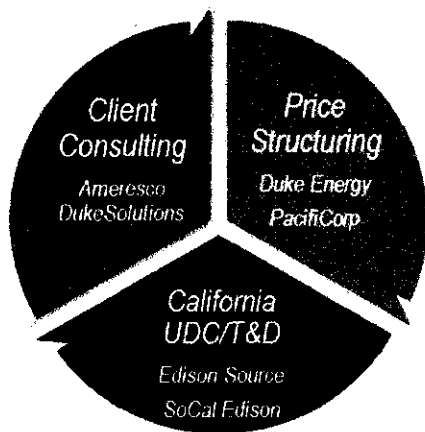
B-1 Exhibit B-1 "Jurisdictions of Operation," provide a list of all jurisdictions in which the applicant or any affiliated interest of the applicant is, at the date of filing the application, certified, licensed, registered, or otherwise authorized to provide retail or wholesale electric services including aggregation services.

To date, Barranca has brokered client 3rd party supply transactions in California. The clients have eligible services accounts in the Pacific Gas & Electric (PG&E), Southern California Edison (SCE), and San Diego Gas & Electric (SDG&E) service territories.

Barranca Energy Procurement Services, LLC

B-2 Exhibit B-2 "Experience & Plans," provide a description of the applicant's experience and plan for contracting with customers, providing contracted services, providing billing statements, and responding to customer inquiries and complaints in accordance with Commission rules adopted pursuant to Section 4928.10 of the Revised Code.

GENERAL ENERGY BACKGROUND



2014 Barranca Energy Procurement Services, LLC	
2002-2014	Ameresco, Manager of Supply Consulting
1999-2002	Duke Solutions, Energy Supply Consulting/Structuring
1998-1999	Duke Energy Trading & Marketing, Structuring/Organization
1997-1998	PacifiCorp, Manager of Structuring
1995-1997	Edison Source, Business Strategy
1993-1995	So Cal Edison, Staff Large Rate Implementation
1990-1993	So Cal Edison, Field Energy Services (A&E Liaison)

1986 B.A., Industrial Marketing and Economics,
California State University, Fullerton

1994 DOE National Energy Award
Energy Education Program Development

B-2 Exhibit B-2 "Experience & Plans," Cont.

ENERGY SUPPLY CONSULTING AT AMERESCO

SINCE INCEPTION (2002), DIRECTED ENERGY SUPPLY MANAGEMENT CONSULTING GROUP

- Major force in recruiting, managing, and procuring for most all Ameresco's sophisticated clientele,
- Bank of America, Albertsons-SVU, Allegheny Technologies, Marriott, Time Warner, and Union Bank,
- Combined, the Ameresco team managed nearly \$3 billion in client spend,
- In California, managed/procured for nearly 2 million annual MWh's

SOLE DEVELOPMENT OF THE AMERESCO ELECTRIC CONSULTING "BRAND"

- Centered on a "wholesale managed" product structure developed for Albertsons in 2004.
- ESP's were reluctant to give consultant elevated level of access to their pricing model.
- Client/prospect connection traits centered on developing client energy confidence and trust.

PAST 12-YRS, EARNED UNPARALLELED ELECTRIC DEREGULATION CONSULTING CREDENTIALS

- Expert in all electric energy markets across the US and proficient with the natural gas impact,
- High-level ESP access, including back-office, trading, credit, risk management, legal, and billing,
- Unique skills related to understanding of full client supply equation (T&D, Wholesale, Adders),
- Schedule given energy east coast energy markets/ESP (5am to 5pm Pacific Time),
- Developed many of the consulting philosophies applied by the tea,
- Ability in creating multi-strategies geared at satisfying both client and supplier,
- Aggregation deals programs that maintain group leverage and minimize cross subsidization

DAILY EFFORTS SPENT ON DETAILS & WORKING IN "THE WEEDS"

- Client origination & management,
- Wholesale energy market education,
- Strategy creation & bid tactic implementation,
- Product structure choice & optimum contract language negotiation,
- Extensive data crunching/analysis,
- Ex-post value reporting and Mark to market modeling,
- Additional layer hedge recommendations
- Supplier Bill Auditing and reconciliation

CLIENT CRITERIA EXPERT

- Internal due diligence mandates, business process rules,
- Supplier contracting necessities, post contract deliverables,

BARRANCA GENERIC BUSINESS APPROACH (PLANS)

Barranca will not serve as the client billing and collection agent of competitive retail electric services. Those services will be the responsibility of either the regulated UDC and/or the certified and client selected 3rd party supplier. Barranca will assist the client in developing a strategy, a bid event, and a contract negotiation with interested 3rd party suppliers in Ohio. Barranca will not take title to electric generation at any time. Barranca will only collect a client agreed upon fee coming from the client chosen supplier.

B-3 Exhibit B-3 "Summary of Experience," provide a concise summary of the applicant's experience in providing aggregation service(s) including contracting with customers to combine electric load and representing customers in the purchase of retail electric services. (e.g. number and types of customers served, utility service areas, amount of load, etc.).

Walter Jones, sole manager of Barranca, primary focus will be contracting on behalf of individual end-users in Ohio. That being said, while at Ameresco, Mr. Jones instituted 3 distinct types of "aggregation" models.

Corporate Owned & Franchisee Aggregation Model

At Ameresco, Walter Jones brought Albertsons/Supervalu (SVU) in as managed consulting client (2002). SVU has nearly 3,000 grocery stores, 12+/- distribution centers, and over 1,000 franchise owners in various deregulated utility service territories.

The goal is to provide and maintain the scale and leverage of the group while eliminating price subsidization within the 3 groups of end users and between each individual account. Essentially, get the best price and product for all participants, but (1) ensure that each account has a price based on its actual load shape, and (2) eliminate as much risk as possible.

To satisfy this criteria, a "wholesale block & index" program is implemented. Mr. Jones commanded extensive major US-supplier leverage which allowed his team direct supplier back office resources/services/support. This included weekly supplier conference calls, daily indicative pricing reports, daily short term market trends, weekly mark to market reports, monthly forward hedge monitoring reports, and ex-post billing results.

Franchisee-Only by Shared Services Entity-Aggregation Model

At Ameresco, Walter Jones brought Marriott International in as managed consulting client (2012). Marriott owns very few of the various deregulated electricity properties (over 1,200) that sport the Marriott name. Marriott has 6 segmented "brand" hotel categories, with 16 total banner names.

The Marriott shared services group is tasked with securing electric supply contracts for all the individual entities which own a property in a deregulated UDC territory. In most instances, a 50 site bid will have 25 individual properties owners under any sort of entity structure, with individual contracting tax identifier.

The goal with Marriott is not only maintaining leverage, best pricing, and risk mitigation, but ensuring that contracting is done so that "credit" and "contracting" risk is appropriately assigned to each entity.

To satisfy this criteria, a "wholesale block & index" program is implemented here as well, but individual contracts and transaction confirmations are applied to each entity separately. Additional consideration was provided for specific entities that preferred a higher or lower hedge allocation than the entire group.

Government-Driven Aggregation Model

At Ameresco, Walter was part of a team that delivered large aggregation consulting & auditing services to the City of Chicago and the Chicago Transit Authority. Both valued having integration "flexibility" at any time during a contracting term. Either dropping or adding an account. To achieve this, contracting with both "load" and "site" bandwidths was implemented with the bidding suppliers.

Barranca Energy Procurement Services, LLC

Exhibit B-4 "Disclosure of Liabilities and Investigations," provide a description of all existing, pending or past rulings, judgments, contingent liabilities, revocation of authority, regulatory investigations, or any other matter that could adversely impact the applicant's financial or operational status or ability to provide the services it is seeking to be certified to provide.

Barranca Energy Procurement Services, LLC has no Liabilities and Investigations in the past or present.

Barranca Energy Procurement Services, LLC

B-5 Disclose whether the applicant, a predecessor of the applicant, or any principal officer of the applicant have ever been convicted or held liable for fraud or for violation of any consumer protection or antitrust laws within the past five years.

☒ No ☐ Yes

Barranca Energy Procurement Services, LLC

B-6 Disclose whether the applicant or a predecessor of the applicant has had any certification, license, or application to provide retail or wholesale electric service including aggregation service denied, curtailed, suspended, revoked, or cancelled within the past two years.

☒ No ☐ Yes

Barranca Energy Procurement Services, LLC

C-1 Exhibit C-1 "Annual Reports," provide the two most recent Annual Reports to Shareholders. If applicant does not have annual reports, the applicant should provide similar information in Exhibit C-1 or indicate that Exhibit C-1 is not applicable and why.

Barranca Energy Procurement Services, LLC does not have shareholders or a SEC Annual Report.

*As a California LLC, Barranca does have its' annual "**MINUTES OF ORGANIZATIONAL MEETING OF ORGANIZER AND MANAGERS**". Since Barranca has been organized less than 1-year, the document copy below is its' only annual report.*

MINUTES OF ORGANIZATIONAL MEETING OF

ORGANIZER AND MANAGERS OF

Barranca Energy Procurement Services, LLC

The Organizer named in the Articles of Organization of this Limited Liability Company convened the organizational meeting at 40 Pacifica, Suite 1500, Irvine, California, on June 20, 2013 at 3:00 p.m.

Present were:

Walter A. Jones
Patricia S. Jones
Stephen A. Stafford, Esq.

The Organizer appointed the following to serve as the Manager of the Limited Liability Company:

Walter A. Jones

Walter A. Jones served as Chairman of the meeting and was appointed Secretary of the meeting.

The Manager stated that the original Articles of Organization of the Limited Liability Company had been filed in the Office of the California Secretary of State on May 31, 2013.

The Manager presented to the meeting a certified copy of said Articles of Organization, showing filing as stated, and agreed to insert said copy in the Book of Minutes of the Limited Liability Company.

The matter of the adoption of an Operating Agreement for the regulation of the affairs and the capitalization of the Limited Liability Company was next considered. The Manager presented to the meeting a draft form of Operating Agreement which was fully considered and discussed. After discussion, on motion duly made and seconded, the following resolutions were adopted:

C-1 Exhibit C-1 "Annual Reports," cont.

RESOLVED, that the draft form of Operating Agreement presented to this meeting is hereby adopted as the form of Operating Agreement of this Limited Liability Company, and that such draft form of Operating Agreement shall be completed and shall identify the assets and liabilities to be contributed by the Member; and

RESOLVED FURTHER, that each member of this Limited Liability Company is hereby authorized and directed to execute a copy of said Operating Agreement when it is finalized; and

RESOLVED FURTHER, that the Manager of this Limited Liability Company is directed to keep a copy of the executed Operating Agreement at the principal office of this Limited Liability Company.

The Manager presented to the meeting a proposed form of Membership Certificate for use by the Limited Liability Company. On motion duly made and seconded, said form of Membership Certificate was approved and adopted, and the Manager agreed to insert a copy thereof in the book of Minutes of this Limited Liability Company immediately following the minutes of the meeting.

In order to provide for the payment of expenses of organization of the Limited Liability Company, on motion duly made and seconded, the following resolution was adopted:

RESOLVED, that any Manager of this Limited Liability Company be, and is hereby, authorized and directed to pay the expenses of organization of this Limited Liability Company.

After discussion, the location of the principal office of the Limited Liability Company was fixed, pursuant to resolution, as follows:

66 Via Villena
San Clemente, CA 92673

To provide for a depository for the funds of the Limited Liability Company, the following resolution was adopted:

RESOLVED, that this Limited Liability Company adopt the resolution in the bank's form attached hereto and made a part hereof, designating signatures and appointing as the depository for the Limited Liability Company's funds the following bank:

Wells Fargo Bank N.A.

Barranca Energy Procurement Services, LLC

C-1 Exhibit C-1 "Annual Reports," cont.

San Clemente, CA

Walter A. Jones, named as the initial agent for service of process in the Articles of Organization, was confirmed as the Limited Liability Company's agent for service of process.

As the next order of business, the Chairman suggested that the meeting consider the authorization of the issuance and sale of units of the company. The following resolutions were adopted:

WHEREAS, Walter A. Jones has offered to contribute cash in the amount of \$10,000 ^{W.A.} along with the other assets and liabilities of the sole proprietorship business known as Walter A. Jones, for 10,000 units, which units shall represent one hundred percent (100%) of the issued units of the company; and

WHEREAS, it is in the best interest of this company that said offer be accepted; it is

RESOLVED, that the offer described above be accepted; and

RESOLVED FURTHER, that the Manager and/or the Secretary of this company be, and they are hereby, authorized and instructed to issue and sell the units of this company authorized to be issued and sold hereby, for the consideration stated, and in compliance with all of the terms and conditions of Section 25102(f) of the California Corporations Code.

There being no further business to come before the meeting, the meeting was adjourned.

APPROVED:

Walter A. Jones

Walter A. Jones, Chairman/Manager/Secretary

Barranca Energy Procurement Services, LLC

C-2 Exhibit C-2 "SEC Filings," provide the most recent 10-K/8-K Filings with the SEC. If applicant does not have such filings, it may submit those of its parent company. If the applicant does not have such filings, then the applicant may indicate in Exhibit C-2 that the applicant is not required to file with the SEC and why.

Barranca Energy Procurement Services, LLC is not a publicly listed company and therefore does not file with the SEC.

Barranca Energy Procurement Services, LLC

C-3 Exhibit C-3 "Financial Statements," provide copies of the applicant's two most recent years of audited financial statements (balance sheet, income statement, and cash flow statement). If audited financial statements are not available, provide officer certified financial statements. If the applicant has not been in business long enough to satisfy this requirement, it shall file audited or officer certified financial statements covering the life of the business.

Barranca Energy Procurement Services, LLC has a "disregarded entity" tax structure. While it has a specific Tax Identification Number (TIN) with an Employer Identification Number (46-2919234), all past and future revenue is reported as income on the Barranca Energy Procurement Services, LLC sole manager respective tax return (Walter A. Jones).

Walter A. Jones [REDACTED] files a joint tax return with his wife Patricia S. Jones.

*The relationship between the "TIN" of Barranca Energy Procurement Services, LLC and the "SSN" of Walter A. Jones is detailed in the required US Department of Treasury Internal Revenue Service **"SS-4 Application of Employer Identification Number"** document on the following page.*

In addition, Walter A. Jones will show Schedule "C" "broker-related" income history since 2010 via copies of 1099-MISC. Excluded from that history will be traditional W2 income for both Walter A. Jones and Patricia S. Jones.

Finally, Barranca does its' LLC banking with Wells Fargo. Initial Barranca funding of \$10,000 on July 8, 2013. Barranca has prepared its' cash flow statement since that date to February 28, 2014 for review.

Barranca Energy Procurement Services, LLC

C-3 Exhibit C-3 "Financial Statements," cont.

"TIN" of Barranca Energy Procurement Services, LLC and the "SSN" of Walter A. Jones SS-4

Form SS-4 (Rev. January 2010) Department of the Treasury Internal Revenue Service		Application for Employer Identification Number (For use by employers, corporations, partnerships, trusts, estates, churches, government agencies, Indian tribal entities, certain individuals, and others.) ▶ See separate instructions for each line. ▶ Keep a copy for your records.		OMB No. 1545-0003 EIN 46-2919234	
1 Legal name of entity (or individual) for whom the EIN is being requested Barranca Energy Procurement Services, LLC					
2 Trade name of business (if different from name on line 1)					
3 Executor, administrator, trustee, "care of" name					
4a Mailing address (room, apt., suite no. and street, or P.O. box) 666 Via Villena					
4b City, state, and ZIP code (if foreign, see instructions) San Clemente CA 92673					
5 County and state where principal business is located Orange CALIFORNIA					
7a Name of responsible party Walter A. Jones					
7b SSN, TIN, or EIN 548-59-8624					
8a Is this application for a limited liability company (LLC) (or a foreign equivalent)? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No					
8b If 8a is "Yes," enter the number of LLC members 1					
9a If 8a is "Yes," was the LLC organized in the United States? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No					
9b Type of entity (check only one box). Caution: If 8a is "Yes," see the instructions for the correct box to check.					
<input type="checkbox"/> Sole proprietor (SSN) <input type="checkbox"/> Estate (SSN of decedent) <input type="checkbox"/> Partnership <input type="checkbox"/> Plan administrator (TIN) <input type="checkbox"/> Corporation (enter form number to be filed) ▶ <input type="checkbox"/> Trust (TIN of grantor) <input type="checkbox"/> Personal service corporation <input type="checkbox"/> National Guard <input type="checkbox"/> State/local government <input type="checkbox"/> Church or church-controlled organization <input type="checkbox"/> Farmers' cooperative <input type="checkbox"/> Federal government/military <input type="checkbox"/> Other nonprofit organization (specify) ▶ <input type="checkbox"/> REMAC <input type="checkbox"/> Indian tribal government/enterprise <input checked="" type="checkbox"/> Other (specify) ▶ Limited liability company <input type="checkbox"/> Group Exemption Number (GEN) (if any) ▶					
9c If a corporation, name the state or foreign country (if applicable) where incorporated					
10 Reason for applying (check only one box)					
<input checked="" type="checkbox"/> Started new business (specify type) ▶ Limited liability company <input type="checkbox"/> Purchased going business <input type="checkbox"/> Created a trust (specify type) ▶ <input type="checkbox"/> Created a pension plan (specify type) ▶ <input type="checkbox"/> Hiring employees (Check the box and see the 13.) <input type="checkbox"/> Compliance with IRS withholding regulations <input type="checkbox"/> Other (specify) ▶					
11 Date business started or acquired (month, day, year). See instructions. 5/31/13					
12 Closing month of accounting year December					
13 Highest number of employees expected in the next 12 months (enter -0- if none). If no employees expected, skip line 14.					
Agricultural 0 Household 0 Other 1					
14 If you expect your employment tax liability to be \$1,000 or less in a full calendar year and want to file Form 944 annually instead of Forms 941 quarterly, check here. (Your employment tax liability generally will be \$1,000 or less if you expect to pay \$4,000 or less in total wages.) If you do not check this box, you must file Form 941 for every quarter. <input type="checkbox"/>					
15 First date wages or annuities were paid (month, day, year). Note: If applicant is a withholding agent, enter date income will first be paid to nonresident alien (month, day, year). 6/1/13					
16 Check one box that best describes the principal activity of your business.					
<input type="checkbox"/> Construction <input type="checkbox"/> Rental & leasing <input type="checkbox"/> Transportation & warehousing <input type="checkbox"/> Health care & social assistance <input type="checkbox"/> Wholesale agent/broker <input type="checkbox"/> Real estate <input type="checkbox"/> Manufacturing <input type="checkbox"/> Finance & insurance <input checked="" type="checkbox"/> Other (specify) Energy procurement services					
17 Indicate principal line of merchandise sold, specific construction work done, products produced, or services provided. Energy procurement services					
18 Has this applicant shown on line 1 ever applied for and received an EIN? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No					
If "Yes," write previous EIN here ▶					
Third Party Designee Designee's name Marcela B. Routh Designee's telephone number (include area code) (949) 433-2900 Designee's fax number (include area code) (949) 433-2916 Designee's address and ZIP code Brown & Straza, LLP 40 Pacifica, Suite 1500, Irvine, CA 92618 Applicant's telephone number (include area code) (949) 498-4550 Applicant's fax number (include area code) (949) 498-4558					
I hereby certify that I have examined this application, and to the best of my knowledge and belief, it is true, correct, and complete. Name and title (type or print clearly): Walter A. Jones, Manager Signature ▶ Walter A. Jones Date ▶					
For Privacy Act and Paperwork Reduction Act Notice, see separate instructions. Cat. No. 15008N Form SS-4 (Rev. 1-2010)					

Barranca Energy Procurement Services, LLC

C-3 Exhibit C-3 "Financial Statements," cont.

2013 11099-MISC for Walter A. Jones "Broker" services (\$335,328.90).

☐ CORRECTED (if checked)

PAYER'S name, street address, city or town, province or state, country, ZIP or foreign postal code, and telephone no. CENTRICA US HOLDINGS INC DIRECT ENERGY BUSINESS LLC 12 GREENWAY PLAZA STE 250 HOUSTON, TX 77046		1 Rents \$		2013 Form 1099-MISC		Miscellaneous Income Copy B For Recipient
PAYER'S federal identification number 20-1340064		2 Royalties \$		3 Other income \$		
RECIPIENT'S name Walter A Jones dba Barranca Energy Procurement Services (including opt. no.) 66 VIA VILLENA City or town, province or state, country, and ZIP or foreign postal code SAN CLEMENTE, CA 92673		4 Federal income tax withheld \$		5 Flaring host proceeds \$		This is important tax information, and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.
RECIPIENT'S identification number [REDACTED]		6 Substantive payments in lieu of dividends or interest \$		7 Nonemployee compensation \$326,905.40		
Account number (see instructions) 0000907830		8 Payer made direct sales of \$5,000 or more of consumer products to a buyer (recipient for resale) <input type="checkbox"/> \$		9 Crop insurance proceeds \$		10 State income \$
10a Section 409A deferrals \$		11 Foreign tax paid \$		12 Foreign country or U.S. possession \$		
10b Section 409A income \$		13 Federal golden parachute payments \$		14 Gross proceeds paid to an attorney \$		15 State income \$
16 State tax withheld \$		17 State/Payer's state no. \$		18 State income \$		

Form 1099-MISC (keep for your records) www.irs.gov/form1099-misc Department of the Treasury - Internal Revenue Service

PAYER'S name, street address, city or town, province or state, country, ZIP or foreign postal code, and telephone no. SHOREBREAK ENERGY DEVELOPERS, LLC 1 PETERS CYN RD STE 110 IRVINE, CA 92606 949-502-0800		1 Rents \$		2013 Form 1099-MISC		Miscellaneous Income Copy B For Recipient
PAYER'S federal identification number 27-2907667		2 Royalties \$		3 Other income \$		
RECIPIENT'S name, street address, city or town, province or state, country, and ZIP or foreign postal code Walter A. Jones 66 Via Villena San Clemente CA 92673		4 Federal income tax withheld \$		5 Flaring host proceeds \$		This is important tax information, and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.
RECIPIENT'S identification number [REDACTED]		6 Substantive payments in lieu of dividends or interest \$		7 Nonemployee compensation 8423.50		
Account number (see instructions) [REDACTED]		8 Payer made direct sales of \$5,000 or more of consumer products to a buyer (recipient for resale) <input type="checkbox"/> \$		9 Crop insurance proceeds \$		10 State income \$
10a Section 409A deferrals \$		11 Foreign tax paid \$		12 Foreign country or U.S. possession \$		
10b Section 409A income \$		13 Federal golden parachute payments \$		14 Gross proceeds paid to an attorney \$		15 State income \$
16 State tax withheld \$		17 State/Payer's state no. \$		18 State income \$		

Form 1099-MISC LMB (keep for your records) 6111 www.irs.gov/form1099-misc Department of the Treasury - Internal Revenue Service

Barranca Energy Procurement Services, LLC

C-3 Exhibit C-3 "Financial Statements," cont.

2012 1099-MISC for Walter A. Jones "Broker" services (\$309,011.45).

PAYER'S name, street address, city, state, ZIP code, and telephone no.		1. <input checked="" type="checkbox"/> CORRECTED (if checked)		2012		Miscellaneous Income	
CENTRICA US HOLDINGS INC 1105 N MARKET ST STE 1300 WILMINGTON, DE 19801		1. Name \$		2. Payment \$		3. Other income \$	
PAYER'S federal identification number 20-1340064		RECIPIENT'S identification number [REDACTED]		4. Federal income tax withheld \$		5. State income tax withheld \$	
RECIPIENT'S name WALTER JONES ENERGY SUPPLY MGMT/PROCUREMENT Street address (including apt. no.) 66 VIA VILLERA City, state, and ZIP code SAN CLEMENTE, CA 92673 Account number (for statements) 0000907830		7. Nonemployee compensation \$ 309,011.45		8. Dividend payments (see instructions) \$		9. Royalty payments (see instructions) \$	
10. Other income \$		11. Other income \$		12. Other income \$		13. Other income \$	
14. State income tax withheld \$		15. State income tax withheld \$		16. State income tax withheld \$		17. State income tax withheld \$	
18. State income tax withheld \$		19. State income tax withheld \$		20. State income tax withheld \$		21. State income tax withheld \$	
1099-MISC		Keep for your records		Department of the Treasury - Internal Revenue Service		Copy B For Recipient	

2011 1099-MISC for Walter A. Jones "Broker" services (\$115,364.70).

PAYER'S name, street address, city, state, ZIP code, and telephone no.		1. <input checked="" type="checkbox"/> CORRECTED (if checked)		2011		Miscellaneous Income	
CENTRICA US HOLDINGS INC 1105 N MARKET ST STE 1300 WILMINGTON, DE 19801		1. Name \$		2. Payment \$		3. Other income \$	
PAYER'S federal identification number 20-1340064		RECIPIENT'S identification number [REDACTED]		4. Federal income tax withheld \$		5. State income tax withheld \$	
RECIPIENT'S name WALTER JONES ENERGY SUPPLY MGMT/PROCUREMENT Street address (including apt. no.) 66 VIA VILLERA City, state, and ZIP code SAN CLEMENTE, CA 92673 Account number (for statements) 0000907830		7. Nonemployee compensation \$ 115,364.70		8. Dividend payments (see instructions) \$		9. Royalty payments (see instructions) \$	
10. Other income \$		11. Other income \$		12. Other income \$		13. Other income \$	
14. State income tax withheld \$		15. State income tax withheld \$		16. State income tax withheld \$		17. State income tax withheld \$	
18. State income tax withheld \$		19. State income tax withheld \$		20. State income tax withheld \$		21. State income tax withheld \$	
1099-MISC		Keep for your records		Department of the Treasury - Internal Revenue Service		Copy B For Recipient	

2010 1099-MISC for Walter A. Jones "Broker" services (\$61,160.41).

PAYER'S name, street address, city, state, ZIP code, and telephone no.		1. <input checked="" type="checkbox"/> CORRECTED (if checked)		2010		Miscellaneous Income	
CENTRICA US HOLDINGS INC 1105 N MARKET ST STE 1300 WILMINGTON, DE 19801		1. Name \$		2. Payment \$		3. Other income \$	
PAYER'S federal identification number 20-1340064		RECIPIENT'S identification number [REDACTED]		4. Federal income tax withheld \$		5. State income tax withheld \$	
RECIPIENT'S name WALTER JONES ENERGY SUPPLY MGMT/PROCUREMENT Street address (including apt. no.) 66 VIA VILLERA City, state, and ZIP code SAN CLEMENTE, CA 92673 Account number (for statements) 0000907830		7. Nonemployee compensation \$ 61,160.41		8. Dividend payments (see instructions) \$		9. Royalty payments (see instructions) \$	
10. Other income \$		11. Other income \$		12. Other income \$		13. Other income \$	
14. State income tax withheld \$		15. State income tax withheld \$		16. State income tax withheld \$		17. State income tax withheld \$	
18. State income tax withheld \$		19. State income tax withheld \$		20. State income tax withheld \$		21. State income tax withheld \$	
1099-MISC		Keep for your records		Department of the Treasury - Internal Revenue Service		Copy B For Recipient	

Barranca Energy Procurement Services, LLC

C-3 Exhibit C-3 "Financial Statements," cont.

Cash Flow Statement Barranca Energy Procurement Services, LLC July 2013 thru February 2014 Wells Fargo 9715162575

Banking Account Starts 07/01/2013	EST	JUL 07/01/13	AUG 08/01/13	SEP 09/01/13	OCT 10/01/13	NOV 11/01/13	DEC 12/01/13	JAN 01/01/14	FEB 02/01/14	MAR 03/01/14	APR 04/01/14	MAY 05/01/14	JUN 06/01/14	Total Year to Date
Cash on hand (beginning of month)	\$ 10,000	\$10,000	\$ 8,056	\$ 52,828	\$ 80,254	\$ 52,987	\$ 73,098	\$ 88,274	\$ 74,824	\$ 89,183	\$ 89,183	\$ 89,183	\$ 89,183	\$ 89,183
Cash Receipts														
Cash Sales			\$ 35,152	\$ 30,495	\$ 29,974	\$ 29,563	\$ 28,433	\$ 27,242	\$ 26,000					\$ 208,859
Accounts Receivable														
Other Receipts														
Total	\$ -	\$ -	\$ 35,152	\$ 30,495	\$ 29,974	\$ 29,563	\$ 28,433	\$ 27,242	\$ 26,000	\$ -	\$ -	\$ -	\$ -	\$ 208,859
Total Cash Available (before cash out)	\$ 10,000	\$10,000	\$ 43,207	\$ 83,323	\$ 110,228	\$ 82,550	\$ 101,531	\$ 115,516	\$ 100,824	\$ 89,183	\$ 89,183	\$ 89,183	\$ 89,183	\$ 208,043
Cash Paid Out														
Accounts Payable		\$ 1,895	\$ -	\$ 150	\$ 150	\$ 150	\$ -	\$ -	\$ -					\$ 4,695
Operating Expenses														
Salaries & Wages			\$ 9,325	\$ 5,998	\$ 6,893	\$ 9,048	\$ 13,106	\$ 11,852	\$ 11,291					\$ 67,510
Office Expenses			\$ 804	\$ 598	\$ 502	\$ 295	\$ -	\$ -	\$ -					\$ 2,199
Insurance														\$ 691
Travel Expenses								\$ 691	\$ -					\$ -
SEP-Funding				\$ 7,500				\$ 12,500						\$ 20,000
Total	\$ -	\$ 1,895	\$ 10,128	\$ 6,690	\$ 7,195	\$ 9,302	\$ 13,106	\$ 40,743	\$ 11,291	\$ -	\$ -	\$ -	\$ -	\$ 126,576
Cash Paid Out (non P&L)														
Other P&L		\$ 50	\$ 150	\$ 150	\$ 150	\$ 150	\$ 150	\$ 150	\$ 150					\$ 1,000
Total	\$ -	\$ 50	\$ 150	\$ 150	\$ 150	\$ 150	\$ 150	\$ 150	\$ 150	\$ -	\$ -	\$ -	\$ -	\$ 1,000
Total Cash Paid Out	\$ -	\$ 1,945	\$ 10,278	\$ 6,840	\$ 7,345	\$ 9,452	\$ 13,256	\$ 40,893	\$ 11,441	\$ -	\$ -	\$ -	\$ -	\$ 127,576
Cash Position (end of month)	\$ 10,000	\$ 8,056	\$ 52,828	\$ 80,254	\$ 52,987	\$ 73,098	\$ 88,274	\$ 74,824	\$ 89,183	\$ 89,183	\$ 89,183	\$ 89,183	\$ 89,183	\$ 89,183

Barranca Energy Procurement Services, LLC													
Most Recent Period July 2013-Feb 2014													
Income Statement (Consistent with Cash Flow Statement)													
Starting July 8, 2013													
	Jul-13	Aug-13	Sep-13	Oct-13	Nov-13	Dec-13	Jan-14	Feb-14	Mar-14	Apr-14	May-14	Jun-14	YTD
Revenue													
Sales		\$ 35,152	\$ 30,495	\$ 29,974	\$ 29,563	\$ 28,433	\$ 27,242	\$ 26,000					\$ 208,859
Other Income													
Net Sales	\$ -	\$ 35,152	\$ 30,495	\$ 29,974	\$ 29,563	\$ 28,433	\$ 27,242	\$ 26,000	\$ -	\$ -	\$ -	\$ -	\$ 208,859
Cost of Goods Sold													
Gross Profit	\$ -	\$ 35,152	\$ 30,495	\$ 29,974	\$ 29,563	\$ 28,433	\$ 27,242	\$ 26,000	\$ -	\$ -	\$ -	\$ -	\$ 208,859
Operating Expenses													
Salaries & Wages		\$ 9,325	\$ 5,998	\$ 6,893	\$ 9,048	\$ 13,106	\$ 11,852	\$ 11,291					\$ 67,510
Office Expenses			\$ 804	\$ 598	\$ 502	\$ 295	\$ -	\$ -					\$ 2,199
Insurance													\$ 691
Travel Expenses							\$ 691	\$ -					\$ -
SEP-Funding				\$ 7,500			\$ 12,500						\$ 20,000
Total Operating Expenses	\$ -	\$ 10,128	\$ 6,690	\$ 7,195	\$ 9,302	\$ 13,106	\$ 25,043	\$ 11,291	\$ -	\$ -	\$ -	\$ -	\$ 66,522
Income From Operations	\$ -	\$ 25,023	\$ 23,805	\$ 22,779	\$ 20,261	\$ 15,327	\$ 2,200	\$ 14,709	\$ -	\$ -	\$ -	\$ -	\$ 142,337
Interest Income (Expense)													
Income Before Income Taxes	\$ -	\$ 25,023	\$ 23,805	\$ 22,779	\$ 20,261	\$ 15,327	\$ 2,200	\$ 14,709	\$ -	\$ -	\$ -	\$ -	\$ 142,337
Quarterly Tax Expense			\$ 18,822				\$ 15,700						\$ 34,522
													\$ 82,178

Barranca Energy Procurement Services, LLC

C-3 Exhibit C-3 "Financial Statements," cont.

Barranca Energy Procurement Services, LLC Balance Sheet

	2013 July 8 - Dec 31	2014 YTD Feb 28, 2014
Current Assets		
Cash	\$ 88,274	\$ 89,183
Investments	\$ -	\$ -
Accounts receivable	\$ -	\$ -
Other	\$ -	\$ -
Total	\$ 88,274	\$ 89,183
Fixed Assets		
Property and equipment		
Equity and other investments		
Less accumulated depreciation (Negative Value)	\$ -	\$ -
Total	\$ -	\$ -
Other Assets		
Charity		
Total	\$ -	\$ -
Total Assets	\$ 88,274	\$ 89,183
Current Liabilities		
Accounts payable		
Accrued wages		
Accrued compensation		
Income taxes payable		
Unearned revenue		
Other		
Total	\$ -	\$ -
Long-term Liabilities		
Mortgage payable		
Total	\$ -	\$ -
Owner Equity		
Investment capital	\$ 10,000	\$ 10,000
Accumulated retained earnings	\$ 78,274	\$ 79,183
Total	\$ 88,274	\$ 89,183
Total Liabilities & Stockholder Equity	\$ 88,274	\$ 89,183

Barranca Energy Procurement Services, LLC

C-4 Exhibit C-4 "Financial Arrangements," provide copies of the applicant's financial arrangements to conduct CRES as a business activity (e.g., guarantees, bank commitments, contractual arrangements, credit agreements, etc.).

NOT APPLICABLE

Barranca Energy Procurement Services, LLC is filing a "Certification Application for Aggregators/Power Brokers" and does not require financial arrangements with a counter party to provide its' specific services.

Barranca Energy Procurement Services, LLC does not seek a "Certification Application for Governmental Aggregators", or a "Certification Application for Retail Generation Providers" which would certainly require the above mentioned financial arrangements.

To conduct broker services, Barranca Energy Procurement Services, LLC has business banking account services with Wells Fargo (San Clemente-Talega - California branch).

In addition, Barranca Energy Procurement Services, LLC carries a private umbrella policy from Farmers Insurance and an Errors and Omissions (E&O) Professional Liability insurance with Hiscox USA. Following are the respective policy screenshots.

Barranca Energy Procurement Services, LLC

C-4 Exhibit C-4 "Financial Arrangements,"Cont.

Farmers Insurance Umbrella policy

Subscription Agreement Notice

Insured BARRANCA ENERGY PROCUREMENT Effective Date 01/23/2014

Policy Number 605512315 Agent Number 97-44-344

Farmers Insurance Exchange is a reciprocal, or interinsurance exchange insurance company. This form of insurance company is owned by its members (also called subscribers), and the members appoint a third party, called the Attorney-in-Fact, to conduct certain administrative services for the company.

To become a member of the Exchange, please sign the Subscription Agreement printed below. Under the Subscription Agreement, you will be appointing Farmers Underwriters Association to act as the Attorney-in-Fact. The Association has acted in this capacity since 1928. The Subscription Agreement provides for payment of compensation to the Association for its becoming and acting as Attorney-in-Fact. This compensation consists of a membership fee and a percentage of premiums on all policies of insurance or reinsurance issued or effected by the Exchange. These fees are included in your policy payment and are not an additional fee.

If our records do not show that you have provided us with a signed copy of this document, we reserve the right to terminate your coverage.

Subscription Agreement

For and in consideration of the benefits to be derived therefrom the subscriber covenants and agrees with Farmers Insurance Exchange and other subscribers thereto through their and each of their attorney-in-fact, the Farmers Underwriters Association, to exchange with all other subscribers' policies of insurance or reinsurance containing such terms and conditions therein as may be specified by said attorney-in-fact and approved by the Board of Governors or its Executive Committee for any loss insured against, and subscriber hereby designates, constitutes and appoints Farmers Underwriters Association to be attorney-in-fact for subscriber, granting to it power to substitute another in its place, and in subscriber's name, place and stead to do all things which the subscriber or subscribers might or could do severally or jointly with reference to all policies issued, including cancellation thereof, collection and receipt of all monies due the Exchange from whatever source and disbursement of all loss and expense payments, effect reinsurance and all other acts incidental to the management of the Exchange and the business of interinsurance; subscriber further agrees that there shall be paid to said Association, as compensation for its becoming and acting as attorney-in-fact, the membership fees and twenty per centum of the Premium Deposit for the insurance provided and twenty per centum of the premiums required for continuance thereof.

The remaining portion of the Premium Deposit and of additional term payments made by or on behalf of the subscriber shall be applied to the payment of losses and expenses and to the establishment of reserves and general surplus. Such reserves and surplus may be invested and reinvested by a Board of Governors duly elected by and from subscribers in accordance with provisions of policies issued, which Board or its Executive Committee or an agent or agency appointed by written authority of said Executive Committee shall have full powers to negotiate purchases, sales, trades, exchanges, and transfers of investments, properties, titles and securities, together with full powers to execute all necessary instruments. The expenses above referred to shall include all taxes, license fees, attorneys' fees and adjustment expenses and charges, expenses of members' and governors' meetings, agents' commissions, and such other specified fees, dues and expenses as may be authorized by the Board of Governors. All other expenses incurred in connection with the conduct of the Exchange and such of the above expenses as shall from time to time be agreed upon by and between the Association and the Board of Governors or its Executive Committee shall be borne by the Association.

The principal office of the Exchange and its attorney-in-fact shall be maintained in the City of Los Angeles, County of Los Angeles, State of California.

This agreement can be signed upon any number of counterparts with the same effect as if the signatures of all subscribers were upon one and the same instrument, and shall be binding upon the parties thereto, severally and ratably as provided in policies issued. Wherever the word "subscriber" is used the same shall mean members of the Exchange, the subscriber hereto, and all other subscribers to this or any other like agreement. Any policy issued hereon shall be non-assessable.

On behalf of the named insured herein I have read the above Memorandum of Insurance and Subscription Agreement. I agree that the Memorandum of Insurance accurately summarizes the insurance for which the named insured has applied and on behalf of named insured I agree to the terms and conditions of the insurance as described in the Memorandum of Insurance. The named insured herein also agrees to be bound to all of the terms and conditions of the Subscription Agreement.

Subscribed to this ____ day of ____, 2____, a.m./p.m. X
Signature (If applicant is a minor, parent or guardian must also sign)

C-4 Exhibit C-4 "Financial Arrangements," Cont.

HISCOX USA Professional Liability Policy

^{n/a}
HISCOX

A Guide To Your Professional Liability Policy

The following is a guide to your Professional Liability policy. We have identified several key coverage items along with the limits and deductibles you have selected. To make it easier, we have also added a brief explanation of those items.

We want you to feel confident about your new policy. If any of the information below is incorrect or if you have any questions, please contact one of our advisors at 888-202-3007 (Mon-Fri, 8am-10pm EST) or send us an e-mail at contactus@hiscoxusa.com.

Your business details

Name:	Walter Jones
Business name:	Barranca Energy Procurement Services, LLC
Address:	66 Via Villena
City:	San Clemente
State:	CA
Zip code:	92673
Occupation:	Business Consulting
Telephone number:	949-468-4550
Email address:	wjones@shorebreakenergy.com

Your Professional Liability Policy

Policy number:	UDC-1417081-EO-14
Policy effective dates:	From: January 24, 2014
This determines the time period during which your coverage applies.	To: January 24, 2015
Total cost of policy:	\$ 644.00

Your limits explained

Each claim limit	\$ 1,000,000
The total amount we will pay for damages, claim expenses (e.g. defense costs), and supplemental payments for each claim.	
Aggregate limit	\$ 1,000,000
The total amount we will pay for damages, claim expenses (e.g. defense costs), and supplemental payments during the policy period.	

Barranca Energy Procurement Services, LLC

C-5 Exhibit C-5 "Forecasted Financial Statements," provide two years of forecasted financial statements (balance sheet, income statement, and cash flow statement) for the applicant's CRES operation, along with a list of assumptions, and the name, address, email address, and telephone number of the preparer.

Prepared by Walter Jones, 66 Via Villena San Clemente, CA 92673. wjones@barrancaenergy.com 949-485-8633.

Cash Flow Statement Barranca Energy Procurement Services, LLC Full 2014 Forecast Wells Fargo 9715162575

01-01-2014	EST	JAN 01-01-14	FEB 02-01-14	MAR 03-01-14	APR 04-01-14	MAY 05-01-14	JUN 06-01-14	JUL 07-01-14	AUG 08-01-14	SEP 09-01-14	OCT 10-01-14	NOV 11-01-14	DEC 12-01-14	Total over FY	
Cash on Hand (beginning of month)	\$	73,098	\$ 73,098	\$ 59,447	\$ 74,007	\$ 58,257	\$ 53,807	\$ 68,357	\$ 85,707	\$ 84,457	\$ 101,807	\$ 118,557	\$ 135,907	\$ 150,057	\$ 130,057
Cash Receipts															
Cash Sales	\$	21,242	\$ 21,405	\$ 26,000	\$ 26,000	\$ 26,000	\$ 26,000	\$ 26,000	\$ 26,000	\$ 26,000	\$ 26,000	\$ 26,000	\$ 26,000	\$ 26,000	\$ 26,000
Customer Refunds	\$	0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0
Other Income	\$	0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0
Total	\$	21,242	\$ 21,405	\$ 26,000	\$ 26,000	\$ 26,000	\$ 26,000	\$ 26,000	\$ 26,000	\$ 26,000	\$ 26,000	\$ 26,000	\$ 26,000	\$ 26,000	\$ 26,000
Total Cash Available (before cash out)	\$	94,340	\$ 94,503	\$ 85,447	\$ 100,007	\$ 84,257	\$ 79,807	\$ 94,357	\$ 111,707	\$ 110,457	\$ 127,807	\$ 144,557	\$ 161,907	\$ 176,057	\$ 156,057
Cash Paid Out															
Purchases Supplies Equipment	\$	0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0
Purchases Supplies	\$	0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0
Purchases Materials	\$	0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0
Other wages, taxes, overhead	\$	0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0
Payroll expenses (taxes, etc.)	\$	0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0
Customer Refunds	\$	21,242	\$ 21,405	\$ 26,000	\$ 26,000	\$ 26,000	\$ 26,000	\$ 26,000	\$ 26,000	\$ 26,000	\$ 26,000	\$ 26,000	\$ 26,000	\$ 26,000	\$ 26,000
Insurance	\$	0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0
Taxes (County)	\$	15,707	\$ 15,707	\$ 15,707	\$ 15,707	\$ 15,707	\$ 15,707	\$ 15,707	\$ 15,707	\$ 15,707	\$ 15,707	\$ 15,707	\$ 15,707	\$ 15,707	\$ 15,707
Interest	\$	0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0
Dep. Amortizing	\$	0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0
Master charges	\$	0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0
Total	\$	42,484	\$ 42,810	\$ 52,000	\$ 52,000	\$ 52,000	\$ 52,000	\$ 52,000	\$ 52,000	\$ 52,000	\$ 52,000	\$ 52,000	\$ 52,000	\$ 52,000	\$ 52,000
Cash Paid Out (Non P&L)															
Depreciation	\$	0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0
Total	\$	42,484	\$ 42,810	\$ 52,000	\$ 52,000	\$ 52,000	\$ 52,000	\$ 52,000	\$ 52,000	\$ 52,000	\$ 52,000	\$ 52,000	\$ 52,000	\$ 52,000	\$ 52,000
Total Cash Paid Out	\$	84,968	\$ 85,620	\$ 104,000	\$ 104,000	\$ 104,000	\$ 104,000	\$ 104,000	\$ 104,000	\$ 104,000	\$ 104,000	\$ 104,000	\$ 104,000	\$ 104,000	\$ 104,000
Cash Position (end of month)	\$	9,372	\$ 8,883	\$ 1,447	\$ 2,007	\$ 3,257	\$ 2,807	\$ 14,357	\$ 27,707	\$ 26,457	\$ 43,807	\$ 62,557	\$ 87,907	\$ 112,057	\$ 112,057

Cash Flow Statement Barranca Energy Procurement Services, LLC Full 2015 Forecast Wells Fargo 9715162575

01-01-2015	EST	JAN 01-01-15	FEB 02-01-15	MAR 03-01-15	APR 04-01-15	MAY 05-01-15	JUN 06-01-15	JUL 07-01-15	AUG 08-01-15	SEP 09-01-15	OCT 10-01-15	NOV 11-01-15	DEC 12-01-15	Total over FY
Cash on Hand (beginning of month)	\$	144,007	\$ 144,007	\$ 133,705	\$ 152,705	\$ 142,015	\$ 142,385	\$ 161,115	\$ 181,485	\$ 181,215	\$ 233,565	\$ 223,315	\$ 243,415	\$ 241,415
Cash Receipts														
Cash Sales	\$	21,242	\$ 21,405	\$ 26,000	\$ 26,000	\$ 26,000	\$ 26,000	\$ 26,000	\$ 26,000	\$ 26,000	\$ 26,000	\$ 26,000	\$ 26,000	\$ 26,000
Customer Refunds	\$	0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0
Other Income	\$	0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0
Total	\$	21,242	\$ 21,405	\$ 26,000	\$ 26,000	\$ 26,000	\$ 26,000	\$ 26,000	\$ 26,000	\$ 26,000	\$ 26,000	\$ 26,000	\$ 26,000	\$ 26,000
Total Cash Available (before cash out)	\$	165,249	\$ 165,412	\$ 159,705	\$ 178,705	\$ 168,015	\$ 168,385	\$ 187,115	\$ 207,485	\$ 207,215	\$ 259,565	\$ 249,315	\$ 269,415	\$ 267,415
Cash Paid Out														
Purchases Supplier Equipment	\$	0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0
Purchases Supplies	\$	0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0
Purchases Materials	\$	0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0
Other Expenses (Interest, etc.)	\$	0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0
Customer Refunds	\$	21,242	\$ 21,405	\$ 26,000	\$ 26,000	\$ 26,000	\$ 26,000	\$ 26,000	\$ 26,000	\$ 26,000	\$ 26,000	\$ 26,000	\$ 26,000	\$ 26,000
Insurance	\$	0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0
Taxes (County)	\$	15,707	\$ 15,707	\$ 15,707	\$ 15,707	\$ 15,707	\$ 15,707	\$ 15,707	\$ 15,707	\$ 15,707	\$ 15,707	\$ 15,707	\$ 15,707	\$ 15,707
Interest	\$	0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0
Dep. Amortizing	\$	0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0
Master Charges	\$	0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0
Total	\$	21,242	\$ 21,405	\$ 26,000	\$ 26,000	\$ 26,000	\$ 26,000	\$ 26,000	\$ 26,000	\$ 26,000	\$ 26,000	\$ 26,000	\$ 26,000	\$ 26,000
Cash Paid Out (Non P&L)														
Depreciation	\$	0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0
Total	\$	21,242	\$ 21,405	\$ 26,000	\$ 26,000	\$ 26,000	\$ 26,000	\$ 26,000	\$ 26,000	\$ 26,000	\$ 26,000	\$ 26,000	\$ 26,000	\$ 26,000
Total Cash Paid Out	\$	42,484	\$ 42,810	\$ 52,000	\$ 52,000	\$ 52,000	\$ 52,000	\$ 52,000	\$ 52,000	\$ 52,000	\$ 52,000	\$ 52,000	\$ 52,000	\$ 52,000
Cash Position (end of month)	\$	122,765	\$ 124,007	\$ 107,705	\$ 126,705	\$ 112,015	\$ 116,385	\$ 131,115	\$ 151,485	\$ 151,215	\$ 207,565	\$ 207,315	\$ 223,415	\$ 225,415

Barranca Energy Procurement Services, LLC

C-5 Exhibit C-5 "Forecasted Financial Statements", Cont

Barranca Energy Procurement Services, LLC													
Full Year 2014 Forecast													
Income Statement (Consistent with Cash Flow Statement)													
	Jan-14	Feb-14	Mar-14	Apr-14	May-14	Jun-14	Jul-14	Aug-14	Sep-14	Oct-14	Nov-14	Dec-14	2014 Forecast
Revenue													
Sales	\$ 27,242	\$ 26,000	\$ 26,000	\$ 26,000	\$ 26,000	\$ 30,000	\$ 30,000	\$ 30,000	\$ 30,000	\$ 28,000	\$ 28,000	\$ 26,000	\$ 335,248
Other Income													
Net Sales	\$ 27,242	\$ 26,000	\$ 26,000	\$ 26,000	\$ 26,000	\$ 30,000	\$ 30,000	\$ 30,000	\$ 30,000	\$ 28,000	\$ 28,000	\$ 26,000	\$ 335,248
Cost of Goods Sold													
Gross Profit	\$ 27,242	\$ 26,000	\$ 26,000	\$ 26,000	\$ 26,000	\$ 30,000	\$ 30,000	\$ 30,000	\$ 30,000	\$ 28,000	\$ 28,000	\$ 26,000	\$ 335,248
Operating Expenses													
Salaries & Wages	\$ 11,852	\$ 11,291	\$ 12,000	\$ 12,000	\$ 12,000	\$ 12,000	\$ 12,000	\$ 12,000	\$ 12,000	\$ 12,000	\$ 12,000	\$ 12,000	\$ 143,143
Office Expenses	-	-	500	500	500	500	500	500	500	500	500	500	5,000
Insurance	-	991	-	600	-	600	-	600	-	600	-	600	3,991
Travel Expenses													
SEP-Funding	12,500	-	28,500	-	-	-	-	-	-	-	-	-	41,000
Total Operating Expenses	\$ 24,352	\$ 11,982	\$ 41,000	\$ 18,100	\$ 12,500	\$ 18,100	\$ 12,500	\$ 18,100	\$ 12,500	\$ 18,100	\$ 12,500	\$ 18,100	\$ 182,884
Income From Operations	\$ 2,891	\$ 14,018	\$ (15,000)	\$ 12,900	\$ 15,500	\$ 16,900	\$ 17,500	\$ 16,900	\$ 17,500	\$ 14,900	\$ 15,500	\$ 12,900	\$ 142,408
Interest Income (Expense)													
Income Before Income Taxes	\$ 2,891	\$ 14,018	\$ (15,000)	\$ 12,900	\$ 15,500	\$ 16,900	\$ 17,500	\$ 16,900	\$ 17,500	\$ 14,900	\$ 15,500	\$ 12,900	\$ 142,408
Quarterly Tax Expense	15,700	-	-	18,000	-	-	18,000	-	-	18,000	-	-	69,700
Net Income	\$ (12,809)	\$ 14,018	\$ (15,000)	\$ (5,100)	\$ 15,500	\$ 16,900	\$ (200)	\$ 16,900	\$ 17,500	\$ (3,100)	\$ 15,500	\$ 12,900	\$ 72,708

Barranca Energy Procurement Services, LLC													
Full Year 2015 Forecast													
Income Statement (Consistent with Cash Flow Statement)													
	Jan-15	Feb-15	Mar-15	Apr-15	May-15	Jun-15	Jul-15	Aug-15	Sep-15	Oct-15	Nov-15	Dec-15	2015 Forecast
Revenue													
Sales	\$ 31,000	\$ 31,000	\$ 31,000	\$ 31,000	\$ 32,000	\$ 33,000	\$ 33,000	\$ 33,000	\$ 33,000	\$ 31,000	\$ 31,000	\$ 31,000	\$ 381,000
Other Income													
Net Sales	\$ 31,000	\$ 31,000	\$ 31,000	\$ 31,000	\$ 32,000	\$ 33,000	\$ 33,000	\$ 33,000	\$ 33,000	\$ 31,000	\$ 31,000	\$ 31,000	\$ 381,000
Cost of Goods Sold													
Gross Profit	\$ 31,000	\$ 31,000	\$ 31,000	\$ 31,000	\$ 32,000	\$ 33,000	\$ 33,000	\$ 33,000	\$ 33,000	\$ 31,000	\$ 31,000	\$ 31,000	\$ 381,000
Operating Expenses													
Salaries & Wages	\$ 11,852	\$ 11,291	\$ 12,000	\$ 12,000	\$ 12,000	\$ 12,000	\$ 12,000	\$ 12,000	\$ 12,000	\$ 12,000	\$ 12,000	\$ 12,000	\$ 148,143
Office Expenses	500	500	500	500	500	500	500	500	500	500	500	500	6,000
Insurance	-	600	-	600	-	600	-	600	-	600	-	600	3,900
Travel Expenses													
SEP-Funding	12,500	-	28,500	-	-	-	-	-	-	-	-	-	41,000
Total Operating Expenses	\$ 24,852	\$ 12,391	\$ 41,000	\$ 18,100	\$ 12,500	\$ 18,100	\$ 12,500	\$ 18,100	\$ 12,500	\$ 18,100	\$ 12,500	\$ 18,100	\$ 188,743
Income From Operations	\$ 6,148	\$ 18,609	\$ (10,000)	\$ 17,900	\$ 19,500	\$ 19,900	\$ 20,500	\$ 19,900	\$ 20,500	\$ 17,900	\$ 18,500	\$ 17,900	\$ 187,258
Interest Income (Expense)													
Income Before Income Taxes	\$ 6,148	\$ 18,609	\$ (10,000)	\$ 17,900	\$ 19,500	\$ 19,900	\$ 20,500	\$ 19,900	\$ 20,500	\$ 17,900	\$ 18,500	\$ 17,900	\$ 187,258
Quarterly Tax Expense	15,700	-	-	18,000	-	-	18,000	-	-	18,000	-	-	69,700
Net Income	\$ (9,552)	\$ 18,609	\$ (10,000)	\$ (100)	\$ 19,500	\$ 19,900	\$ 2,500	\$ 19,900	\$ 20,500	\$ (100)	\$ 18,500	\$ 17,900	\$ 117,558

Barranca Energy Procurement Services, LLC

C-5 Exhibit C-5 "Forecasted Financial Statements", Cont

Barranca Energy Procurement Services, LLC

Balance Sheet

2014/2015 Full Year Forecasts

	2014 Forecast	2015 Forecast
Current Assets		
Cash	\$ 201,567	\$ 357,172
Investments	\$ -	\$ -
Inventories	\$ -	\$ -
Accounts receivable	\$ -	\$ -
Pre-paid expenses	\$ -	\$ -
Other	\$ -	\$ -
Total	\$ 201,567	\$ 357,172
Fixed Assets		
Property and equipment		
Leasehold improvements		
Equity and other investments		
Less accumulated depreciation (Negative Value)	\$ -	\$ -
Total	\$ -	\$ -
Other Assets		
Charity		
Total	\$ -	\$ -
Total Assets	\$ 201,567	\$ 357,172
Current Liabilities		
Accounts payable		
Accrued wages		
Accrued compensation		
Income taxes payable		
Unearned revenue		
Other		
Total	\$ -	\$ -
Long-term Liabilities		
Mortgage payable		
Total	\$ -	\$ -
Owner Equity		
Investment capital	\$ 10,000	\$ 10,000
Accumulated retained earnings	\$ 191,567	\$ 347,172
Total	\$ 201,567	\$ 357,172
Total Liabilities & Stockholder Equity	\$ 201,567	\$ 357,172

Barranca Energy Procurement Services, LLC

C-6 Exhibit C-6 "Credit Rating," provide a statement disclosing the applicant's credit rating as reported by two of the following organizations: Duff & Phelps, Dun and Bradstreet Information Services, Fitch IBCA, Moody's Investors Service, Standard & Poors, or a similar organization. In instances where an applicant does not have its own credit ratings, it may substitute the credit ratings of a parent or affiliate organization, provided the applicant submits a statement signed by a principal officer of the applicant's parent or affiliate organization that guarantees the obligations of the applicant.

NOT APPLICABLE

Barranca Energy Procurement Services, LLC is not a publicly traded company and does not have a "Credit Rating". For Barranca, significant capital is unnecessary since Barranca offers clients a fee for service brokering model. As a substitute, below is a screen shot of Experian's BizVerify on Barranca Energy Procurement Services, LLC.



BizVerifySM Report

as of 03/10/14 20:28 ET

Barranca Energy Procurement Services

Address: 55 Via Villena
San Clemente, CA 92673-6523
United States
Experian PIN: 972615470

Key Personnel: Walter A Jones
Experian File Established: June 2013
Experian Years on File: 1 Year
Years in Business: More than 1 year

UCC Filings 0

This location does not yet have an estimated Days Beyond Terms (DBT) or a Payment Trend Indicator. This is often the result of too few Payment Transactions.

✓ Bankruptcies: 0
✓ Liens: 0
✓ Judgments Filed: 0
✓ Collections: 0

* The information herein is furnished in confidence for your exclusive use for legitimate business purposes and shall not be reproduced. Neither Experian nor its sources or distributors warrant such information nor shall they be liable for your use or reliance upon it.

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Barranca Energy Procurement Services, LLC

C-7 Exhibit C-7 "Credit Report," provide a copy of the applicant's credit report from Experian, Dun and Bradstreet or a similar organization.

Within C-6 Exhibit C-6 "Credit Rating", a screen shot of Experian's BizVerify on Barranca Energy Procurement Services, LLC was provided. Since the firm has not been in business for more than a year, detailed results have not yet developed.


As the sole manager of Barranca Energy Procurement Services, LLC (Walter A. Jones) has a personal credit report which is shown below.

WALTER A JONES
Report As Of: 3/17/2014

Experian

Personal Information

Here you will find your personal information, including your legal name(s), year of birth, current and previous addresses, and current and previous employers.

Experian	Equifax	TransUnion
Profile  Name: WALTER A. JONES (Also Known As) AKA: JONES WALTER Year of Birth: 1982 Address(es): 60 VIA VALLEJA, SAN CLEMENTE, CA 92670-0512 1345 REDWOOD ST., IRVINE, CA 92614-2126 304 CLIFF DRAPT S, LAGUNA BEACH, CA 92651-1896 Current Employer: AMERESCO Previous Employer: PACIFIC CORP	What's missing from this picture? See your Experian®, Equifax® and TransUnion® Credit Reports and Scores at a special "Members Only" price. Log In Now! www.Experian.com	

Personal Statement

This space is reserved for statements of dispute. For most consumers, no information appears in this section.



Personal Information Page 1 of 1 Report Summary Bankruptcies Credit Inquiries Credit Cards & Loans Credit Score

WALTER A JONES
Report As Of: 3/17/2014

Experian

Credit Score

Your Credit Score is a numerical representation of your credit worthiness that is used by most lenders and credit card issuers. Remember, Experian, Equifax and TransUnion has its own set of data in your credit file. That's why Credit Scores may vary between bureaus.

Experian	Equifax	TransUnion
800  Credit Category: 	What's missing from this picture? See your Experian®, Equifax® and TransUnion® Credit Reports and Scores at a special "Members Only" price. Log In Now! www.Experian.com	

Your Experian PLUS Score Explanation

Percentile: Your credit rating ranks higher than 99.83% of U.S. consumers.

What factors RAISE your PLUS Score:

- You have done a great job at making all of your payments on time. The purpose of a credit score is to help lenders predict whether or not you will miss payments in the future, so keeping your record clean is a very positive factor.
- Credit cards are considered "maxed-out" when you have spent 90% or more of the credit limit. Lenders view you as someone who uses their credit responsibly and spends only what they can afford.
- Lenders use credit reports and credit scores to predict whether or not you will meet future financial obligations. Having a longer credit history gives them more information to base those predictions on, and increases their confidence in you over all.
- You have spent very little of the credit that has been extended to you. Lenders see this as a sign of responsible credit behavior and are likely to extend you additional credit as a result.

What factors LOWER your PLUS Score:

- Credit scores are calculated based on various factors in your credit report. Currently, your credit report does not show any significant negative or derogatory information.

Personal Information Report Summary Bankruptcies Credit Inquiries Credit Cards & Loans Credit Score Page 1 of 1

Barranca Energy Procurement Services, LLC

C-8 Exhibit C-8 "Bankruptcy Information," provide a list and description of any reorganizations, protection from creditors or any other form of bankruptcy filings made by the applicant, a parent or affiliate organization that guarantees the obligations of the applicant or any officer of the applicant in the current year or within the two most recent years preceding the application.

NOT APPLICABLE

Barranca Energy Procurement Services, LLC

C-9 Exhibit C-9 "Merger Information," provide a statement describing any dissolution or merger or acquisition of the applicant within the five most recent years preceding the application.

NOT APPLICABLE