

FILE

27

14-254-EL-AGG

Courtney Norton
5469 Kirby Rd # 7
Cincinnati Ohio

February 15, 2014

~~Chuck Stockhausen~~

Public Utilities Commission of Ohio

DOCKETING

Dear Mr. Chuck Stockhausen

In response to application submission case number 14-254-EL- AGG. The following exhibits as follows.

Sincerely,

Courtney Norton
President

Enclosure: #
CC:

RECEIVED-DOCKETING DIV
2014 FEB 27 PM 3:02
PUCO

This is to certify that the above information is an accurate and complete reproduction of the document delivered in the regular course of business
Technician Am Date Processed 2/27/14

A-2 Exhibit A-2 General Energy LLC will do business in Ohio.

Affidavit included in following page.

Articles of organization attachment following.

A-15 Exhibit A-15 Label added in sequential order.

C-1 Exhibit C-1 Not applicable.

C-2 Exhibit C-2 Not applicable.

C-3 Exhibit C-3 C-3 Follow's

C-5 Exhibit C-5 C-5 Forecasted Financial Statements attachment following page.

C-6 Exhibit C-6 General Energy LLC, does not have such rating at current time.

C-7 Exhibit C-7 "Exhibit C-7" that states "Credit Report immediately follows"

AFFIDAVIT

State of Ohio :

Cincinnati ss.
(Town)

County of Hamilton :

Courtney Norton, Affiant, being duly sworn/affirmed according to law, deposes and says that:

He/She is the President (Office of Affiant) of General Energy, LLC (Name of Applicant);

That he/she is authorized to and does make this affidavit for said Applicant,

1. The Applicant herein, attests under penalty of false statement that all statements made in the application for certification are true and complete and that it will amend its application while the application is pending if any substantial changes occur regarding the information provided in the application.
2. The Applicant herein, attests it will timely file an annual report with the Public Utilities Commission of Ohio of its intrastate gross receipts, gross earnings, and sales of kilowatt-hours of electricity pursuant to Division (A) of Section 4905.10, Division (A) of Section 4911.18, and Division (F) of Section 4928.06 of the Revised Code.
3. The Applicant herein, attests that it will timely pay any assessments made pursuant to Sections 4905.10, 4911.18, or Division F of Section 4928.06 of the Revised Code.
4. The Applicant herein, attests that it will comply with all Public Utilities Commission of Ohio rules or orders as adopted pursuant to Chapter 4928 of the Revised Code.
5. The Applicant herein, attests that it will cooperate fully with the Public Utilities Commission of Ohio, and its Staff on any utility matter including the investigation of any consumer complaint regarding any service offered or provided by the Applicant.
6. The Applicant herein, attests that it will fully comply with Section 4928.09 of the Revised Code regarding consent to the jurisdiction of Ohio Courts and the service of process.
7. The Applicant herein, attests that it will comply with all state and/or federal rules and regulations concerning consumer protection, the environment, and advertising/promotions.
8. The Applicant herein, attests that it will use its best efforts to verify that any entity with whom it has a contractual relationship to purchase power is in compliance with all applicable licensing requirements of the Federal Energy Regulatory Commission and the Public Utilities Commission of Ohio.
9. The Applicant herein, attests that it will cooperate fully with the Public Utilities Commission of Ohio, the electric distribution companies, the regional transmission entities, and other electric suppliers in the event of an emergency condition that may jeopardize the safety and reliability of the electric service in accordance with the emergency plans and other procedures as may be determined appropriate by the Commission.
10. If applicable to the service(s) the Applicant will provide, the Applicant herein, attests that it will adhere to the reliability standards of (1) the North American Electric Reliability Council (NERC), (2) the appropriate regional reliability council(s), and (3) the Public Utilities Commission of Ohio. (Only applicable if pertains to the services the Applicant is offering)

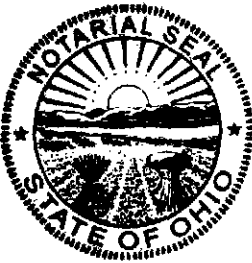
C-9 **Exhibit C-9 "Merger Information,"** provide a statement describing any dissolution or merger or acquisition of the applicant within the five most recent years preceding the application.

Courtney Norton (President)
Signature of Applicant & Title

Sworn and subscribed before me this 20 day of February, 2014
Month Year

Arthur T. Polder
Signature of official administering oath

Courtney Norton, President
Print Name and Title



Arthur T. Polder My commission expires on Dec 13, 2017
Notary Public, State of Ohio
My Commission Expires 12-13-2017

11. The Applicant herein, attests that it will inform the Commission of any material change to the information supplied in the application within 30 days of such material change, including any change in contact person for regulatory purposes or contact person for Staff use in investigating customer complaints.

That the facts above set forth are true and correct to the best of his/her knowledge, information, and belief and that he/she expects said Applicant to be able to prove the same at any hearing hereof.

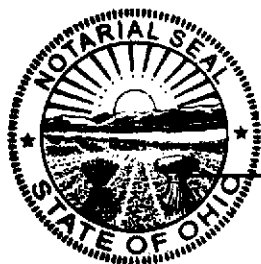
Courtney Norton President
Signature of Affiant & Title

Sworn and subscribed before me this 20 day of February, 2014
Month Year

Arthur T. Polder
Signature of official administering oath

Courtney Norton, President

Print Name and Title



My commission expires on Dec. 13, 2017

Arthur T. Polder
Notary Public, State of Ohio
My Commission Expires 12-13-2017



Form 533A Prescribed by:
Ohio Secretary of State

JON HUSTED
Ohio Secretary of State

Central Ohio: (614) 466-3910
Toll Free: (877) SOS-FILE (767-3453)
www.OhioSecretaryofState.gov
Bussserv@OhioSecretaryofState.gov

Date Electronically Filed: 12/2/2013

Articles of Organization for a Domestic Limited Liability Company

Filing Fee: \$125

CHECK ONLY ONE (1) BOX

(1) ☒ Articles of Organization for Domestic
For-Profit Limited Liability Company
(115-LCA)

(2) ☐ Articles of Organization for Domestic
Nonprofit Limited Liability Company
(115-LCA)

Name of Limited Liability Company **GENERAL ENERGY, LLC**

Name must include one of the following words or abbreviations: "limited liability company," "limited," "LLC," "L.L.C.," "Ltd.," or "Ltd."

Effective Date **12/2/2013**
(Optional) mm/dd/yyyy

(The legal existence of the limited liability company begins upon the filing
of the articles or on a later date specified that is not more than ninety days
after filing)

This limited liability company shall exist for **PERPETUAL**
(Optional) Period of Existence

Purpose
(Optional)

ENERGY BROKER

**Note for Nonprofit LLCs

The Secretary of State does not grant tax exempt status. Filing with our office is not sufficient to obtain state or federal tax exemptions. Contact the Ohio Department of Taxation and the Internal Revenue Service to ensure that the nonprofit limited liability company secures the proper state and federal tax exemptions. These agencies may require that a purpose clause be provided.

Exhibit A-15

The State of Ohio
Certificate

| DATE | DOCUMENT ID | DESCRIPTION | FILING | EXPED | PENALTY | CERT | COPY |
|------------|--------------|--------------------------------------------------|--------|-------|---------|------|------|
| 12/03/2013 | 201333601035 | ARTICLES OF ORGNZTN/DOM. PROFIT LIM.LIAB. CO. | 125.00 | 0.00 | 0.00 | 0.00 | 0.00 |

Receipt

This is not a bill. Please do not remit payment.

LICENSELOGIX
150 GRAND STREET, 4TH FLOOR
WHITE PLAINS, NY 10601

STATE OF OHIO
CERTIFICATE

Ohio Secretary of State, Jon Husted
2249777

It is hereby certified that the Secretary of State of Ohio has custody of the business records for
GENERAL ENERGY, LLC
and, that said business records show the filing and recording of:

Document(s)
ARTICLES OF ORGNZTN/DOM. PROFIT LIM.LIAB. CO.

Document No(s):
201333601035

Effective Date: 12/02/2013



United States of America
State of Ohio
Office of the Secretary of State

Witness my hand and the seal of the
Secretary of State at Columbus, Ohio
this 3rd day of December, A.D. 2013.

Jon Husted
Ohio Secretary of State

Exhibit C-3
Exhibit C-3
"Follows"



| DATE | DOCUMENT ID | DESCRIPTION | FILING | EXPED | PENALTY | CERT | COPY |
|------------|--------------|--------------------------------------------------|--------|-------|---------|------|------|
| 12/03/2013 | 201333601035 | ARTICLES OF ORGNZTN/DOM. PROFIT LIM.LIAB. CO. | 125.00 | 0.00 | 0.00 | 0.00 | 0.00 |

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Document(s)

ARTICLES OF ORGNZTN/DOM. PROFIT LIM.LIAB. CO.

Document No(s):

201333601035

Effective Date: 12/02/2013



United States of America
State of Ohio
Office of the Secretary of State

Witness my hand and the seal of the
Secretary of State at Columbus, Ohio
this 3rd day of December, A.D. 2013.

Jon Husted
Ohio Secretary of State

Revenue For
2014

REVENUE FOR 2014

\$ 642,000.00

Expenses For
2014

Expense For 2014

\$16,670.00

Net Income For 2014

Net Income For 2014

Net Income : \$ 544,930.00

Revenue
2015 year

Revenue For 2015

Revenue: 1,248,000.00

Expenses For
2015

Expense For 2015

Expense : \$ 11,720.00

Net Income For
2015

Net Income For 2015

Net Income : \$ 1,110,880.00

Exhibit C-7

Exhibit C-7
"Credit Report immediately
follows."

CreditReport.com™

COURTNEY S. HORTON
Report on 06/18/2014

Personal & Confidential
This report is a confidential document.

 **Experian**

The following images were scanned as received

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| Public Records | Exempt | Exempt | Exempt |
|----------------|--------|--------|--------|
| Yes | No | No | No |
| No | No | No | No |





Credit inquiries

There are **44** total factors of 10000 and 44 distinct χ^2 tests of your model (see: <http://www.fharrell.com/stbr05>). Therefore, requires minimum **44** observations for each 5 years.

| | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
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| 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 | 13 | 14 | 15 | 16 | 17 | 18 | 19 | 20 | 21 | 22 | 23 | 24 | 25 | 26 | 27 | 28 | 29 | 30 | 31 | 32 | 33 | 34 | 35 | 36 | 37 | 38 | 39 | 40 | 41 | 42 | 43 | 44 | 45 | 46 | 47 | 48 | 49 | 50 | 51 | 52 | 53 | 54 | 55 | 56 | 57 | 58 | 59 | 60 | 61 | 62 | 63 | 64 | 65 | 66 | 67 | 68 | 69 | 70 | 71 | 72 | 73 | 74 | 75 | 76 | 77 | 78 | 79 | 80 | 81 | 82 | 83 | 84 | 85 | 86 | 87 | 88 | 89 | 90 | 91 | 92 | 93 | 94 | 95 | 96 | 97 | 98 | 99 | 100 |
| 101 | 102 | 103 | 104 | 105 | 106 | 107 | 108 | 109 | 110 | 111 | 112 | 113 | 114 | 115 | 116 | 117 | 118 | 119 | 120 | 121 | 122 | 123 | 124 | 125 | 126 | 127 | 128 | 129 | 130 | 131 | 132 | 133 | 134 | 135 | 136 | 137 | 138 | 139 | 140 | 141 | 142 | 143 | 144 | 145 | 146 | 147 | 148 | 149 | 150 | 151 | 152 | 153 | 154 | 155 | 156 | 157 | 158 | 159 | 160 | 161 | 162 | 163 | 164 | 165 | 166 | 167 | 168 | 169 | 170 | 171 | 172 | 173 | 174 | 175 | 176 | 177 | 178 | 179 | 180 | 181 | 182 | 183 | 184 | 185 | 186 | 187 | 188 | 189 | 190 | 191 | 192 | 193 | 194 | 195 | 196 | 197 | 198 | 199 | 200 |

Credit Cards, Loans & Other Debt

Interest on any unpaid amounts on your credit cards, loans and other debt is not tax deductible. If you have other income tax issues, please consult a tax professional for more information. For more information, visit the IRS website at www.irs.gov.

| | | | |
|---------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------|
| Account History | Payment History | Spells | Interest Rates |
|  View your account history and payment activity. |  View your payment history and due dates. |  View your credit scores and reports. |  View your current and historical interest rates. |

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About your PUBL Name:

What else makes it great

Credit Score

Your Experian PLUS Score is 8002. This score is based on the information in your credit report. Your score may change over time as your credit history changes. For more information, visit [Experian.com](#).

| Experian | Equifax | TransUnion |
|----------|---------|------------|
| 8002 | 9002 | 9002 |

Your Experian PLUS Score Explanation

Score Breakdown

Experian PLUS Score: 8002

Experian Score: 8002

Equifax Score: 9002

TransUnion Score: 9002

Score Factors

Payment History: 35%

Credit Utilization: 30%

Length of Credit History: 15%

Credit Mix: 10%

New Credit: 10%

Full Credit Score is a numerical representation of your creditworthiness. It is calculated based on your credit history, credit utilization, length of credit history, credit mix, and new credit. The higher the score, the more likely you are to be approved for credit.



9002

Score

9002

TransUnion

9002

Score Range

What does a credit score of 9002 mean? A credit score of 9002 is an excellent score, indicating that you have a very low risk of defaulting on your loans. This score is typically achieved by individuals with a long history of on-time payments, low credit utilization, and a diverse mix of credit accounts.

