



PUCO USE ONLY - Version 1.07		
Date Received	Renewal Certification Number	ORIGINAL AGG Case Number
		10 - 0073 - GA-AGG

## RENEWAL CERTIFICATION APPLICATION COMPETITIVE RETAIL NATURAL GAS BROKERS/AGGREGATORS

Please **type or print** all required information. Identify all attachments with an exhibit label and title (*Example: Exhibit A-16 - Company History*). All attachments should bear the legal name of the Applicant. Applicants should file completed applications and all related correspondence with the Public Utilities Commission of Ohio, Docketing Division, 180 East Broad Street, Columbus, Ohio 43215-3793.

This PDF form is designed so that you may directly input information onto the form. You may also download the form by saving it to your local disk.

### SECTION A - APPLICANT INFORMATION AND SERVICES

#### A-1 Applicant intends to renew its certificate as: (check all that apply)

☒ Retail Natural Gas Aggregator ☒ Retail Natural Gas Broker

#### A-2 Applicant information:

Legal Name RD Energy Inc  
Address 226C Broadway East, Granville OH 43023  
Telephone No. 740-321-1075 Web site Address www.rd-energy.com  
Current PUCO Certificate No. 10-179(G)2 Effective Dates February 21, 2012 - February 21, 2014

#### A-3 Applicant information under which applicant will do business in Ohio:

Name RD Energy Inc  
Address 226C Broadway East, Granville OH 43023  
Web site Address www.rd-energy.com Telephone No. 740-321-1075

#### A-4 List all names under which the applicant does business in North America:

RD Energy Inc

#### A-5 Contact person for regulatory or emergency matters:

Name Darrell Bragg Title President  
Business Address 226C Broadway East, Granville OH 43023  
Telephone No. 740-321-1075 Fax No. 740-321-1076 Email Address dbragg@rd-energy.com

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PUCO  
This is to certify that the images appearing are an accurate and complete reproduction of a case file document delivered in the regular course of business  
Technician

**A-6 Contact person for Commission Staff use in investigating customer complaints:**

Name Darrell Bragg

Title President

Business address 226C Broadway East, Granville OH 43023

Telephone No. 740-321-1075

Fax No. 740-321-1076

Email Address dbragg@rd-energy.com

**A-7 Applicant's address and toll-free number for customer service and complaints**

Customer service address 226C Broadway East, Granville OH 43023

Toll-Free Telephone No. 877-307-3757

Fax No. 740-321-1076

Email Address dbragg@rd-energy.com

**A-8 Provide "Proof of an Ohio Office and Employee," in accordance with Section 4929.22 of the Ohio Revised Code, by listing name, Ohio office address, telephone number, and Web site address of the designated Ohio Employee**

Name Darrell Bragg

Title President

Business address 226C Broadway East, Granville OH 43023

Telephone No. 740-321-1075

Fax No. 740-321-1076

Email Address dbragg@rd-energy.com

**A-9 Applicant's federal employer identification number 20-2177002**

**A-10 Applicant's form of ownership: (Check one)**

☐ Sole Proprietorship

☐ Partnership

☐ Limited Liability Partnership (LLP)

☐ Limited Liability Company (LLC)

☒ Corporation

☐ Other

**A-11 (Check all that apply) Identify each natural gas company service area in which the applicant is currently providing service or intends to provide service, including identification of each customer class that the applicant is currently serving or intends to serve, for example: *residential, small commercial, and/or large commercial/industrial (mercantile) customers*. (A mercantile customer, as defined in Section 4929.01(L)(1) of the Ohio Revised Code, means a customer that consumes, other than for residential use, more than 500,000 cubic feet of natural gas per year at a single location within the state or consumes natural gas, other than for residential use, as part of an undertaking having more than three locations within or outside of this state. In accordance with Section 4929.01(L)(2) of the Ohio Revised Code, "Mercantile customer" excludes a not-for-profit customer that consumes, other than for residential use, more than 500,000 cubic feet of natural gas per year at a single location within this state or consumes natural gas, other than for residential use, as part of an undertaking having more than three locations within or outside this state that has filed the necessary declaration with the Public Utilities Commission.)**

<input checked="" type="checkbox"/> Columbia Gas of Ohio	<input checked="" type="checkbox"/> Residential	<input checked="" type="checkbox"/> Small Commercial	<input checked="" type="checkbox"/> Large Commercial / Industrial
<input checked="" type="checkbox"/> Dominion East Ohio	<input checked="" type="checkbox"/> Residential	<input checked="" type="checkbox"/> Small Commercial	<input checked="" type="checkbox"/> Large Commercial / Industrial
<input checked="" type="checkbox"/> Duke Energy Ohio	<input checked="" type="checkbox"/> Residential	<input checked="" type="checkbox"/> Small Commercial	<input checked="" type="checkbox"/> Large Commercial / Industrial
<input checked="" type="checkbox"/> Vectren Energy Delivery of Ohio	<input checked="" type="checkbox"/> Residential	<input checked="" type="checkbox"/> Small Commercial	<input checked="" type="checkbox"/> Large Commercial / Industrial

**A-12 If applicant or an affiliated interest previously participated in any of Ohio's Natural Gas Choice Programs, for each service area and customer class, provide approximate start date(s) and/or end date(s) that the applicant began delivering and/or ended services.**

☒ Columbia Gas of Ohio

<input checked="" type="checkbox"/> Residential	Beginning Date of Service	January 2005	End Date
<input checked="" type="checkbox"/> Small Commercial	Beginning Date of Service	January 2005	End Date
<input checked="" type="checkbox"/> Large Commercial	Beginning Date of Service	January 2005	End Date
<input checked="" type="checkbox"/> Industrial	Beginning Date of Service	January 2005	End Date

☒ Dominion East Ohio

<input checked="" type="checkbox"/> Residential	Beginning Date of Service	January 2005	End Date
<input checked="" type="checkbox"/> Small Commercial	Beginning Date of Service	January 2005	End Date
<input checked="" type="checkbox"/> Large Commercial	Beginning Date of Service	January 2005	End Date
<input checked="" type="checkbox"/> Industrial	Beginning Date of Service	February 2005	End Date

☒ Duke Energy Ohio

<input type="checkbox"/> Residential	Beginning Date of Service		End Date
<input checked="" type="checkbox"/> Small Commercial	Beginning Date of Service	October 2008	End Date
<input checked="" type="checkbox"/> Large Commercial	Beginning Date of Service	October 2008	End Date
<input type="checkbox"/> Industrial	Beginning Date of Service		End Date

☐ Vectren Energy Delivery of Ohio

<input type="checkbox"/> Residential	Beginning Date of Service		End Date
<input type="checkbox"/> Small Commercial	Beginning Date of Service		End Date
<input type="checkbox"/> Large Commercial	Beginning Date of Service		End Date
<input type="checkbox"/> Industrial	Beginning Date of Service		End Date

**A-13 If not currently participating in any of Ohio's four Natural Gas Choice Programs, provide the approximate start date that the applicant proposes to begin delivering services:**

<input type="checkbox"/>	Columbia Gas of Ohio	Intended Start Date	
<input type="checkbox"/>	Dominion East Ohio	Intended Start Date	
<input type="checkbox"/>	Duke Energy Ohio	Intended Start Date	
<input checked="" type="checkbox"/>	Vectren Energy Delivery of Ohio	Intended Start Date	November 2014

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED.

- A-14 Exhibit A-14 "Principal Officers, Directors & Partners,"** provide the names, titles, addresses and telephone numbers of the applicant's principal officers, directors, partners, or other similar officials.
- A-15 Exhibit A-15 "Corporate Structure,"** provide a description of the applicant's corporate structure, including a graphical depiction of such structure, and a list of all affiliate and subsidiary companies that supply retail or wholesale natural gas or electricity to customers in North America.
- A-16 Exhibit A-16 "Company History,"** provide a concise description of the applicant's company history and principal business interests.
- A-17 Exhibit A-17 "Articles of Incorporation and Bylaws,"** provide the articles of incorporation filed with the state or jurisdiction in which the applicant is incorporated and any amendments thereto, *only if the contents of the originally filed documents changed since the initial application.*
- A-18 Exhibit A-18 "Secretary of State,"** provide evidence that the applicant is still currently registered with the Ohio Secretary of the State.

## **SECTION B - APPLICANT MANAGERIAL CAPABILITY AND EXPERIENCE**

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED

- B-1 Exhibit B-1 "Jurisdictions of Operation,"** provide a current list of all jurisdictions in which the applicant or any affiliated interest of the applicant is, at the date of filing the application, certified, licensed, registered, or otherwise authorized to provide retail natural gas service, or retail/wholesale electric services.
- B-2 Exhibit B-2 "Experience & Plans,"** provide a current description of the applicant's experience and plan for contracting with customers, providing contracted services, providing billing statements, and responding to customer inquiries and complaints in accordance with Commission rules adopted pursuant to Section 4929.22 of the Revised Code and contained in Chapter 4901:1-29 of the Ohio Administrative Code.
- B-3 Exhibit B-3 "Summary of Experience,"** provide a concise and current summary of the applicant's experience in providing the service(s) for which it is seeking renewed certification (e.g., number and types of customers served, utility service areas, volume of gas supplied, etc.).
- B-4 Exhibit B-4 "Disclosure of Liabilities and Investigations,"** provide a description of all existing, pending or past rulings, judgments, contingent liabilities, revocations of authority, regulatory investigations, or any other matter that could adversely impact the applicant's financial or operational

status or ability to provide the services for which it is seeking renewed certification since applicant last filed for certification.

- B-5 Exhibit B-5 "Disclosure of Consumer Protection Violations,"** disclose whether the applicant, affiliate, predecessor of the applicant, or any principal officer of the applicant has been convicted or held liable for fraud or for violation of any consumer protection or antitrust laws since applicant last filed for certification.

☒ No ☐ Yes

If Yes, provide a separate attachment labeled as Exhibit B-5 "Disclosure of Consumer Protection Violations," detailing such violation(s) and providing all relevant documents.

- B-6 Exhibit B-6 "Disclosure of Certification Denial, Curtailment, Suspension, or Revocation,"** disclose whether the applicant or a predecessor of the applicant has had any certification, license, or application to provide retail natural gas or retail/wholesale electric service denied, curtailed, suspended, or revoked, or whether the applicant or predecessor has been terminated from any of Ohio's Natural Gas Choice programs, or been in default for failure to deliver natural gas since applicant last filed for certification.

☒ No ☐ Yes

If Yes, provide a separate attachment, labeled as Exhibit B-6 "Disclosure of Certification Denial, Curtailment, Suspension, or Revocation," detailing such action(s) and providing all relevant documents.

## **SECTION C - APPLICANT FINANCIAL CAPABILITY AND EXPERIENCE**

**PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED**

- C-1 Exhibit C-1 "Annual Reports,"** provide the two most recent Annual Reports to Shareholders. If applicant does not have annual reports, the applicant should provide similar information, labeled as Exhibit C-1, or indicate that Exhibit C-1 is not applicable and why.
- C-2 Exhibit C-2 "SEC Filings,"** provide the most recent 10-K/8-K Filings with the SEC. If applicant does not have such filings, it may submit those of its parent company. If the applicant does not have such filings, then the applicant may indicate in Exhibit C-2 whether the applicant is not required to file with the SEC and why.
- C-3 Exhibit C-3 "Financial Statements,"** provide copies of the applicant's two most recent years of audited financial statements (balance sheet, income statement, and cash flow statement). If audited financial statements are not available, provide officer-certified financial statements. If the applicant has not been in business long enough to satisfy this requirement, it shall file audited or officer-certified financial statements covering the life of the business.
- C-4 Exhibit C-4 "Financial Arrangements,"** provide copies of the applicant's current financial arrangements to conduct competitive retail natural gas service (CRNGS) as a business activity (e.g., guarantees, bank commitments, contractual arrangements, credit agreements, etc.)
- C-5 Exhibit C-5 "Forecasted Financial Statements,"** provide two years of forecasted financial statements (balance sheet, income statement, and cash flow statement) for the applicant's CRNGS operation, along with a list of assumptions, and the name, address, email address, and telephone number of the preparer.

- C-6 Exhibit C-6 "Credit Rating,"** provide a statement disclosing the applicant's current credit rating as reported by two of the following organizations: Duff & Phelps, Dun and Bradstreet Information Services, Fitch IBCA, Moody's Investors Service, Standard & Poors, or a similar organization. In instances where an applicant does not have its own credit ratings, it may substitute the credit ratings of a parent or affiliate organization, provided the applicant submits a statement signed by a principal officer of the applicant's parent or affiliate organization that guarantees the obligations of the applicant.
- C-7 Exhibit C-7 "Credit Report,"** provide a copy of the applicant's current credit report from Experian, Dun and Bradstreet, or a similar organization.
- C-8 Exhibit C-8 "Bankruptcy Information,"** provide a list and description of any reorganizations, protection from creditors, or any other form of bankruptcy filings made by the applicant, a parent or affiliate organization that guarantees the obligations of the applicant or any officer of the applicant in the current year or since applicant last filed for certification.
- C-9 Exhibit C-9 "Merger Information,"** provide a statement describing any dissolution or merger or acquisition of the applicant since applicant last filed for certification.

## **SECTION D – APPLICANT TECHNICAL CAPABILITY**

**PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED.**

- D-1 Exhibit D-1 "Operations,"** provide a current written description of the operational nature of the applicant's business functions.
- D-2 Exhibit D-2 "Operations Expertise,"** given the operational nature of the applicant's business, provide evidence of the applicant's current experience and technical expertise in performing such operations.
- D-3 Exhibit D-3 "Key Technical Personnel,"** provide the names, titles, email addresses, telephone numbers, and background of key personnel involved in the operational aspects of the applicant's current business.

Applicant Signature and Title *Danell Bragg, President*

Sworn and subscribed before me this *15* day of *January* Month *2014* Year

*Patricia L. Vance*  
Signature of official administering oath

Print Name and Title *Patricia L. Vance*  
*Bank Teller*



*Patricia L. Vance* commission expires on *9-12-17*  
Notary Public, State of Ohio  
My Commission Expires  
Sept. 12th, 2017



# The Public Utilities Commission of Ohio

Competitive Retail Natural Gas Service  
Affidavit Form  
(Version 1.07)

In the Matter of the Application of )

for a Certificate or Renewal Certificate to Provide )  
Competitive Retail Natural Gas Service in Ohio. )

Case No. 10 - 0073 -GA-AGG

County of  
State of

[Affiant], being duly sworn/affirmed, hereby states that:

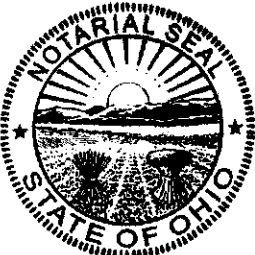
- (1) The information provided within the certification or certification renewal application and supporting information is complete, true, and accurate to the best knowledge of affiant.
- (2) The applicant will timely file an annual report of its intrastate gross receipts and sales of hundred cubic feet of natural gas pursuant to Sections 4905.10(A), 4911.18(A), and 4929.23(B), Ohio Revised Code.
- (3) The applicant will timely pay any assessment made pursuant to Section 4905.10 or Section 4911.18(A), Ohio Revised Code.
- (4) Applicant will comply with all applicable rules and orders adopted by the Public Utilities Commission of Ohio pursuant to Title 49, Ohio Revised Code.
- (5) Applicant will cooperate with the Public Utilities Commission of Ohio and its staff in the investigation of any consumer complaint regarding any service offered or provided by the applicant.
- (6) Applicant will comply with Section 4929.21, Ohio Revised Code, regarding consent to the jurisdiction of the Ohio courts and the service of process.
- (7) Applicant will inform the Public Utilities Commission of Ohio of any material change to the information supplied in the certification or certification renewal application within 30 days of such material change, including any change in contact person for regulatory or emergency purposes or contact person for Staff use in investigating customer complaints.
- (8) Affiant further sayeth naught.

Affiant Signature & Title *Danell Bragg, President*

Sworn and subscribed before me this 15 day of January Month 2014 Year

*Patricia L. Vance*  
Signature of Official Administering Oath

Print Name and Title *Patricia L. Vance  
Bank Teller*



Patricia L. Vance  
Notary Public, State of Ohio  
My Commission Expires  
Sept. 12th, 2017

My commission expires on 9.12.17

(CRNGS Broker/Aggregator Renewal) Page 7 of 7

**Rd Energy, Inc.**

**Exhibit A-14**

**Principal Officers, Directors & Partners**

<http://www.rd-energy.com>

**226 C. East Broadway**

**Granville, OH 43023**

**740-321-1075**

**740-205-1335 Fax**

**1-877-792-5424 Toll-Free**

**Darrell W. Bragg, President, Treasurer and Secretary**

[dbragg@rd-energy.com](mailto:dbragg@rd-energy.com)



**Rd Energy, Inc.**

**Exhibit A-15**

**Corporate Structure**

**Corporation, 100% owned by Darrell Bragg. RD Energy will participate in all deregulated Ohio energy markets geographically. RD Energy nor Darrell Bragg has any affiliate subsidiary companies that supply retail or wholesale natural gas or electricity to customers in North America.**

**Rd Energy, Inc. is independent from any other corporate ownership.**

**Darrell Bragg- President, Treasurer and Secretary**

## **Rd Energy, Inc.**

### **Exhibit A-16**

#### **Company History**

**RD Energy, Inc. was founded January 3, 2005.**

**Our mission: To provide a resource in this volatile and ever changing energy market for our customers to rely on for support and guidance in their natural gas and electric purchasing decisions so that those decisions are based on insight and facts supported by the latest market conditions and trends.**

#### **Corporate Goals:**

- 1. Provide information and facts of the energy market so decisions are based on knowledge.**
- 2. Provide honest and timely customer advice so decisions can be made with confidence.**
- 3. Be available so customers can feel supported.**

**For a few years prior to 2005 Ray Dankel worked as an Account Executive for what was then known as WPS Energy Services, INC. (Now known as Integrys Energy, Inc.) Due to a customer's target change inside of WPS Energy, it was decided that Ray could better serve WPS Energy and Ray Dankel's customers by becoming an agent for WPS Energy rather than an employee. In early January 2005 Ray Dankel formed the corporation RD Energy, Inc. RD Energy sold natural gas exclusively for WPS Energy to small commercial and industrial accounts throughout Ohio. In addition, RD Energy worked to build strong business organization relationships for those organization's members and member employees.**

**Darrell Bragg graduated from college in 1980 with a BS degree with areas of concentration in both accounting and finance. Upon graduation Darrell went to work for Columbia Gas Transmission for 9 years. The last three years at Columbia Gas Transmissions he worked as a Transportation and Exchange representative providing information, instruction, problem solving and guidance for the new deregulated market to deregulated transportation customers throughout the Columbia Gas Transmission delivery area. His overall knowledge of accounting, gas measurement, gas control, Appalachian production and billing provided the tools necessary for the Columbia representative position. In 1989 Darrell left Columbia to work over the next six years for two independent natural gas marketers in Ohio. He rose to vice president in both companies exhibiting strength in finance, sales, sales strategy along with exhibiting strong customer and producer relationships. Darrell joined WPS Energy in 1995 as a Vice President and headed up the new small customer programs (choice) beginning in 1998. In 2000 he started up an agent program that sold gas for WPS Energy and later Integrys Energy to the small Choice customers instead of corporate Account Executives. Darrell worked as one of the negotiating representatives for Integrys Energy as Columbus Gas of Ohio, Dominion East Ohio Gas and Duke Energy worked with the PUCO and interested parties to form the current Choice Landscape behind each utility.**

In 2008 Darrell left Integrys Energy to take over ownership of RD Energy, Inc. due primarily to some health issues of Ray Dankel. RD Energy's mission statement and corporate goals are the center of Darrell's corporate beliefs and are the foundation of the future vision of the company. In June 2009 RD Energy entered Ohio's electric deregulated market behind the First Energy Utilities in northern Ohio and soon after began serving electric customer behind American Electric Power (AEP). The expectation is to provide the same excellent customer service to our customers and business organization partners for electric as we do for natural gas.

**Rd Energy, Inc.**

**Exhibit A-17**

**Articles of Incorporation and Bylaws**



Prescribed by **J. Kenneth Blackwell**

Ohio Secretary of State

Central Ohio: (614) 466-3910

Toll Free: 1-877-SOS-FILE (1-877-767-3453)

[www.state.oh.us/sos](http://www.state.oh.us/sos)

e-mail: [busserv@sos.state.oh.us](mailto:busserv@sos.state.oh.us)

Expedite this Form: (Select One)

Mail Form to one of the Following:

☒ Yes PO Box 1390  
Columbus, OH 43216  
\*\*\* Requires an additional fee of \$100 \*\*\*

☐ No PO Box 670  
Columbus, OH 43216

## INITIAL ARTICLES OF INCORPORATION

(For Domestic Profit or Non-Profit)

Filing Fee \$125.00

THE UNDERSIGNED HEREBY STATES THE FOLLOWING:

(CHECK ONLY ONE (1) BOX)

(1) <input checked="" type="checkbox"/> Articles of Incorporation Profit (113-ARF) ORC 1701	(2) <input type="checkbox"/> Articles of Incorporation Non-Profit (114-ARN) ORC 1702	(3) <input type="checkbox"/> Articles of Incorporation Professional (170-ARP) Profession _____ ORC 1785
--	---	--

Complete the general information in this section for the box checked above.

FIRST: Name of Corporation RD Energy, Inc.

SECOND: Location Rocky River Cuyahoga  
(City) (County)

Effective Date (Optional) \_\_\_\_\_ Date specified can be no more than 90 days after date of filing. If a date is specified, the date must be a date on or after the date of filing.  
(mm/dd/yyyy)

☐ Check here if additional provisions are attached

Complete the information in this section if box (2) or (3) is checked. Completing this section is optional if box (1) is checked.

THIRD: Purpose for which corporation is formed

to engage in any lawful act or activity for which corporations may be formed under Section 1701 to 1701.98,  
inclusive, of the Ohio Revised Code.

Complete the information in this section if box (1) or (3) is checked.

FOURTH: The number of shares which the corporation is authorized to have outstanding (Please state if shares are common or preferred and their par value if any) 1500 common none  
(No. of Shares) (Type) (Par Value)

(Refer to instructions if needed)

**Completing the information in this section is optional**

**FIFTH:** The following are the names and addresses of the individuals who are to serve as Initial Directors.

(Name)

(Street)

NOTE: P.O. Box Addresses are NOT acceptable.

(City)

(State)

(Zip Code)

(Name)

(Street)

NOTE: P.O. Box Addresses are NOT acceptable.

(City)

(State)

(Zip Code)

(Name)

(Street)

NOTE: P.O. Box Addresses are NOT acceptable.

(City)


(State)

(Zip Code)

**REQUIRED**

Must be authenticated  
(signed) by an authorized  
representative

(See instructions)



Authorized Representative

Ray Dankel


(print name)

2109 Wooster #51

Rocky River, Ohio 44116

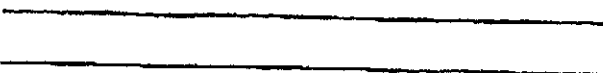
January 3, 2005

Date



Authorized Representative

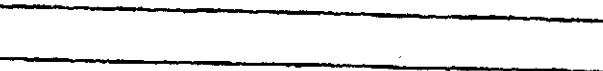
(print name)





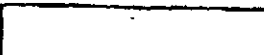
Authorized Representative

(print name)





Date



Date

# ORIGINAL APPOINTMENT OF STATUTORY AGENT

The undersigned, being at least a majority of the incorporators of RD Energy, Inc.  
hereby appoint the following to be statutory agent upon whom any process, notice or demand required or permitted by  
statute to be served upon the corporation may be served. The complete address of the agent is

Ray Dankel

(Name)

2109 Wooster #51

(Street)

NOTE: P.O. Box Addresses are NOT acceptable.

Rocky River

, Ohio

44116

(City)

(Zip Code)

Must be authenticated by an  
authorized representative



Authorized Representative

January 3, 2005

Date

Authorized Representative

Date

Authorized Representative

Date

## ACCEPTANCE OF APPOINTMENT

The Undersigned,

Ray Dankel

, named herein as the

Statutory agent for,

RD Energy, Inc.

, hereby acknowledges and accepts the appointment of statutory agent for said entity.

Signature: 

(Statutory Agent)

**Rd Energy, Inc.**

**Exhibit A-18**

**Secretary of State**





DATE:	DOCUMENT ID	DESCRIPTION	FILING	EXPED	PENALTY	CERT	COPY
01/10/2005	200501000716	DOMESTIC ARTICLES/FOR PROFIT (AF	125.00	100.00	.00	.00	.00

**Receipt**

This is not a bill. Please do not remit payment.

JOHN B. SHEPHERD  
24461 DETROIT RD., 340  
WESTLAKE, OH 44145

# STATE OF OHIO CERTIFICATE

Ohio Secretary of State, J. Kenneth Blackwell

1510932

It is hereby certified that the Secretary of State of Ohio has custody of the business records for  
**RD ENERGY, INC.**

and, that said business records show the filing and recording of:

Document(s)  
**DOMESTIC ARTICLES/FOR PROFIT**

Document No(s):  
**200501000716**



United States of America  
State of Ohio  
Office of the Secretary of State

Witness my hand and the seal of the  
Secretary of State at Columbus, Ohio  
this 10th day of January, A.D. 2005.

*J. Kenneth Blackwell*  
Ohio Secretary of State

**Rd Energy, Inc.**

**Exhibit B-1**

**Jurisdiction of Operations**

**RD Energy is authorized to provide electric service in:**

**Ohio**

**Illinois**

**Michigan**

## **Rd Energy, Inc.**

### **Exhibit B-2**

#### **Experience & Plans**

RD Energy, Inc. has provided services to residential (through business organizations), commercial, mercantile and industrial customers. By establishing a working and trusting relations with the individual client or business association, we provide energy information, strategies and insight so customers can make the right decisions for their home or business. Starting with Darrell Bragg's (president) 29 years in the energy market along with his experience in pricing, risk management, strategy creation, forecasting and overall energy knowledge, RD Energy takes its mission statement and corporate goals very seriously. The first step in having a happy customer is having an informed one and one who feels that both information and the human touch are only a phone call or email away. All RD Energy employees and sales agents are trained to treat every customer with honesty and respect.

As RD Energy moves forward in the Ohio electric marketplace, we will be simply a broker and not a supplier. We will not be billing, but we will be handling billing questions. We won't own the supplier contract, but we will know what the contract says and will be the contracts intersect for the supplier.

RD Energy has plans to provide both custom pricing to large volume users and a guaranteed savings offer to small commercial and residential customers. Customers with custom pricing will be provided not only a competitive price to compare with the utility rate, but we will provide a savings analysis as well so the customer can see the real value of the price. We will understand the supplier contract and point out both the most attractive points of the contract as well as any potential pitfalls of the contract. We recognize that any contract surprise to the detriment of the customer will likely lead to a lost customer at renewal time. So we will work hard to prevent contract surprises.

**Rd Energy, Inc.**

**Exhibit B-3**

**Summary of Experience**

RD Energy, Inc. has served the natural gas market in Ohio since January 2005. As an agent for a major natural gas supplier RD Energy has brought approximately 10,000 new accounts to the supplier representing industrial, commercial and residential accounts with annual volumes adding up to approximately 4 bcf/year.

RD Energy's President has been involved in nearly all parts of the natural gas industry starting in January 1981 when he became employed by Columbia Gas Transmission. During his 9 years at TCO he became a resident expert in plant accounting, revenue accounting, Appalachian pool production, gas control, gas measurement and the scheduling of gas. The final three years at TCO he was Transportation and Exchange Representative, which involved being one of five representatives of TCO that was the marketing go between TCO and all of its deregulated utility and industrial customers. This position required expertise in most operational aspects of TCO. Darrell later worked in the deregulated supplier side of the business and has participated in sales, planning, finance, delivery, production, supply, scheduling, management, accounting, ect. Each company he has worked for has seen fit to promote him to the Vice President level in a short period of time. In his most recent role he was responsible for the creation growth and financial profitability for all commercial and residential business in the company's eastern U.S. footprint for natural gas, electric and governmental aggregation.

Since taking over ownership in July 2008, Darrell continues to manage one of the largest business association relationships in the country, has continued a business practice of honesty and professionalism has brought the electric product to many natural gas customers and continues to grow the business. His knowledge of business principals, the energy industry and the recognition of the value of strong customer relationships demonstrate that his years in the business world and energy experience provides the bedrock of experience needed to function soundly in the Ohio deregulated market place.

**Rd Energy, Inc.**

**Exhibit B-4**

**Disclosure of Liabilities and Investigations**

**None**

**Rd Energy, Inc.**

**Exhibit C-1**

**Annual Reports**

**RD Energy, Inc is not required to produce an annual report.**

**Rd Energy, Inc.**

**Exhibit C-2**

**SEC Filings**

**RD Energy, Inc is a broker/sales agent and does not take title to the natural gas or electric and is not a public entity. RD Energy, Inc. is an Ohio Corporation with 100% of the stock owned by Darrell Bragg, so no SEC filing is required.**

**RD Energy Inc**  
**Exhibit C-3**  
**Financial Statements**

**See attached**



**KISSLING JASKO BONDS & CO., INC**  
CERTIFIED PUBLIC ACCOUNTANTS  
20325 CENTER RIDGE RD. #440  
ROCKY RIVER, OHIO 44116

**Accountant's Compilation Report**

To the Shareholder  
R D Energy, Inc  
Granville, Ohio

We have compiled the accompanying balance sheet of R D Energy, Inc, an S corporation as of December 31, 2013, and the related statement of income and Retained Earnings for the year then ended. We have not audited or reviewed the accompanying financial statements and, accordingly, do not express an opinion or provide any assurance about whether the financial statements are in accordance with accounting principles generally accepted in the United States of America.

Management is responsible for the preparation and fair presentation of the financial statements in accordance with accounting principles generally accepted in the United States of America and for designing, implementing, and maintaining internal control relevant to the preparation and fair presentation of the financial statements.

Our responsibility is to conduct the compilation in accordance with Statement on Standards for Accounting and Review Services issued by the American Institute of Certified Public Accountants. The objective of a compilation is to assist management in presenting financial information in the form of financial statements without undertaking to obtain or provide any assurance that there are no material modifications that should be made to the financial statements.

Management has elected to omit substantially all of the disclosures and the statement of cash flows required by accounting principles generally accepted in the United States of America. If the omitted disclosures and statement of cash flows were included in the financial statements, they might influence the user's conclusions about the Company's financial position, results of operations, and cash flows. Accordingly, the financial statements are not designed for those who are not informed about such matters.

The Company, with consent of its shareholders, has elected under the Internal Revenue Code to be an S corporation. In lieu of corporation income taxes, the shareholders of an S corporation are taxed on their proportionate share of the Company's taxable income. Therefore, no provision or liability for federal income taxes has been included in these financial statements.

*Kissling Jasko Bonds & Co*

January 11, 2014

**RD Energy Inc.**  
**Balance Sheet**  
As of December 31, 2013

	<u>Dec 31, 13</u>
<b>ASSETS</b>	
<b>Current Assets</b>	
Checking/Savings	
Cash in Bank - Fifth Third	4,536.04
5/3 Bank - Savings	205.09
<b>Total Checking/Savings</b>	<u>4,741.13</u>
<b>Total Current Assets</b>	4,741.13
<b>Fixed Assets</b>	
Equipment	18,181.07
Accumulated Depreciation	<u>-18,181.07</u>
<b>Total Fixed Assets</b>	0.00
<b>Other Assets</b>	
Deposit - BWC	<u>198.72</u>
<b>Total Other Assets</b>	<u>198.72</u>
<b>TOTAL ASSETS</b>	<u><u>4,939.85</u></u>
<b>LIABILITIES &amp; EQUITY</b>	
<b>Liabilities</b>	
<b>Current Liabilities</b>	
Other Current Liabilities	
Payroll Liabilities	
941 Payable	634.00
SWT Payable	1,586.22
Local Tax Withheld	573.77
SUTA Payable	<u>16.50</u>
<b>Total Payroll Liabilities</b>	<u>2,810.49</u>
<b>Total Other Current Liabilities</b>	<u>2,810.49</u>
<b>Total Current Liabilities</b>	<u>2,810.49</u>
<b>Total Liabilities</b>	2,810.49
<b>Equity</b>	
Capital Stock	500.00
Retained Earnings	905.59
Net Income	<u>723.77</u>
<b>Total Equity</b>	<u>2,129.36</u>
<b>TOTAL LIABILITIES &amp; EQUITY</b>	<u><u>4,939.85</u></u>

See Accompanying Accountant's Compilation Report

**RD Energy Inc**  
**Statement of Retained Earnings**  
**For the Year Ended December 31, 2013**

Retained Earnings, January 1, 2013	\$	905.59
Net Income		<u>723.77</u>
Retained Earnings, September 30, 2013	\$	<u><u>1,629.36</u></u>

See Accountant's Report

**RD Energy Inc.**  
**Profit & Loss**  
January through December 2013

	<u>Jan - Dec 13</u>	<u>% of Income</u>
<b>Ordinary Income/Expense</b>		
Income		
<b>Fees</b>	723,621.98	100.1%
<b>Customer Refunds</b>	-810.95	-0.1%
<b>Total Income</b>	<u>722,811.03</u>	<u>100.0%</u>
Expense		
<b>Employee Welfare</b>	768.50	0.1%
<b>Advertising</b>	5,110.14	0.7%
<b>Commissions</b>	95,464.95	13.2%
<b>Computer Expenses</b>	85.58	0.0%
<b>Dues and Subscriptions</b>	965.00	0.1%
<b>Insurance</b>	32,168.43	4.5%
<b>Internet Expenses</b>	194.40	0.0%
<b>Licenses and Permits</b>	100.00	0.0%
<b>Maintenance &amp; Cleaning</b>	40.13	0.0%
<b>Meals</b>	1,291.26	0.2%
<b>Office Supplies &amp; Expense</b>	4,004.71	0.6%
<b>Postage and Delivery</b>	573.86	0.1%
<b>Promotions</b>	1,193.05	0.2%
<b>Professional Fees</b>	2,855.00	0.4%
<b>Rent</b>	9,725.62	1.3%
<b>Repairs &amp; Maintenance</b>	200.71	0.0%
<b>Salaries &amp; Wages</b>	521,800.00	72.2%
<b>Taxes</b>	23,090.13	3.2%
<b>Telephone</b>	7,024.12	1.0%
<b>Trade Shows</b>	135.00	0.0%
<b>Travel</b>	14,482.76	2.0%
<b>Utilities</b>	814.03	0.1%
<b>Total Expense</b>	<u>722,087.38</u>	<u>99.9%</u>
<b>Net Ordinary Income</b>	723.65	0.1%
<b>Other Income/Expense</b>		
Other Income		
<b>Interest Income</b>	0.12	0.0%
<b>Total Other Income</b>	<u>0.12</u>	<u>0.0%</u>
<b>Net Other Income</b>	<u>0.12</u>	<u>0.0%</u>
<b>Net Income</b>	<u><u>723.77</u></u>	<u><u>0.1%</u></u>

**See Accompanying Accountant's Compilation Report**

**Rd Energy, Inc.**

**Exhibit C-4**

**Financial Arrangements**

**RD Energy, Inc. is a broker/sales agent only and does not take title to the natural gas or electric and is not a public entity. RD Energy does not require any loans to operate.**

**RD Energy Inc**

**Exhibit C-5**

**Forecasted Financial Statements**

**See attached**

**RD Energy Inc.**  
**Projected Income and Expenses**  
January through December 2014

	<u>Jan - Dec 14</u>
<b>Ordinary Income/Expense</b>	
Income	
<b>Fees</b>	670,000.00
<b>Total Income</b>	670,000.00
Expense	
<b>Employee Welfare</b>	768.50
<b>Advertising</b>	5,110.14
<b>Automobile Expense</b>	13,004.00
<b>Commissions</b>	89,523.00
<b>Computer Expenses</b>	85.58
<b>Dues and Subscriptions</b>	1,000.00
<b>Insurance</b>	29,625.80
<b>Internet Expenses</b>	194.00
<b>Licenses and Permits</b>	350.00
<b>Meals</b>	3,000.00
<b>Office Supplies &amp; Expense</b>	3,600.00
<b>Postage and Delivery</b>	552.00
<b>Promotions</b>	1,500.00
<b>Professional Fees</b>	2,600.00
<b>Rent</b>	10,045.00
<b>Salaries &amp; Wages</b>	478,500.00
<b>Taxes</b>	19,551.09
<b>Telephone</b>	4,432.00
<b>Trade Shows</b>	135.00
<b>Travel</b>	2,000.00
<b>Utilities</b>	600.00
<b>Total Expense</b>	666,176.11
<b>Net Ordinary Income</b>	3,823.89
<b>Net Income</b>	<u><u>3,823.89</u></u>

**RD Energy Inc.**  
**Projected Income and Expenses**  
January through December 2015

	<u>Jan - Dec 15</u>
<b>Ordinary Income/Expense</b>	
Income	
<b>Fees</b>	730,000.00
<b>Total Income</b>	730,000.00
Expense	
<b>Automobile Expense</b>	12,000.00
<b>Commissions</b>	95,000.00
<b>Dues and Subscriptions</b>	1,000.00
<b>Insurance</b>	32,620.00
<b>Internet Expenses</b>	194.00
<b>Licenses and Permits</b>	200.00
<b>Meals</b>	3,000.00
<b>Office Supplies &amp; Expense</b>	3,600.00
<b>Postage and Delivery</b>	600.00
<b>Promotions</b>	1,500.00
<b>Professional Fees</b>	2,800.00
<b>Rent</b>	10,200.00
<b>Salaries &amp; Wages</b>	530,000.00
<b>Taxes</b>	21,200.00
<b>Telephone</b>	4,500.00
<b>Travel</b>	2,000.00
<b>Utilities</b>	600.00
<b>Total Expense</b>	721,014.00
<b>Net Ordinary Income</b>	8,986.00
<b>Net Income</b>	<u>8,986.00</u>



**Rd Energy, Inc.**

**Exhibit C-6**

**Credit Rating**

**RD Energy, Inc. does not have a DNB number so there is not Credit Rating to report.**

**Rd Energy, Inc.**

**Exhibit C-7**

**Credit Report**

**RD Energy, Inc. does not use loans to operate, so there is no credit report to show.**

**Rd Energy, Inc.**

**Exhibit C-8**

**Bankruptcy Information**

**RD Energy, Inc. has not had any reorganization, protection from creditors, or any other form of bankruptcy filings made by the applicant, a parent or affiliate organization that guarantees the obligations of the applicant or any officer of the applicant in the current year or since applicant last filed for certification.**

**Rd Energy, Inc.**

**Exhibit C-9**

**Merger Information**

**RD Energy, Inc. has had no dissolution or mergers or acquisition of the applicant since the applicant last filed for certification.**

**Rd Energy, Inc.**

**Exhibit D-1**

**Operations**

**RD Energy Inc. serves as an energy supplier sales agent for both deregulated natural gas and electric, as an energy program and enrollment facilitator for business associations, as an energy information source to its natural gas and electric customers and can provide pricing and supply strategies for customers ranging from industrial to residential including governmental communities. RD Energy personnel are recognized as having the integrity, the expertise and the communication skills necessary to provide timely, accurate and critically important data for clients of all sizes to make educated decisions on the product and timing of their energy purchase that best meets their energy budgets and financial demands.**

**Rd Energy, Inc.**

**Exhibit D-2**

**Operations Expertise**

**President – Darrell Bragg**

**Past Qualifying Positions:**

- a. **Vice President & General Manager and Integrys Energy Services Inc. (1995-2008) –**  
Served as the Income Stream leader for Direct Mass Market and Governmental Aggregation Programs for the Integrys Energy business footprint. Responsible for developing the Income Stream to maximize growth, profitability and customer satisfaction. Participated in, reviewed and created the marketing plan, product pricing, product delivery, risk management, billing, financial reporting, budgeting Appalachian production services, in bound call center, resolve customer complaints, legal contracting as well as tariff negotiations. The overall goal was to have a high degree of customer delight while balancing competitive pricing and profitability.
- b. **Vice President and partner at Fuels Services Group, Inc. (1992-1995) -** Responsible for Appalachian and Southwest natural gas purchasing as well as the Industrial Sales. Crates sales and purchasing plans and reviewed financial reporting, billing and natural gas delivery. Also worked to develop strong utility and pipeline relationships.

**Rd Energy, Inc.**

**Exhibit D-3**

**Key Technical Personnel**

**Darrell Bragg- President. He's been in the industry for 31 years. Over the course of his career Darrell has directly served and has been in charge of every element of the natural gas process from well head supply purchasing to burner tip deliver and every component in between.**

**Ali Shaffer- Operations Manager. Ali's role at RD Energy is one of tracking all data, contracts and pricing as well as providing customer service. At the front line to customer service Ali serves as the go between for customers who need a quick response to their questions and the data available to answer their questions. Her skill set include a business and communication degree from Bowling Green State University. Her skill set and professionalism provides customers with assurance that any concerns are handled accurately and efficiently and the response will be timely. Ali also uses her skills to help with the sales and marketing components of RD Energy.**