



The Public Utilities Commission of Ohio

220

Original AGG Case Number	Version
10-0072EL-AGG	August 2004

RENEWAL APPLICATION FOR AGGREGATORS/POWER BROKERS

Please print or type all required information. Identify all attachments with an exhibit label and title (Example: Exhibit A-10 Corporate Structure). All attachments should bear the legal name of the Applicant. Applicants should file completed applications and all related correspondence with the Public Utilities Commission of Ohio, Docketing Division; 180 East Broad Street, Columbus, Ohio 43215-3793.

**This PDF form is designed so that you may input information directly onto the form.
You may also download the form, by saving it to your local disk, for later use.**

A. RENEWAL INFORMATION

A-1 Applicant's legal name, address, telephone number, PUCO certificate number, and web site address

Legal Name RD Energy Inc
 Address 226C Broadway East
 PUCO Certificate # and Date Certified 10-196E (2), February 24, 2012
 Telephone # (740) 321-1075 Web site address (if any) www.rd-energy.com

A-2 List name, address, telephone number and web site address under which Applicant will do business in Ohio

Legal Name Same
 Address _____
 Telephone # _____ Web site address (if any) _____

A-3 List all names under which the applicant does business in North America

Same _____

A-4 Contact person for regulatory or emergency matters

Name Darrell Bragg
 Title President
 Business address 226C Broadway East, Granville OH 43023
 Telephone # (740) 321-1075 Fax # (740) 321-1076
 E-mail address (if any) dbragg@rd-energy.com

PUCO

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This is to certify that the images appearing are an accurate and complete reproduction of a case file document delivered in the regular course of business.
 technician MA Date Processed JAN 17 2014

A-5 Contact person for Commission Staff use in investigating customer complaints

Name Darrell Bragg
Title President
Business address 226C Broadway East, Granville OH 43023
Telephone # (740) 321-1075 Fax # (740) 321-1076
E-mail address (if any) dbragg@rd-energy.com

A-6 Applicant's address and toll-free number for customer service and complaints

Customer Service address 226C Broadway East, Granville OH 43023
Toll-free Telephone # (877) 307-3757 Fax # (740) 321-1076
E-mail address (if any) dbragg@rd-energy.com

A-7 Applicant's federal employer identification number # 20-2177002

A-8 Applicant's form of ownership (check one)

- | | |
|--|--|
| <input type="checkbox"/> Sole Proprietorship | <input type="checkbox"/> Partnership |
| <input type="checkbox"/> Limited Liability Partnership (LLP) | <input type="checkbox"/> Limited Liability Company (LLC) |
| <input checked="" type="checkbox"/> Corporation | <input type="checkbox"/> Other _____ |

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:

A-9 Exhibit A-9 "Principal Officers, Directors & Partners" provide the names, titles, addresses and telephone numbers of the applicant's principal officers, directors, partners, or other similar officials.

A-10 Exhibit A-10 "Corporate Structure," provide a description of the applicant's corporate structure, including a graphical depiction of such structure, and a list of all affiliate and subsidiary companies that supply retail or wholesale electricity or natural gas to customers and companies that aggregate customers in North America.

B. APPLICANT MANAGERIAL CAPABILITY AND EXPERIENCE

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:

B-1 Exhibit B-1 "Jurisdictions of Operation," provide a list of all jurisdictions in which the applicant or any affiliated interest of the applicant is, at the date of filing the application, certified, licensed, registered, or otherwise authorized to provide retail or wholesale electric services including aggregation services.

B-2 Exhibit B-2 "Experience & Plans," provide a description of the applicant's experience and plan for contracting with customers, providing contracted services, providing billing statements, and responding to customer inquiries and complaints in accordance with Commission rules adopted pursuant to Section 4928.10 of the Revised Code.

B-3 **Exhibit B-3 "Disclosure of Liabilities and Investigations,"** provide a description of all existing, pending or past rulings, judgments, contingent liabilities, revocation of authority, regulatory investigations, or any other matter that could adversely impact the applicant's financial or operational status or ability to provide the services it is seeking to be certified to provide.

B-4 Disclose whether the applicant, a predecessor of the applicant, or any principal officer of the applicant have ever been convicted or held liable for fraud or for violation of any consumer protection or antitrust laws within the past five years.

☒ No ☐ Yes

If yes, provide a separate attachment labeled as **Exhibit B-4 "Disclosure of Consumer Protection Violations"** detailing such violation(s) and providing all relevant documents.

B-5 Disclose whether the applicant or a predecessor of the applicant has had any certification, license, or application to provide retail or wholesale electric service including aggregation service denied, curtailed, suspended, revoked, or cancelled within the past two years.

☒ No ☐ Yes

If yes, provide a separate attachment labeled as **Exhibit B-5 "Disclosure of Certification Denial, Curtailment, Suspension, or Revocation"** detailing such action(s) and providing all relevant documents.

C. FINANCIAL CAPABILITY AND EXPERIENCE

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:

C-1 **Exhibit C-1 "Annual Reports,"** provide the two most recent Annual Reports to Shareholders. If applicant does not have annual reports, the applicant should provide similar information in Exhibit C-1 or indicate that Exhibit C-1 is not applicable and why.

C-2 **Exhibit C-2 "SEC Filings,"** provide the most recent 10-K/8-K Filings with the SEC. If applicant does not have such filings, it may submit those of its parent company. If the applicant does not have such filings, then the applicant may indicate in Exhibit C-2 that the applicant is not required to file with the SEC and why.

C-3 **Exhibit C-3 "Financial Statements,"** provide copies of the applicant's two most recent years of audited financial statements (balance sheet, income statement, and cash flow statement). If audited financial statements are not available, provide officer certified financial statements. If the applicant has not been in business long enough to satisfy this requirement, it shall file audited or officer certified financial statements covering the life of the business.

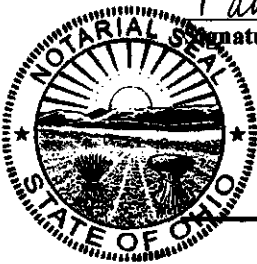
- C-4 **Exhibit C-4 "Financial Arrangements,"** provide copies of the applicant's financial arrangements to conduct CRES as a business activity (e.g., guarantees, bank commitments, contractual arrangements, credit agreements, etc.).
- C-5 **Exhibit C-5 "Forecasted Financial Statements,"** provide two years of forecasted financial statements (balance sheet, income statement, and cash flow statement) for the applicant's CRES operation, along with a list of assumptions, and the name, address, e-mail address, and telephone number of the preparer.
- C-6 **Exhibit C-6 "Credit Rating,"** provide a statement disclosing the applicant's credit rating as reported by two of the following organizations: Duff & Phelps, Dun and Bradstreet Information Services, Fitch IBCA, Moody's Investors Service, Standard & Poors, or a similar organization. In instances where an applicant does not have its own credit ratings, it may substitute the credit ratings of a parent or affiliate organization, provided the applicant submits a statement signed by a principal officer of the applicant's parent or affiliate organization that guarantees the obligations of the applicant.
- C-7 **Exhibit C-7 "Credit Report,"** provide a copy of the applicant's credit report from Experian, Dun and Bradstreet or a similar organization.
- C-8 **Exhibit C-8 "Bankruptcy Information,"** provide a list and description of any reorganizations, protection from creditors or any other form of bankruptcy filings made by the applicant, a parent or affiliate organization that guarantees the obligations of the applicant or any officer of the applicant in the current year or within the two most recent years preceding the application.
- C-9 **Exhibit C-9 "Merger Information,"** provide a statement describing any dissolution or merger or acquisition of the applicant within the five most recent years preceding the application.

Danell Bragg, President
Signature of Applicant & Title

Sworn and subscribed before me this 15 day of January, 2014
Month Year

Patricia L. Vance
Signature of official administering oath

Patricia L. Vance Bank Teller
Print Name and Title



Patricia L. Vance
Notary Public, State of Ohio
My Commission Expires
Sept. 12th, 2017

Commission expires on 9-12-17

AFFIDAVIT

State of Ohio :

Granville ss.
(Town)

County of Licking :

Darrell Bragg, Affiant, being duly sworn/affirmed according to law, deposes and says that:

He is the President (Office of Affiant) of RD Energy Inc. (Name of Applicant);

That he/she is authorized to and does make this affidavit for said Applicant,

1. The Applicant herein, attests under penalty of false statement that all statements made in the application for certification renewal are true and complete and that it will amend its application while the application is pending if any substantial changes occur regarding the information provided in the application.
2. The Applicant herein, attests it will timely file an annual report with the Public Utilities Commission of Ohio of its intrastate gross receipts, gross earnings, and sales of kilowatt-hours of electricity pursuant to Division (A) of Section 4905.10, Division (A) of Section 4911.18, and Division (F) of Section 4928.06 of the Revised Code.
3. The Applicant herein, attests that it will timely pay any assessments made pursuant to Sections 4905.10, 4911.18, or Division F of Section 4928.06 of the Revised Code.
4. The Applicant herein, attests that it will comply with all Public Utilities Commission of Ohio rules or orders as adopted pursuant to Chapter 4928 of the Revised Code.
5. The Applicant herein, attests that it will cooperate fully with the Public Utilities Commission of Ohio, and its Staff on any utility matter including the investigation of any consumer complaint regarding any service offered or provided by the Applicant.
6. The Applicant herein, attests that it will fully comply with Section 4928.09 of the Revised Code regarding consent to the jurisdiction of Ohio Courts and the service of process.
7. The Applicant herein, attests that it will use its best efforts to verify that any entity with whom it has a contractual relationship to purchase power is in compliance with all applicable licensing requirements of the Federal Energy Regulatory Commission and the Public Utilities Commission of Ohio.
8. The Applicant herein, attests that it will comply with all state and/or federal rules and regulations concerning consumer protection, the environment, and advertising/promotions.
9. The Applicant herein, attests that it will cooperate fully with the Public Utilities Commission of Ohio, the electric distribution companies, the regional transmission entities, and other electric suppliers in the event of an emergency condition that may jeopardize the safety and reliability of the electric service in accordance with the emergency plans and other procedures as may be determined appropriate by the Commission.
10. If applicable to the service(s) the Applicant will provide, the Applicant herein, attests that it will adhere to the reliability standards of (1) the North American Electric Reliability Council (NERC), (2) the appropriate regional reliability council(s), and (3) the Public Utilities Commission of Ohio. (Only applicable if pertains to the services the Applicant is offering)

11. The Applicant herein, attests that it will inform the Commission of any material change to the information supplied in the renewal application within 30 days of such material change, including any change in contact person for regulatory purposes or contact person for Staff use in investigating customer complaints.

That the facts above set forth are true and correct to the best of his/her knowledge, information, and belief and that he/she expects said Applicant to be able to prove the same at any hearing hereof.

Danell Bragg, President
Signature of Affiant & Title

Sworn and subscribed before me this 15 day of January, 2014
Month Year

Patricia L. Vance
Signature of official administering oath

Patricia L. Vance - Bank Teller
Print Name and Title



Patricia L. Vance
Notary Public, State of Ohio
My Commission Expires on 9.12.17
My Commission Expires
Sept. 12th, 2017

Rd Energy, Inc.

Exhibit A-9

Principal Officers, Directors & Partners

<http://www.rd-energy.com>

226 C. East Broadway

Granville, OH 43023

740-321-1075

740-205-1335 Fax

1-877-792-5424 Toll-Free

Darrell W. Bragg, President, Treasurer and Secretary

dbragg@rd-energy.com

Rd Energy, Inc.

Exhibit A-10

Corporate Structure

Corporation, 100% owned by Darrell Bragg. RD Energy will participate in all deregulated Ohio energy markets geographically. RD Energy nor Darrell Bragg has any affiliate subsidiary companies that supply retail or wholesale natural gas or electricity to customers in North America.

Rd Energy, Inc. is independent from any other corporate ownership.

Darrell Bragg- President, Treasurer and Secretary

Rd Energy, Inc.

Exhibit B-1

Jurisdiction of Operations

RD Energy is authorized to provide electric service in:

Ohio

Illinois

Michigan

Rd Energy, Inc.

Exhibit B-2

Experience & Plans

RD Energy, Inc. has provided services to residential (through business organizations), commercial, mercantile and industrial customers. By establishing a working and trusting relations with the individual client or business association, we provide energy information, strategies and insight so customers can make the right decisions for their home or business. Starting with Darrell Bragg's (president) 31 years in the energy market along with his experience in pricing, risk management, strategy creation, forecasting and overall energy knowledge, RD Energy takes its mission statement and corporate goals very seriously. The first step in having a happy customer is having an informed one and one who feels that both information and the human touch are only a phone call or email away. All RD Energy employees and sales agents are trained to treat every customer with honesty and respect.

As RD Energy moves forward in the Ohio electric marketplace, we will be simply a broker and not a supplier. We will not be billing, but we will be handling billing questions. We won't own the supplier contract, but we will know what the contract says and will be the contracts intersect for the supplier.

RD Energy has plans to provide both custom pricing to large volume users and a guaranteed savings offer to small commercial and residential customers. Customers with custom pricing will be provided not only a competitive price to compare with the utility rate, but we will provide a savings analysis as well so the customer can see the real value of the price. We will understand the supplier contract and point out both the most attractive points of the contract as well as any potential pitfalls of the contract. We recognize that any contract surprise to the detriment of the customer will likely lead to a lost customer at renewal time. So we will work hard to prevent contract surprises.

Rd Energy, Inc.

Exhibit B-3

Disclosure of Liabilities and Investigations

None

Rd Energy, Inc.

Exhibit C-1

Annual Reports

RD Energy, Inc. is not required to produce an annual report.

Rd Energy, Inc.

Exhibit C-2

SEC Filings

RD Energy, Inc. is a broker/sales agent and does not take title to the natural gas or electric and is not a public entity. RD Energy, Inc. is an Ohio Corporation with 100% of the stock owned by Darrell Bragg, so no SEC filing is required.

RD Energy Inc

Exhibit C-3

Financial Statements

See attached

KISSLING JASKO BONDS & CO., INC
CERTIFIED PUBLIC ACCOUNTANTS
20325 CENTER RIDGE RD. #440
ROCKY RIVER, OHIO 44116

Accountant's Compilation Report

To the Shareholder
R D Energy, Inc
Granville, Ohio

We have compiled the accompanying balance sheet of R D Energy, Inc, an S corporation as of December 31, 2013, and the related statement of income and Retained Earnings for the year then ended. We have not audited or reviewed the accompanying financial statements and, accordingly, do not express an opinion or provide any assurance about whether the financial statements are in accordance with accounting principles generally accepted in the United States of America.

Management is responsible for the preparation and fair presentation of the financial statements in accordance with accounting principles generally accepted in the United States of America and for designing, implementing, and maintaining internal control relevant to the preparation and fair presentation of the financial statements.

Our responsibility is to conduct the compilation in accordance with Statement on Standards for Accounting and Review Services issued by the American Institute of Certified Public Accountants. The objective of a compilation is to assist management in presenting financial information in the form of financial statements without undertaking to obtain or provide any assurance that there are no material modifications that should be made to the financial statements.

Management has elected to omit substantially all of the disclosures and the statement of cash flows required by accounting principles generally accepted in the United States of America. If the omitted disclosures and statement of cash flows were included in the financial statements, they might influence the user's conclusions about the Company's financial position, results of operations, and cash flows. Accordingly, the financial statements are not designed for those who are not informed about such matters.

The Company, with consent of its shareholders, has elected under the Internal Revenue Code to be an S corporation. In lieu of corporation income taxes, the shareholders of an S corporation are taxed on their proportionate share of the Company's taxable income. Therefore, no provision or liability for federal income taxes has been included in these financial statements.

Kissling Jasko Bonds & Co

January 11, 2014

RD Energy Inc.
Balance Sheet
As of December 31, 2013

	<u>Dec 31, 13</u>
ASSETS	
Current Assets	
Checking/Savings	
Cash in Bank - Fifth Third	4,536.04
5/3 Bank - Savings	205.09
Total Checking/Savings	<u>4,741.13</u>
Total Current Assets	4,741.13
Fixed Assets	
Equipment	18,181.07
Accumulated Depreciation	<u>-18,181.07</u>
Total Fixed Assets	0.00
Other Assets	
Deposit - BWC	<u>198.72</u>
Total Other Assets	<u>198.72</u>
TOTAL ASSETS	<u><u>4,939.85</u></u>
LIABILITIES & EQUITY	
Liabilities	
Current Liabilities	
Other Current Liabilities	
Payroll Liabilities	
941 Payable	634.00
SWT Payable	1,586.22
Local Tax Withheld	573.77
SUTA Payable	<u>16.50</u>
Total Payroll Liabilities	<u>2,810.49</u>
Total Other Current Liabilities	<u>2,810.49</u>
Total Current Liabilities	<u>2,810.49</u>
Total Liabilities	2,810.49
Equity	
Capital Stock	500.00
Retained Earnings	905.59
Net Income	<u>723.77</u>
Total Equity	<u>2,129.36</u>
TOTAL LIABILITIES & EQUITY	<u><u>4,939.85</u></u>

See Accompanying Accountant's Compilation Report

RD Energy Inc
Statement of Retained Earnings
For the Year Ended December 31, 2013

Retained Earnings, January 1, 2013	\$	905.59
Net Income		<u>723.77</u>
Retained Earnings, September 30, 2013	\$	<u><u>1,629.36</u></u>

See Accountant's Report

RD Energy Inc.
Profit & Loss
January through December 2013

	<u>Jan - Dec 13</u>	<u>% of Income</u>
Ordinary Income/Expense		
Income		
Fees	723,621.98	100.1%
Customer Refunds	-810.95	-0.1%
Total Income	<u>722,811.03</u>	<u>100.0%</u>
Expense		
Employee Welfare	768.50	0.1%
Advertising	5,110.14	0.7%
Commissions	95,464.95	13.2%
Computer Expenses	85.58	0.0%
Dues and Subscriptions	965.00	0.1%
Insurance	32,168.43	4.5%
Internet Expenses	194.40	0.0%
Licenses and Permits	100.00	0.0%
Maintenance & Cleaning	40.13	0.0%
Meals	1,291.26	0.2%
Office Supplies & Expense	4,004.71	0.6%
Postage and Delivery	573.86	0.1%
Promotions	1,193.05	0.2%
Professional Fees	2,855.00	0.4%
Rent	9,725.62	1.3%
Repairs & Maintenance	200.71	0.0%
Salaries & Wages	521,800.00	72.2%
Taxes	23,090.13	3.2%
Telephone	7,024.12	1.0%
Trade Shows	135.00	0.0%
Travel	14,482.76	2.0%
Utilities	814.03	0.1%
Total Expense	<u>722,087.38</u>	<u>99.9%</u>
Net Ordinary Income	723.65	0.1%
Other Income/Expense		
Other Income		
Interest Income	<u>0.12</u>	<u>0.0%</u>
Total Other Income	<u>0.12</u>	<u>0.0%</u>
Net Other Income	<u>0.12</u>	<u>0.0%</u>
Net Income	<u><u>723.77</u></u>	<u><u>0.1%</u></u>

See Accompanying Accountant's Compilation Report

Rd Energy, Inc.

Exhibit C-4

Financial Arrangements

Rd Energy, Inc. is a broker/sales agent only and does not take title to the natural gas or electric and is not a public entity. RD Energy does not require any loans to operate.

RD Energy Inc

Exhibit C-5

Forecasted Financial Statements

See attached

RD Energy Inc.
Projected Income and Expenses
January through December 2014

	<u>Jan - Dec 14</u>
Ordinary Income/Expense	
Income	
Fees	<u>670,000.00</u>
Total Income	<u>670,000.00</u>
Expense	
Employee Welfare	768.50
Advertising	5,110.14
Automobile Expense	13,004.00
Commissions	89,523.00
Computer Expenses	85.58
Dues and Subscriptions	1,000.00
Insurance	29,625.80
Internet Expenses	194.00
Licenses and Permits	350.00
Meals	3,000.00
Office Supplies & Expense	3,600.00
Postage and Delivery	552.00
Promotions	1,500.00
Professional Fees	2,600.00
Rent	10,045.00
Salaries & Wages	478,500.00
Taxes	19,551.09
Telephone	4,432.00
Trade Shows	135.00
Travel	2,000.00
Utilities	<u>600.00</u>
Total Expense	<u>666,176.11</u>
Net Ordinary Income	<u>3,823.89</u>
Net Income	<u><u>3,823.89</u></u>

RD Energy Inc.
Projected Income and Expenses
January through December 2015

	<u>Jan - Dec 15</u>
Ordinary Income/Expense	
Income	
Fees	730,000.00
Total Income	<u>730,000.00</u>
Expense	
Automobile Expense	12,000.00
Commissions	95,000.00
Dues and Subscriptions	1,000.00
Insurance	32,620.00
Internet Expenses	194.00
Licenses and Permits	200.00
Meals	3,000.00
Office Supplies & Expense	3,600.00
Postage and Delivery	600.00
Promotions	1,500.00
Professional Fees	2,800.00
Rent	10,200.00
Salaries & Wages	530,000.00
Taxes	21,200.00
Telephone	4,500.00
Travel	2,000.00
Utilities	600.00
Total Expense	<u>721,014.00</u>
Net Ordinary Income	<u>8,986.00</u>
Net Income	<u><u>8,986.00</u></u>

Rd Energy, Inc.

Exhibit C-6

Credit Rating

RD Energy, Inc. does not have a DMB number so there is not Credit Rating to report.

Rd Energy, Inc.

Exhibit C-7

Credit Report

RD Energy, Inc. does not use loans to operate, so there is no credit report to show.

Rd Energy, Inc.

Exhibit C-8

Bankruptcy Information

RD Energy, Inc. has not had any reorganization, protection from creditors, or any other form of bankruptcy filings made by the applicant, a parent or affiliate organization that guarantees the obligations of the applicant or any officer of the applicant in the current year or since applicant last filed for certification.

Rd Energy, Inc.

Exhibit C-9

Merger Information

RD Energy, Inc. has had no dissolution or mergers or acquisition of the applicant since the applicant last filed for certification.