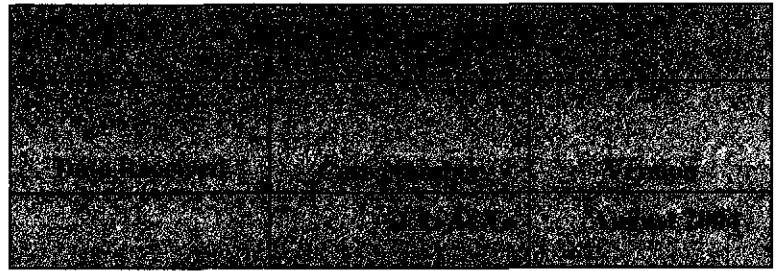


NC
FILE



The Public Utilities Commission of Ohio



13-2125-EL-AGG

CERTIFICATION APPLICATION FOR AGGREGATORS/POWER BROKERS

Please print or type all required information. Identify all attachments with an exhibit label and title (Example: Exhibit A-5 Experience). All attachments should bear the legal name of the Applicant. Applicants should file completed applications and all related correspondence with the Public Utilities Commission of Ohio, Docketing Division; 180 East Broad Street, Columbus, Ohio 43215-3793.

**This PDF form is designed so that you may input information directly onto the form.
You may also download the form, by saving it to your local disk, for later use.**

A. APPLICANT INFORMATION

A-1 Applicant's legal name, address, telephone number and web site address

Legal Name Strategic Aggregation Consultants, LLC
Address 3700 Massillon Road, Suite 341, Uniontown, Ohio 44685
Telephone # 330-899-9960 Web site address (if any) sac-save.com

A-2 List name, address, telephone number and web site address under which Applicant will do business in Ohio

Legal Name Strategic Aggregation Consultants, LLC
Address 3700 Massillon Road, Suite 341, Uniontown, Ohio 44685
Telephone # 330-899-9960 Web site address (if any) sac-save.com

A-3 List all names under which the applicant does business in North America

Strategic Aggregation Consultants, LLC

A-4 Contact person for regulatory or emergency matters

Name Brenda Fargo
Title President

PUCO

RECEIVED DOCKETING DIV
2013 OCT 25 AM 11:36

Business address 3700 Massillon Road, Suite 341, Uniontown, Ohio 44685

Telephone # 330-899-9960

Fax # 330-899-9969

E-mail address (if any) bfargo@sac-save.com

A-5 Contact person for Commission Staff use in investigating customer complaints

Name Brenda fargo

Title President

Business address 3700 Massillon Road, Suite 341, Uniontown, Ohio 44685

Telephone # 330-899-9960

Fax # 330-899-9969

E-mail address (if any) bfargo@sac-save.com

A-6 Applicant's address and toll-free number for customer service and complaints

Customer Service address 3700 Massillon Road, Suite 341, Uniontown, Ohio 44685

Toll-free Telephone #

Fax # 330-899-9969

E-mail address (if any) bfargo@sac-save.com

A-7 Applicant's federal employer identification number # 462155909

A-8 Applicant's form of ownership (check one)

☐ Sole Proprietorship

☐ Partnership

☒ Limited Liability Partnership (LLP)

☒ Limited Liability Company (LLC)

☐ Corporation

☐ Other

A-9 (Check all that apply) Identify each electric distribution utility certified territory in which the applicant intends to provide service, including identification of each customer class that the applicant intends to serve, for example, residential, small commercial, mercantile commercial, and industrial. (A mercantile customer, as defined in (A) (19) of Section 4928.01 of the Revised Code, is a commercial customer who consumes more than 700,000 kWh/year or is part of a national account in one or more states).

☐ **First Energy**

☐ Ohio Edison

☐ Residential

☐ Commercial

☐ Mercantile

☐ Industrial

☐ Toledo Edison

☐ Residential

☐ Commercial

☐ Mercantile

☐ Industrial

☐ Cleveland Electric Illuminating

☐ Residential

☐ Commercial

☐ Mercantile

☐ Industrial

☐ **Duke Energy**

☐ Residential

☐ Commercial

☐ Mercantile

☐ Industrial

☐ **Monongahela Power**

☐ Residential

☐ Commercial

☐ Mercantile

☐ Industrial

☐ **American Electric Power**

☐ Ohio Power

☐ Residential

☐ Commercial

☐ Mercantile

☐ Industrial

☐ Columbus Southern Power

☐ Residential

☐ Commercial

☐ Mercantile

☐ Industrial

☐ **Dayton Power and Light**

☐ Residential

☐ Commercial

☐ Mercantile

☐ Industrial

- A-10 Provide the approximate start date that the applicant proposes to begin delivering services
-

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:

- A-11 **Exhibit A-11 "Principal Officers, Directors & Partners"** provide the names, titles, addresses and telephone numbers of the applicant's principal officers, directors, partners, or other similar officials.
- A-12 **Exhibit A-12 "Corporate Structure,"** provide a description of the applicant's corporate structure, including a graphical depiction of such structure, and a list of all affiliate and subsidiary companies that supply retail or wholesale electricity or natural gas to customers and companies that aggregate customers in North America.
- A-13 **Exhibit A-13 "Company History,"** provide a concise description of the applicant's company history and principal business interests.
- A-14 **Exhibit A-14 "Articles of Incorporation and Bylaws,"** if applicable, provide the articles of incorporation filed with the state or jurisdiction in which the Applicant is incorporated and any amendments thereto.
- A-15 **Exhibit A-15 "Secretary of State,"** provide evidence that the applicant has registered with the Ohio Secretary of the State.

B. APPLICANT MANAGERIAL CAPABILITY AND EXPERIENCE

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:

- B-1 **Exhibit B-1 "Jurisdictions of Operation,"** provide a list of all jurisdictions in which the applicant or any affiliated interest of the applicant is, at the date of filing the application, certified, licensed, registered, or otherwise authorized to provide retail or wholesale electric services including aggregation services.
- B-2 **Exhibit B-2 "Experience & Plans,"** provide a description of the applicant's experience and plan for contracting with customers, providing contracted services, providing billing statements, and responding to customer inquiries and complaints in accordance with Commission rules adopted pursuant to Section 4928.10 of the Revised Code.

- B-3** **Exhibit B-3 "Summary of Experience,"** provide a concise summary of the applicant's experience in providing aggregation service(s) including contracting with customers to combine electric load and representing customers in the purchase of retail electric services. (e.g. number and types of customers served, utility service areas, amount of load, etc.).
- B-4** **Exhibit B-4 "Disclosure of Liabilities and Investigations,"** provide a description of all existing, pending or past rulings, judgments, contingent liabilities, revocation of authority, regulatory investigations, or any other matter that could adversely impact the applicant's financial or operational status or ability to provide the services it is seeking to be certified to provide.
- B-5** Disclose whether the applicant, a predecessor of the applicant, or any principal officer of the applicant have ever been convicted or held liable for fraud or for violation of any consumer protection or antitrust laws within the past five years.
☐ No ☐ Yes
- If yes, provide a separate attachment labeled as **Exhibit B-5 "Disclosure of Consumer Protection Violations"** detailing such violation(s) and providing all relevant documents.
- B-6** Disclose whether the applicant or a predecessor of the applicant has had any certification, license, or application to provide retail or wholesale electric service including aggregation service denied, curtailed, suspended, revoked, or cancelled within the past two years.
☐ No ☐ Yes
- If yes, provide a separate attachment labeled as **Exhibit B-6 "Disclosure of Certification Denial, Curtailment, Suspension, or Revocation"** detailing such action(s) and providing all relevant documents.

C. APPLICANT FINANCIAL CAPABILITY AND EXPERIENCE

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:

- C-1** **Exhibit C-1 "Annual Reports,"** provide the two most recent Annual Reports to Shareholders. If applicant does not have annual reports, the applicant should provide similar information in Exhibit C-1 or indicate that Exhibit C-1 is not applicable and why.
- C-2** **Exhibit C-2 "SEC Filings,"** provide the most recent 10-K/8-K Filings with the SEC. If applicant does not have such filings, it may submit those of its parent company. If the applicant does not have such filings, then the applicant may indicate in Exhibit C-2 that the applicant is not required to file with the SEC and why.

- C-3 **Exhibit C-3 “Financial Statements,”** provide copies of the applicant’s two most recent years of audited financial statements (balance sheet, income statement, and cash flow statement). If audited financial statements are not available, provide officer certified financial statements. If the applicant has not been in business long enough to satisfy this requirement, it shall file audited or officer certified financial statements covering the life of the business.
- C-4 **Exhibit C-4 “Financial Arrangements,”** provide copies of the applicant’s financial arrangements to conduct CRES as a business activity (e.g., guarantees, bank commitments, contractual arrangements, credit agreements, etc.,).
- C-5 **Exhibit C-5 “Forecasted Financial Statements,”** provide two years of forecasted financial statements (balance sheet, income statement, and cash flow statement) for the applicant’s CRES operation, along with a list of assumptions, and the name, address, e-mail address, and telephone number of the preparer.
- C-6 **Exhibit C-6 “Credit Rating,”** provide a statement disclosing the applicant’s credit rating as reported by two of the following organizations: Duff & Phelps, Dun and Bradstreet Information Services, Fitch IBCA, Moody’s Investors Service, Standard & Poors, or a similar organization. In instances where an applicant does not have its own credit ratings, it may substitute the credit ratings of a parent or affiliate organization, provided the applicant submits a statement signed by a principal officer of the applicant’s parent or affiliate organization that guarantees the obligations of the applicant.
- C-7 **Exhibit C-7 “Credit Report,”** provide a copy of the applicant’s credit report from Experion, Dun and Bradstreet or a similar organization.
- C-8 **Exhibit C-8 “Bankruptcy Information,”** provide a list and description of any reorganizations, protection from creditors or any other form of bankruptcy filings made by the applicant, a parent or affiliate organization that guarantees the obligations of the applicant or any officer of the applicant in the current year or within the two most recent years preceding the application.

C-9 **Exhibit C-9 "Merger Information,"** provide a statement describing any dissolution or merger or acquisition of the applicant within the five most recent years preceding the application.

Brenda Fazio, President
Signature of Applicant & Title

Sworn and subscribed before me this 24th day of Oct, 2013
Month Year

Kathleen Greenlese
Signature of official administering oath

Kathleen Greenlese (cellen)
Print Name and Title

My commission expires on _____
KATHLEEN GREENLESE
Residence - Stark County
State-Wide Jurisdiction, Ohio
My Commission Expires July 30, 2016

AFFIDAVIT

State of OHIO :

Uniontown ss.
(Town)

County of Summit :

Brenda Fargo, Affiant, being duly sworn/affirmed according to law, deposes and says that:

He/She is the President (Office of Affiant) of Strategic Aggregation Consultants, LLC (Name of Applicant);

That he/she is authorized to and does make this affidavit for said Applicant,

1. The Applicant herein, attests under penalty of false statement that all statements made in the application for certification are true and complete and that it will amend its application while the application is pending if any substantial changes occur regarding the information provided in the application.
2. The Applicant herein, attests it will timely file an annual report with the Public Utilities Commission of Ohio of its intrastate gross receipts, gross earnings, and sales of kilowatt-hours of electricity pursuant to Division (A) of Section 4905.10, Division (A) of Section 4911.18, and Division (F) of Section 4928.06 of the Revised Code.
3. The Applicant herein, attests that it will timely pay any assessments made pursuant to Sections 4905.10, 4911.18, or Division F of Section 4928.06 of the Revised Code.
4. The Applicant herein, attests that it will comply with all Public Utilities Commission of Ohio rules or orders as adopted pursuant to Chapter 4928 of the Revised Code.
5. The Applicant herein, attests that it will cooperate fully with the Public Utilities Commission of Ohio, and its Staff on any utility matter including the investigation of any consumer complaint regarding any service offered or provided by the Applicant.
6. The Applicant herein, attests that it will fully comply with Section 4928.09 of the Revised Code regarding consent to the jurisdiction of Ohio Courts and the service of process.
7. The Applicant herein, attests that it will comply with all state and/or federal rules and regulations concerning consumer protection, the environment, and advertising/promotions.
8. The Applicant herein, attests that it will use its best efforts to verify that any entity with whom it has a contractual relationship to purchase power is in compliance with all applicable licensing requirements of the Federal Energy Regulatory Commission and the Public Utilities Commission of Ohio.
9. The Applicant herein, attests that it will cooperate fully with the Public Utilities Commission of Ohio, the electric distribution companies, the regional transmission entities, and other electric suppliers in the event of an emergency condition that may jeopardize the safety and reliability of the electric service in accordance with the emergency plans and other procedures as may be determined appropriate by the Commission.
10. If applicable to the service(s) the Applicant will provide, the Applicant herein, attests that it will adhere to the reliability standards of (1) the North American Electric Reliability Council (NERC), (2) the appropriate regional reliability council(s), and (3) the Public Utilities Commission of Ohio. (Only applicable if pertains to the services the Applicant is offering)

11. The Applicant herein, attests that it will inform the Commission of any material change to the information supplied in the application within 30 days of such material change, including any change in contact person for regulatory purposes or contact person for Staff use in investigating customer complaints.

That the facts above set forth are true and correct to the best of his/her knowledge, information, and belief and that he/she expects said Applicant to be able to prove the same at any hearing hereof.

Brenda Tays, President
Signature of Affiant & Title

Sworn and subscribed before me this 24th day of Oct, 2010
Month Year

Kathleen Greenlese
Signature of official administering oath

KATHLEEN GREENLESE (Officer)
Print Name and Title

KATHLEEN GREENLESE
Residence - Stark County
State Wide Jurisdiction, Ohio
My commission expires July 30, 2016
My Commission Expires July 30, 2016



Strategic Aggregation Consultants, LLC

EXHIBIT A-11

Exhibit A-11 "Principal Officers, Directors & Partners"

Brenda Fargo, President, Member
3700 Massillon Rd., Suite 341
Uniontown, Ohio 44685

330-899-9960 (Office)
330-899-9969 (Fax)

Michael Fargo, Member
3700 Massillon Rd., Suite 341
Uniontown, Ohio 44685

330-899-9960 (Office)
330-899-9969 (Fax)



Exhibit A-12

Exhibit A-12 "Corporate Structure"





Strategic Aggregation Consultants, LLC

Exhibit A-13

Exhibit A-13 "Company History"

Strategic Aggregation Consultants, LLC was founded by Brenda Fargo in 2013 following 16 years with FirstEnergy Solutions (FES) to provide energy consulting services. During her tenure at FES, she was responsible for the 2001 creation and continued implementation of the electric aggregation program. Under her guidance, the FirstEnergy Solutions electric aggregation program grew to serve more than 2 Million accounts in 450 Ohio and Illinois communities.

Over the past 12 years, Brenda worked directly with many communities to implement and maintain their aggregation programs. A sample of those is listed below.

Cities:

Akron, Ashland, Barberton, Bay Village, Canal Fulton, Cleveland, Cleveland Heights, Defiance, East Liverpool, East Palestine, Fairlawn, Green, London, Lordstown, Mansfield, Medina, Parma, Ravenna, Richmond Heights, Rossford, Salem, Seven Hills, Springfield, Tallmadge, Westlake, and Youngstown.

Villages:

Archbold, Andover, Boston Heights, Creston, Doylestown, East Canton, Mantua, Mogadore, Lisbon, Navarre, Ottawa Hills, Richwood, and Sebring

Townships:

Bath, Boston, Copley, Coventry, Franklin, Northfield Center, Richfield, Sagamore Hills, Springfield, Twinsburg in Summit County, Fairfield Township in Columbiana County Boardman Township in Mahoning County, and Troy and Burton Townships in Geauga County.

Counties:

Ashland, Fulton, Huron, Medina, Ottawa, Richland, and Sandusky

In addition, she has assisted the Public Utilities Commission of Ohio (PUCO) and Office of the Ohio Consumers' Counsel (OCC), the Illinois Commerce Commission (ICC), and the New Jersey Division of the Rate Counsel with aggregation related issues and provided suggestions to make the programs in those states more beneficial to communities and their participants.



Strategic Aggregation Consultants, LLC

Exhibit A-14

Exhibit A-14 “Articles of Incorporation and ByLaws”

See Following Pages



Strategic Aggregation Consultants, LLC

Joc ID -->

201302501465



DATE	DOCUMENT ID	DESCRIPTION	FILING	EXPED	PENALTY	CERT	COPY
01/28/2013	201302501465	DOMESTIC FOR PROFIT LLC - ARTICLES OF ORG (LCP)	125.00	.00		00	.00

Receipt

This is not a bill. Please do not remit payment.

BRENDA S. FARGO
STRATEGIC AGGREGATION CONSULTANTS, LLC
3538 SADDLEBORO DR.
UNIONTOWN, OH 44685

STATE OF OHIO CERTIFICATE

Ohio Secretary of State, Jon Husted

2168557

It is hereby certified that the Secretary of State of Ohio has custody of the business records for
STRATEGIC AGGREGATION CONSULTANTS, LLC
and, that said business records show the filing and recording of:

Document(s)

DOMESTIC FOR PROFIT LLC - ARTICLES OF ORG

Document No(s):

201302501465



United States of America
State of Ohio
Office of the Secretary of State

Witness my hand and the seal of
the Secretary of State at Columbus,
Ohio this 25th day of January,
A.D. 2013.

Jon Husted

Ohio Secretary of State



Strategic Aggregation Consultants, LLC

Joc ID -->

201302501465



Form 633A Prescribed by:
Ohio Secretary of State
JON HUSTED
Ohio Secretary of State

Central Ohio: (614) 466-3810
Toll Free: (877) 808-FILE (767-3453)
www.OhioSecretaryofState.gov
Busserv@OhioSecretaryofState.gov

Send this form to one of the following:

Regular Filing (non expedite)
P.O. Box 678
Columbus, OH 43216

Expedite Filing (Two-business day processing
date requires an additional \$100.00)
P.O. Box 1360
Columbus, OH 43216

2013 JAN 25 PM 1:56

Articles of Organization for a Domestic Limited Liability Company

Filing Fee: \$125

CHECK ONLY ONE (1) BOX

(1) ☒ Articles of Organization for Domestic
For-Profit Limited Liability Company
(115-LCA)

(2) ☐ Articles of Organization for Domestic
Nonprofit Limited Liability Company
(115-LCA)

Name of Limited Liability Company

Strategic Aggregation Consultants, LLC

Name must include one of the following words or abbreviations: "limited liability company," "limited," "LLC," "L.L.C.," "Ltd.," or "Co."

Effective Date

(Optional)

mm/dd/yyyy

(The legal existence of the limited liability company begins upon the filing of the articles, or on a later date specified that is not more than ninety days after filing.)

This limited liability company shall exist for
(Optional)

Period of Existence

Purpose

(Optional)

**Note for Nonprofit LLCs

The Secretary of State does not grant tax exempt status. Filing with our office is not sufficient to obtain state or federal tax exemptions. Contact the Ohio Department of Taxation and the Internal Revenue Service to ensure that the nonprofit limited liability company secures the proper state and federal tax exemptions. These agencies may require that a purpose clause be provided.



Strategic Aggregation Consultants, LLC

Doc ID -->

201302501465

By signing and submitting this form to the Ohio Secretary of State, the undersigned hereby certifies that he or she has the requisite authority to execute this document.

Required
Articles and original appointment of agent must be signed by a member, manager or other representative.

If authorized representative is an individual, then they must sign in the "signature" box and print their name in the "Print Name" box.

If authorized representative is a business entity, not an individual, then please print the business name in the "signature" box, an authorized representative of the business entity must sign in the "By" box and print their name in the "Print Name" box.


Signature

By (if applicable)

Brenda Fargo
Print Name

Signature

By (if applicable)

Print Name

Signature

By (if applicable)

Print Name



Strategic Aggregation Consultants, LLC

Exhibit A-15

Exhibit A-15 "Secretary of State"

See Following Page



Strategic Aggregation Consultants, LLC

Doc ID --> 201302501465



DATE	DOCUMENT ID	DESCRIPTION	FILING	EXPED	PENALTY	CERT	COPY
01/28/2013	201302501465	DOMESTIC FOR PROFIT LLC - ARTICLES OF ORG (LCP)	125.00	.00		00	.00

Receipt

This is not a bill. Please do not remit payment.

BRENDA S. FARGO
STRATEGIC AGGREGATION CONSULTANTS, LLC
3538 SADDLEBORO DR.
UNIONTOWN, OH 44885

STATE OF OHIO CERTIFICATE

Ohio Secretary of State, Jon Husted

2168557

It is hereby certified that the Secretary of State of Ohio has custody of the business records for
STRATEGIC AGGREGATION CONSULTANTS, LLC
and, that said business records show the filing and recording of:

Document(s)

DOMESTIC FOR PROFIT LLC - ARTICLES OF ORG

Document No(s):

201302501465



United States of America
State of Ohio
Office of the Secretary of State

Witness my hand and the seal of
the Secretary of State at Columbus,
Ohio this 25th day of January,
A.D. 2013.

Jon Husted

Ohio Secretary of State



Strategic Aggregation Consultants, LLC

B. APPLICANT MANAGERIAL CAPABILITY AND EXPERIENCE

Exhibit B-1

Exhibit B-1 “Jurisdictions of Operation”

Strategic Aggregation Consultants, LLC will provide energy consulting services to communities and/or commercial/mercantile/industrial accounts in all Ohio Electric Distribution Companies. Strategic Aggregation Consultants, LLC may also work directly with other CRES to provide such services.

Exhibit B-2

Exhibit B-2: “Experience and Plans”

Strategic Aggregation Consultants, LLC was founded by Brenda Fargo in 2013 following 16 years with FirstEnergy Solutions (FES) to provide energy consulting services. During her tenure at FES, she was responsible for the 2001 creation and continued implementation of the electric aggregation program. Under her guidance, the FirstEnergy Solutions electric aggregation program grew to serve more than 2 Million accounts in 450 Ohio and Illinois communities.

Over the past 12 years, Brenda worked directly with many communities to implement and maintain their aggregation programs. A partial list of those is listed below.

Cities: Akron, Ashland, Barberton, Bay Village, Canal Fulton, Cleveland, Cleveland Heights, Defiance, East Liverpool, East Palestine, Fairlawn, Green, London, Lordstown, Mansfield, Medina, Parma, Ravenna, Richmond Heights, Rossford, Salem, Seven Hills, Springfield, Tallmadge, Westlake, and Youngstown.

Villages: Archbold, Andover, Boston Heights, Creston, Doylestown, East Canton, Mantua, Mogadore, Lisbon, Navarre, Ottawa Hills, Richwood, and Sebring

Townships: Bath, Boston, Copley, Coventry, Franklin, Northfield Center, Richfield, Sagamore Hills, Springfield, Twinsburg in Summit County, Fairfield Township in Columbiana County Boardman Township in Mahoning County, and Troy and Burton Townships in Geauga County.

Counties: Ashland, Fulton, Huron, Medina, Ottawa, Richland, and Sandusky

In addition, she has assisted the Public Utilities Commission of Ohio (PUCO) and Office of the Ohio Consumers’ Counsel (OCC), the Illinois Commerce Commission (ICC), and the New Jersey Division of the



Strategic Aggregation Consultants, LLC

Rate Counsel with aggregation related issues and provided suggestions to make the programs in those states more beneficial to communities and their participants.

Once a CRES supplier has been selected and executes a contract with the community and/or commercial/mercantile/industrial account, all customer service inquiries and complaints will be handled by the selected CRES supplier. Any supplier chosen as a result of Strategic Aggregation Consultants' efforts will have a robust customer service organization that will meet or exceed the PUCO requirements.

All billing will be handled by the selected CRES suppliers.

Exhibit B-3

Exhibit B-3 "Summary of Experience"

Strategic Aggregation Consultants, LLC was founded by Brenda Fargo in 2013 following 16 years with FirstEnergy Solutions (FES) to provide energy consulting services. During her tenure at FES, she was responsible for the 2001 creation and continued implementation of the electric aggregation program. Under her guidance, the FirstEnergy Solutions electric aggregation program grew to serve more than 2 Million accounts in 450 Ohio and Illinois communities.

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Villages: Archbold, Andover, Boston Heights, Creston, Doylestown, East Canton, Mantua, Mogadore, Lisbon, Navarre, Ottawa Hills, Richwood, and Sebring

Townships: Bath, Boston, Copley, Coventry, Franklin, Northfield Center, Richfield, Sagamore Hills, Springfield, Twinsburg in Summit County, Fairfield Township in Columbiana County Boardman Township in Mahoning County, and Troy and Burton Townships in Geauga County.

Counties: Ashland, Fulton, Huron, Medina, Ottawa, Richland, and Sandusky



Strategic Aggregation Consultants, LLC

Exhibit B-4

Exhibit B-4 "Disclosure of Liabilities and Investigations"

Strategic Aggregation consultants, LLC has no existing, pending, or past judgments, contingent liabilities, revocation of authority, regulatory investigations, or any other matter that could adversely impact Strategic Aggregation Consultants, LLC financial or operational status or ability to provide the services it is seeking to be certified to perform.

Exhibit B-5

Exhibit B-5

See Application Form, page 4

Exhibit B-6

Exhibit B-6

See Application Form, page 4



Strategic Aggregation Consultants, LLC

C. APPLICANT FINANCIAL CAPABILITY AND EXPERIENCE

Exhibit C-1

Exhibit C-1 "Annual Reports"

As a Limited Liability Corporation, Strategic Aggregation Consultants, does not, nor is required to prepare annual reports. As such, none are contained within this application.

Exhibit C-2

Exhibit C-2 "SEC Filings"

As a Limited Liability Corporation, Strategic Aggregation Consultants, does not, nor is required to prepare SEC filings. As such, none are contained within this application.

Exhibit C-3

Exhibit C-3 "Financial Statements"

Strategic Aggregation Consultants, LLC is a new business and therefore does not have a history to provide financial statements. Please accept the previously provided evidence or registration with the Ohio Secretary of State as well as the following information to satisfy this requirement.

Strategic Aggregation Consultants, LLC maintains a business checking account with Key Bank of Ohio (See below) as well as uses the services of Stinnet, Padrutt & Aranyosi, Certified Public Accountants.



KeyBank
P.O. Box 22114
Albany, NY 12201-2114

Business Banking Statement
August 31, 2013
page 1 of 4



1 T 0059 00000 R EM T1
STRATEGIC AGGREGATION CONSULTANTS LLC
3700 MASSILLON RD STE 341
UNIONTOWN OH 44685-9591

Questions or comments?
Call our Key Business Resource Center
1-888-KEY4BIZ (1-888-539-4249)



Strategic Aggregation Consultants, LLC

Exhibit C-4

Exhibit C-4 "Financial Arrangements"

Strategic Aggregation Consultants, LLC will be operating as consultant/aggregator/power broker and not taking title to any physical power. As such, no financial arrangements are needed.

Exhibit C-5

Exhibit C-5 "Forecasted Financial Statements"

Prepared By: Brenda Fargo, President

3700 Massillon Road, Suite 341, Uniontown, Ohio 44685

330-899-9960 (office) 330-899-9969 (fax)

bfargo@sac-save.com

As noted above, Strategic Aggregation Consultants, LLC has retained Stinnett, Padrutt & Aranyosi to handle the accounting needs of the company.

List of Assumptions:

Financials are based upon 200M KWh in 2014 and 450M KWh in 2015

Commission Rate = \$.0005 per KWh

Revenue begins January 2014

See the following pages for:

1. 2014-2015 Forecasted Revenue
2. 2014-2015 Projected Balance Sheet
3. 2014-2015 Projected Income Statement
4. 2014 Projected Cash Flow Statement
5. 2015 Projected Cash Flow Statement

Exhibit C-5
"Forecasted Financial Statements"
Strategic Aggregation Consultants, LLC

Expected Revenue from Activities

2014	Jan	Feb	March	April	May	June	July	August	Sept	Oct	Nov	Dec	TOTAL
Monthly Commissionable KWh	20,000,000	18,000,000	16,000,000	12,000,000	12,000,000	18,000,000	20,000,000	22,000,000	20,000,000	12,000,000	14,000,000	16,000,000	200,000,000
Monthly Commission (\$\$.0005)	\$ 10,000.00	\$ 9,000.00	\$ 8,000.00	\$ 6,000.00	\$ 6,000.00	\$ 9,000.00	\$ 10,000.00	\$ 11,000.00	\$ 10,000.00	\$ 6,000.00	\$ 7,000.00	\$ 8,000.00	\$ 100,000.00
2015													
Monthly Commissionable KWh	45,000,000	40,500,000	36,000,000	27,000,000	27,000,000	40,500,000	45,000,000	49,500,000	45,000,000	27,000,000	31,500,000	36,000,000	450,000,000
Monthly Commission (\$\$.0005)	\$ 22,500.00	\$ 20,250.00	\$ 18,000.00	\$ 13,500.00	\$ 13,500.00	\$ 20,250.00	\$ 22,500.00	\$ 24,750.00	\$ 22,500.00	\$ 13,500.00	\$ 15,750.00	\$ 18,000.00	\$ 225,000.00

Exhibit C-5
"Projected Balance Sheet"
Strategic Aggregation Consultants, LLC

2014-2015

	2014	2015
ASSETS		
Current Assets		
Cash from Sales	\$ 100,000	\$ 225,000
Initial Working Capital	20000	\$ 20,000
Inventory	0	0
Other	0	0
Total Assests	\$ 120,000	\$ 245,000
LongTerm/Fixed Assets		
Land/Building	0	0
Furniture/Equipment	0	0
Less Depreciation	0	0
Other	0	0
Total Fixed Assets	0	0
Intangible Assets		
Trademarks/Copyrights	0	0
Goodwill	0	0
Total Intangible Assets	0	0
LIABILITIES		
Current Liabilities		
Notes Payable	0	0
Accounts Payable	0	0
Wages Payable	0	0
Current Long-term Debt	0	0
Other	0	0
Total Current Liabilities	0	0
Long-term Liabilities		
Long-term Debt	0	0
Other	0	0
Total Long-Term Liabilities	0	0
OWNERS EQUITY	\$ 120,000	\$ 245,000
Total Owners Equity		
TOTAL LIABILITIES AND EQUITY	\$ 120,000	\$ 245,000

Exhibit C-5
"Projected Income Statement"
Strategic Aggregation Consultants, LLC

2014 - 2015

	2014	2015
SALES		
Projected Sales	\$ 100,000	\$ 225,000
Cost of Sales	0	0
Depreciation	0	0
Total Cost of Goods Sold	0	0
Gross Profit	\$ 100,000	\$ 225,000
Gross Profit Percent	100%	100%
EXPENSES		
Rent	\$ 8,000	\$ 15,000
Phones	\$ 3,360	\$ 4,360
Travel	\$ 6,000	\$ 8,000
Advertising	\$ 1,500	\$ 2,500
Meals & Entertainment	\$ 1,000	\$ 4,000
Office Expenses	\$ 2,000	\$ 5,000
Payroll Taxes	\$ 20,000	\$ 45,000
Utilities	\$ 1,000	\$ 2,000
Total Expenses	\$ 42,860	\$ 85,860
Net Profit	\$ 57,140	\$ 139,140
Net Profit Percent	57.1%	61.8%

Exhibit C-5

"Projected Cash Flow Statement - 2014"

Strategic Aggregation Consultants, LLC

2014	Jan	Feb	March	April	May	June	July	August	Sept	Oct	Nov	Dec	TOTAL
CASH IN													
Beginning Cash balance	\$ 20,000	\$ 26,428	\$ 31,857	\$ 36,285	\$ 38,713	\$ 41,142	\$ 46,570	\$ 52,999	\$ 60,427	\$ 62,855	\$ 66,284	\$ 70,712	
CASH FROM SALES													
Total Sales	\$ 10,000	\$ 9,000	\$ 8,000	\$ 6,000	\$ 6,000	\$ 9,000	\$ 10,000	\$ 11,000	\$ 6,000	\$ 7,000	\$ 8,000	\$ 10,000	\$ 100,000
TOTAL CASH IN	\$ 30,000	\$ 35,428	\$ 39,857	\$ 42,285	\$ 44,713	\$ 50,142	\$ 56,570	\$ 63,999	\$ 66,427	\$ 69,855	\$ 74,284	\$ 80,712	
CASH OUT													
Cost of Goods Sold	0	0	0	0	0	0	0	0	0	0	0	0	0
Payment of Accounts Payable	0	0	0	0	0	0	0	0	0	0	0	0	0
Fixed Asset Payment	0	0	0	0	0	0	0	0	0	0	0	0	0
OPERATING EXPENSES													
Rent	\$ 666.66	\$ 666.66	\$ 666.66	\$ 666.66	\$ 666.66	\$ 666.66	\$ 666.66	\$ 666.66	\$ 666.66	\$ 666.68	\$ 666.69	\$ 666.69	\$ 8,000
Phones	\$ 280.00	\$ 280.00	\$ 280.00	\$ 280.00	\$ 280.00	\$ 280.00	\$ 280.00	\$ 280.00	\$ 280.00	\$ 280.00	\$ 280.00	\$ 280.00	\$ 3,360
Travel	\$ 500.00	\$ 500.00	\$ 500.00	\$ 500.00	\$ 500.00	\$ 500.00	\$ 500.00	\$ 500.00	\$ 500.00	\$ 500.00	\$ 500.00	\$ 500.00	\$ 6,000
Advertising	\$ 125.00	\$ 125.00	\$ 125.00	\$ 125.00	\$ 125.00	\$ 125.00	\$ 125.00	\$ 125.00	\$ 125.00	\$ 125.00	\$ 125.00	\$ 125.00	\$ 1,500
Meals and Entertainment	\$ 83.33	\$ 83.33	\$ 83.33	\$ 83.33	\$ 83.33	\$ 83.33	\$ 83.33	\$ 83.33	\$ 83.33	\$ 83.33	\$ 83.33	\$ 83.33	\$ 1,000
Office Expenses	\$ 166.66	\$ 166.66	\$ 166.66	\$ 166.66	\$ 166.66	\$ 166.66	\$ 166.66	\$ 166.66	\$ 166.66	\$ 166.66	\$ 166.66	\$ 166.66	\$ 2,000
Payroll Taxes	\$ 1,666.66	\$ 1,666.66	\$ 1,666.66	\$ 1,666.66	\$ 1,666.66	\$ 1,666.66	\$ 1,666.66	\$ 1,666.66	\$ 1,666.66	\$ 1,666.66	\$ 1,666.66	\$ 1,666.66	\$ 20,000
Utilities	\$ 83.33	\$ 83.33	\$ 83.33	\$ 83.33	\$ 83.33	\$ 83.33	\$ 83.33	\$ 83.33	\$ 83.33	\$ 83.33	\$ 83.33	\$ 83.33	\$ 1,000
TOTAL CASH OUT	\$ 3,571.64	\$ 3,571.64	\$ 3,571.64	\$ 3,571.64	\$ 3,571.64	\$ 3,571.64	\$ 3,571.64	\$ 3,571.64	\$ 3,571.64	\$ 3,571.66	\$ 3,571.67	\$ 3,571.67	\$ 42,860
Net Cash Flow	\$ 6,428.36	\$ 5,428.36	\$ 4,428.36	\$ 2,428.36	\$ 2,428.36	\$ 5,428.36	\$ 6,428.36	\$ 7,428.36	\$ 2,428.36	\$ 3,428.34	\$ 4,428.33	\$ 6,428.33	\$ 57,140
Ending Cash Balance	\$ 26,428.36	\$ 31,856.72	\$ 36,285.08	\$ 38,713.44	\$ 41,141.80	\$ 46,570.16	\$ 52,998.52	\$ 60,426.88	\$ 62,855.24	\$ 66,283.58	\$ 70,711.91	\$ 77,140.24	\$ 77,140

Exhibit C-5

"Projected Cash Flow Statement - 2015"

Strategic Aggregation Consultants, LLC

2015	Jan	Feb	March	April	May	June	July	August	Sept	Oct	Nov	Dec	TOTAL
CASH IN													
Beginning Cash balance	\$ 70,712	\$ 86,057	\$ 99,152	\$ 109,997	\$ 116,342	\$ 122,687	\$ 135,782	\$ 151,127	\$ 168,722	\$ 184,067	\$ 190,412	\$ 199,007	
CASH FROM SALES													
Total Sales	\$ 22,500	\$ 20,250	\$ 18,000	\$ 13,500	\$ 13,500	\$ 20,250	\$ 22,500	\$ 24,750	\$ 21,500	\$ 13,500	\$ 15,750	\$ 18,000	\$ 215,000
TOTAL CASH IN	\$ 93,212	\$ 106,307	\$ 117,152	\$ 123,497	\$ 129,842	\$ 142,937	\$ 158,282	\$ 175,877	\$ 191,222	\$ 197,567	\$ 206,162	\$ 217,007	
CASH OUT													
Cost of Goods Sold	0	0	0	0	0	0	0	0	0	0	0	0	0
Payment of Accounts Payable	0	0	0	0	0	0	0	0	0	0	0	0	0
Fixed Asset Payment	0	0	0	0	0	0	0	0	0	0	0	0	0
OPERATING EXPENSES													
Rent	\$ 1,250	\$ 1,250	\$ 1,250	\$ 1,250	\$ 1,250	\$ 1,250	\$ 1,250	\$ 1,250	\$ 1,250	\$ 1,250	\$ 1,250	\$ 1,250	\$ 15,000
Phones	\$ 363	\$ 363	\$ 363	\$ 363	\$ 363	\$ 363	\$ 363	\$ 363	\$ 363	\$ 363	\$ 363	\$ 363	\$ 4,360
Travel	\$ 667	\$ 667	\$ 667	\$ 667	\$ 667	\$ 667	\$ 667	\$ 667	\$ 667	\$ 667	\$ 667	\$ 667	\$ 8,000
Advertising	\$ 208	\$ 208	\$ 208	\$ 208	\$ 208	\$ 208	\$ 208	\$ 208	\$ 208	\$ 208	\$ 208	\$ 208	\$ 2,500
Meals and Entertainment	\$ 333	\$ 333	\$ 333	\$ 333	\$ 333	\$ 333	\$ 333	\$ 333	\$ 333	\$ 333	\$ 333	\$ 333	\$ 4,000
Office Expenses	\$ 417	\$ 417	\$ 417	\$ 417	\$ 417	\$ 417	\$ 417	\$ 417	\$ 417	\$ 417	\$ 417	\$ 417	\$ 5,000
Payroll Taxes	\$ 3,750	\$ 3,750	\$ 3,750	\$ 3,750	\$ 3,750	\$ 3,750	\$ 3,750	\$ 3,750	\$ 3,750	\$ 3,750	\$ 3,750	\$ 3,750	\$ 45,000
Utilities	\$ 167	\$ 167	\$ 167	\$ 167	\$ 167	\$ 167	\$ 167	\$ 167	\$ 167	\$ 167	\$ 167	\$ 167	\$ 2,000
TOTAL CASH OUT	\$ 7,155	\$ 7,155	\$ 7,155	\$ 7,155	\$ 7,155	\$ 7,155	\$ 7,155	\$ 7,155	\$ 7,155	\$ 7,155	\$ 7,155	\$ 7,155	\$ 85,860
Net Cash Flow	\$ 15,345.03	\$ 13,095.03	\$ 10,845.03	\$ 6,345.03	\$ 6,345.03	\$ 13,095.03	\$ 15,345.03	\$ 17,595.03	\$ 15,345.03	\$ 6,345.03	\$ 8,595.03	\$ 10,845.03	\$ 139,140
Ending Cash Balance	\$ 86,057.03	\$ 99,152.06	\$ 109,997.09	\$ 116,342.12	\$ 122,687.15	\$ 135,782.18	\$ 151,127.21	\$ 168,722.24	\$ 184,067.27	\$ 190,412.30	\$ 199,007.33	\$ 209,852.36	\$ 199,007



Strategic Aggregation Consultants, LLC

Exhibit C-6

Exhibit C-6 "Credit Rating"

Strategic Aggregation Consultants, LLC has not been in operation long enough to establish a Credit Rating nor has it sought to obtain credit currently or plans to in the future. A Duns number has been established.

Exhibit C-7

Exhibit C-7 "Credit Report"

Strategic Aggregation Consultants, LLC has not been in operation long enough to establish a Credit Rating nor has it sought to obtain credit currently or plans to in the future. A Duns number (#048532077) has been established to begin to build a credit report.

Exhibit C-8

Exhibit C-8 "Bankruptcy Information"

Strategic Aggregation Consultants, LLC or any officer have had no reorganizations, sought protection from creditors or any other form of bankruptcy filings made in the current or within the two most recent years preceding the application.

Exhibit C-9

Exhibit C-9 "Merger Information"

There has been no dissolution, merger or acquisition with in the most recent five years preceding this application by Strategic Aggregation Consultants, LLC.