



PUCO USE ONLY – Version 1.07		
Date Received	Renewal Certification Number	ORIGINAL AGG Case Number
		11-5473 - GA-AGG

RENEWAL CERTIFICATION APPLICATION COMPETITIVE RETAIL NATURAL GAS BROKERS/AGGREGATORS

Please type or print all required information. Identify all attachments with an exhibit label and title (*Example: Exhibit A-16 - Company History*). All attachments should bear the legal name of the Applicant. Applicants should file completed applications and all related correspondence with the Public Utilities Commission of Ohio, Docketing Division, 180 East Broad Street, Columbus, Ohio 43215-3793.

This PDF form is designed so that you may directly input information onto the form. You may also download the form by saving it to your local disk.

SECTION A - APPLICANT INFORMATION AND SERVICES

A-1 Applicant intends to renew its certificate as: (check all that apply)

☒ Retail Natural Gas Aggregator ☒ Retail Natural Gas Broker

A-2 Applicant information:

Legal Name Alternative Utility Services, Inc.
Address 750 Veterans Pkwy Suite 104 Lake Geneva, WI 53147
Telephone No. 262-248-0930 Web site Address <http://www.ausenergy.com>
Current PUCO Certificate No. 11-230G(1) Effective Dates Nov.17, 2011 Through Nov.17,2013

A-3 Applicant information under which applicant will do business in Ohio:

Name Alternative Utility Services, Inc.
Address 119 E Court St Cincinnati, OH 45202 (registered agent)
Web site Address <http://www.ausenergy.com> Telephone No. 262-248-0930

A-4 List all names under which the applicant does business in North America:

Alternative Utility Services, Inc.

A-5 Contact person for regulatory or emergency matters:

Name Fritz Kreiss Title President
Business Address 750 Veterans Pkwy Suite 104 Lake Geneva, WI 53147
Telephone No. 262-248-0930 Fax No. 262-248-9005 Email Address fritz@ausenergy.com

RECEIVED-DOCKETING DIV
2013 OCT -2 PM 2:52
PUCO

This is to certify that the images appearing are an accurate and complete reproduction of a case file document delivered in the regular course of business.
Date Processed OCT 02 2013

A-6 Contact person for Commission Staff use in investigating customer complaints:

Name Fritz Kreiss

Title President

Business address 750 Veterans Pkwy Suite 104 Lake Geneva, WI 53147

Telephone No. 262-248-0930

Fax No. 262-248-9005

Email Address fritz@ausenergy.com

A-7 Applicant's address and toll-free number for customer service and complaints

Customer service address 750 Veterans Pkwy Suite 104 Lake Geneva, WI 53147

Toll-Free Telephone No. 800-392-4287

Fax No. 800-290-3720

Email Address support@ausenergy.com

A-8 Provide "Proof of an Ohio Office and Employee," in accordance with Section 4929.22 of the Ohio Revised Code, by listing name, Ohio office address, telephone number, and Web site address of the designated Ohio Employee

Name Corporate Creations Network Inc.

Title Registered Agent

Business address 119 E Court St Cincinnati, OH 45202

Telephone No. 773-935-3920

Fax No. 773-935-4020

Email Address brian.fons@corpcreations.com

A-9 Applicant's federal employer identification number 36-3882904

A-10 Applicant's form of ownership: (Check one)

☐ Sole Proprietorship

☐ Partnership

☐ Limited Liability Partnership (LLP)

☐ Limited Liability Company (LLC)

☒ Corporation

☐ Other

A-11 (Check all that apply) Identify each natural gas company service area in which the applicant is currently providing service or intends to provide service, including identification of each customer class that the applicant is currently serving or intends to serve, for example: *residential, small commercial, and/or large commercial/industrial (mercantile) customers*. (A mercantile customer, as defined in Section 4929.01(L)(1) of the Ohio Revised Code, means a customer that consumes, other than for residential use, more than 500,000 cubic feet of natural gas per year at a single location within the state or consumes natural gas, other than for residential use, as part of an undertaking having more than three locations within or outside of this state. In accordance with Section 4929.01(L)(2) of the Ohio Revised Code, "Mercantile customer" excludes a not-for-profit customer that consumes, other than for residential use, more than 500,000 cubic feet of natural gas per year at a single location within this state or consumes natural gas, other than for residential use, as part of an undertaking having more than three locations within or outside this state that has filed the necessary declaration with the Public Utilities Commission.)

<input checked="" type="checkbox"/> Columbia Gas of Ohio	<input checked="" type="checkbox"/> Residential	<input checked="" type="checkbox"/> Small Commercial	<input checked="" type="checkbox"/> Large Commercial / Industrial
<input checked="" type="checkbox"/> Dominion East Ohio	<input checked="" type="checkbox"/> Residential	<input checked="" type="checkbox"/> Small Commercial	<input checked="" type="checkbox"/> Large Commercial / Industrial
<input checked="" type="checkbox"/> Duke Energy Ohio	<input checked="" type="checkbox"/> Residential	<input checked="" type="checkbox"/> Small Commercial	<input checked="" type="checkbox"/> Large Commercial / Industrial
<input checked="" type="checkbox"/> Vectren Energy Delivery of Ohio	<input checked="" type="checkbox"/> Residential	<input checked="" type="checkbox"/> Small Commercial	<input checked="" type="checkbox"/> Large Commercial / Industrial

A-12 If applicant or an affiliated interest previously participated in any of Ohio's Natural Gas Choice Programs, for each service area and customer class, provide approximate start date(s) and/or end date(s) that the applicant began delivering and/or ended services.

☐ Columbia Gas of Ohio

<input type="checkbox"/> Residential	Beginning Date of Service	End Date
<input type="checkbox"/> Small Commercial	Beginning Date of Service	End Date
<input type="checkbox"/> Large Commercial	Beginning Date of Service	End Date
<input type="checkbox"/> Industrial	Beginning Date of Service	End Date

☐ Dominion East Ohio

<input type="checkbox"/> Residential	Beginning Date of Service	End Date
<input type="checkbox"/> Small Commercial	Beginning Date of Service	End Date
<input type="checkbox"/> Large Commercial	Beginning Date of Service	End Date
<input type="checkbox"/> Industrial	Beginning Date of Service	End Date

☐ Duke Energy Ohio

<input type="checkbox"/> Residential	Beginning Date of Service	End Date
<input type="checkbox"/> Small Commercial	Beginning Date of Service	End Date
<input type="checkbox"/> Large Commercial	Beginning Date of Service	End Date
<input type="checkbox"/> Industrial	Beginning Date of Service	End Date

☐ Vectren Energy Delivery of Ohio

<input type="checkbox"/> Residential	Beginning Date of Service	End Date
<input type="checkbox"/> Small Commercial	Beginning Date of Service	End Date
<input type="checkbox"/> Large Commercial	Beginning Date of Service	End Date
<input type="checkbox"/> Industrial	Beginning Date of Service	End Date

A-13 If not currently participating in any of Ohio's four Natural Gas Choice Programs, provide the approximate start date that the applicant proposes to begin delivering services:

<input type="checkbox"/>	Columbia Gas of Ohio	Intended Start Date
<input type="checkbox"/>	Dominion East Ohio	Intended Start Date
<input type="checkbox"/>	Duke Energy Ohio	Intended Start Date
<input type="checkbox"/>	Vectren Energy Delivery of Ohio	Intended Start Date

Alternative Utility Services, Inc. will not be taking title to the natural gas and will therefore not provide delivery services.

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED.

- A-14 **Exhibit A-14 "Principal Officers, Directors & Partners,"** provide the names, titles, addresses and telephone numbers of the applicant's principal officers, directors, partners, or other similar officials.
- A-15 **Exhibit A-15 "Corporate Structure,"** provide a description of the applicant's corporate structure, including a graphical depiction of such structure, and a list of all affiliate and subsidiary companies that supply retail or wholesale natural gas or electricity to customers in North America.
- A-16 **Exhibit A-16 "Company History,"** provide a concise description of the applicant's company history and principal business interests.
- A-17 **Exhibit A-17 "Articles of Incorporation and Bylaws,"** provide the articles of incorporation filed with the state or jurisdiction in which the applicant is incorporated and any amendments thereto, *only if the contents of the originally filed documents changed since the initial application.*
- A-18 **Exhibit A-18 "Secretary of State,"** provide evidence that the applicant is still currently registered with the Ohio Secretary of the State.

SECTION B - APPLICANT MANAGERIAL CAPABILITY AND EXPERIENCE

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED

- B-1 **Exhibit B-1 "Jurisdictions of Operation,"** provide a current list of all jurisdictions in which the applicant or any affiliated interest of the applicant is, at the date of filing the application, certified, licensed, registered, or otherwise authorized to provide retail natural gas service, or retail/wholesale electric services.
- B-2 **Exhibit B-2 "Experience & Plans,"** provide a current description of the applicant's experience and plan for contracting with customers, providing contracted services, providing billing statements, and responding to customer inquiries and complaints in accordance with Commission rules adopted pursuant to Section 4929.22 of the Revised Code and contained in Chapter 4901:1-29 of the Ohio Administrative Code.
- B-3 **Exhibit B-3 "Summary of Experience,"** provide a concise and current summary of the applicant's experience in providing the service(s) for which it is seeking renewed certification (e.g., number and types of customers served, utility service areas, volume of gas supplied, etc.).
- B-4 **Exhibit B-4 "Disclosure of Liabilities and Investigations,"** provide a description of all existing, pending or past rulings, judgments, contingent liabilities, revocations of authority, regulatory investigations, or any other matter that could adversely impact the applicant's financial or operational

status or ability to provide the services for which it is seeking renewed certification since applicant last filed for certification.

- B-5 Exhibit B-5 "Disclosure of Consumer Protection Violations,"** disclose whether the applicant, affiliate, predecessor of the applicant, or any principal officer of the applicant has been convicted or held liable for fraud or for violation of any consumer protection or antitrust laws since applicant last filed for certification.

☒ No ☐ Yes

If Yes, provide a separate attachment labeled as **Exhibit B-5 "Disclosure of Consumer Protection Violations,"** detailing such violation(s) and providing all relevant documents.

- B-6 Exhibit B-6 "Disclosure of Certification Denial, Curtailment, Suspension, or Revocation,"** disclose whether the applicant or a predecessor of the applicant has had any certification, license, or application to provide retail natural gas or retail/wholesale electric service denied, curtailed, suspended, or revoked, or whether the applicant or predecessor has been terminated from any of Ohio's Natural Gas Choice programs, or been in default for failure to deliver natural gas since applicant last filed for certification.

☒ No ☐ Yes

If Yes, provide a separate attachment, labeled as **Exhibit B-6 "Disclosure of Certification Denial, Curtailment, Suspension, or Revocation,"** detailing such action(s) and providing all relevant documents.

SECTION C - APPLICANT FINANCIAL CAPABILITY AND EXPERIENCE

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED

- C-1 Exhibit C-1 "Annual Reports,"** provide the two most recent Annual Reports to Shareholders. If applicant does not have annual reports, the applicant should provide similar information, labeled as Exhibit C-1, or indicate that Exhibit C-1 is not applicable and why.
- C-2 Exhibit C-2 "SEC Filings,"** provide the most recent 10-K/8-K Filings with the SEC. If applicant does not have such filings, it may submit those of its parent company. If the applicant does not have such filings, then the applicant may indicate in Exhibit C-2 whether the applicant is not required to file with the SEC and why.
- C-3 Exhibit C-3 "Financial Statements,"** provide copies of the applicant's two most recent years of audited financial statements (balance sheet, income statement, and cash flow statement). If audited financial statements are not available, provide officer-certified financial statements. If the applicant has not been in business long enough to satisfy this requirement, it shall file audited or officer-certified financial statements covering the life of the business.
- C-4 Exhibit C-4 "Financial Arrangements,"** provide copies of the applicant's current financial arrangements to conduct competitive retail natural gas service (CRNGS) as a business activity (e.g., guarantees, bank commitments, contractual arrangements, credit agreements, etc.)
- C-5 Exhibit C-5 "Forecasted Financial Statements,"** provide two years of forecasted financial statements (balance sheet, income statement, and cash flow statement) for the applicant's CRNGS operation, along with a list of assumptions, and the name, address, email address, and telephone number of the preparer.

- C-6 **Exhibit C-6 "Credit Rating,"** provide a statement disclosing the applicant's current credit rating as reported by two of the following organizations: Duff & Phelps, Dun and Bradstreet Information Services, Fitch IBCA, Moody's Investors Service, Standard & Poors, or a similar organization. In instances where an applicant does not have its own credit ratings, it may substitute the credit ratings of a parent or affiliate organization, provided the applicant submits a statement signed by a principal officer of the applicant's parent or affiliate organization that guarantees the obligations of the applicant.
- C-7 **Exhibit C-7 "Credit Report,"** provide a copy of the applicant's current credit report from Experion, Dun and Bradstreet, or a similar organization.
- C-8 **Exhibit C-8 "Bankruptcy Information,"** provide a list and description of any reorganizations, protection from creditors, or any other form of bankruptcy filings made by the applicant, a parent or affiliate organization that guarantees the obligations of the applicant or any officer of the applicant in the current year or since applicant last filed for certification.
- C-9 **Exhibit C-9 "Merger Information,"** provide a statement describing any dissolution or merger or acquisition of the applicant since applicant last filed for certification.

SECTION D – APPLICANT TECHNICAL CAPABILITY

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED.

- D-1 **Exhibit D-1 "Operations,"** provide a current written description of the operational nature of the applicant's business functions.
- D-2 **Exhibit D-2 "Operations Expertise,"** given the operational nature of the applicant's business, provide evidence of the applicant's current experience and technical expertise in performing such operations.
- D-3 **Exhibit D-3 "Key Technical Personnel,"** provide the names, titles, email addresses, telephone numbers, and background of key personnel involved in the operational aspects of the applicant's current business.

Applicant Signature and Title

J Zakreios, President

Sworn and subscribed before me this 1st day of October Month 2013 Year

Signature of official administering oath

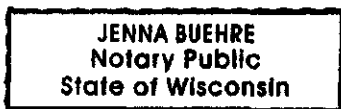
Jenna Buehre

Print Name and Title

Jenna Buehre, Notary Public

My commission expires on

July 15th 2017





The Public Utilities Commission of Ohio

Competitive Retail Natural Gas Service
Affidavit Form
(Version 1.07)

In the Matter of the Application of

Alternative Utility Services, Inc.

for a Certificate or Renewal Certificate to Provide
Competitive Retail Natural Gas Service in Ohio.

Case No. 11 - 5473 -GA-AGG

County of Walworth
State of WI

Fritz Kreiss

[Affiant], being duly sworn/affirmed, hereby states that:

- (1) The information provided within the certification or certification renewal application and supporting information is complete, true, and accurate to the best knowledge of affiant.
- (2) The applicant will timely file an annual report of its intrastate gross receipts and sales of hundred cubic feet of natural gas pursuant to Sections 4905.10(A), 4911.18(A), and 4929.23(B), Ohio Revised Code.
- (3) The applicant will timely pay any assessment made pursuant to Section 4905.10 or Section 4911.18(A), Ohio Revised Code.
- (4) Applicant will comply with all applicable rules and orders adopted by the Public Utilities Commission of Ohio pursuant to Title 49, Ohio Revised Code.
- (5) Applicant will cooperate with the Public Utilities Commission of Ohio and its staff in the investigation of any consumer complaint regarding any service offered or provided by the applicant.
- (6) Applicant will comply with Section 4929.21, Ohio Revised Code, regarding consent to the jurisdiction of the Ohio courts and the service of process.
- (7) Applicant will inform the Public Utilities Commission of Ohio of any material change to the information supplied in the certification or certification renewal application within 30 days of such material change, including any change in contact person for regulatory or emergency purposes or contact person for Staff use in investigating customer complaints.
- (8) Affiant further sayeth naught.

Affiant Signature & Title

Fritz Kreiss, President

Sworn and subscribed before me this

14

day of

October

Month

2013

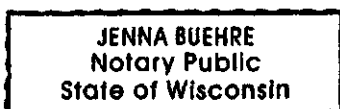
Year

Signature of Official Administering Oath

Jenna Buehre

Print Name and Title

Jenna Buehre, Notary Public



My commission expires on

(CRNGS Broker/Aggregator Renewal) Page 7 of 7

Exhibit A-14 "Principal Officers, Directors, & Partners"

Fritz Kreiss
President
750 Veterans Pkwy Suite 104
PO Box 250
Lake Geneva, WI 53147
262-248-0948 x 1002
F: 262-248-9005
fritz@ausenergy.com

Catherine McQueen
Vice President - Secretary
750 Veterans Pkwy Suite 104
PO Box 250
Lake Geneva, WI 53147
262-248-0948
F: 262-248-9005
Catherine@theadmincenter.com

Exhibit A-15 "Corporate Structure,"

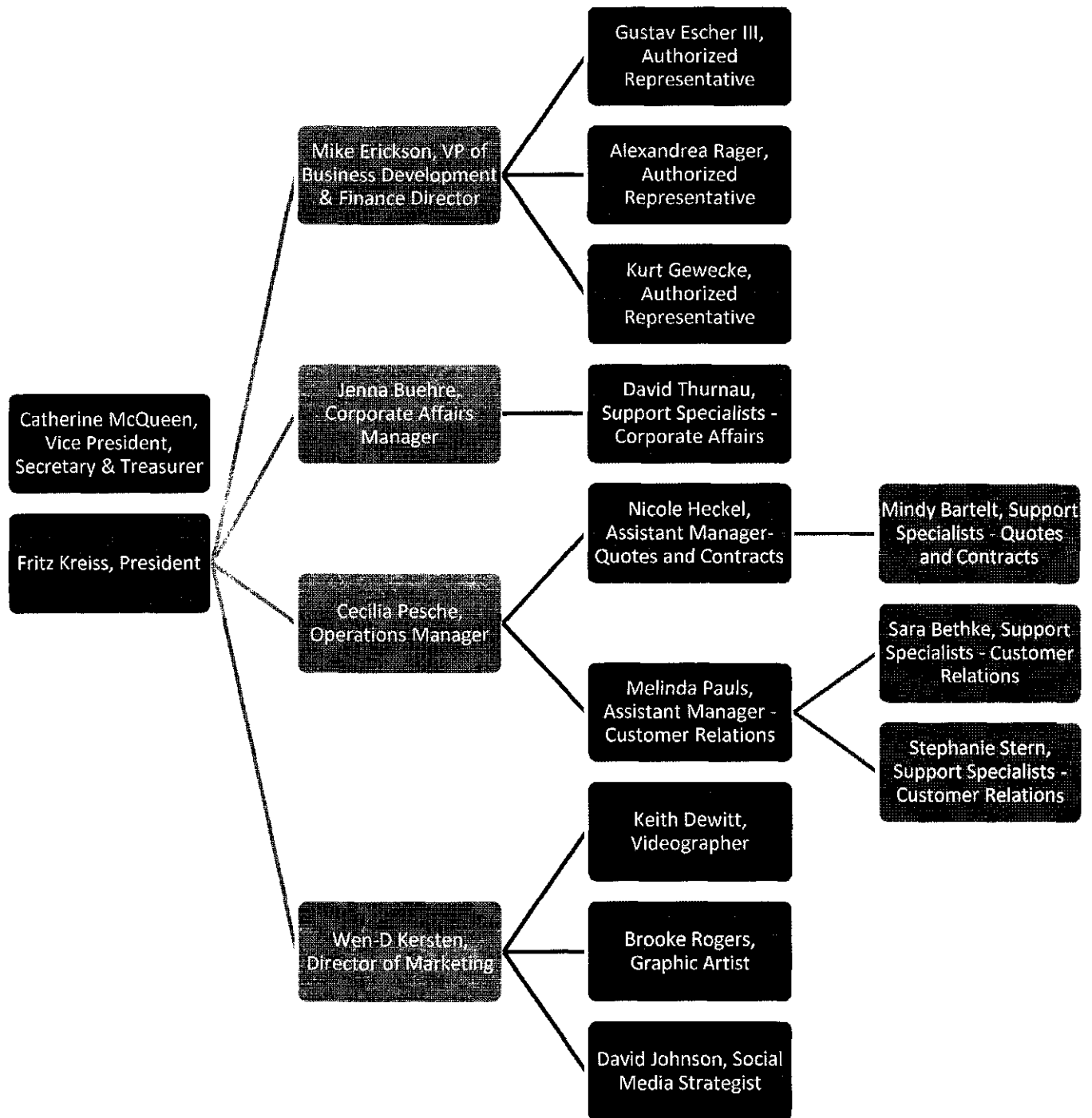


Exhibit A-16 "Company History"

Managerial Background

Fritz A. Kreiss (president) has been in business since 1993 selling energy through sales agents throughout the US. In 1993, he founded Alternative Utility Services, Inc. (AUS) with his wife, Catherine McQueen. AUS was established to promote and install commercial Combined Heat and Power (CHP) systems, and marked the beginning of Fritz's continuing commitment to renewable green energy and sustainable building practices. Since 1993 AUS has expanded into the fields of energy management, energy brokering and utility consulting, working primarily in the deregulated natural gas and electric markets. AUS currently has more than 1,000 accounts in more than a dozen states. AUS has salespeople in 9 states including IL.

Technical Requirements

AUS has procured electricity since 2002 and natural gas since 1993.

Memberships and Certifications

- Member in Good Standing of The American Solar Energy Society
- Member in Good Standing of the Association of Energy Services Professionals
- Certified as a Senior Member of The Association of Energy Engineers
- Certified as an Energy Procurement Professional by The Association of Energy Engineers
- Senior Member of the Demand-Side Management Society (DSMS)
- Senior Member in the Cogeneration & Competitive Power Institute (CCPI) of The Association of Energy Engineers
- Charter Member of the Energy Services of Marketing (ESM) Society
- Professional Member of the Society of Energy Professionals International (SEPI)
- Certificate of Completion: Fundamentals of Buying & Selling Energy, August 2007 World Congress Center, Atlanta, GA
- Published in Common Interest magazine Vol 14/NO 3 – Lights, Action Savings and Sustainable Living.

Exhibit A-17 "Articles of Incorporation"

File Number

5729-795-6



To all to whom these Presents Shall Come, Greeting:
I, Jesse White, Secretary of State of the State of Illinois, do hereby certify that I am the keeper of the records of the Department of Business Services. I certify that

THE FOREGOING AND HERETO ATTACHED IS A TRUE
AND CORRECT COPY, CONSISTING OF 8 PAGES, AS TAKEN FROM THE ORIGINAL
ON FILE IN THIS OFFICE FOR ALTERNATIVE UTILITY SERVICES, INC..*****



In Testimony Whereof, I hereto set
my hand and cause to be affixed the Great Seal of
the State of Illinois, this 15TH
day of JULY A.D. 2011

Jesse White

SECRETARY OF STATE

Authentication #: 1119601465
Authenticate at: <http://www.cyberdriveillinois.com>



Whereas, ARTICLES OF INCORPORATION OF
ALTERNATIVE UTILITY SERVICES OF IL, INC.
INCORPORATED UNDER THE LAWS OF THE STATE OF ILLINOIS HAVE BEEN
FILED IN THE OFFICE OF THE SECRETARY OF STATE AS PROVIDED BY THE
BUSINESS CORPORATION ACT OF ILLINOIS, IN FORCE JULY 1, A.D. 1984.

*Now Therefore, I, George H. Ryan, Secretary of State of the
State of Illinois, by virtue of the powers vested in me by law, do
hereby issue this certificate and attach hereto a copy of the
Application of the aforesaid corporation.*

In Testimony Whereof, *I hereto set my hand and cause to
be affixed the Great Seal of the State of Illinois,
at the City of Springfield, this 3RD
day of MAY A.D. 1993 and
of the Independence of the United States
the two hundred and 17TH*



George H. Ryan
SECRETARY OF STATE

PAID

MAY 3 1993

Form

BCA-2.10

ARTICLES OF INCORPORATION

(Rev. Jan. 1981)

George H. Ryan
Secretary of State
Department of Business Services
Springfield, IL 62758
Telephone (217) 782-8881

Payment must be made by certified check, cashier's check, Illinois attorney's check, Illinois C.P.A.'s check or money order, payable to "Secretary of State."

FILED

MAY 3 1993

GEORGE H. RYAN
SECRETARY OF STATE

SUBMIT IN DUPLICATE!

This space for use by
Secretary of State

Date 5-5-93

Franchise Tax \$ 25.00

Filing Fee \$ 75.00

Approved: *[Signature]* \$100.00

1. CORPORATE NAME:

Alternative Utility Services of IL, Inc. *[Signature]*

(The corporate name must contain the word "corporation", "company," "incorporated," "limited" or an abbreviation thereof.)

2. Initial Registered Agent:

Catherine

First Name

Middle Initial

McQueen

Last name

Initial Registered Office:

2699

Number

Walters Ave

Street

Northbrook

City

60062

Zip Code

State #

Cook

County

3. Purpose or purposes for which the corporation is organized:

(If not sufficient space to cover this point, add one or more sheets of this size.)

Provide wholesale utility services to companies and the transaction of any or all lawful business for which corporations can be incorporated under the IL Business Corporation Act. *(44)*

4. Paragraph 1: Authorized Shares, Issued Shares and Consideration Received:

Class	Par Value per Share	Number of Shares Authorized	Number of Shares Proposed to be Issued	Consideration to be Received Therefor
Common	\$ 0	15,000,000	6,550,000	\$ 1,000

Paragraph 2: The preferences, qualifications, limitations, restrictions and special or relative rights in respect of the shares of each class are:

(If not sufficient space to cover this point, add one or more sheets of this size.)

None

(over)

5. OPTIONAL: (a) Number of directors constituting the initial board of directors of the corporation: one
(b) Names and addresses of the persons who are to serve as directors until the first annual meeting of shareholders or until their successors are elected and qualify:

Name	Residential Address
<u>Catherine McQueen</u>	<u>730 Hinman Ave #2E Evanston IL 60202</u>

6. OPTIONAL: (a) It is estimated that the value of all property to be owned by the corporation for the following year wherever located will be: \$ _____
(b) It is estimated that the value of the property to be located within the State of Illinois during the following year will be: \$ _____
(c) It is estimated that the gross amount of business that will be transacted by the corporation during the following year will be: \$ _____
(d) It is estimated that the gross amount of business that will be transacted from places of business in the State of Illinois during the following year will be: \$ _____

7. OPTIONAL: OTHER PROVISIONS

Attach a separate sheet of this size for any other provision to be included in the Articles of Incorporation, e.g., authorizing preemptive rights, denying cumulative voting, regulating internal affairs, voting majority requirements, fixing a duration other than perpetual, etc.

B. NAME(S) & ADDRESS(ES) OF INCORPORATOR(S)

The undersigned incorporator(s) hereby declare(s), under penalties of perjury, that the statements made in the foregoing Articles of Incorporation are true.

Dated April 12, 1993.

Signature and Name
<u>Catherine McQueen</u>
Signature
<u>Catherine McQueen</u>
(Type or Print Name)

2. _____
Signature
(Type or Print Name)

3. _____
Signature
(Type or Print Name)

Address
<u>730 Hinman Ave #2E</u>
Street
<u>EVANSTON IL 60202</u>
City/Town State Zip Code

2. _____
Street
City/Town State Zip Code

3. _____
Street
City/Town State Zip Code

(Signatures must be in ink on original document. Carbon copy, photocopy or rubber stamp signatures may only be used on conformed copies.)

NOTE: If a corporation acts as incorporator, the name of the corporation and the state of incorporation shall be shown and the execution shall be by its President or Vice President and verified by him, and attested by its Secretary or Assistant Secretary.

FEE SCHEDULE

- The initial franchise tax is assessed at the rate of 15/100 of 1 percent (\$1.50 per \$1,000) on the paid-in capital represented in this state, with a minimum of \$25.
- The filing fee is \$75.
- The minimum total due (franchise tax + filing fee) is \$100.
(Applies when the Consideration to be Received as set forth in Item 4 does not exceed \$16,667)
- The Department of Business Services in Springfield will provide assistance in calculating the total fees if necessary.

Illinois Secretary of State
Department of Business Services

Springfield, IL 62756
Telephone (217) 782-9522
782-9523

Form **BCA-10.30**

(Rev. Jan. 1999)

Jesse White
Secretary of State
Department of Business Services
Springfield, IL 62756
Telephone (217) 782-1832

Remit payment in check or money
order, payable to "Secretary of State."

The filing fee for restated articles of
amendment - \$100.00

<http://www.sos.state.il.us>

ARTICLES OF AMENDMENT**FILED**

FEB 6 - 2003

JESSE WHITE
SECRETARY OF STATE

CP0847113

File # 57297956

SUBMIT IN DUPLICATE

This space for use by
Secretary of State

Date 2-6-03
Franchise Tax \$
Filing Fee* \$25.00
Penalty WJH \$
Approved: WJH

1. CORPORATE NAME: Alternative Utility Services of Illinois, Inc.

(Note 1)

2. MANNER OF ADOPTION OF AMENDMENT:

The following amendment of the Articles of Incorporation was adopted on January 23,
2003 2003 in the manner indicated below. ("X" one box only)
(Year) (Month & Day)

☐ By a majority of the incorporators, provided no directors were named in the articles of incorporation and no directors have been elected;

(Note 2)

☐ By a majority of the board of directors, in accordance with Section 10.10, the corporation having issued no shares as of the time of adoption of this amendment;

(Note 2)

☐ By a majority of the board of directors, in accordance with Section 10.15, shares having been issued but shareholder action not being required for the adoption of the amendment;

(Note 3)

☐ By the shareholders, in accordance with Section 10.20, a resolution of the board of directors having been duly adopted and submitted to the shareholders. At a meeting of shareholders, not less than the minimum number of votes required by statute and by the articles of incorporation were voted in favor of the amendment;

(Note 4)

☒ By the shareholders, in accordance with Sections 10.20 and 7.10, a resolution of the board of directors having been duly adopted and submitted to the shareholders. A consent in writing has been signed by shareholders having not less than the minimum number of votes required by statute and by the articles of incorporation. Shareholders who have not consented in writing have been given notice in accordance with Section 7.10;

(Notes 4 & 5)

☐ By the shareholders, in accordance with Sections 10.20 and 7.10, a resolution of the board of directors having been duly adopted and submitted to the shareholders. A consent in writing has been signed by all the shareholders entitled to vote on this amendment.

(Note 5)

3. TEXT OF AMENDMENT:

a. When amendment effects a name change, insert the new corporate name below. Use Page 2 for all other amendments.

Article I: The name of the corporation is:

Alternative Utility Services, Inc. WJH

(NEW NAME)

P A I D
FEB 07 2003

**DEPARTMENT OF
BUSINESS SERVICES**

All changes other than name, include on page 2
(over)

Text of Amendment

- b. *(If amendment affects the corporate purpose, the amended purpose is required to be set forth in its entirety. If there is not sufficient space to do so, add one or more sheets of this size.)*

No Change.

4. The manner, if not set forth in Article 3b, in which any exchange, reclassification or cancellation of issued shares, or a reduction of the number of authorized shares of any class below the number of issued shares of that class, provided for or effected by this amendment, is as follows: (If not applicable, insert "No change")

No Change.

5. (a) The manner, if not set forth in Article 3b, in which said amendment effects a change in the amount of paid-in capital (Paid-in capital replaces the terms Stated Capital and Paid-in Surplus and is equal to the total of these accounts) is as follows: (If not applicable, insert "No change")

No Change.

(b) The amount of paid-in capital (Paid-in Capital replaces the terms Stated Capital and Paid-in Surplus and is equal to the total of these accounts) as changed by this amendment is as follows: (If not applicable, insert "No change")

	Before Amendment	After Amendment
Paid-In Capital	\$ _____	\$ _____

(Complete either item 6 or 7 below. All signatures must be in **BLACK INK**.)

6. The undersigned corporation has caused this statement to be signed by its duly authorized officers, each of whom affirms, under penalties of perjury, that the facts stated herein are true.

Dated JAN-25th, 2003
(Month & Day) (Year)
attested by Catherine McQueen
(Signature of Secretary or Assistant Secretary)
Catherine McQueen, Secretary
(Type or Print Name and Title)

Alternative Utility Services of IL, Inc.
(Exact Name of Corporation at date of execution)
by Fritz A. Kreiss
(Signature of President or Vice President)
Fritz A. Kreiss, President
(Type or Print Name and Title)

7. If amendment is authorized pursuant to Section 10.10 by the incorporators, the incorporators must sign below, and type or print name and title.

OR

If amendment is authorized by the directors pursuant to Section 10.10 and there are no officers, then a majority of the directors or such directors as may be designated by the board, must sign below, and type or print name and title.

The undersigned affirms, under the penalties of perjury, that the facts stated herein are true.

Dated _____
(Month & Day) (Year)

FORM BCA 5.10/5.20 (rev. Dec. 2003)

STATEMENT OF CHANGE OF
REGISTERED AGENT AND/OR
REGISTERED OFFICE
Business Corporation Act

Jesse White, Secretary of State
Department of Business Services
Springfield, IL 62756
Telephone (217) 782-3647
www.cyberdriveillinois.com

Remit payment in the form of a
check or money order payable
to the Secretary of State.

Filed: 10/14/2004

Jesse White Secretary of State

File # D 5729-795-6 Filing Fee: \$25.00 Approved: **EM**
Submit in duplicate Type or Print clearly in black ink Do not write above this line

1. CORPORATE NAME: Alternative Utility Services, Inc.



2. STATE OR COUNTRY OF INCORPORATION: Illinois

3. Name and address of the registered agent and registered office as they appear on the records of the office of the Secretary of State (before change):

Registered Agent Fritz A. Kreisa

First Name	Middle Name	Last Name
2699	Walters	Avenue
Number	Street	Suite No. (A P.O. Box alone is not acceptable)
Northbrook, IL	60062	Cook
City	ZIP Code	County

4. Name and address of the registered agent and registered office shall be (after all changes herein reported):

Registered Agent G. William Hubbard II

First Name	Middle Name	Last Name
222	North LaSalle	Street - Suite 300
Number	Street	Suite No. (A P.O. Box alone is not acceptable)
Chicago, IL	60601	Cook
City	ZIP Code	County

5. The address of the registered office and the address of the business office of the registered agent, as changed, will be identical.

6. The above change was authorized by: ("X" one box only)

a. ☐ By resolution duly adopted by the board of directors. (Note 5)

b. ☒ By action of the registered agent. (Note 6)

SEE REVERSE SIDE FOR SIGNATURE(S).

7. (If authorized by the board of directors, sign here. See Note 5)

The undersigned corporation has caused this statement to be signed by a duly authorized officer who affirms, under penalties of perjury, that the facts stated herein are true.

Dated 10-6, 2004 Alternative Utility Services, Inc.
(Month & Day) (Year) (Exact Name of Corporation)
[Signature]
(Any Authorized Officer's Signature)
FRANK A. KREISS, President
(Type or Print Name and Title)

(If change of registered office by registered agent, sign here. See Note 6)

The undersigned, under penalties of perjury, affirms that the facts stated herein are true.

Dated _____, _____
(Month & Day) (Year) (Signature of Registered Agent of Record)

(Type or print name. If the registered agent is a corporation, type or print the name and title of the officer who is signing on its behalf.)

NOTES

1. The registered office may, but need not be the same as the principal office of the corporation. However, the registered office and the office address of the registered agent must be the same.
2. The registered office must include a street or road address; a post office box number alone is not acceptable.
3. A corporation cannot act as its own registered agent.
4. If the registered office is changed from one county to another, then the corporation must file with the recorder of deeds of the new county a certified copy of the articles of incorporation and a certified copy of the statement of change of registered office. Such certified copies may be obtained ONLY from the Secretary of State.
5. Any change of registered agent must be by resolution adopted by the board of directors. This statement must then be signed by a duly authorized officer.
6. The registered agent may report a change of the registered office of the corporation for which he or she is registered agent. When the agent reports such a change, this statement must be signed by the registered agent. If a corporation is acting as the registered agent, a duly authorized officer of such corporation must sign this statement.

FORM **BCA 10.30** (rev. Dec. 2003)
ARTICLES OF AMENDMENT
Business Corporation Act

Secretary of State
Department of Business Services
Springfield, IL 62756
217-782-1832
www.cyberdriveillinois.com

Remit payment in the form of a
check or money order payable
to Secretary of State.

File #

57297956

Filing Fee: \$50

Approved: _____

----- Submit in duplicate ----- Type or Print clearly in black ink ----- Do not write above this line -----

1. Corporate Name (See Note 1 on page 4.): Alternative Utility Services, Inc.

2. Manner of Adoption of Amendment:

The following amendment to the Articles of Incorporation was adopted on x August 23rd, 2012
in the manner indicated below: Month & Day Year

Mark an "X" in one box only.

- ☐ By a majority of the incorporators, provided no directors were named in the Articles of Incorporation and no directors have been elected. (See Note 2 on page 4.)
- ☐ By a majority of the board of directors, in accordance with Section 10.10, the Corporation having issued no shares as of the time of adoption of this amendment. (See Note 2 on page 4.)
- ☐ By a majority of the board of directors, in accordance with Section 10.15, shares having been issued but shareholder action not being required for the adoption of the amendment. (See Note 3 on page 4.)
- ☐ By the shareholders, in accordance with Section 10.20, a resolution of the board of directors having been duly adopted and submitted to the shareholders. At a meeting of shareholders, not less than the minimum number of votes required by statute and by the Articles of Incorporation were voted in favor of the amendment. (See Note 4 on page 4.)
- ☐ By the shareholders, in accordance with Sections 10.20 and 7.10, a resolution of the board of directors having been duly adopted and submitted to the shareholders. A consent in writing has been signed by shareholders having not less than the minimum number of votes required by statute and by the Articles of Incorporation. Shareholders who have not consented in writing have been given notice in accordance with Section 7.10. (See Notes 4 and 5 on page 4.)
- ☒ By the shareholders, in accordance with Section 10.20, a resolution of the board of directors having been duly adopted and submitted to the shareholders. A consent in writing has been signed by all the shareholders entitled to vote on this amendment. (See Note 5 on page 4.)

3. Text of Amendment:

- a. When amendment effects a name change, insert the New Corporate Name below. Use page 2 for all other amendments.

Article I: Name of the Corporation: _____

New Name

(All changes other than name include on page 2.)

Text of Amendment

b. If amendment affects the corporate purpose, the amended purpose is required to be set forth in its entirety.
For more space, attach additional sheets of this size.

Article 4 of the Article of Incorporation is hereby deleted and substituted therefore is the following:

4. Paragraph 1: Authorized Shares, issued Shares and Consideration Received:

Class	Par Value per Share	Number of Shares Authorized	Number of Shares Proposed to be Issued	Consideration to be Received Therefor
Common	0	1500	285.5 ? 184.5	\$1,000

Paragraph 2: The preferences, qualifications, limitations restrictions and special or relative rights in respect of the shares of each class are: NONE

4. The manner, if not set forth in Article 3b, in which any exchange, reclassification or cancellation of issued shares, or a reduction of the number of authorized shares of any class below the number of issued shares of that class, provided for or effected by this amendment, is as follows (if not applicable, insert "No change"):
As set forth in 3(b) above.

5. a. The manner, if not set forth in Article 3b, in which said amendment effects a change in the amount of paid-in capital is as follows (if not applicable, insert "No change"):
(Paid-in capital replaces the terms Stated Capital and Paid-in Surplus and is equal to the total of these accounts.)
No Change.

- b. The amount of paid-in capital as changed by this amendment is as follows (if not applicable, insert "No change"):
(Paid-in Capital replaces the terms Stated Capital and Paid-in Surplus and is equal to the total of these accounts.)
(See Note 6 on page 4.) *No Change*

	Before Amendment	After Amendment
Paid-in Capital:	\$ _____	\$ _____

Complete either Item 6 or Item 7 below. All signatures must be in BLACK INK.

6. The undersigned Corporation has caused this statement to be signed by a duly authorized officer who affirms, under penalties of perjury, that the facts stated herein are true and correct.

Dated August 23rd, 2012 Alternative Utility Services, Inc.
Month & Day Year Exact Name of Corporation
Fritz A. Kriess
Any Authorized Officer's Signature
Fritz A. Kriess, President
Name and Title (type or print)

7. If amendment is authorized pursuant to Section 10.10 by the incorporators, the incorporators must sign below, and type or print name and title.

OR

If amendment is authorized by the directors pursuant to Section 10.10 and there are no officers, a majority of the directors, or such directors as may be designated by the board, must sign below, and type or print name and title.

The undersigned affirms, under penalties of perjury, that the facts stated herein are true and correct.

Dated _____, _____
Month & Day Year

Form BCA-14.30

(Rev. Jan. 2003)

Secretary of State
 Department of Business Services
 501 S. Second St., Rm. 350
 Springfield, IL 62756
 217-782-9522, 217-782-6961
 www.cyberdriveillinois.com

Cumulative Report of Changes in Issued Shares and Paid-In Capital

(Please type or print legibly in BLACK INK)

File #:

DO NOT SEND CASH

Date:
 License Fee: \$
 Franchise Tax: \$
 Filing Fee: \$ 5
 Penalty: \$
 Interest: \$
 Approved:

1. Corporate Name: Alternative Utility Services, Inc.2. State or Country of Incorporation: Illinois

3. Authorized and Issued Shares as last reported (In any document other than an annual report.):

Class	Series	Par Value	Number Authorized	Number Issued
Common	N/A	None	15,000,000	2,855,000

4. Paid-in Capital as last reported (In any document other than an annual report.): \$ 36,649.00

5. Current Reporting Period Transactions (See Note 1 on back.):

- List the total issuances and exchanges of shares by class not previously reported to the Secretary of State. Also, give the value of the entire consideration received (less expenses paid or incurred in connection with such issuances) and added or transferred to paid-in capital for or on account of such shares.
- List the total amount added or transferred to paid-in capital without the issuance of shares (contributions).
- List the total of all cancellations of shares by class not previously reported to the Secretary of State and the cost to paid-in capital for acquiring such shares.
- List the total amount subtracted from paid-in capital without a cancellation of shares, but as permitted by Section 9.20 of the Illinois Business Corporation Act (reductions).

	Class of Shares	Series	Par Value	Number of Shares	Consideration Received/Cost
Issuance or Contributions:	None				\$
Cancellations	Common	----	NPV	2,854,714.50	\$ 0
Cancellations or Reductions:	Cancellations Due to Share for share conversion into lesser shares				\$ ()
					\$ ()
					\$ ()
					Cumulative Change (Enter on line 6a below. See Note 2 on back.): \$ 36,649.00

6. Franchise Tax and Fees (No additional franchise tax is due when cumulative change is negative amount.):

a. Cumulative Change	a.	\$0.00	
b. Applicable Allocation Factor (See Note 3 on back.)	b.	N/A	
c. Taxable Illinois Capital (Multiply line a by line b.)	c.		
d. Multiply line c by .0015 (Round to nearest cent.)	d.		
e. Additional Franchise Tax (Enter amount from line d.)	e.	\$0.00	
f. If Cumulative Report is late, multiply line e by .10 (penalty)	f.		
g. If Additional Franchise Tax is late, multiply line e by .02 for each month late or part thereof (interest) (minimum \$1)	g.		
h. FILING FEE (\$5)	h.	5.00	
i. Total Amount Due (Add line e + line f + line g + line h.)	i.	\$5.00	

COMPLETE BOTH SIDES OF THIS REPORT.

Printed by authority of the State of Illinois. March 2008 - 8M - C 284.12

7. Transactions occurring during previous reporting period(s) and not reported to the Secretary of State (See Note 4 below.):
The Secretary of State will compute fees, taxes and penalties resulting from the following transactions.)

Date	Class of Shares	Series	Par Value	Number of Shares	Consideration Received/Cost
Issuance or Contributions: N/A					\$
					\$
					\$
					\$
Cancellations or Reductions:					\$ ()
					\$ ()
(State reason for reduction if no shares were cancelled. See Note 6 below.)					\$ ()

ITEMS 8 AND 9 MUST BE COMPLETED.

8. Authorized and Issued Shares after changes:

Class	Series	Par Value	Number Authorized	Number Issued
Common	None	None	1500	285.5

9. Paid-In Capital after changes: \$ 36,649.00
("Paid-In Capital" replaces the terms Stated Capital and Paid-In Surplus and is equal to the total of these accounts.)

ITEM 10 MUST BE SIGNED.

10. The undersigned corporation has caused this statement to be signed by a duly authorized officer who affirms, under penalties of perjury, that the facts stated herein are true and correct.

Dated October 10th, 2012, Alternative Utility Services, Inc.
 Month & Day Year Exact Name of Corporation
Fritz Kreiss
 Any Authorized Officer's Signature
Fritz Kreiss, President
 Name and Title (type or print)

NOTES

- "Current reporting period" for a corporation that has not established an extended filing month means the 12-month period ending with the last day of the third month prior to its anniversary month in the current year. In the case of a corporation that has established an extended filing month, the "current reporting period" refers to the 12-month period ending with the corporation's most recent fiscal year end.
- "Cumulative change" means the difference arrived at by subtracting all reductions as permitted by Section 9.20 of the Illinois Business Corporation Act in paid-in capital (if any) from all increases in paid-in capital (if any). However, a net reduction in paid-in capital reported on Form BCA 14.30 filed after the statutory due date does not reduce the basis for the annual franchise tax until the subsequent year.
- "Applicable allocation factor" means the allocation factor established at the time of filing the Annual Report for the previous year. However, if no Annual Report was filed for the previous year, the applicable allocation factor will be that factor established on the Articles of Incorporation for a domestic corporation or on the Application for Authority for a foreign corporation.
- "Previous reporting period" means any time period occurring prior to the current reporting period.
- If there have been changes reported in item(s) 5 or 7 on Form BCA 14.30, it must be submitted with the Annual Report in the same envelope.
- Pursuant to Section 9.20 of the Illinois Business Corporation Act, paid-in capital may be reduced without cancellation of shares only through dividends paid on preferred shares, distributions as liquidating dividends or pursuant to an approved reorganization in bankruptcy that specifically directs the reduction to be effected.

201118700006

DATE:	DOCUMENT ID	DESCRIPTION	FILING	EXPED	PENALTY	CERT	COPY
07/06/2011	201118700006	FOREIGN LICENSE/FOR-PROFIT (FLF)	125.00	100.00	.00	.00	.00

Receipt

This is not a bill. Please do not remit payment.

NSI
ATTN: TENA LUMPKINS
145 BAKER STREET
MARION, OH 43302

**STATE OF OHIO
CERTIFICATE**

Ohio Secretary of State, Jon Husted

2032329

It is hereby certified that the Secretary of State of Ohio has custody of the business records for

ALTERNATIVE UTILITY SERVICES INC.

and, that said business records show the filing and recording of:

Document(s)

FOREIGN LICENSE/FOR-PROFIT

Document No(s):

201118700006

Authorization to transact business in Ohio is hereby given, until surrender, expiration or
cancellation of this license.



United States of America
State of Ohio
Office of the Secretary of State

Witness my hand and the seal of
the Secretary of State at Columbus,
Ohio this 5th day of July, A.D.
2011.

Jon Husted

Ohio Secretary of State



Prescribed by :

The Ohio Secretary of State
Central Ohio: (614) 466-3910

Toll Free: 1-877-SOS-FILE (1-877-767-3453)

www.sos.state.oh.us
e-mail: busserv@sos.state.oh.us

Expedite this Form: (Select One)

Mail Form to one of the Following:

☒ Yes PO Box 1350
Columbus, OH 43216

*** Requires an additional fee of \$100 ***

☐ No PO Box 670
Columbus, OH 43216

COPY

**FOREIGN CORPORATION APPLICATION FOR LICENSE
OR REGISTRATION OF CORPORATION NAME**
(For Foreign Profit or Nonprofit)

THE UNDERSIGNED HEREBY STATES THE FOLLOWING:

(CHECK ONLY ONE (1) BOX)

(1) Foreign Corporation <input checked="" type="checkbox"/> For-Profit (151-FLP) <input type="checkbox"/> Nonprofit (152-FLN) ORC 1703	(2) Registration of Corporate Name by Unlicensed Foreign Corporation <input type="checkbox"/> Original (155-RCO) <input type="checkbox"/> Renewal (172-RNR (RCR)) ORC 1703 (Registration No.)
Filing Fee \$125.00	Filing Fee \$50.00

Complete the general information in this section for the box checked above.

Corporate Name Alternative Utility Services Inc.

Under the Laws of the State of Illinois
(Home State)

Date of Incorporation in Home State 05/03/1993
(Date)

The corporation's principal office is located at

750 Veterans Pkwy Suite 104,

(Street)

NOTE: P.O. Box Addresses are NOT acceptable.

Lake Geneva

(City)

WI

(State)

53147

(Zip Code)

The corporate purpose it proposes to exercise in the state of Ohio are as follows: (Please provide a brief but specific description; a general purpose clause is not sufficient)

Electric Generation Supplier

The corporation is carrying on or doing business.

☐ Check here if additional provisions are attached

Complete the information in this section if box (1) is checked.

The corporation hereby appoints the following as its statutory agent upon whom process against the corporation may be served in Ohio

Corporate Creations Network Inc.

(Name)

119 E. Court Street

(Street)

NOTE: P.O. Box Addresses are NOT acceptable.

Cincinnati

(City)

Ohio

(State)

45202

(Zip Code)

The entity above irrevocably consents to service of process on the agent listed above as long as the authority of the agent continues, and to service of process upon the OHIO SECRETARY OF STATE if:

- A. the agent cannot be found or
- B. the above listed fails to designate another agent when required to do so, or
- C. the above stated registration to do business in Ohio expires or is cancelled

Complete the information in this section if profit is checked in box (1).

The application is made to secure a ☒ permanent ☐ temporary license

The corporation's principal office within Ohio is to be located in

☒ Corporation will not have an office in Ohio

(Street)

NOTE: P.O. Box Addresses are NOT acceptable.

(City)

(County)

Ohio

(State)

(Zip Code)

Has the corporation obtained a license to transact business in Ohio at any time in the past?

☐ Yes ☒ No

If yes, prior License No. _____

issued _____

(Date)

The date on which the corporation began transacting business in Ohio

☐ Date _____

OR

☒ Will begin business upon approval of application

Is this application being made to enable the corporation to prosecute or defend a legal action?

☐ Yes ☒ No

Complete the information in this section if non-profit is checked in box (1).

The location of its principal office in the state of Ohio is

(Street)

NOTE: P.O. Box Addresses are NOT acceptable.

(City)

(County)

Ohio

(State)

(Zip Code)

(Pursuant to ORC 1703.27 must have an Ohio address)

SS.

IN WITNESS WHEREOF, the corporation has caused this application to be executed by an authorized

officer on 6-3-2011
(Date)

STATE OF WI

COUNTY OF Walworth

x Fritz A. Kreiss, being first duly sworn, deposes and says that he/she is the
(Name of Officer)

x president of Alternative Utility Services Inc.
(Title)

the corporation described in the foregoing application, and that the statements contained in said application are true and correct to the best of my knowledge and belief.

x Signature: [Signature]

x Name: Fritz A Kreiss

Sworn to before me and subscribed in my presence, 6/30/11
(date)

[Signature]
(Notary Public)

NOTARY SEAL

Expiration date of Notary's Commission: 6/29/12
(date)

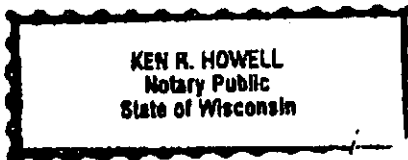


Exhibit B-1 “Jurisdictions of Operation,”

State	Licensed?	Operations
AR	Not Required	Currently doing business as a broker not taking title to the energy
CT	Not Required	Aggregator not engaged in the purchase or resale of electric generation services
FL	Not Required	Currently doing business as a broker not taking title to the energy
GA	Not Required	Currently doing business as a broker not taking title to the energy
IL	Yes	Licensed under docket # 09-0574
IN	Not Required	Currently doing business as a broker not taking title to the energy
MA	Yes	Competitive Electric Broker license number EB-250
MD	Yes	Licensed to supply electric generation services under license Ref # IR-2579
ME	Yes	Licensed to operate as a competitive electricity provider furnishing aggregator/broker services under Docket ID # 2011 - 312
MI	Not Required	Broker not engaged in the selling of electricity to customers
NJ	Yes	Licensed as an Energy Agent, Private Aggregator, and Consultant under Registration # EA – 0123, PA – 0094 and EC-0073
NY	Not Required	Currently doing business as energy broker and aggregator
OK	Not Required	Currently doing business as broker
PA	Yes	Licensed as an electric generation supplier under Docket A-2011-2261520
RI	Not Required	Broker not engaged in the purchase or resale of electric generation services

Exhibit B-2 "Experience & Plans,"

Section 4929.22 of the Revised Code applies to an applicant seeking the title of retail natural gas supplier or governmental aggregator, and although the applicant falls under the definition of "Retail natural gas supplier" which includes a marketer, broker, or aggregator, applicant feels that as a broker/aggregator who does not take title to the gas, the requirements set forth in this section do not apply:

A) Contract disclosure. (B) Service qualification and termination. (C) Minimum content of customer bills. (D) Disconnection and service termination, including requirements with respect to master-metered buildings. (E) Minimum service quality, safety, and reliability. (F) Customer information.

Applicant negotiates competitive pricing and contracts for clients, which may include requesting contracts from providers, and sending on said contracts to the client for execution. At no point will the applicant assume legal responsibility for the client. During the pricing process, applicant agrees to disclose all aspects of each providers pricing and present said pricing in a clear and non-discriminatory way.

Billing statements will be provided and distributed by the gas provider and not by applicant. Applicant will be available Monday through Friday 8:30 am CST to 5:00 pm CST to respond to customer inquiries and complaints at the 800 number listed on the application.

Applicant will not be acting as an agent for the client and will not be involved with termination, disconnections, or any change in service. This will be handled by the actual supplier of the gas.

Exhibit B-3 "Summary of Experience,"

Applicant is currently serving all customer types in the majority of all deregulated utilities where they are licensed. A small snapshot of the number and load size of contracted customer is below:

Accounts	LDC	State	Annual Volume (therm)
1 Account	Centerpoint of AR	AR	191,493
164 Accounts	PG&E	CA	1,801,072
8 Accounts	SDG&E	CA	113,950
45 Accounts	SoCal Gas	CA	344,896
1 Account	Conn Natural Gas	CT	13,348
4 Accounts	Yankee Gas	CT	358,280
9 Accounts	FPU	FL	n/a
56 Accounts	TECO	FL	129,931
3 Accounts	AGL	GA	107,472
453 Accounts	NICOR	IL	4,840,069
21 Accounts	Northshore	IL	220,782
288 Accounts	PGLC	IL	16,255,133
4 Accounts	Citizens	IN	n/a
538 Accounts	NIPSCO	IN	1,640,389
1 Account	Vectren	IN	n/a
1 Account	Consumers Energy	MI	492,508
3 Accounts	MGU	MI	55,988
90 Accounts	MichCon (DTE)	MI	485,255
46 Accounts	SEMCO	MI	134,842
7 accounts	ConEdison	NY	n/a
3 Accounts	Keyspan West	NY	n/a
21 accounts	National Grid	NY	n/a
4 Accounts	O&R	NY	n/a
1 Account	RG&E	NY	n/a
6 Accounts	ONG	OK	57,597

Exhibit B-4 "Disclosure of Liabilities and Investigations,"

There has been no such existing, pending or past rulings, judgments, contingent liabilities, revocation of authority, regulatory investigations, or any other matter that could adversely impact the applicant's financial or operational status or ability to provide the services it is seeking to be certified to provide.

Exhibit C-1 “Annual Reports,”

Applicant is a privately held company with no shareholders and therefore does not have annual reports to submit.

Exhibit C-2 “SEC Filings,”

Applicant is a privately held company with assets less than \$10 million and is not required to file with the SEC.

Exhibit C-4 "Financial Arrangements,"



Delavan Office
1121 E Geneva St
Delavan, WI 53115

usbank.com

March 3, 2011

To whom it may concern:

Please be advised that Us Bank has a business relationship with Alternative Utility Services Inc. This relationship began back in June 2000.

The relationship includes a checking account that averages well over a five figure balance. Alternative Utility Services Inc. does not have any current debt with us, but has access to a Line of Credit in the amount of \$50,000. Any past debt has always been paid as agreed.

If you have any further questions or concerns, please feel free to contact me at 262-740-4001.

Sincerely,

A handwritten signature in cursive script that reads "Teri L. Deschner". The signature is fluid and extends across the width of the text area.

Teri L. Deschner
Manager



Exhibit C-6 “Credit Rating,”

Applicant’s credit rating with D&B is 2R2. Applicant’s credit rating as reported with another company is unavailable but can be provided if necessary, and there is no substitute as a parent company or affiliate is unavailable. Please see detail of D&B credit report.

Exhibit C-7 "Credit Report"



ATTN: Kathleen Bozzone
Report Printed: June 20, 2011

Live Report : ALTERNATIVE UTILITY SERVICES, INC

D-U-N-S® Number: 94-571-2040

Trade Names: No trade names for this company.

D&B Address

Address 750 Veterans Pkwy Ste 104
Lake Geneva, WI - 53147

Phone 262 248-0930

Fax

Location Type Single Location

Web www.ausenergy.com

Company Summary

Trade Payments - Timeliness of Historical Payments

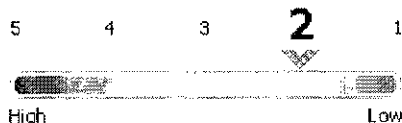
When weighted by amount, Payments to suppliers average generally within terms



This assessment is based on D&Bs 12-month PAYDEX® Score

Predictive Indicators - Risk of Financial Stress

Low To Moderate risk of severe financial stress over the next 12 months.



This assessment is based on D&Bs Financial Stress Score

History & Operations

This is a single location

Mailing Address PO Box 250
Lake Geneva, WI 53147

Chief Executive FRITZ KREISS, PRESIDENT

Year Started 1993

Employees 9

SIC 4922, 4939

Line of business Natural gas transmission, combination utilities

NAICS 486210

History Status CLEAR

Predictive Indicators - Risk of Payment Delinquency



This assessment is based on D&Bs Commercial Credit Score.

Predictive Indicators - Supplier Evaluation Risk

Moderate risk of supplier experiencing severe financial stress over the next 12 months.



This assessment is based on D&Bs Supplier Evaluation Risk Rating

Predictive Indicators - Credit Capacity

D&B RATING : 2R2

Number of employees: 2R indicates 1 to 9 employees

Composite credit appraisal: 2 is good

This assessment is based on D&Bs D&B Rating.

Public Filings

The following data includes both open and closed filings found in D&B's database on this company.

Record Type	Number of Records	Most Recent Filing Date
Bankruptcies	0	-
Judgments	0	-
Liens	0	-
Suits	0	-
UCCs	0	-

The public record items contained herein may have been paid, terminated, vacated or released prior to today's date.

Predictive Indicators

Currency: Shown in USD unless otherwise indicated 

Credit Capacity Summary

This credit rating was assigned because of D&B's assessment of the company's creditworthiness. For more information, see the D&B Rating Key.

D&B Rating : **2R2**

Number of employees: 2R indicates 1 to 9 employees
Composite credit appraisal: 2 is good

The 1R and 2R ratings categories reflect company size based on the total number of employees for the business. They are assigned to business files that do not contain a current financial statement. In 1R and 2R Ratings, the 2, 3, or 4 creditworthiness indicator is based on analysis by D&B of public filings, trade payments, business age and other important factors. 2 is the highest Composite Credit Appraisal a company not supplying D&B with current financial information can receive.

Below is an overview of the company's rating history since 07-02-1996

D&B Rating	Date Applied	Number of Employees
2R2	12-02-2008	9
—	07-02-1996	Total:

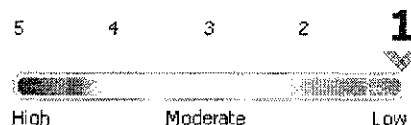
Payment Activity: (based on 11 experiences)

Average High Credit:	535
Highest Credit:	2,500
Total Highest Credit:	3,750

D&B Credit Limit Recommendation

Conservative credit Limit	10,000
Aggressive credit Limit:	25,000

Risk category for this business : **LOW**



This recommended Credit Limit is based on the company profile and on profiles of other companies with similarities in size, industry, and credit usage.

Risk is assessed using D&Bs scoring methodology and is one factor used to create the recommended limits. See Help for details.

Financial Stress Class Summary

The Financial Stress Score predicts the likelihood of a firm ceasing business without paying all creditors in full, or reorganization or obtaining relief from creditors under state/federal law over the next 12 months. Scores were calculated using a statistically valid model derived from D&Bs extensive data files.

The Financial Stress Class of 2 for this company shows that firms with this class had a failure rate of 0.09% (9 per 10,000), which is lower than the average of businesses in D & B's database

Financial Stress Class :



Moderate risk of severe financial stress, such as a bankruptcy, over the next 12 months.

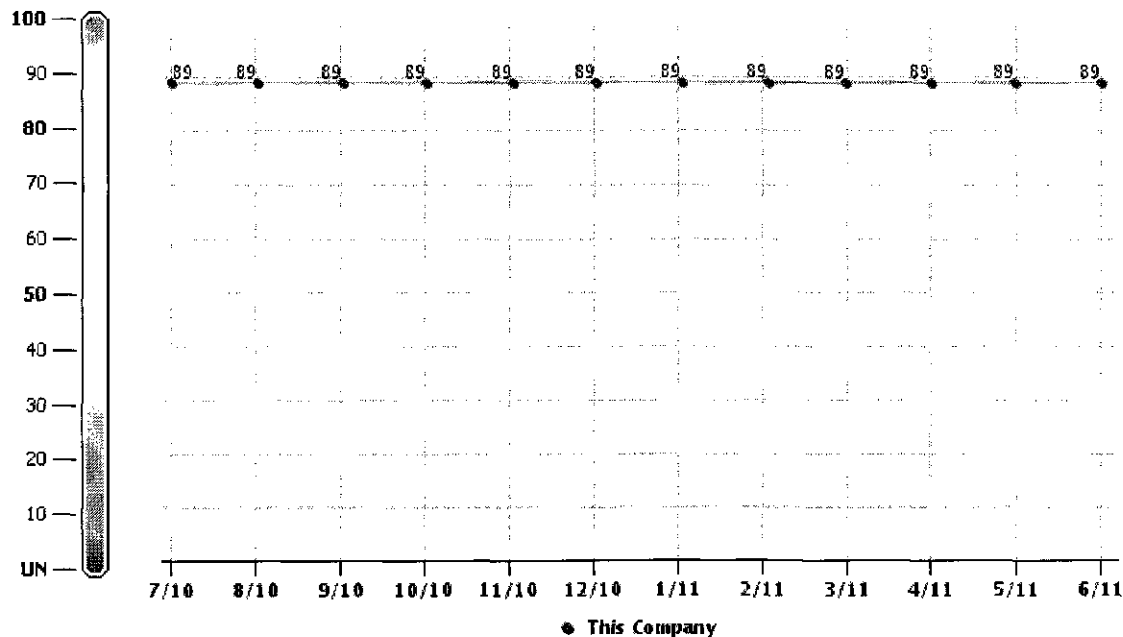
Probability of Failure:

- Among Businesses with this Class: **0.09 %** (9 per 10,000)
- Financial Stress National Percentile : **89** (Highest Risk: 1; Lowest Risk: 100)
- Financial Stress Score : **1550** (Highest Risk: 1,001; Lowest Risk: 1,875)
- Average of Businesses in D&Bs database: **0.48 %** (48 per 10,000)

The Financial Stress Class of this business is based on the following factors:

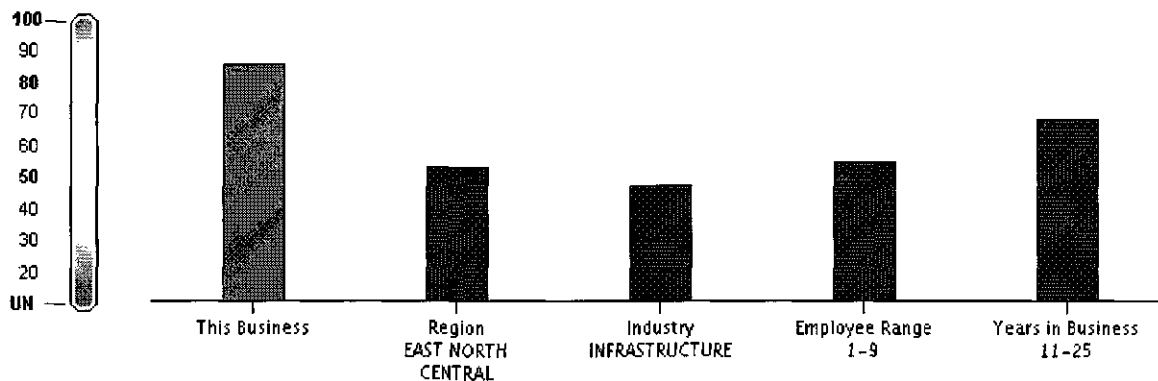
- Higher risk industry based on failure rates by industry.
- Higher risk state based on failure rates by state.

Financial Stress Percentile Trend:



Notes:

- The Financial Stress Class indicates that this firm shares some of the same business and financial characteristics of other companies with this classification. It does not mean the firm will necessarily experience financial stress.
- The Probability of Failure shows the percentage of firms in a given Class that discontinued operations over the past year with loss to creditors. The Probability of Failure - National Average represents the national failure rate and is provided for comparative purposes.
- The Financial Stress National Percentile reflects the relative ranking of a company among all scorable companies in D&Bs file.
- The Financial Stress Score offers a more precise measure of the level of risk than the Class and Percentile. It is especially helpful to customers using a scorecard approach to determining overall business performance.



Norms	National %
This Business	89
Region: EAST NORTH CENTRAL	50
Industry: INFRASTRUCTURE	43
Employee range: 1-9	52
Years in Business: 11-25	68

This Business has a Financial Stress Percentile that shows:

Lower risk than other companies in the same region.

Lower risk than other companies in the same industry.

Lower risk than other companies in the same employee size range.

Lower risk than other companies with a comparable number of years in business.

Credit Score Summary

The Commercial Credit Score predicts the likelihood that a company will pay its bills in a severely delinquent manner (90 days or more past terms), obtain legal relief from creditors or cease operations without paying all creditors in full over the next 12 months. Scores are calculated using a statistically valid model derived from D&B's extensive data files.

The Credit Score class of 1 for this company shows that 6.0% of firms with this class paid one or more bills severely delinquent, which is lower than the average of businesses in D & B's database.

Credit Score Class :



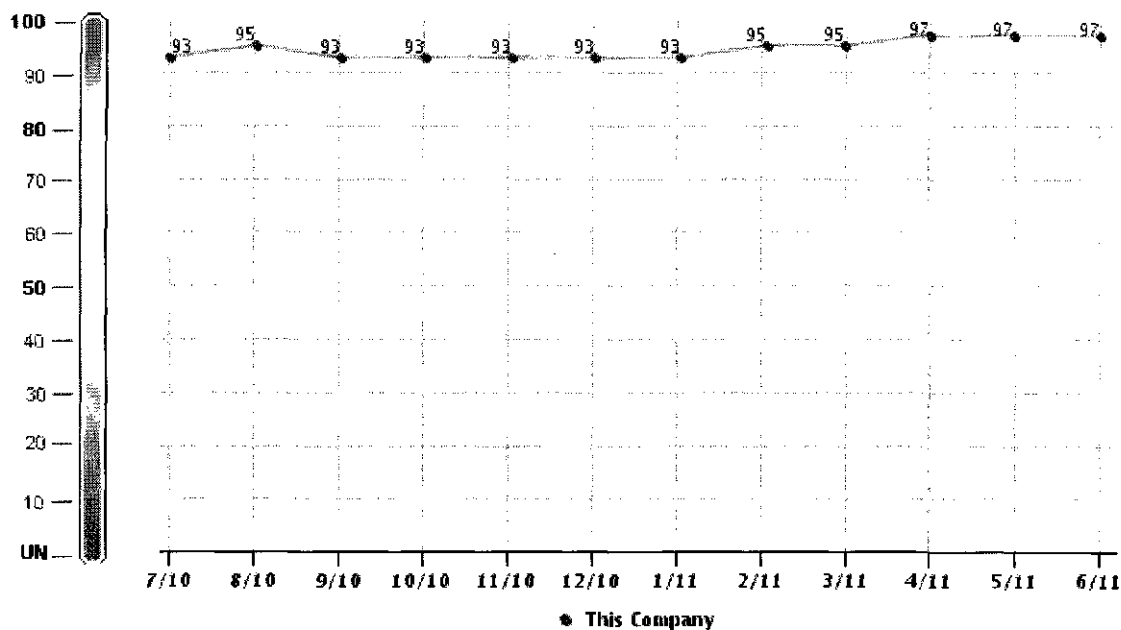
Incidence of Delinquent Payment

- Among Companies with this Classification: **6.00 %**
- Average compared to businesses in D&B's database: **23.50 %**
- Credit Score Percentile : **97** (Highest Risk: 1; Lowest Risk: 100)
- Credit Score : **506** (Highest Risk: 101; Lowest Risk: 670)

The Credit Score Class of this business is based on the following factors:

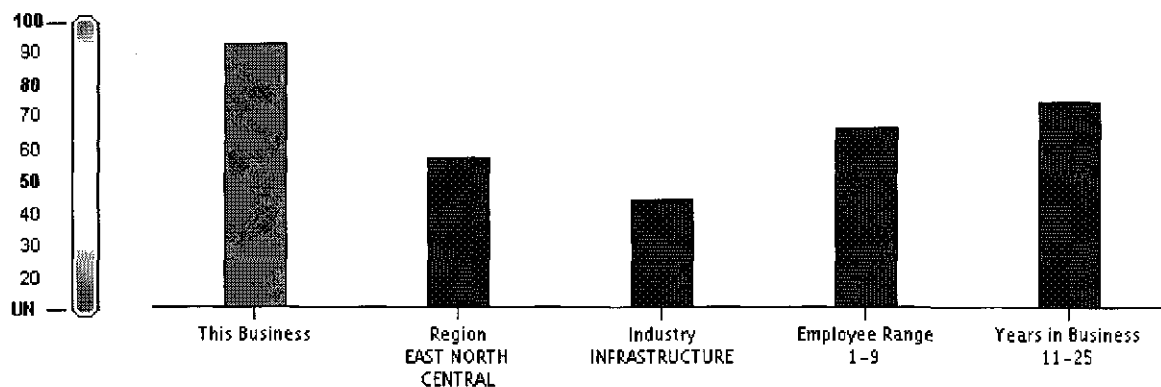
- Low number of satisfactory payments.
- General area credit conditions.
- Low proportion of satisfactory payment experiences to total payment experiences.

Credit Score Class Percentile Trend:



Notes:

- The Commercial Credit Score Risk Class indicates that this firm shares some of the same business and financial characteristics of other companies with this classification. It does not mean the firm will necessarily experience severe delinquency.
- The incidence of delinquency shows the percentage of firms in a given percentile that are likely to pay creditors in a severely delinquent manner. The average incidence of delinquency is based on businesses in D&B's database and is provided for comparative purposes.
- The Commercial Credit Score percentile reflects the relative ranking of a firm among all scorable companies in D&B's file.
- The Commercial Credit Score offers a more precise measure of the level of risk than the Risk Class and Percentile. It is especially helpful to customers using a scorecard approach to determining overall business performance.



Norms	National %
This Business	97
Region: EAST NORTH CENTRAL	55
Industry: INFRASTRUCTURE	40
Employee range: 1-9	66
Years in Business: 11-25	76

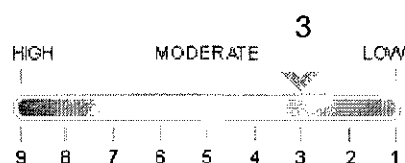
This business has a Credit Score Percentile that shows:

Lower risk than other companies in the same region.

Lower risk than other companies in the same industry.

Lower risk than other companies in the same employee size range.

Lower risk than other companies with a comparable number of years in business.

Supplier Evaluation Risk Rating: 3

- Average Payments are on terms.
- Average Industry Payments are 2 day(s) beyond terms.
- Under present management control 18 years.

Trade Payments

Currency: Shown in USD unless otherwise indicated

Payment Summary

There are 11 payment experience(s) in D&Bs file for the most recent 24 months, with 6 experience(s) reported during the last three month period.

The highest **Now Owes** on file is 500 . The highest **Past Due** on file is 0

Below is an overview of the companys currency-weighted payments, segmented by its suppliers primary industries:

	Total Rev'd (#)	Total Amts	Largest High Credit	Within Terms (%)	Days Slow <31 31-60 61-90 (%) (%) (%)			
Top Industries								
Nonclassified	2	2,550	2,500	100	0	0	0	0
Insurance agent	2	50	50	100	0	0	0	0
Radiotelephone commun	1	750	750	100	0	0	0	0
Personal credit	1	250	250	100	0	0	0	0
Natnl commercial bank	1	100	100	100	0	0	0	0
Whol electrical equip	1	50	50	100	0	0	0	0
Other payment categories								
Cash experiences	3	0	0					
Payment record unknown	0	0	0					
Unfavorable comments	0	0	0					
Placed for collections:								
With D&B	0	0	0					
Other	0	N/A	0					
Total in D&Bs file	11	3,750	2,500					

Accounts are sometimes placed for collection even though the existence or amount of the debt is disputed.

Indications of slowness can be result of dispute over merchandise, skipped invoices etc.

Detailed payment history for this company

Date


Selling Last Sale

Reported (mm/yy)	Paying Record	High Credit	Now Owes	Past Due	Terms	Within (month)
05/11	Ppt	250	0	0		4-5 mos
	Ppt	50	0	0		4-5 mos
	Ppt	0	0	0		6-12 mos
04/11	Ppt	2,500	500	0		1 mo
	Ppt	750	0	0		1 mo
	Ppt	50	0	0	N30	1 mo
11/10	(007)	0	0	0	Cash account	6-12 mos
06/10	Ppt	100	100	0		1 mo
05/10	Ppt	50	0	0		
04/10	(010) Cash own option .	0	0	0		6-12 mos
11/09	(011) Cash own option .	0	0	0		6-12 mos

Payments Detail Key: ■ 30 or more days beyond terms

Payment experiences reflect how bills are paid in relation to the terms granted. In some instances payment beyond terms can be the result of disputes over merchandise, skipped invoices, etc. Each experience shown is from a separate supplier. Updated trade experiences replace those previously reported.

Public Filings

Currency: Shown in USD unless otherwise indicated 

Summary

A check of D&B's public records database indicates that no filings were found for ALTERNATIVE UTILITY SERVICES, INC at 750 Veterans Pkwy Ste 104 , Lake Geneva WI .

D&B's extensive database of public record information is updated daily to ensure timely reporting of changes and additions. It includes business-related suits, liens, judgments, bankruptcies, UCC financing statements and business registrations from every state and the District of Columbia, as well as select filing types from Puerto Rico and the U.S. Virgin Islands.

D&B collects public records through a combination of court reporters, third parties and direct electronic links with federal and local authorities. Its database of U.S. business-related filings is now the largest of its kind.

Government Activity

Activity summary

Borrower (Dir/Guar)	NO
Administrative Debt	NO
Contractor	NO
Grantee	NO
Party excluded from federal program(s)	NO

Possible candidate for socio-economic program consideration

Labour Surplus Area	N/A
Small Business	YES (2011)
8(A) firm	N/A

The details provided in the Government Activity section are as reported to Dun & Bradstreet by the federal government and other sources.

History & Operations

Currency: Shown in USD unless otherwise indicated 

Company Overview

Company Name:	ALTERNATIVE UTILITY SERVICES, INC
Street Address:	750 Veterans Pkwy Ste 104 Lake Geneva , WI 53147
Mailing Address:	PO Box 250 Lake Geneva WI 53147
Phone:	262 248-0930
URL:	http://www.ausenergy.com
History	Is clear
Present management control	18 years

SIC & NAICS

SIC:

Based on information in our file, D&B has assigned this company an extended 8-digit SIC. D&B's use of 8-digit SICs enables us to be more specific about a company's operations than if we use the standard 4-digit code.

The 4-digit SIC numbers link to the description on the Occupational Safety & Health Administration (OSHA) Web site. Links open in a new browser window.

4922 0000 Natural gas transmission


4939 0000 Combination utilities, nec

NAICS:

486210 Pipeline Transportation of Natural Gas

221119 Other Electric Power Generation

Financials

Currency: Shown in USD unless otherwise indicated 

Key Business Ratios

D & B has been unable to obtain sufficient financial information from this company to calculate business ratios. Our check of additional outside sources also found no information available on its financial performance.

To help you in this instance, ratios for other firms in the same industry are provided below to support your analysis of this business.

Based on this Number of Establishments

25

Industry Norms Based On 25 Establishments

	This Business	Industry Median	Industry Quartile
Profitability			
Return on Sales	UN	20.0	UN
Return on Net Worth	UN	12.7	UN
Short-Term Solvency			
Current Ratio	UN	0.8	UN
Quick Ratio	UN	0.5	UN
Efficiency			
Assets/Sales (%)	UN	352.9	UN
Sales / Net Working Capital	UN	16.1	UN
Utilization			
Total Liabilities / Net Worth (%)	UN	126.2	UN

UN = Unavailable

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Exhibit C-8 “Bankruptcy Information,”

Applicant has never sought bankruptcy, so this information is unavailable.

Exhibit C-9 “Merger Information,”

No dissolution, merger, or acquisition has ever occurred, so this information is unavailable.

Exhibit D-1 “Operations,”

Alternative Utility Services, Inc., was established 1993. It is a licensed energy consulting company providing energy brokerage and aggregation services nationwide. AUS provides a wide range of professional procurement and consulting services including electric, gas, residential, reverse auction, demand response, auditing, and benchmarking. AUS does arrange for the retail sale of electricity, electric related services, gas supply or gas related services but does not take title to the electric or gas sold.

State	Licensed?	Operations
AR	Not Required	Currently doing business as a broker not taking title to the energy
CT	Not Required	Aggregator not engaged in the purchase or resale of electric generation services
FL	Not Required	Currently doing business as a broker not taking title to the energy
GA	Not Required	Currently doing business as a broker not taking title to the energy
IL	Yes	Licensed under docket # 09-0574
IN	Not Required	Currently doing business as a broker not taking title to the energy
MA	Yes	Competitive Electric Broker license number EB-250
MD	Yes	Licensed to supply electric generation services under license Ref # IR-2579
ME	Yes	Licensed to operate as a competitive electricity provider furnishing aggregator/broker services under Docket ID # 2011 - 312
MI	Not Required	Broker not engaged in the selling of electricity to customers
NJ	Yes	Licensed as an Energy Agent, Private Aggregator, and Consultant under Registration # EA – 0123, PA – 0094 and EC-0073
NY	Not Required	Currently doing business as energy broker and aggregator
OK	Not Required	Currently doing business as broker
PA	Yes	Licensed as an electric generation supplier under Docket A-2011-2261520
RI	Not Required	Broker not engaged in the purchase or resale of electric generation services

Exhibit D-2 “Operations Expertise,”

Managerial Background

Fritz A. Kreiss (president) has been in business since 1993 selling energy through sales agents throughout the US. In 1993, he founded Alternative Utility Services, Inc. (AUS) with his wife, Catherine McQueen. AUS was established to promote and install commercial Combined Heat and Power (CHP) systems, and marked the beginning of Fritz’s continuing commitment to renewable green energy and sustainable building practices. Since 1993 AUS has expanded into the fields of energy management, energy brokering and utility consulting, working primarily in the deregulated natural gas and electric markets. AUS currently has more than 1,000 accounts in more than a dozen states. AUS has salespeople in 9 states including IL.

Technical Requirements

AUS has procured electricity since 2002 and natural gas since 1993.

Memberships and Certifications

- Member in Good Standing of The American Solar Energy Society
- Member in Good Standing of the Association of Energy Services Professionals
- Certified as a Senior Member of The Association of Energy Engineers
- Certified as an Energy Procurement Professional by The Association of Energy Engineers
- Senior Member of the Demand-Side Management Society (DSMS)
- Senior Member in the Cogeneration & Competitive Power Institute (CCPI) of The Association of Energy Engineers
- Charter Member of the Energy Services of Marketing (ESM) Society
- Professional Member of the Society of Energy Professionals International (SEPI)
- Certificate of Completion: Fundamentals of Buying & Selling Energy, August 2007 World Congress Center, Atlanta, GA
- Published in Common Interest magazine Vol 14/NO 3 – Lights, Action Savings and Sustainable Living.

FRITZ A. KREISS

EXPERIENCE

Alternative Utility Services, Inc. President 1993 to Present

- Founder and leading consultant of Alternative Utility Services, Inc. (AUS).
- Provides energy procurement services for natural gas and electricity to over 3,000 client locations in 20 states.
- Developed an independent agent and consultant clearinghouse for natural gas and electricity.
- Forges and maintains relationships with numerous third party energy suppliers across the country.
- Manages a team of analysts for the development of RFPs and the monitoring of energy markets and utility tariffs.
- Developed a variety of energy service programs that have provided energy upgrades to companies on a no investment basis, including boilers and controls, VFDs, lighting, and onsite generation.
- Spearheaded the development of energy projects that include a 50kW wind turbine in Wisconsin and 18,000 kW of solar photo voltaic currently being developed in New Jersey.
- Co-producer of www.ecofair360.com – an annual education event with 200 plus exhibitors and workshops on building sustainable futures and communities.
- Co-designing, building, and owning a 19 suite net zero energy boutique hotel with conference center that will be a living demonstration of multiple energy technologies and systems.

Conversion Technologies President 1988 to 1993

- Designed small modular cogeneration systems.
- Brokered natural gas for commercial customers in Illinois.
- Developed a third party ownership model for financing systems.
- Designed and engineered projects for health care facilities.

EDUCATION

BA Distributive Science – Gustavus Adolphus College

BS Social Studies – Bemidji State University

ACHEIVEMENTS

- Member in Good Standing – American Solar Energy Society
- Senior Member – Association of Energy Engineers
- Certified Energy Procurement Professional – Association of Energy Engineers (AEE)
- Certificate of Completion: Fund of Buying and Selling Energy – AEE
- Member in Good Standing – Association of Energy Services Professionals
- Charter Member – Energy Services of Marketing Society of AEE
- Professional Member, through Alternative Utility Services, Inc. – The Society of Energy Professionals International
- Senior Member – The Demand-Side Management Society of AEE.
- Senior Member – The Cogeneration & Competitive Power Institute of AEE
- Published in Common Interest magazine: Vol. 14 No. 3 – Lights, Action Savings and Sustainable Living

CATHERINE MCQUEEN

EXPERIENCE

Alternative Utility Services, Inc. Vice-President 1994 to Present

- Manages and supports independent agents and consultants in the fields of natural gas and electricity brokering.
- Helps develop and maintain relationships with energy and other business related suppliers.
- Oversees and manages a support team that is responsible for tracking RFPs, accurately managing contracts, and providing customer support to clients.
- Co-producer of www.ecofair360.com – an annual education event with 200 plus exhibitors and workshops on building sustainable futures and communities.
- Co-designing, building, and owning a 19 suite net zero energy boutique hotel with conference center that will be a living demonstration of multiple energy technologies and systems.

Independent Contractor 1980 to 1994

- Worked for various trade show marketing companies.
- Produced, managed, and directed tradeshow productions for numerous companies based out of Chicago.
- Wrote scripts and narrated promotional productions.
- Responsible for managing projects to completion.
- Maintained both client and employer relationships.

EDUCATION

BA Speech/Communication – College of Wooster

MFA Theatre/History – Indiana University

ACHEIVEMENTS

- American Association of University Women – Leadership in Education Award

CECILIA A. PESCHE

EXPERIENCE

Alternative Utility Services, Inc. Operations Manager 2012 to Present

- Coordinates Quotes & Contracts, Customer Relations, and IT departments.
- Responsible for establishing and maintaining broker agreements.
- Handles all provider commission issues.
- Develops and maintains relationships with over 40 energy providers.
- Helps foster and grow relationships with new and existing energy consultants.
- Ensures that office has the necessary hardware and software technology to conduct business in an effective and efficient manner.
- Designs, writes, and helps maintain companywide documents, including office handbook, job descriptions, employee reviews, and other company resources.
- Coordinates the hiring, scheduling, reviewing, and release of Operations employees.
- Works with other managers to establish business procedures and oversee office workflow.

Alternative Utility Services, Inc. Quotes and Contract Manager 2009 to 2012

- Directed correspondence with providers pertaining to natural gas and electricity, including RFPs, pricing, credit, LOEs, and contracts.
- Reviewed, managed, and documented all client executed agreements.
- Event Coordinator of EcoFair 360 in 2011.

Alternative Utility Services, Inc. Support Specialist 2007 to 2009

- Supported sales consultants through performing bill audits, analysis, RFPs, credit verification, and client research.

EDUCATION

BS Sociology – University of Wisconsin-Madison

Study Abroad – Imperial College-London

ACHEIVEMENTS

- Certificate in Supervisory & Business Management – Gateway Technical College
- Certificate – Advanced Microsoft Excel

JENNA M BUEHRE

EXPERIENCE

Alternative Utility Services, Inc. Manager of Corporate Affairs 2012 to Present

- Coordinates accounting, finance, commission, and human resources departments.
- Examines and documents incumbent utility tariffs nationwide.
- Manages all sub-contractor, partnership, and sponsor contracts.
- Responsible for completing and maintaining broker licensing, including putting together yearly reports.
- Oversees the development and administration of municipal energy aggregation program.
- Analyzes performance data for all contracted services, including natural gas and electricity.
- Coordinates the purchase and sale of renewable energy certificates.
- Researches and advises in the development of process planning.
- Works with other managers to establish business procedures and oversee office workflow.

Alternative Utility Services, Inc. Customer Relations Manager 2009 to 2012

- Determined customer commodity supply requirements and objectives.
- Qualified leads, developed customer prospects, and prioritized pursuit of business opportunities.
- Facilitated client relations between sales and operations.

Alternative Utility Services, Inc. Support Specialist 2008 to 2009

- Monitored the contractual process for clients.
- Recorded and maintained client information.

EDUCATION

B.A. Organizational Communication – University of Wisconsin-Whitewater
Accounting and Finance Coursework – University of Wisconsin-Green Bay

ACHEIVEMENTS

- Certified Notary Public – State of Wisconsin Department of Financial Institutions
- Member of American Association of Notaries
- Certificate of Completion – Exploring LED Lighting Technology for Facilities