



The Public Utilities Commission of Ohio

13-1570-EL-AGG

PUCO USE ONLY 38		
Date Received	Case Number	Version
	- EL-AGG	August 2004

CERTIFICATION APPLICATION FOR AGGREGATORS/POWER BROKERS

Please print or type all required information. Identify all attachments with an exhibit label and title (Example: Exhibit A-5 Experience). All attachments should bear the legal name of the Applicant. Applicants should file completed applications and all related correspondence with the Public Utilities Commission of Ohio, Docketing Division; 180 East Broad Street, Columbus, Ohio 43215-3793.

**This PDF form is designed so that you may input information directly onto the form.
You may also download the form, by saving it to your local disk, for later use.**

A. APPLICANT INFORMATION

A-1 Applicant's legal name, address, telephone number and web site address

Legal Name EMISSIONS CONSULT LLC
 Address 8775 centre park dr #771, Columbia Md 21045
 Telephone # 4109922622 Web site address (if any) _____

A-2 List name, address, telephone number and web site address under which Applicant will do business in Ohio

Legal Name EMISSIONS CONSULT LLC
 Address 8775 CENTRE PARK DR#771 COLUMBIA MD 21045
 Telephone # 4109922622 Web site address (if any) _____

A-3 List all names under which the applicant does business in North America

Emissions Consult LLC

A-4 Contact person for regulatory or emergency matters

Name AKUA NYARKO BOAMPONG
 Title CEO

This is to certify that the images appearing are an accurate and complete reproduction of a case file document delivered in the regular course of business
 Technician SW Date Processed JUL 02 2013

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2013 JUL -2 PM 3:11

PUCO

Business address 8775 centre park dr#771
Telephone # 4438121670 Fax # 8669417795
E-mail address (if any) anyark@emissionsconsult.com

A-5 Contact person for Commission Staff use in investigating customer complaints

Name Akua Nyarko Boampong
Title Ceo /Business Development Director
Business address 8775 Centre Park dr #771 Columbia Md 21045
Telephone # 443-812-1670 Fax #
E-mail address (if any) anyark@emissionsconsult.com

A-6 Applicant's address and toll-free number for customer service and complaints

Customer Service address 8775 Centre Park dr #771 Columbia Md 21045
Toll-free Telephone # 4109922622 Fax # 8669417795
E-mail address (if any) anyark@emissionsconsult.com

A-7 Applicant's federal employer identification number # 27-206-5822

A-8 Applicant's form of ownership (check one)

- | | |
|--|--|
| <input type="checkbox"/> Sole Proprietorship | <input type="checkbox"/> Partnership |
| <input type="checkbox"/> Limited Liability Partnership (LLP) | <input type="checkbox"/> Limited Liability Company (LLC) |
| <input checked="" type="checkbox"/> Corporation | <input type="checkbox"/> Other <u></u> |

A-9 (Check all that apply) Identify each electric distribution utility certified territory in which the applicant intends to provide service, including identification of each customer class that the applicant intends to serve, for example, residential, small commercial, mercantile commercial, and industrial. (A mercantile customer, as defined in (A) (19) of Section 4928.01 of the Revised Code, is a commercial customer who consumes more than 700,000 kWh/year or is part of a national account in one or more states).

- | | | | | |
|---|---|--|-------------------------------------|--|
| <input checked="" type="checkbox"/> First Energy | | | | |
| <input checked="" type="checkbox"/> Ohio Edison | <input checked="" type="checkbox"/> Residential | <input checked="" type="checkbox"/> Commercial | <input type="checkbox"/> Mercantile | <input checked="" type="checkbox"/> Industrial |
| <input checked="" type="checkbox"/> Toledo Edison | <input type="checkbox"/> Residential | <input checked="" type="checkbox"/> Commercial | <input type="checkbox"/> Mercantile | <input checked="" type="checkbox"/> Industrial |
| <input checked="" type="checkbox"/> Cleveland Electric Illuminating | <input type="checkbox"/> Residential | <input checked="" type="checkbox"/> Commercial | <input type="checkbox"/> Mercantile | <input checked="" type="checkbox"/> Industrial |
| <input checked="" type="checkbox"/> Cincinnati Gas & Electric | <input type="checkbox"/> Residential | <input checked="" type="checkbox"/> Commercial | <input type="checkbox"/> Mercantile | <input checked="" type="checkbox"/> Industrial |
| <input checked="" type="checkbox"/> Monongahela Power | <input type="checkbox"/> Residential | <input checked="" type="checkbox"/> Commercial | <input type="checkbox"/> Mercantile | <input checked="" type="checkbox"/> Industrial |
| <input checked="" type="checkbox"/> American Electric Power | | | | |
| <input checked="" type="checkbox"/> Ohio Power | <input checked="" type="checkbox"/> Residential | <input checked="" type="checkbox"/> Commercial | <input type="checkbox"/> Mercantile | <input checked="" type="checkbox"/> Industrial |
| <input checked="" type="checkbox"/> Columbus Southern Power | <input type="checkbox"/> Residential | <input checked="" type="checkbox"/> Commercial | <input type="checkbox"/> Mercantile | <input checked="" type="checkbox"/> Industrial |
| <input checked="" type="checkbox"/> Dayton Power and Light | <input checked="" type="checkbox"/> Residential | <input checked="" type="checkbox"/> Commercial | <input type="checkbox"/> Mercantile | <input checked="" type="checkbox"/> Industrial |

- A-10 Provide the approximate start date that the applicant proposes to begin delivering services
08/01/2013
-

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:

- A-11 **Exhibit A-11 "Principal Officers, Directors & Partners"** provide the names, titles, addresses and telephone numbers of the applicant's principal officers, directors, partners, or other similar officials.
- A-12 **Exhibit A-12 "Corporate Structure,"** provide a description of the applicant's corporate structure, including a graphical depiction of such structure, and a list of all affiliate and subsidiary companies that supply retail or wholesale electricity or natural gas to customers and companies that aggregate customers in North America.
- A-13 **Exhibit A-13 "Company History,"** provide a concise description of the applicant's company history and principal business interests.
- A-14 **Exhibit A-14 "Articles of Incorporation and Bylaws,"** if applicable, provide the articles of incorporation filed with the state or jurisdiction in which the Applicant is incorporated and any amendments thereto.
- A-15 **Exhibit A-15 "Secretary of State,"** provide evidence that the applicant has registered with the Ohio Secretary of the State.

B. APPLICANT MANAGERIAL CAPABILITY AND EXPERIENCE

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:

- B-1 **Exhibit B-1 "Jurisdictions of Operation,"** provide a list of all jurisdictions in which the applicant or any affiliated interest of the applicant is, at the date of filing the application, certified, licensed, registered, or otherwise authorized to provide retail or wholesale electric services including aggregation services.
- B-2 **Exhibit B-2 "Experience & Plans,"** provide a description of the applicant's experience and plan for contracting with customers, providing contracted services, providing billing statements, and responding to customer inquiries and complaints in accordance with Commission rules adopted pursuant to Section 4928.10 of the Revised Code.

B-3 **Exhibit B-3 "Summary of Experience,"** provide a concise summary of the applicant's experience in providing aggregation service(s) including contracting with customers to combine electric load and representing customers in the purchase of retail electric services. (e.g. number and types of customers served, utility service areas, amount of load, etc.).

N/A

B-4 **Exhibit B-4 "Disclosure of Liabilities and Investigations,"** provide a description of all existing, pending or past rulings, judgments, contingent liabilities, revocation of authority, regulatory investigations, or any other matter that could adversely impact the applicant's financial or operational status or ability to provide the services it is seeking to be certified to provide.

N/A

B-5 Disclose whether the applicant, a predecessor of the applicant, or any principal officer of the applicant have ever been convicted or held liable for fraud or for violation of any consumer protection or antitrust laws within the past five years.

☒ No ☐ Yes

If yes, provide a separate attachment labeled as **Exhibit B-5 "Disclosure of Consumer Protection Violations"** detailing such violation(s) and providing all relevant documents.

B-6 Disclose whether the applicant or a predecessor of the applicant has had any certification, license, or application to provide retail or wholesale electric service including aggregation service denied, curtailed, suspended, revoked, or cancelled within the past two years.

☒ No ☐ Yes

If yes, provide a separate attachment labeled as **Exhibit B-6 "Disclosure of Certification Denial, Curtailment, Suspension, or Revocation"** detailing such action(s) and providing all relevant documents.

C. APPLICANT FINANCIAL CAPABILITY AND EXPERIENCE

Small Business

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:

C-1 **Exhibit C-1 "Annual Reports,"** provide the two most recent Annual Reports to Shareholders. If applicant does not have annual reports, the applicant should provide similar information in Exhibit C-1 or indicate that Exhibit C-1 is not applicable and why.

C-2 **Exhibit C-2 "SEC Filings,"** provide the most recent 10-K/8-K Filings with the SEC. If applicant does not have such filings, it may submit those of its parent company. If the applicant does not have such filings, then the applicant may indicate in Exhibit C-2 that the applicant is not required to file with the SEC and why.

- C-3 Exhibit C-3 “Financial Statements,”** provide copies of the applicant’s two most recent years of audited financial statements (balance sheet, income statement, and cash flow statement). If audited financial statements are not available, provide officer certified financial statements. If the applicant has not been in business long enough to satisfy this requirement, it shall file audited or officer certified financial statements covering the life of the business. *SMALL BUSINESS*
- C-4 Exhibit C-4 “Financial Arrangements,”** provide copies of the applicant's financial arrangements to conduct CRES as a business activity (e.g., guarantees, bank commitments, contractual arrangements, credit agreements, etc.,).
- C-5 Exhibit C-5 “Forecasted Financial Statements,”** provide two years of forecasted financial statements (balance sheet, income statement, and cash flow statement) for the applicant’s CRES operation, along with a list of assumptions, and the name, address, e-mail address, and telephone number of the preparer.
- C-6 Exhibit C-6 “Credit Rating,”** provide a statement disclosing the applicant’s credit rating as reported by two of the following organizations: Duff & Phelps, Dun and Bradstreet Information Services, Fitch IBCA, Moody’s Investors Service, Standard & Poors, or a similar organization. In instances where an applicant does not have its own credit ratings, it may substitute the credit ratings of a parent or affiliate organization, provided the applicant submits a statement signed by a principal officer of the applicant’s parent or affiliate organization that guarantees the obligations of the applicant.
- C-7 Exhibit C-7 “Credit Report,”** provide a copy of the applicant’s credit report from Experian, Dun and Bradstreet or a similar organization.
- C-8 Exhibit C-8 “Bankruptcy Information,”** provide a list and description of any reorganizations, protection from creditors or any other form of bankruptcy filings made by the applicant, a parent or affiliate organization that guarantees the obligations of the applicant or any officer of the applicant in the current year or within the two most recent years preceding the application.

C-9 **Exhibit C-9 "Merger Information,"** provide a statement describing any dissolution or merger or acquisition of the applicant within the five most recent years preceding the application.

 CEO
Signature of Applicant & Title

Sworn and subscribed before me this 25 day of June, 2013
Month Year


Signature of official administering oath

Kelvin Kim / Public Notary
Print Name and Title

My commission expires on 02/04/2017

AFFIDAVIT

State of MARYLAND :

COLUMBIA ss.
(Town)

County of HOWARD :

AIZUA N. BOANONG Affiant, being duly sworn/affirmed according to law, deposes and says that:

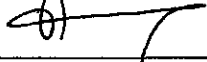
He/She is the CEO/BUSINESS DEVELOPMENT DIRECTOR (Office of Affiant) of EMISSIONS CONSULT (Name of Applicant);

That he/she is authorized to and does make this affidavit for said Applicant,

1. The Applicant herein, attests under penalty of false statement that all statements made in the application for certification are true and complete and that it will amend its application while the application is pending if any substantial changes occur regarding the information provided in the application.
2. The Applicant herein, attests it will timely file an annual report with the Public Utilities Commission of Ohio of its intrastate gross receipts, gross earnings, and sales of kilowatt-hours of electricity pursuant to Division (A) of Section 4905.10, Division (A) of Section 4911.18, and Division (F) of Section 4928.06 of the Revised Code.
3. The Applicant herein, attests that it will timely pay any assessments made pursuant to Sections 4905.10, 4911.18, or Division F of Section 4928.06 of the Revised Code.
4. The Applicant herein, attests that it will comply with all Public Utilities Commission of Ohio rules or orders as adopted pursuant to Chapter 4928 of the Revised Code.
5. The Applicant herein, attests that it will cooperate fully with the Public Utilities Commission of Ohio, and its Staff on any utility matter including the investigation of any consumer complaint regarding any service offered or provided by the Applicant.
6. The Applicant herein, attests that it will fully comply with Section 4928.09 of the Revised Code regarding consent to the jurisdiction of Ohio Courts and the service of process.
7. The Applicant herein, attests that it will comply with all state and/or federal rules and regulations concerning consumer protection, the environment, and advertising/promotions.
8. The Applicant herein, attests that it will use its best efforts to verify that any entity with whom it has a contractual relationship to purchase power is in compliance with all applicable licensing requirements of the Federal Energy Regulatory Commission and the Public Utilities Commission of Ohio.
9. The Applicant herein, attests that it will cooperate fully with the Public Utilities Commission of Ohio, the electric distribution companies, the regional transmission entities, and other electric suppliers in the event of an emergency condition that may jeopardize the safety and reliability of the electric service in accordance with the emergency plans and other procedures as may be determined appropriate by the Commission.
10. If applicable to the service(s) the Applicant will provide, the Applicant herein, attests that it will adhere to the reliability standards of (1) the North American Electric Reliability Council (NERC), (2) the appropriate regional reliability council(s), and (3) the Public Utilities Commission of Ohio. (Only applicable if pertains to the services the Applicant is offering)

11. The Applicant herein, attests that it will inform the Commission of any material change to the information supplied in the application within 30 days of such material change, including any change in contact person for regulatory purposes or contact person for Staff use in investigating customer complaints.

That the facts above set forth are true and correct to the best of his/her knowledge, information, and belief and that he/she expects said Applicant to be able to prove the same at any hearing hereof.

 CEO
Signature of Affiant & Title

Sworn and subscribed before me this 25 day of June, 2013
Month Year


Signature of official administering oath

Kehin Kim / Public Notary
Print Name and Title

My commission expires on 02/04/17

EMISSIONS CONSULT LLC CORPORATE STRUCTURE

President/ Chief Executive Officer

Business
Development
Director

Legal

Director of
Engineering

Project
Manager/ IT

A12

Introduction of Emissions Consult

A-13

Who We Are

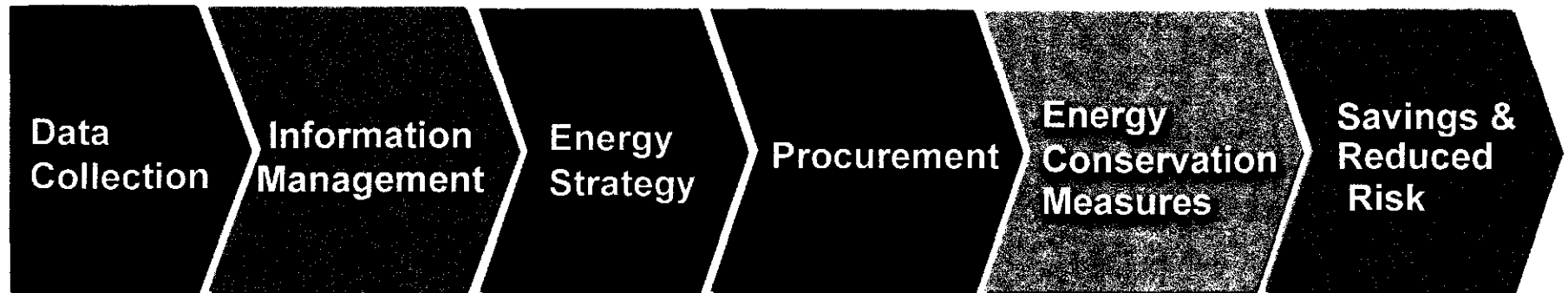
- **Emissions Consult provides comprehensive energy management services to single and multi-site commercial, industrial and non-governmental enterprises.**
- **Emissions Consult is a strategic resource to our clients, allowing the clients to maximize energy savings opportunities and avoid costs in their energy portfolios in a manner consistent with their sustainability objectives.**

Who We Are

- **Manage \$40 Million Energy Portfolio**
- **Team: Average 12+ years industry experience**
- **Expertise: Info. Mgmt., Regulated . and Deregulated . Markets, Procurement, Conservation**
- **Strong growth rate because of our commitment to customer satisfaction**

Value

Value



- Bill payment process
- Capture utility billing information
- Includes usage units and rate code
- Bill exception audits

- Energy database
- Web access and reporting
- 12-month usage profile
- Historical bill audits
- Weather normalization
- Budgeting / Forecasting

- Energy strategy
- Green objectives
- Demand response

- Contracts
- Hedges
- Green Options

- LEED
- Energy Star
- Facility op. matrix
- Audits / Surveys
- RFP management
- Measurement and verification
- GHG reporting
- Rebates
- Carbon footprint
- Demand response

- Reduce overall costs and manage risk

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201203 039068

5223
21045

IRS USE ONLY

17953-746-00145-1 A0086114 261
272065822 SB U



Department of the Treasury
Internal Revenue Service
Cincinnati OH 45999-0038

For assistance, call:
1-800-829-0115

Notice Number: CP261
Date: January 30, 2012

Taxpayer Identification Number:
27-2065822
Tax Form:
Tax Period:

004042.159447.0019.001 1 AT 0.365 532



EMISSION CONSULT LLC
AKUA N BOAMPONG MBR
8775 CENTER PARK DR 771
COLUMBIA MD 21045-2177993

004042

Notice of Acceptance as an S Corporation

We have accepted your election to be treated as an S corporation beginning January 1, 2012. Your accounting period will end in December.

We would also like to take this opportunity to inform you of your tax obligations related to the payment of compensation to shareholder-employees of S corporations.

When a shareholder-employee of an S corporation provides services to the S corporation, reasonable compensation generally needs to be paid. This compensation is subject to employment taxes.

Tax practitioners and subchapter S shareholders need to be aware that Revenue Ruling 74-44 states that the Internal Revenue Service (IRS) will re-characterize small business corporation dividends paid to shareholders as salary when such dividends are paid to the shareholders in lieu of reasonable compensation for services.

The IRS may also re-characterize distributions other than dividend distributions as salary. This position has been supported in several recent court decisions.

If you have any questions about this notice or the action we have taken, please call us at the telephone number listed above. If you prefer, you may write to us at the address shown at the top of this notice. If you write to us, please provide your telephone number and the most convenient time for us to call so we can resolve your inquiry. Please return the bottom part of this notice to help us identify your case.

For tax forms, instructions and information visit www.irs.gov. (Access to this site will not provide you with your specific taxpayer account information.)

ARTICLES OF ORGANIZATION

The undersigned, with the intention of creating a Maryland Limited Liability Company files the following Articles of Organization:

(1) The name of the Limited Liability Company is: EMISSIONS CONSULT LLC #

(2) The purpose for which the Limited Liability Company is filed is as follows: To perform energy consultation

(3) The address of the Limited Liability Company in Maryland is 8775 Center Park Dr
#771 COLUMBIA MD 21045

(4) The resident agent of the Limited Liability Company in Maryland is AKUA NYARICO
~~8775 CENTER PARK DR #771 COLUMBIA MD 21045~~
whose address is 5313 LIGHTNING VLn RD
COLUMBIA MD 21045

(5) Akua Nyarico

(6) Akua Nyarico
Resident Agent

I hereby consent to my designation in this document.

Signature(s) of Authorized Person(s)

Filing party's return address:

(7) 8775 CENTER PARK DR
#771
COLUMBIA MD 21045

CORPORATE CHARTER APPROVAL SHEET

****EXPEDITED SERVICE****

**** KEEP WITH DOCUMENT ****

DOCUMENT CODE 40 BUSINESS CODE 20

Close _____ Stock _____ Nonstock _____

P.A. _____ Religious _____

Merging (Transferor) _____

Surviving (Transferee) _____

Affix Barcode Label Here



1008361999451945

Affix Barcode Label Here

ID # W13456967 ACK # 1008361999451945

PAGES: 0002

EMISSIONS CONSULT LLC

03/09/2010 AT 09:33 A MD # 0001838729

New Name _____

FEES REMITTED

Base Fee: 100
Org. & Cap. Fee: _____
Expedite Fee: 70
Penalty: _____
State Recordation Tax: _____
State Transfer Tax: _____
Certified Copies
Copy Fee: 10 20
Certificates
Certificate of Status Fee: _____
Personal Property Filings: _____
Mail Processing Fee: _____
Other: _____

TOTAL FEES: 190

Credit Card _____ Check _____ Cash ☒

Documents on _____ Checks

Approved By: 10

Keyed By: _____

COMMENT(S):

Change of Name
Change of Principal Office
Change of Resident Agent
Change of Resident Agent Address
Resignation of Resident Agent
Designation of Resident Agent
and Resident Agent's Address
Change of Business Code

Adoption of Assumed Name

Other Change(s)

Code _____

Attention: _____

Mail: Name and Address

AKUA NYARIKO

8775 CENTER PARK DR

H 771

COLUMBIA MD 21045

Stamp Work Order and Customer Number HERE

CUST ID: 0002395691
WORK ORDER: 0001838729
DATE: 03-09-2010 09:34 AM
AMT. PAID: \$191.00

STATE OF MARYLAND
Department of Assessments and Taxation

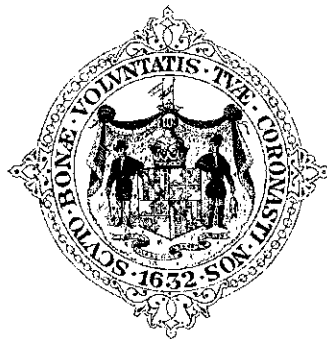
I, PAUL B. ANDERSON OF THE STATE DEPARTMENT OF ASSESSMENTS AND TAXATION OF THE STATE OF MARYLAND, DO HEREBY CERTIFY THAT THE DEPARTMENT, BY LAWS OF THE STATE, IS THE CUSTODIAN OF THE RECORDS OF THIS STATE RELATING TO LIMITED LIABILITY COMPANIES, OR THE RIGHTS OF LIMITED LIABILITY COMPANIES TO TRANSACT BUSINESS IN THIS STATE, AND THAT I AM THE PROPER OFFICER TO EXECUTE THIS CERTIFICATE.

I FURTHER CERTIFY THAT EMISSIONS CONSULT LLC, REGISTERED MARCH 09, 2010, IS A LIMITED LIABILITY COMPANY EXISTING UNDER AND BY VIRTUE OF THE LAWS OF THE STATE OF MARYLAND, AND THAT THE LIMITED LIABILITY COMPANY IS AT THE TIME OF THIS CERTIFICATE IN GOOD STANDING TO TRANSACT BUSINESS.

IN WITNESS WHEREOF, I HAVE HEREUNTO SUBSCRIBED MY SIGNATURE AND AFFIXED THE SEAL OF THE STATE DEPARTMENT OF ASSESSMENTS AND TAXATION OF MARYLAND AT BALTIMORE ON THIS JUNE 18, 2013.



Paul B. Anderson
Charter Division



301 West Preston Street, Baltimore, Maryland 21201
Telephone Balto. Metro (410) 767-1340 / Outside Balto. Metro (888) 246-5941
MRS (Maryland Relay Service) (800) 735-2258 TT/Voice
Fax (410) 333-7097

201317800712

DATE 06/27/2013	DOCUMENT ID 201317800712	DESCRIPTION FOREIGN LICENSE/FOR-PROFIT (FLF)	FILING 125.00	EXPED 100.00	PENALTY .00	CERT .00	COPY .00
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Receipt

This is not a bill. Please do not remit payment.

EMISSIONS CONSULT
8775 CENTRE PARK DR #771
COLUMBIA, MD 21045

**STATE OF OHIO
CERTIFICATE**

Ohio Secretary of State, Jon Husted

2210827

It is hereby certified that the Secretary of State of Ohio has custody of the business records for

EMISSIONS CONSULT LLC

and, that said business records show the filing and recording of:

Document(s):
FOREIGN LICENSE/FOR-PROFIT

Document No(s):
201317800712

Effective Date: 06/27/2013

Authorization to transact business in Ohio is hereby given, until surrender, expiration or cancellation of this license.



United States of America
State of Ohio
Office of the Secretary of State

Witness my hand and the seal of the
Secretary of State at Columbus, Ohio this
27th day of June, A.D. 2013.

A handwritten signature in black ink that reads "Jon Husted".

Ohio Secretary of State

CHIEF EXECUTIVE OFFICER – ENERGY CONSULTANT

- Able to manage business optimization projects to reduce expenses and maximize profits.
- Regarded for the ability to drive processes and motivate cross-functional teams and provide leadership to numerous strategic business planning, analysis and organizational development efforts.
- Excel in analyzing business processes and performing needs assessments to align solutions with initiatives
- Superb communication, interpersonal, leadership, relationship management and problem solving skills with the ability to excel in challenging and deadline-driven environments.

AREAS OF EXPERTISE

- | | | |
|--------------------------------|----------------------------------|-----------------------------|
| ▪ Business Analysis | ▪ Quality Assurance | ▪ Database Administration |
| ▪ Energy Risk Management | ▪ Financial Planning & Analysis | ▪ Project Management |
| ▪ Audit Management | ▪ Utility Rebate Programs | ▪ Load Curtailment |
| ▪ Streamlining Operations | ▪ Process Documentation | ▪ Energy Information system |
| ▪ Change Control Documentation | ▪ Business Optimization Projects | ▪ Carbon Accounting |
| ▪ Energy Procurement | | |

PROFESSIONAL EXPERIENCE

Emissions Consulting LLC

2009-Present

Business Development Director

- Lead client utility teams to develop and implement energy initiatives aimed at reducing overall utility costs.
- Develop and manage annual utilizing budgets to ensure uninterrupted power supplies to client facilities.
- Project Manager for lightning retrofit projects and energy procurement.
- Establish an annual operating and capital budget including quarterly forecasts in collaboration with business unit CFOs.
- Formulate strategic plans for business initiatives and create operation models to drive excellence.
- Key role in the companywide annual budget and strategic planning process which comprises of business unit deliverables, consolidation, financial, analysis, and presentation to senior management.
- Design environmental and sustainability strategies by identifying drivers, assessing risks and related lifecycle costs, and ensuring accordance with business objectives, operational integration requirements, and governance Infrastructures.
- Gathered requirements from users, experts, and key personnel to understand current system functionality.
- Perform comprehensive gap analysis to ensure system initiatives are achieved.
- Model and document end-user's AS-IS workflow and TO-BE business processes.
- Develop Use Case Diagrams, Activity Diagrams and Sequence Diagrams using Rational Rose and MS Visio.
- Analyze and maintain business and system requirements using Requisite Pro.
- Manage documentation during various phases of product life cycle.
- Design traceability matrix requirements and research and implement best industry practices.
- Utilize Rational Clear Case and Clear Quest as Configuration Management and Change control tools.
- Interact with the development and testing teams to improve overall quality of the Application.

- Evaluate environmental matters and their potential economic and financial reporting in connection with mergers and acquisitions.

Chaveran Inc. (DBA Oracle)

2006-2009

Database Administrator

- Performed server administration, performance tuning, and table maintenance and troubleshooting.
- Installed the Oracle client and server software applications and created/customized databases.
- Utilized Using SSH and Remote Desktop Connections to manage databases.
- Handled Advanced SQL optimizations and large RDBMS.
- Verified backups and ensured the completion of restorations.
- Expert in performing backups and recovery on Linux and UNIX platforms.
- Managed databases occupying terabytes of space.
- Installed and configured RAC in 11g environment.
- Documented and cloned testing procedures to copy data from production to testing, development, and training database environments.
- Installed and configured new database releases.
- Utilized RMAN to reduce minimal interruption to users and their processes and stats pack in 9i in to analyze what occurred or changed within the database at a specific point in time.
- Tracked user access to ensure the integrity of tables and other objects.
- Created roles, assigned privileges, and administered user and group policies.
- Utilized an export/import data pump to refresh development and test schemas with production data.
- Setup and maintained accounts, access levels and conducted monthly and quarterly security audits.
- Developed and maintained standards and procedures for database environment.
- Created and cloned, and installed customized databases
- Provide 24 x 7 x 365 Oracle database support on a rotating pager and database support to the development, middle-tier, and test teams upon request.

Career Note:

Member of the Technical Staff, Verizon Wireless (2005-2006)

Quality Assurance/Technician, Adell Plastic, Inc. (2002-2005)

EDUCATION & TRAINING

Masters of Science Health Administration Informatics University of Maryland University College

Bachelor of Science Biological Science / French University of Maryland University College

- Finalist, Who is Who among American College Students
- National Deans List
- Nomination In to the International Mission on Medicine

ASSOCIATION OF ENERGY ENGINEERS

- Certified Carbon Reduction Manager
- Certified Energy Manager
- Certified Lighting Efficiency Professional
- Buying Power In Competitive Retail Markets

STANFORD UNIVERSITY CENTER FOR PROFESSIONAL STUDIES

- Energy Innovation Technology
- IT BenchMark

PROJECT MANAGEMENT INSTITUTE

Certifications:

- SQL Administrator
- Stanford IT Benchmark
- Stanford Energy Innovation Technology
- Project Management Certification Candidate
- Carbon Reduction Manager

MEMBERSHIPS & AFFILIATIONS

- African Students Association
- Biological Science Association
- Volunteer Howard County General Hospital

TECHNICAL SKILLS

Languages:

SQL Plus,

Databases:

Oracle 11g

Oracle Utilities:

Stats pack, AWR, Data pump, Import & Export, Data guard, RAC

Operating Systems:

Linux, Unix, DOS, Windows, Windows NT, Windows XP Rational Requirements Management Tools, MS Office Suite, MS Visio, VBScript, JavaScript, ASP, HTML, DHTML, MS SQL Server, IIS Server, Windows NT

ABENA BOAMPONG

131 Brighton Lane, Mars, Pennsylvania 16046 410.707.6278 maameabena@gmail.com

BUSINESS DEVELOPMENT MANAGER

Energy Management | Financial Management | Project Management

SUMMARY OF QUALIFICATIONS

Well-developed, organized, and goal-oriented Energy Management, finance and accounting professional, with comprehensive years of experience in financial and energy planning and analysis, project management, along with audit and management accounting. Equipped with in-depth expertise in handling energy and financial operations combined with analytical and problem-solving skills in identifying high-risk financial problems and developing appropriate resolution in various industries. Employ excellent communication and collaboration skills in directing organizations to ensure accomplishment of company targets. Utilize research and studies on current industry market trends to effectively address energy procurement, planning and financial issues. Possess technical understanding of Energy Procurement, Lighting Audits and Sarbanes-Oxley Act requirements, as well as solid knowledge of risks and controls within a SEC-regulated environment.

CORE COMPETENCIES

- | | |
|--|---|
| <input type="checkbox"/> Energy Planning and Procurement | <input type="checkbox"/> Performance Management |
| <input type="checkbox"/> Budgeting and Forecasting | <input type="checkbox"/> Staff Training and Development |
| <input type="checkbox"/> Account Management | <input type="checkbox"/> Cost Analysis |
| <input type="checkbox"/> Business Development | <input type="checkbox"/> Risk Management |
| <input type="checkbox"/> Regulatory Compliance | <input type="checkbox"/> Presentation and Proposals |

PROFESSIONAL EXPERIENCE

EMISSIONS CONSULT LLC, MD Consultant, Business Manager

Jun 2009–Present

Oversee energy audits, reporting and budgeting for Businesses. Prepare monthly energy usage and consumption reports, Prepare and analyze energy usage and cost index data to enable companies develop business cases and make other cost savings decisions around their energy usage. Oversee and assist businesses in capital projects project and financial updates to maximize business productivity and profitability.

Key Accomplishments:

- Successfully established annual energy budgets, along with quarterly forecast updates, in collaboration with Energy and Property Managers.
- Worked closely with Energy Management Teams formulating executable energy strategic plans for business initiatives and operation models that drove operational excellence.
- Proactively involved in project cost accounting support to all capital projects, including Basel II initiative that ensured smooth financial business operations.
- Assists in procurement contracts that yield maximum savings for Businesses
- Identify areas of Lighting and Energy risks and opportunities for commercial facilities such as code requirements for commercial buildings
- Actively participate in annual energy budget, which comprised of deliverables, analysis and presentation to management.

Consultant, KPMG LLP, McLean, VA

Apr 2007–Jun 2009

Conducted thorough analysis of financial data and recommended areas of improving financial and management reporting for various organizations including major Financial Institutions and major Oil and Gas Companies. Took

ABENA BOAMPONG

131 Brighton Lane, Mars, Pennsylvania 16046 ☎ 410.707.6278 ✉ maameabena@gmail.com

part in the evaluation of annual financial plan budgets and forecasts for the manufacturing and supply chain areas of technical operations, focused on accuracy of production forecast and variations. Served various major clients, such as, Williams Company (Oil & Gas), Tenaska, McJunkin Redman, Vertis Inc., McCormick and Goldman Sachs.

Key Accomplishments:

- Customized and utilized Hyperion Planning to collect and document current workflow processes and to generate inventory of reports and business calculations for a major Oil and Gas company to ensure accurate, reliable and timely delivery of financial reports.
- Handled inventory reconciliations for large accounts which included a major oil and gas company supply company.
- Evaluated month-end closing process in developing solutions to reduce timeline, from 21 days down to 10 days.
- Performed regulatory research on gas and electric rate cases for a major gas and electric company to ensure client trust and confidence.
- Researched and prepared accounting procedure for revenue recognition.
- Identified and documented areas of financial weakness and non-compliance to create and implement appropriate corrective actions
- Collected and gathered business requirements for the implementation of new ERP system.
- Utilized Oracle GL chart of accounts to organize and align mapping of different chart accounts, as well as to facilitate chart of accounts maintenance process.
- Assessed financial and non-financial data of telecommunication companies to comply with Federal Communications Commission regulations.
- Resolved discrepancies in financial data and information by conducting variance analysis between the audited and unaudited statements.
- Implemented Sarbanes-Oxley testing to assist efficiency of client's internal control over financial reporting at six locations.
- Coordinated with control owners and ensured application of control test in certain areas of finance.

Senior Financial Analyst Verizon Wireless, Laurel, MD

Jun 2002–Apr 2007

Contributed in the closing and financial statement preparation for a Verizon division. Made use of PeopleSoft general ledger to handle a pre-established end-to-end closing process. Handled monthly accruals and financial reports to be submitted to the senior management. Prepared Expense budget and rolling sales forecast for various sales divisions.

Key Accomplishments:

- Evaluated and determined causes of variances by conducting trend analysis on specific general ledger accounts to facilitate closing process and provide resolutions.
- Identified and applied appropriate actions to process gaps and discrepancies by investigating budget activities in order to support functional analysts and senior management.
- Consistently examined historical trends to formulate and implement expense projections.
- Managed journal entries and general ledger reconciliation focused on reorganizing journal entries to address chart fields, reduce errors, and provide accurate financial reporting.
- Worked collaboratively with functional analysts and senior management in conducting research and analysis on market trends to achieve accurate financial results.
- Examined new product performance, competitor analyses, and industry market trends to create and administer sales forecasting.
- Utilized Hyperion Essbase, Analyzer, and PowerPlay Cubes in creating reports to trace and supervise key performance indicators for decision-making.
- Ensured compliance of business operations with company policies and Generally Accepted Accounting Principles (GAAP) by assessing and auditing sales activities and employee business expenses
- Reviewed and tracked payroll and benefits information for employees

ABENA BOAMPONG

📍 131 Brighton Lane, Mars, Pennsylvania 16046 📞 410.707.6278 ✉ maameabena@gmail.com

- Trained employees on new Peoplesoft HR system and provided ongoing support to employees.
-

EDUCATION AND CREDENTIALS

MASTER OF BUSINESS ADMINISTRATION WITH FOCUS ON ACCOUNTING

Loyola University, Baltimore, MD

BACHELOR OF ARTS IN ECONOMICS

University of Maryland, Baltimore County, MD

ASSOCIATION OF ENERGY ENGINEERS

Courses in: Buying Power in Competitive Retail Markets, Certified Lighting Efficiency Professional, Certified Energy Manager

CERTIFICATIONS

Yellow Belt Certified, and CPA Candidate

PROFESSIONAL AFFILIATIONS

National Association of Black Accountants

CMA Institute

TECHNICAL ACUMEN

Microsoft Office Suite (Word, Excel, Outlook Access, and PowerPoint)

Lotus Notes | Oracle (Financial Business Intelligence) | PeopleSoft Financials | Hyperion Planning and Reporting,

Hyperion Essbase | SAP | SNL

Cognus Tools (Planning, Reporting, and Impromptu)

**STANFORD UNIVERSITY****Stanford Center for Professional Development****496 Lomita Mall, Durand Bdg., Stanford CA 94305****Record of Completion****07/Jan/2013****Page 1 of 1**

akua Boampong
8775 centre park dr #771
Columbia, MD
21045 United States

Account Number: X162380

Course No.	Title	Duration	Grade
XEJET135 - 003	Producing Natural Gas from Shale	01/Sep/2012 - 31/Dec/2012	S
XITBW102 - 018	Implementing an IT Benchmarking Project	01/Sep/2012 - 31/Dec/2012	S
XEJET138 - 003	Solar Fuels	01/Jan/2013 - 31/Mar/2013	S

A handwritten signature in black ink, appearing to read "Paul Marca".

Paul Marca
Executive Director
Stanford Center For Professional Development
Stanford University

The Association of Energy Engineers

certifies that

Akua N. Boampong

*has completed the prescribed standards for certification, has demonstrated
a high level of competence and ethical fitness for the practice of carbon
reduction and is hereby granted the title of*

C.R.M. Certified Carbon
Reduction Manager

Certification Expiration Date:
December 31, 2016

238



A handwritten signature in black ink, appearing to be "L. ...", written over a horizontal line.

CRM Board Chairman

A handwritten signature in black ink, reading "Francine Dessein", written over a horizontal line.

CRM Certification Director

AWARDED BY:



**STANFORD UNIVERSITY**

Stanford Center for Professional Development
496 Lomita Mall, Durand Bdg., Stanford CA 94305

Record of Completion

04/Dec/2012

Page 1 of 1

akua Boampong
8775 centre park dr #771
Columbia, MD
21045 United States

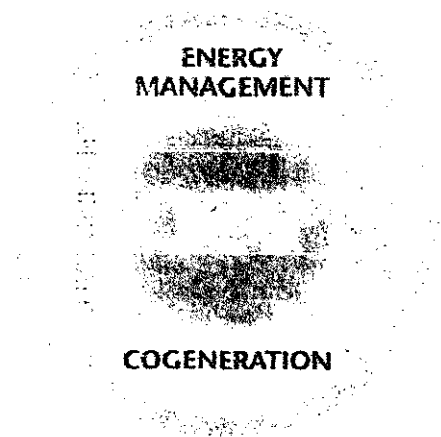
Account Number: X162380

Course No.	Title	Duration	Grade
XITBW102 - 018	Implementing an IT Benchmarking Project	01/Sep/2012 - 31/Dec/2012	S

A handwritten signature in black ink, appearing to read "Paul Marca".

Paul Marca
Executive Director
Stanford Center For Professional Development
Stanford University

THE ASSOCIATION OF ENGINEERING CENTERS



Awards this certificate to

Akua Boampong

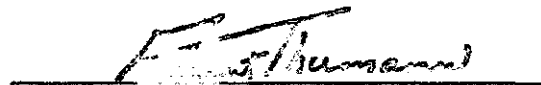
as having completed

CRM Preparatory Course Online

May 23, 2011 – May 27, 2011

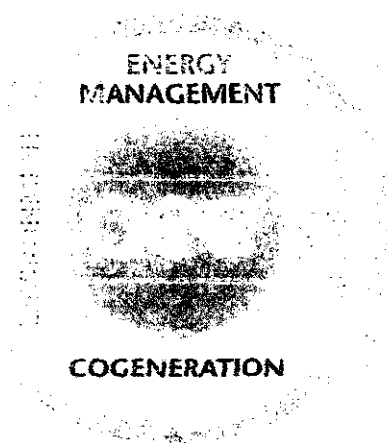
www.livemeeting.com/aeecenter

1.2 C.E.U. / 12 P.D.H.

A handwritten signature in dark ink, appearing to read "F. J. Thumman". The signature is fluid and cursive, written over a horizontal line.

Executive Director

THE ASSOCIATION OF
ENERGY ENGINEERS



Awards this certificate to

Akua Boampong

as having completed

Energy Reduction Using Six Sigma, Kaizen, 5S Online

August 02-03, 2012

www.livemeeting.com/aeecenter

04 C.E.U. / 4 P.D.H.

Executive Director

MESSAGE(S):



LICENSE * REGISTRATION * CERTIFICATION * PERMIT

STATE OF MARYLAND

DEPARTMENT OF LABOR, LICENSING AND REGULATION

STATE BOARD FOR PROFESSIONAL ENGINEERS
CERTIFIES THAT:

KWAKU BOAMPONG

Martha O'Malley
Governor

Anthony G. Brown
Lt. Governor

Leonard J. Howie, III
Secretary

IS AN AUTHORIZED: 05 - PROFESSIONAL ENGINEER

LIC/REG/CERT
31169

EXPIRATION
01-18-2015

EFFECTIVE
N/A

CONTROL NO
4333248

Signature of Bearer

Secretary DLLR

WHERE REQUIRED BY LAW THIS MUST BE CONSPICUOUSLY DISPLAYED IN OFFICE TO WHICH IT APPLIES

23 05 31169

4,333,248

STATE BOARD FOR PROFESSIONAL ENGINEERS
500 N. CALVERT STREET
BALTIMORE, MD 21202-3651

KWAKU BOAMPONG
5313 LIGHTNING VIEW ROAD

COLUMBIA

MD 21045



LICENSE * REGISTRATION * CERTIFICATION * PERMIT
STATE OF MARYLAND
DEPARTMENT OF LABOR, LICENSING AND REGULATION

Martha O'Malley
Governor
Anthony G. Brown
Lt. Governor
Leonard J. Howie, III
Secretary

STATE BOARD FOR PROFESSIONAL ENGINEERS
CERTIFIES THAT:
KWAKU BOAMPONG

IS AN AUTHORIZED: 05 - PROFESSIONAL ENGINEER

LIC/REG/CERT EXPIRATION EFFECTIVE CONTROL NO
31169 01-18-2015 N/A 4333248

Signature of Bearer

Secretary DLLR

**EMISSIONS CONSULT, LLC.
COMPARATIVE BALANCE SHEETS**

	December 31, 2012	December 31, 2011
<u>ASSETS</u>		
CURRENT ASSETS:		
CASH	\$ 13,403	\$ 2,491
ACCOUNTS RECEIVABLE	<u>0</u>	<u>0</u>
TOTAL CURRENT ASSETS	13,403	2,491
PROPERTY AND EQUIPMENT:		
EQUIPMENT	0	0
FURNITURE AND FIXTURES	0	0
VEHICLES	124,730	52,000
ACCUMULATED DEPRECIATION	<u>(12,824)</u>	<u>0</u>
TOTAL PROPERTY AND EQUIPMENT	111,905	52,000
 TOTAL OTHER ASSETS	 <u>0</u>	 <u>0</u>
TOTAL ASSETS	\$ <u>125,308</u>	\$ <u>54,491</u>
 <u>LIABILITIES AND NET ASSETS</u>		
CURRENT LIABILITIES:		
PAYROLL & PAYROLL TAXES PAYABLE	\$ 0	\$ 0
ACCOUNTS PAYABLE	252	0
ACCRUED INCOME TAX	3,798	0
AUTO LOAN - SUNTRUST BANK	62,011	0
FEDERAL WITHHOLDING TAX PAYABLE	0	0
STATE WITHHOLDING TAX PAYABLE	<u>0</u>	<u>0</u>
TOTAL CURRENT LIABILITIES	66,061	0
 OPERATING CAPITAL	 54,491	 54,491
RETAINED EARNINGS	0	0
CASH DIVIDEND	0	(83,312)
NET INCOME	<u>4,756</u>	<u>83,312</u>
TOTAL LIABILITIES AND NET ASSETS	\$ <u>125,308</u>	\$ <u>54,491</u>

**EMISSIONS CONSULT, LLC.
FORECASTED BALANCE SHEETS**

December 31, 2013

December 31, 2014

ASSETS

CURRENT ASSETS:

CASH	\$ 38,403	\$ 66,908
ACCOUNTS RECEIVABLE	<u>17,619</u>	<u>34,050</u>
TOTAL CURRENT ASSETS	56,022	100,958

PROPERTY AND EQUIPMENT:

EQUIPMENT	0	0
FURNITURE AND FIXTURES	0	0
VEHICLES	124,730	124,730
ACCUMULATED DEPRECIATION	<u>(37,770)</u>	<u>(62,716)</u>
TOTAL PROPERTY AND EQUIPMENT	86,959	62,013

TOTAL OTHER ASSETS	<u>0</u>	<u>0</u>
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TOTAL ASSETS	\$ <u>142,981</u>	\$ <u>162,971</u>
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LIABILITIES AND NET ASSETS

CURRENT LIABILITIES:

PAYROLL & PAYROLL TAXES PAYABLE	\$ 0	\$ 0
ACCOUNTS PAYABLE	2,556	2,722
ACCRUED INCOME TAX	10,842	9,962
AUTO LOAN - SUNTRUST BANK	53,080	43,558
FEDERAL WITHHOLDING TAX PAYABLE	0	0
STATE WITHHOLDING TAX PAYABLE	<u>0</u>	<u>0</u>
TOTAL CURRENT LIABILITIES	66,478	56,242

OPERATING CAPITAL	54,491	54,491
RETAINED EARNINGS	0	22,012
CASH DIVIDEND	0	0
NET INCOME	<u>22,012</u>	<u>20,226</u>

TOTAL LIABILITIES AND NET ASSETS	\$ <u>142,981</u>	\$ <u>152,971</u>
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EMISSIONS CONSULT, LLC
FORECASTED STATEMENT OF REVENUES AND EXPENSES AND CHANGES IN NET ASSETS

		December 31st,	
		2013	2014
REVENUE		\$ 1,303,778	\$ 1,395,042
	TOTAL INCOME	- 1,303,778	1,395,042
EXPENSES:			
ACCOUNTING, PAYROLL & TAX PREPARATION		21,000	25,200
ADVERTISING		1,500	1,725
AUTO INSURANCE		2,800	2,940
AUTO REPAIRS		5,000	6,000
BANK CHARGES		1,500	1,650
BONDS & INSURANCE		7,500	8,250
DEPRECIATION - AUTO		24,946	24,946
ELECTRIC APPLICATION START-UP FEES		450,000	461,250
EMPLOYEE BENEFITS		42,807	44,947
EQUIPEMENT & SUPPLIES		25,000	25,625
FEES, LICENSING, SUBSCRIPTION & DUES		7,000	7,245
INTEREST & FINANCE CHARGES		5,227	4,636
LEGAL & CONSULTING FEES		15,000	17,250
OFFICE EXPENSES & SUPPLIES		25,000	28,750
OFFICE REPAIRS		5,000	5,750
PAYROLL TAXES		28,688	31,365
POSTAGE & DELIVERY		10,000	11,500
PROPERTY TAXES		1,500	1,725
SALARY		375,000	410,000
SUB CONTRACTORS		20,000	20,000
STORAGE & WAREHOUSING		5,500	5,500
TELEPHONE & COMMUNICATION		15,000	16,500
TRAINING		26,500	30,475
TRAVEL EXPENSES: AIR FARES & CAR RENTALS		41,000	47,150
TRAVEL EXPENSES: FOOD		16,500	18,975
TRAVEL EXPENSES: GAS		17,500	20,125
TRAVEL EXPENSES: ROOM & BOARD		37,500	43,125
TUITION REIMBURSEMENT		25,000	28,750
UNEMPLOYMENT INSURANCE		3,456	3,726
UTILITIES		5,000	5,750
WEB PAGE HOSTING		3,500	4,025
	TOTAL EXPENSES	1,270,923	1,364,855
OPERATING PROFIT		32,854	30,187
ACCRUED INCOME TAX		10,842	9,962
NET INCOME		\$ 22,012	\$ 20,226

EMISSIONS CONSULT, LLC
STATEMENT OF REVENUES AND EXPENSES AND CHANGES IN NET ASSETS

		Years Ended December 31st,	
		2012	2011
		<u> </u>	<u> </u>
REVENUE		\$ 1,229,979	\$ 494,869
	TOTAL INCOME	1,229,979	494,869
EXPENSES:			
ACCOUNTING, PAYROLL & TAX PREPARATION		12,514	1,220
ADVERTISING		1,210	1,709
AUTO INSURANCE		2,922	-
AUTO REPAIRS		2,100	-
BANK CHARGES		1,226	82
DEPRECIATION - AUTO		12,824	-
ELECTRIC APPLICATION START-UP FEES		599,809	-
EMPLOYEE BENEFITS		32,928	34,406
EQUIPEMENT & SUPPLIES		24,402	14,616
FEES, LICENSING, SUBSCRIPTION & DUES		7,508	3,016
INSURANCE		4,379	4,646
INTEREST & FINANCE CHARGES		336	-
LEGAL & CONSULTING FEES		29,519	7,859
OFFICE EXPENSES & SUPPLIES		21,640	10,748
OFFICE REPAIRS		2,426	-
PAYROLL TAXES		21,089	11,504
POSTAGE & DELIVERY		9,585	2,040
PROPERTY TAXES		1,200	-
SALARY		275,667	150,385
SUB CONTRACTORS		6,071	117,233
STORAGE & WAREHOUSING		3,154	-
TELEPHONE & COMMUNICATION		13,243	5,076
TRAINING		22,854	9,765
TRAVEL EXPENSES: AIR FARES & CAR RENTALS		38,685	20,097
TRAVEL EXPENSES: FOOD		13,920	4,388
TRAVEL EXPENSES: GAS		10,807	4,327
TRAVEL EXPENSES: ROOM & BOARD		20,300	6,515
TUITION REIMBURSEMENT		20,222	-
UNEMPLOYMENT INSURANCE		2,906	-
UTILITIES		3,531	-
WEB PAGE HOSTING		2,619	1,924
	TOTAL EXPENSES	<u>1,221,598</u>	<u>411,557</u>
OPERATING PROFIT		8,381	83,312
ACCRUED INCOME TAX		3,625	-
NET INCOME		<u>\$ 4,756</u>	<u>\$ 83,312</u>

Filing Instructions for Aggregators/Power Brokers

I. **Where To File:** Applications should be sent to: Public Utilities Commission of Ohio, Docketing Division 13th Floor, 180 East Broad Street, Columbus Ohio 43215-3793.

II. **What To File:** Applicant must submit one original notarized application signed by a principal officer and ten copies including all exhibits, affidavits, and other attachments. All attachments, affidavits, and exhibits should be clearly identified. For example, Exhibit A-12 should be marked "Exhibit A-12 'Corporate Structure.'" All pages should be numbered and attached in a sequential order.

III. **Which Forms To File:** Entities other than governmental aggregators that will aggregate customers or provide power-brokering services must file a "**Certification Application for Aggregators/Power Brokers**" form. Governmental aggregators must file an "**Certification Application for Governmental Aggregators**" form. If an aggregator will provide power marketing and/or retail electric generation services in addition to aggregation and power brokering services, it must file an "**Certification Application for Retail Generation Providers, Power Marketers, and Power Brokers**" form. The following definitions are provided to assist applicants in determining which form(s) to file:

Aggregation - combining the electric load of multiple retail customers through an agreement with the customers or formation of a governmental aggregation pursuant to Section 4928.20 of the Revised Code for the purpose of purchasing retail electric generation service on an aggregated basis.

Aggregator - a person who contracts with customers to combine the customers' electric load for the purpose of purchasing retail electric generation service on an aggregated basis. The term does not include a governmental aggregator.

Governmental Aggregator - the legislative authority of a municipal corporation, the board of township trustees of a township, or a board of county commissioners of a county that aggregates the citizens of a municipal corporation, township, or unincorporated areas of a county in accordance with Section 4928.20 of the Revised Code for the purpose of purchasing retail electric generation service on an aggregated basis.

Power Broker - a person who assumes the contractual and legal responsibility for the sale and/or arrangement for the supply of retail electric generation service to a retail customer without taking title to the power supplied.

Power Marketer - a person who assumes the contractual and legal responsibility for the sale and provision of retail electric generation service to a retail customer who had title to the electric power provided at some point during the transaction.

IV. Application Form: The application is available on the Commission's web site,
or directly from the Commission at: Public Utilities Commission of Ohio,
Docketing Division 13th Floor, 180 East Broad Street, Columbus Ohio 43215-3793.

V. Confidentiality: If any of an applicant's answers require the applicant to disclose what the applicant believes to be privileged or confidential information not otherwise available to the public, the applicant should designate at each point in the application that the answer requires the applicant to disclose privileged and confidential information. Applicant must fully support its request to maintain confidentiality for the information it believes to be confidential or proprietary in a motion for protective order filed pursuant to Rule 4901-1-24 of the Ohio Administrative Code.

VI. Commission Process for Approval: An application for certification shall be made on forms approved and supplied by the Commission. The applicant shall complete the appropriate application form in its entirety and supply all required attachments, affidavits, and evidence of capability specified by the form at the time an application is filed. The Commission certification process begins when the Commission's Docketing Division receives and time/date stamps the application. An incomplete application may be suspended or rejected. An application that has been suspended as incomplete may cause delay in certification.

The Commission may approve, suspend, or deny an application within 30 days. If the Commission does not act within 30 days, the application is deemed automatically approved on the 31st day after the official filing date. If the Commission suspends the application, the Commission shall notify the applicant of the reasons for such suspension and may direct the applicant to furnish additional information. The Commission shall act to approve or deny a suspended application within 90 days of the date that the application was suspended. Upon Commission approval, the applicant shall receive notification of approval and a numbered certificate that specifies the service(s) for which the applicant is certified and the dates for which the certificate is valid.

Unless otherwise specified by the Commission, a competitive retail electric service provider's certificate is valid for a period of two years, beginning and ending on the dates specified on the certificate. The applicant may renew its certificate in accordance with Rule 4901:1-24-09 of the Ohio Administrative Code.

CRES (competitive retail electric service) providers shall inform the Commission of any material change to the information supplied in a certification application within thirty days of such material change in accordance with Rule 4901:1-24-10 of the Ohio Administrative Code.

VII. Contractual Arrangements for Capability Standards: If the applicant is relying upon contractual arrangements with a third-party(ies) to meet any of the certification requirements, the applicant must provide with its application all of the following:

- The legal name of the party(ies) it is contracting with;
- A statement that a valid contract exists between the applicant and the third-party(ies);
- A detailed summary of the contract(s) including all services provided thereunder;
- The documentation and evidence to demonstrate the contracting entity's capability to meet the requirements as if the contracting entity was the applicant.

VIII. Questions: Questions regarding filing procedures should be directed to Tamara Turkenton at (614) 995-7096 or . or Chuck Stockhausen at (614) 728-5049 or .

IX. Governing Law: The certification of competitive retail electric suppliers is governed by Chapter 4901:1-24 of the Ohio Administrative Code, Chapter 4901:1-21 of the Ohio Administrative Code, and Section 4928.08 of the Ohio Revised Code.