

John Kasich, Governor Todd A. Snitchler, Chairman Commissioners

Steven D. Lesser Lynn Slaby M. Beth Trombold Vacant

PUBLIC UTILITIES COMMISSION OF OHIO

Certified as a Competitive Retail Electric Service Provider

RENEWAL Certificate Number: 09-152E (3)

Issued Pursuant to Case Number(s):

09-351-EL-AGG

A certificate as a Competitive Retail Electric Service Provider is hereby granted to The Utilities Group, Inc. whose office or principal place of business is located at 11260 Chester Rd., Ste. 540, Cincinnati, Ohio 45237 to provide <u>aggregation and power broker</u> services within the State of Ohio effective June 10, 2013.

The certification of competitive retail electric suppliers is governed by Section 4901:1-24-(01-13) of the Ohio Administrative Code, Section 4901:1-21-(01-15) of the Ohio Administrative Code, and Section 4928.08 of the Ohio Revised Code.

This Certificate is revocable if all of the conditions set forth in the aforementioned case(s) are not met.

Subject to all rules and regulations of the Commission, now existing or hereafter promulgated.

Witness the seal of the Commission affixed at Columbus, Ohio.

Dated: June 11, 2013

By Order of

PUBLIC UTILITIES COMMISSION OF OHIO

Barcy F. McNeal, Secretary

Betty McCauley, Acting Secretary Tanowa M. Troupe, Acting Secretary

ranowa M. Troupe, Acting Secretary

Certificate Expires: June 10, 2015

This is to certify that the images appearing are a accurate and complete reproduction of a case file document delivered in the regular course of business Technician Date Processed 1/1/3

CRES AUTOMATIC CASE ACTION FORM

Case No. 09-0351-EL-AGG Date Sent: June 11, 2013 Effective Date of Certificate: June 10, 2013 Certificate Expires: June 10, 2015 Company Name and Company Name d/b/a: The Utilities Group, Inc. □ Renewal **Action Needed:** Issue Certificate Number to: at (address): \boxtimes Certified To Provide the Following Services: Retail Generation Aggregation Power Marketer Power Broker Governmental Aggregation 図 Renew Certificate No. 09-152E (2) to 09-152E (3) Revise Certificate No. to (check all applicable): Reflect name change from to Reflect address change from Add new service offering to certificate: Retail Generation Aggregation Power Marketer Power Broker Governmental Aggregation Correct Administrative Error Reflect Change of Ownership to: Cancel Certificate No. Protect Un-redacted copies until Close Case File, Case Withdrawn at Applicant's Request Close Case File

CASE NUMBER:

09-0351-EL-AGG

CASE DESCRIPTION:

THE UTILITIES GROUP INC

DATE OF SERVICE:

6/11/2013

DOCUMENT SIGNED ON: 6 /11 / 20/3

Sign Here:

APPLICANT

PARTY OF RECORD

ATTORNEY

THE UTILITIES GROUP INC CEO

SCHUTZMAN, THOMAS J. ATTORNEY

AT LAW

COUNSEL FOR THE UTILITIES GROUP,

INC.

2890 CHANCELLOR DR., SUITE 200

11260 CHESTER RD

JENNIFER KIST

STE 540

CINCINNATI,OH 45246-4053

Phone:513-481-7954 Fax:513-481-8964

Email:JKIST@TUGMGMT.COM

CRESTVIEW HILLS,KY 41017

Phone:859-344-0828

ATTORNEY

PARTY OF RECORD

ATTORNEY

none

*Miller, Vesta R

Public Utilities Commission of Ohio

180 East Broad Street

Columbus, OH 43215

Phone:614-466-7702

Email: Vesta. Miller@puc.state.oh.us