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## BEFORE

## THE PUBLIC UTLLITIES COMMISSION OF OHIO

In the Matter of the Application of Duke ) Energy Ohio, Inc., for Recovery of Program Costs, Lost Distribution Revenue and Performance Incentives Related to its Energy Efficiency and Demand Response Programs.
)
)

Case No. 13-753-EL-RDR


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## I. INTRODUCTION

## Q. PLEASE STATE YOUR NAME AND BUSINESS ADDRESS.

A. My name is James E. Ziolkowski, and my business address is 139 East Fourth Street, Cincinnati, Ohio 45202.

## Q. BY WHOM ARE YOU EMPLOYED AND IN WHAT CAPACITY?

A. I am employed by the Duke Energy Business Services LLC (DEBS) as Rates Manager. DEBS provides various administrative and other services to Duke Energy Ohio, Inc., (Duke Energy Ohio or the Company) and other affiliated companies of Duke Energy Corporation (Duke Energy).
Q. PLEASE SUMMARIZE YOUR EDUCATION AND PROFESSIONAL EXPERIENCE.
A. I received a Bachelor of Science degree in Mechanical Engineering from the U.S. Naval Academy in 1979 and a Master of Business Administration degree from Miami University in 1988. I am also a licensed Professional Engineer in the state of Ohio.

After graduating from the Naval Academy, I attended the Naval Nuclear Power School and other follow-on schools. I served as a nuclear-trained officer on various ships in the U.S. Navy through 1986. From 1988 through 1990, I worked for Mobil Oil Corporation as a Marine Marketing Representative in the New York City area.

I joined The Cincinnati Gas \& Electric Company (CG\&E) in 1990 as a Product Applications Engineer, in which capacity I designed and managed some of CG\&E's demand side management programs, including Energy Audits and

Interruptible Rates. From 1996 until 1998, I was an Account Engineer and worked with large customers to resolve various service-related issues, particularly in the areas of billing, metering, and demand management. In 1998, I joined Cinergy Services, Inc.'s, Rate Department, where I focused on rate design and tariff administration. I was significantly involved with the initial unbundling and design of CG\&E's retail electric rates. I was appointed to my current position in November 2010.

## Q. PLEASE DESCRIBE YOUR DUTIES AS RATES MANAGER.

A. As Rates Manager, I am responsible for various rider filings, tariff administration, billing, and revenue reporting issues in Ohio and Kentucky. I also prepare filings to modify charges and terms in retail tariffs of Duke Energy Ohio and Duke Energy Kentucky, Inc., (Duke Energy Kentucky) and develop rates for new services. During major rate cases, I prepare cost of service studies and help with the design of the new base rates. I assisted in the development of the retail electric tariffs in the Company's Case No. 03-93-EL-ATA, which established the Company's market-based standard service offer. Additionally, I frequently work with customer contact and billing personnel of Duke Energy Ohio and Duke Energy Kentucky to answer rate-related questions and to apply the retail tariffs to specific situations. Occasionally, I meet with customers and Company representatives to explain rates or provide rate training. I also prepare reports that are required by regulatory authorities.

## Q. HAVE YOU PREVIOUSLY TESTIFIED BEFORE THE PUBLIC UTLITIES COMMISSION OF OHIO?

A. Yes. Most recently, I provided testimony before the Public Utilities Commission of Ohio (Commission) in support of Duke Energy Ohio's electric distribution base rate case, filed under Case Number 12-1682-EL-AIR. I was also a witness in the Company's Electric Security Plan case, filed under Case Number 11-3549-EL-SSO and the Energy Efficiency Portfolio case, filed under Case Number 11-4393-ELRDR.
Q. WHAT ARE THE ATTACHMENTS AND SCHEDULES FOR WHICH YOU ARE RESPONSIBLE?
A. I am sponsoring the following items:

- Attachment JEZ-1 - Work papers showing the calculation of Rider EE-PDRR rates
- Attachment JEZ-2 - Proposed Rider EE-PDRR tariff sheet - redlined
- Attachment JEZ-3 - Proposed Rider EE-PDRR tariff sheet - clean
Q. WHAT IS THE PURPOSE OF YOUR TESTIMONY IN THIS PROCEEDING?
A. The purpose of my testimony in this proceeding is to: (i) describe the calculation of the Rider EE-PDRR rate update, including the true-up for the year 2012 and (ii) discuss the distribution decoupling mechanism, Rider DDR, as approved in Case No. 11-5905-EL-RDR and its effect on lost margin recovery. The Company's electric tariff contains two shared savings-related sheets. Rider EE-PDR describes the calculations of the shared savings recovery charges, and Rider EE-PDRR contains the results of the calculations, i.e., the retail recovery rates.


## Q. WHAT IS THE PURPOSE OF RIDER EE-PDR AND EE-PDRR?

A. Rider EE-PDR is the mechanism through which the revenue requirement and its true-up is recovered from residential and non-residential customers. Rider EEPDRR contains the results of the calculations, i.e., the retail recovery rates.

## Q. WHAT TIME PERIOD DOES THIS TRUE-UP COVER?

A. The Rider EE-PDR program was filed to commence on January 1, 2012 with an annual true-up for the time period ending December 31, 2012. Therefore, this true-up analysis addresses the calendar year 2012. The proposed Rider EE-PDRR rate also includes expected 2013 costs. The 2013 results will be trued-up in next year's filing.

## II. CALCULATION OF EE-PDR REVENUE REOUIREMENT

## Q. BRIEFLY DESCRIBE THE RIDER EE-PDR INCENTIVE MECHANISM.

A. Traditional energy efficiency regulatory recovery mechanisms allow the utility to recover program costs, lost revenues, and a percentage of shared savings (avoided costs minus program costs). In Case No. 11-4393-EL-RDR, Duke Energy Ohio received approval for a tiered base shared savings cost recovery mechanism based on achievement. The total incentive amount that may be claimed by the Company under Rider EE-PDR is subject to a tiered shared savings incentive based upon its ability to exceed its targets set forth in Revised Code 4928.66.

Attachment JEZ-1, page 1 shows the after-tax shared savings incentive based on the percentage achievement of the Company against the energy efficiency mandate.
Q. WHAT LEVEL OF ACHIEVEMENT VERSUS THE SB 221 MANDATE IS THE COMPANY CLAIMING?
A. Duke Energy Ohio achieved greater than one hundred thirty-one percent of the energy efficiency and peak demand mandates, and is claiming the after-tax shared savings incentive of thirteen percent. The calculation supporting this claimed incentive appears on Attachment JEZ-1, page 1.

## Q. IS THE COMPANY INCLUDING CARRYING COSTS ON LOST MARGINS IN THIS APPLICATION?

A. No.
Q. PLEASE EXPLAIN HOW DISTRIBUTION LOST MARGINS ARE CALCULATED.
A. The DSMore ${ }^{\mathrm{TM}}$ model calculates the kWh and kW reductions associated with each program measure. Based upon the units of participation and load reductions per program measure, the Company then applies lost margin rates to these reductions to calculate the lost margin dollars to be recovered. Company witness Ashlie Ossege describes the DSMore ${ }^{\mathrm{TM}}$ model in her testimony.
Q. WHAT IS THE DIFFERENCE BETWEEN LOST REVENUES AND LOST MARGINS?
A. In general terms, lost margins equal lost revenues minus variable costs. For example, the lost margin associated with generation would be equal to the total generation revenue minus fuel costs (which are variable) minus any other variable O\&M costs. Rider EE-PDR allows for the recovery of lost margins, and the Company requests in this filing to recover distribution lost margins associated
with Rider EE-PDR measures.

## Q. WHAT TYPES OF LOST MARGINS ARE INCLUDED IN THIS TRUEUP?

A. The calculated lost margins include only distribution margins associated with non-residential customers taking service under Rate DS, Rate DP, and Rate TS. The lost margins associated with these three non-residential rates are included under Rider EE-PDR since these non-residential customers are not subject to the Company's decoupling rider pilot, Rider DDR (Distribution Decoupling Rider), which was approved in Case No. 11-5905-EL-RDR.

## Q. DOES THIS APPLICATION INCLUDE AVOIDED COSTS ASSOCLATED

 WITH THE MERCANTILE SELF-DIRECT PROGRAM?A. No. The Company included the energy and capacity savings from the Mercantile Self-Direct program in determining its performance against the benchmarks set forth in Section 4928.66, Ohio Revised Code, but it did not include any avoided costs or lost revenues from the Mercantile Self-Direct program in its Rider EEPDR true-up calculations. The Company is including $\$ 1,682,044$ of Mercantile Self-Direct program costs in its revenue requirement.

## Q. DID THE TRUE-UP PERIOD INCLUDE ANY COLLECTION UNDER RIDER DR-SAWR?

A. Yes, the Order for EE-PDR was approved on August 15, 2012. Rider DR-SAW was the mechanism through which the revenue requirement and its true-up was recovered from residential and non-residential customers. Rider DR-SAWR contained the results of the calculations, i.e., the retail recovery rates. As discussed
in more detail in Witness Duff's testimony, in order to continue offering customers the portfolio programs approved in Case No. 09-1999-EL-POR, Duke Energy Ohio continued to collect under Rider.DR-SAWR.

## III. RIDER EE-PDR RECONCILATION RATE CALCULATION

## Q. PLEASE EXPLAIN HOW THE COMPANY'S RECENTLY APPROVED DECOUPLING RIDER AFFECTS THE EE/DR TRUE-UP CALCULATIONS.

A. Rider DDR was approved on May 30, 2012 in Case No. 11-5905-EL-RDR. On January 1, 2012, the Company began tracking the authorized distribution revenues for each rate class covered by the rider against the actual revenues for the rate classes covered by the rider. On February 26, 2013, the Company filed an application to establish Rider DDR rates for each rate class. The Rider DDR filing covers the period January 1, 2012 through December 31, 2012. The Rider DDR rates will be effective on July 1,2013 , absent any activity by the Commission. The lost margin dollars in this Rider EE-PDR true-up filing are based on lost kWh and kW for year 2012. Because Rider DDR does not apply to Rates DS, DP, and TS, only those three base rates are subject to lost margin recovery pursuant to Rider EE-PDRR.
Q. PLEASE DESCRIBE IN DETAIL THE RIDER EE-PDRR RATE CALCULATIONS CONTAINED IN ATTACHMENT JEZ-1.
A. Attachment JEZ-1 shows the calculation of the Rider EE-PDRR recovery rates. Page 1 shows the calculation of the Company's shared savings achievement tier. Because it exceeded $115 \%$ of its annual achievement target, the Company claims
an after-tax shared savings rate of $13.0 \%$. This is equivalent to a pre-tax rate of 20.37\%

Page 2 summarizes the Rider EE-PDRR revenue requirement data from page 3. The total 2012 revenue requirement, using the pre-tax shared savings rate of $20.37 \%$, is $\$ 39,477,575$. This figure includes $\$ 1,682,044$ of Mercantile SelfDirect program cost recovery, however, no shared savings incentives are included for the self-direct program.

Page 3 of Attachment JEZ-1 shows the 2012 EE/DR program details and results. The sheet shows the kWh and kW impacts, the shared savings calculations, the program cost recovery numbers, and the total revenue requirement associated with each of the residential and non-residential programs. The numbers are summarized on page 2 .

Page 4 of Attachment JEZ-1 shows the lost distribution margins associated with program participants that take service under Rate DS, Rate DP, and Rate TS. As I previously mentioned, customers served under these three rates are not subject to Rider DDR. These customers are, however, subject to lost distribution margin recovery pursuant to Rider EE-PDRR.

Page 5 of Attachment JEZ-1 shows the expected 2013 program details and results. The sheet shows the kWh and kW impacts, the shared savings calculations, the program cost recovery numbers, and the total revenue requirement associated with each of the residential and non-residential programs.

Page 6 of Attachment JEZ-1 shows the expected 2013 lost margins associated with program participants that take service under Rate DS, Rate DP, and Rate TS. As stated earlier, customers served under these three rates are not subject to Rider DDR.

Page 7 of Attachment JEZ-1 shows the 2012 revenue recovery by base rate class and month. For the first nine months of 2012, revenues were collected through Rider SAWR. Upon implementation of Rider EE-PDRR, Rider SAWR recovery ceased through the end of 2012, and revenues were recovered through Rider EE-PDRR for the billing months of October through December 2012. Total revenue recovery during 2012 was $\$ 25,712,173$.

Page 8 of Attachment JEZ-1 shows the actual 2012 kWh usage by month for Rate DS, Rate DP, and Rate TS accounts. The total 2012 kWh numbers for these rates are used on page 10 to calculate the lost revenue dollars included in Rider EE-PDRR associated with these three base rates.

Page 9 of Attachment JEZ-1 shows the forecasted kWh billing determinants for the period July 2013 through June 2014. These kWh figures are used in the denominators of of the final rate calculations that appear on page 10.

Page 10 of Attachment JEZ-1 shows the Rider EE-PDRR rate calculations that true-up 2012 costs and revenues and recover the 2013 expected costs. The total revenues to be recovered are grossed up by the Commercial Activity Tax factor of 1.0026068

## IV. CONCLUSION

Q. HOW DOES THE COMPANY PROPOSE THAT ITS TARIFFS, INCLUDING THE PREVIOUSLY DISCUSSED RATES AND CHARGES, BE IMPLEMENTED?
A. Duke Energy Ohio proposes that the revised tariffs, including the rates and charges to be issued pursuant to the Commission's Order in this case, be effective for twelve months for all customers on a bills-rendered basis.
Q. WERE THE ATTACHMENTS DISCUSSED ABOVE PREPARED BY YOU OR UNDER YOUR SUPERVISION?
A. Yes.
Q. DOES THIS CONCLUDE YOUR TESTIMONY?
A. Yes.

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Case No. 13-753-EL-RDR
Attachment JEZ-1
P.U.C.O. Electric No. 19

## RIDER EE-PDRR

ENERGY EFFICIENCY AND PEAK DEMAND RESPONSE RECOVERY RATE

The EE-PDRR rate shall be determined in accordance with the provisions of Rider EE-PDR, Energy Efficiency and Peak Demand Response Recovery rider, Sheet No. 120 of this Tariff.

The EE-PDRR rate to be applied to residential service customer bills beginning with the Octor July 20123 revenue month is $\$ 0.002317$ 003475 per kilowatt-hour.

The EE-PDRR rate to be applied to non-residential service customer bills, other than service under Rates DS, DP, TS, and RTP, beginning with the Oetuly 20123 revenue month for distribution service is $\$ 0.001304001421$ per kilowatt-hour.

The EE-PDRR rate to be applied to non-residential service customer bilk, for service under Rates DS, DP, TS, and RTP, beginning with the Oetory _Uuly 20123 revenue month for distribution service is $\$ 0.004334-001686$ per kilowatt-hour.

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$\qquad$ in Case No. $\qquad$ -EL-RDR before the Public Utilities Commission of Ohio.
P.U.C.O. Electric No. 19

Sheet No. 119.1
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Cancels and Supersedes
139 East Fourth Street
Sheet No. 119
Cincinnati, Ohio 45202
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RIDER EE-PDRR
ENERGY EFFICIENCY AND PEAK DEMAND RESPONSE RECOVERY RATE

The EE-PDRR rate shall be determined in accordance with the provisions of Rider EE-PDR, Energy Efficiency and Peak Demand Response Recovery rider, Sheet No. 120 of this Tariff.

The EE-PDRR rate to be applied to residential service customer bills beginning with the July 2013 revenue month is $\$ 0.003475$ per kilowatt-hour.

The EE-PDRR rate to be applied to non-residential service customer bills, other than service under Rates DS, DP, TS, and RTP, beginning with the July 2013 revenue month for distribution service is \$0.001421 per kilowatt-hour.

The EE-PDRR rate to be applied to non-residential service customer bills, for service under Rates DS, DP, TS, and RTP, beginning with the July 2013 revenue month for distribution service is $\$ 0.001686$ per kilowatt-hour.

Filed pursuant to an Order dated $\qquad$ in Case No. - $\qquad$ -EL-RDR before the Public Utilities Commission of Ohio.

