## Ohio Public Utilities Commission

### Case No.: <u>13-0728-E</u>L-EEC

| Mercantile Customer:             | TriHealth Bethesda Oak Hospital |
|----------------------------------|---------------------------------|
| Electric Utility:                | Duke Energy                     |
| Program Title or<br>Description: | VFD                             |

Rule 4901:1-39-05(F), Ohio Administrative Code (O.A.C.), permits a mercantile customer to file, either individually or jointly with an electric utility, an application to commit the customer's existing demand reduction, demand response, and energy efficiency programs for integration with the electric utility's programs. The following application form is to be used by mercantile customers, either individually or jointly with their electric utility, to apply for commitment of such programs in accordance with the Commission's pilot program established in Case No. <u>10-834-EL-POR</u>

Completed applications requesting the cash rebate reasonable arrangement option (Option 1) in lieu of an exemption from the electric utility's energy efficiency and demand reduction (EEDR) rider will be automatically approved on the sixty-first calendar day after filing, unless the Commission, or an attorney examiner, suspends or denies the application prior to that time. Completed applications requesting the exemption from the EEDR rider (Option 2) will also qualify for the 60-day automatic approval so long as the exemption period does not exceed 24 months. Rider exemptions for periods of more than 24 months will be reviewed by the Commission Staff and are only approved up the issuance of a Commission order.

Complete a separate application for each customer program. Projects undertaken by a customer as a single program at a single location or at various locations within the same service territory should be submitted together as a single program filing, when possible. Check all boxes that are applicable to your program. For each box checked, be sure to complete all subparts of the question, and provide all requested additional information. Submittal of incomplete applications may result in a suspension of the automatic approval process or denial of the application.

Any confidential or trade secret information may be submitted to Staff on disc or via email at <u>ee-pdr@puc.state.oh.us</u>.

### Section 1: Mercantile Customer Information

### Name: TriHealth - Bethesda Oak Hospital

### Principal address: 619 Oak Street Cincinnati, Ohio 45206

Address of facility for which this energy efficiency program applies:

### 619 Oak Street Cincinnati, Ohio 45206

Name and telephone number for responses to questions:

### Grady Reid Jr 513-287-1038

Electricity use by the customer (check the box(es) that apply):

- ✓ The customer uses more than seven hundred thousand kilowatt hours per year at the above facility. (Refer to Appendix A for documentation.)
- □ The customer is part of a national account involving multiple facilities in one or more states. (Please attach documentation.)

### Section 2: Application Information

- A) The customer is filing this application (choose which applies):
  - □ Individually, without electric utility participation.
  - ✓ Jointly with the electric utility.
- B) The electric utility is: **Duke Energy**
- C) The customer is offering to commit (check any that apply):
  - □ Energy savings from the customer's energy efficiency program. (Complete Sections 3, 5, 6, and 7.)
  - □ Capacity savings from the customer's demand response/demand reduction program. (Complete Sections 4, 5, 6, and 7.)
  - ✓ Both the energy savings and the capacity savings from the customer's energy efficiency program. (Complete all sections of the Application.)

### **Section 3: Energy Efficiency Programs**

- A) The customer's energy efficiency program involves (check those that apply):
  - ✓ Early replacement of fully functioning equipment with new equipment. (Provide the date on which the customer replaced fully functioning equipment, and the date on which the customer would have replaced such equipment if it had not been replaced early. Please include a brief explanation for how the customer determined this future replacement date (or, if not known, please explain why this is not known)). The following new equipment was installed starting July 2012 and was

# finished September 2012.

### 2 VFDs on two 75HP Supply Fan Motors

- □ Installation of new equipment to replace equipment that needed to be replaced The customer installed new equipment on the following date(s):
- Installation of new equipment for new construction or facility expansion.
   The customer installed new equipment on the following date(s):
- □ Behavioral or operational improvement.
- B) Energy savings achieved/to be achieved by the energy efficiency program:
  - If you checked the box indicating that the project involves the early replacement of fully functioning equipment replaced with new equipment, then calculate the annual savings [(kWh used by the original equipment) - (kWh used by new equipment) = (kWh per year saved)]. Please attach your calculations and record the results below:

### Annual savings: 75,423 kWh Refer to Appendix B for calculations and supporting document

2) If you checked the box indicating that the customer installed new equipment to replace equipment that needed to be replaced, then calculate the annual savings [(kWh used by less efficient new equipment) – (kWh used by the higher efficiency new equipment) = (kWh per year saved)]. Please attach your calculations and record the results below:

Annual savings: \_\_\_\_\_kWh

Please describe any less efficient new equipment that was rejected in favor of the more efficient new equipment.

 If you checked the box indicating that the project involves equipment for new construction or facility expansion, then calculate the annual savings [(kWh used by less efficient new equipment) – (kWh used by higher efficiency new equipment) = (kWh per year saved)]. Please attach your calculations and record the results below:

Annual savings: \_\_\_\_\_kWh

Please describe the less efficient new equipment that was rejected in favor of the more efficient new equipment.

4) If you checked the box indicating that the project involves behavioral or operational improvements, provide a description of how the annual savings were determined.

### Section 4: Demand Reduction/Demand Response Programs

A) The customer's program involves (check the one that applies):

# ✓ Coincident peak-demand savings from the customer's energy efficiency program.

- □ Actual peak-demand reduction. (Attach a description and documentation of the peak-demand reduction.)
- D Potential peak-demand reduction (check the one that applies):
  - □ The customer's peak-demand reduction program meets the requirements to be counted as a capacity resource under a tariff of a regional transmission organization (RTO) approved by the Federal Energy Regulatory Commission.
  - □ The customer's peak-demand reduction program meets the requirements to be counted as a capacity resource under a program that is equivalent to an RTO program, which has been approved by the Public Utilities Commission of Ohio.
- B) On what date did the customer initiate its demand reduction program?

# New VFD equipment was installed between July 2012 and September 2012

C) What is the peak demand reduction achieved or capable of being achieved (show calculations through which this was determined):

### -1.97 kW

Refer to Appendix B for calculations and supporting documentation.

### Section 5: Request for Cash Rebate Reasonable Arrangement (Option 1) or Exemption from Rider (Option 2)

Under this section, check the box that applies and fill in all blanks relating to that choice.

Note: If Option 2 is selected, the application will not qualify for the 60-day automatic approval. All applications, however, will be considered on a timely basis by the Commission.

A) The customer is applying for:

### ✓ Option 1: A cash rebate reasonable arrangement.

OR

□ Option 2: An exemption from the energy efficiency cost recovery mechanism implemented by the electric utility.

OR

- □ Commitment payment
- B) The value of the option that the customer is seeking is:
  - Option 1: A cash rebate reasonable arrangement, which is the lesser of (show both amounts):
    - ✓ A cash rebate of \$5900. Refer to Appendix C for documentation. (Rebate shall not exceed 50% project cost.
  - Option 2: An exemption from payment of the electric utility's energy efficiency/peak demand reduction rider.
    - An exemption from payment of the electric utility's energy efficiency/peak demand reduction rider for \_\_\_\_\_ months (not to exceed 24 months). (Attach calculations showing how this time period was determined.)

### OR

A commitment payment valued at no more than
 \$\_\_\_\_\_. (Attach documentation and

calculations showing how this payment amount was determined.)

### OR

Ongoing exemption from payment of the electric utility's energy efficiency/peak demand reduction rider for an initial period of 24 months because this program is part of the customer's ongoing efficiency program. (Attach documentation that establishes the ongoing nature of the program.) In order to continue the exemption beyond the initial 24 month period, the customer will need to provide a future application establishing additional energy savings and the continuance of the organization's energy efficiency program.)

### Section 6: Cost Effectiveness

The program is cost effective because it has a benefit/cost ratio greater than 1 using the (choose which applies):

- Total Resource Cost (TRC) Test. The calculated TRC value is: \_\_\_\_\_\_
   (Continue to Subsection 1, then skip Subsection 2)
- ✓ Utility Cost Test (UCT). The calculated UCT value is 3.85 (Skip to Subsection 2.) Refer to Appendix D for calculations and supporting documents.

Subsection 1: TRC Test Used (please fill in all blanks).

The TRC value of the program is calculated by dividing the value of our avoided supply costs (generation capacity, energy, and any transmission or distribution) by the sum of our program overhead and installation costs and any incremental measure costs paid by either the customer or the electric utility.

The electric utility's avoided supply costs were \_\_\_\_\_.

Our program costs were \_\_\_\_\_.

The incremental measure costs were \_\_\_\_\_.

### Subsection 2: UCT Used (please fill in all blanks).

We calculated the UCT value of our program by dividing the value of our avoided supply costs (capacity and energy) by the costs to our electric utility (including administrative costs and incentives paid or rider exemption costs) to obtain our commitment.

Our avoided supply costs were **\$30,313**.

The utility's program costs were **\$1,983**.

The utility's incentive costs/rebate costs were **\$5900**.

### Refer to Appendix D for calculations and supporting documents.

### Section 7: Additional Information

Please attach the following supporting documentation to this application:

Narrative description of the program including, but not limited to, make, model, and year of any installed and replaced equipment.

A copy of the formal declaration or agreement that commits the program or measure to the electric utility, including:

- 1) any confidentiality requirements associated with the agreement;
- 2) a description of any consequences of noncompliance with the terms of the commitment;
- 3) a description of coordination requirements between the customer and the electric utility with regard to peak demand reduction;
- 4) permission by the customer to the electric utility and Commission staff and consultants to measure and verify energy savings and/or peak-demand reductions resulting from your program; and,
- 5) a commitment by the customer to provide an annual report on your energy savings and electric utility peak-demand reductions achieved.

### Refer to Offer Letter following this application

A description of all methodologies, protocols, and practices used or proposed to be used in measuring and verifying program results. Additionally, identify and explain all deviations from any program measurement and verification guidelines that may be published by the Commission.



DUKE ENERGY Mercantile Self Direct Program 139 East Fourth Street Cincinnati, OH 46202 513 629 5572 fax

February 5, 2013

Mr. Rick Volk Tril-lealth – Bethesda Oak Hospital 619 Oak Street Cincinnati, Ohio 45206

Subject: Your Application for a Duke Energy Mercantile Self-Direct Rebate

Dear Mr. Volk:

Thank you for your Duke Energy Mercantile Self Direct rebate application. As noted in the Energy Conservation Measure (ECM) chart on page two, a total rebate of \$5900.00 has been proposed for your variable frequency drive project completed in the 2012 calendar year. All Self Direct Rebates are contingent upon approval by the Public Utilities Commission of Ohio (PUCO).

At your earliest convenience, please indicate if you accept this rebate by

- providing your signature on page two
- completing the PUCO-required affidavit on page three.

Please return the documents to my attention via fax at 513-629-5572 or e-mail to SelfDirect@Duke-Energy.com. Upon receipt, Duke Energy will submit the necessary documentation to PUCO. Following PUCO's approval, Duke Energy will remit payment.

At Duke Energy, we value your business and look forward to working with you on this and future energy efficiency projects. We hope you will consider our Smart \$aver® incentives, when applicable. Please contact me if you have any questions.

Sincerely,

Gilt

cc:

Grady Reid, Jr Product Manager Mercantile Self Direct Rebates

Mike Heath – Duke Energy Rob Jung - Ecova Steve Rohrs - Pathian

www.duke-energy.com

Please indicate your response to this rebate offer within 30 days of receipt.

Rebate is accepted.

Rebate is declined.

By accepting this rebate, TriHealth affirms its intention to commit and integrate the energy efficiency projects listed on the following pages into Duke Energy's peak demand reduction, demand response and/or energy efficiency programs.

Additionally, TriHealth also agrees to serve as joint applicant in any future filings necessary to secure approval of this arrangement as required by PUCO and to comply with any information and reporting requirements imposed by rule or as part of that approval.

Finally, TriHealth affirms that all application information submitted to Duke Energy pursuant to this rebate offer is true and accurate. Information in question would include, but not be limited to, project scope, equipment specifications, equipment operational details, project costs, project completion dates, and the quantity of energy conservation measures installed.

If rebate is accepted, will you use the monies to fund future energy efficiency and/or demand reduction projects?

YES 🗌 NO

If rebate is declined, please indicate reason (optional):

all

Customer Signature

Printed Name

Kiels Ibula

2/2013 Date

#### **Proposed Rebate Amounts**

2|Page

| Measure<br>ID | rEnergy Conservation Measure (ECM) | Proposed Rebate: |
|---------------|------------------------------------|------------------|
| ECM-1         | Installed 75 HP VFDs (Qty – 2)     | \$5900.00        |
| Total         |                                    | \$5900.00        |
|               |                                    |                  |

# Ohio Public Utilities Commission

**Application to Commit Energy Efficiency/Peak Demand Reduction** Programs (Mercantile Customers Only)

Case No.: - -EL-EEC

State of OHID :

Kack Oace, Affiant, being duly sworn according to law, deposes and says that:

1 I am the duly authorized representative of:

Ta: Hallfd 100 [insert customet or EDU company name and any applicable name(s) doing business as]

2. I have personally examined all the information contained in the foregoing application, including any exhibits and attachments. Based upon my examination and inquiry of those persons immediately responsible for obtaining the information contained in the application, I believe that the information is true. accurate and complete.

I am aware of fines and penalties which may be imposed under Ohio Revised Code Sections 2921.11, 2921.31, 4903.02, 4903.03, and 4903.99 for submitting false information.

K. C. Gald Supraws or Signature of Affiant & Title

Sworn and subscribed before me this 38th day of FEBRUARY, 2013 Month/Year

Signature of official administering oath

ANTHONN W WALDBIll. g Print Name and Title NOTARY PUBLIC

ANTHONY W. WALDBILLIG My commission expires on My Commission Expires 11-20-2013

3 | Page

3.

| 04900675 01        |      |            |
|--------------------|------|------------|
| BETHESDA HOSPITAL  |      |            |
| 619 OAK            |      |            |
| CINCINNATI, OH 452 | 06   |            |
| combined consumpti | on   |            |
| Date               | Days | Actual KWH |
| 11/28/2012         | 33   | 959,568    |
| 10/26/2012         | 29   | 1,034,724  |
| 9/27/2012          | 30   | 1,245,689  |
| 8/28/2012          | 29   | 1,268,030  |
| 7/30/2012          | 32   | 1,581,650  |
| 6/28/2012          | 29   | 1,243,097  |
| 5/30/2012          | 30   | 1,217,933  |
| 4/30/2012          | 32   | 1,052,923  |
| 3/29/2012          | 29   | 1,003,433  |
| 2/29/2012          | 29   | 849,842    |
| 1/31/2012          | 32   | 919,824    |
| 12/30/2011         | 30   | 884,801    |
| Total              |      | 13,261,514 |

|           | Baseline Used  |                        |                            | Post Project Actual   |               |                            |           | Sa            | vings                                   |
|-----------|--|------------------------|----------------------------|---|---------------|----------------------------|-----------|---------------|---|
|           | Description  | Annual kWh             | Summer<br>Coincident<br>kW | Description   | Annual<br>kWh | Summer<br>Coincident<br>kW | Hours of  | Annual<br>kWh | Summer<br>Coincident<br>kW <sup>1</sup> |
|           | Description  | Annual KWN             | KVV                        | Description   | KVVN          | KVV                        | Operation | KVVN          | KVV                                     |
|           | AHU 40 with 2 - 75HP supply fan motors and volume controls via Inlet Guide Vanes | 151,053                | 59.0                       | Installed 2 VFDs on 2 - 75HP supply fan motors                                  | 79,646        | 61.0                       | 3,120     | 71,407        | -2.                                     |
| 1         | Summer coincident demand savings were calcu                                      | ulated by DSMo         | re software h              | ased on a representative loadshape and the modeled energy (kWh) sa              | avings        |                            |           |               |   |
| 1         |  |                        |                            | ased on a representative loadshape and the modeled energy (kwin) so             | ivings.       |                            |           |               |   |
| After cor | I<br>nsideration of line losses, total energy savings ar                         | re <b>75,423 kWh</b> a | and <b>-1.97 sur</b>       | l<br>I <b>mer coincident kW</b> . These values may also reflect minor DSMore mo | deling softwa | re rounding e              | rror.     |               |   |
|           |  |                        |                            |   | 1             | 1                          |           | 1             | 1                                       |

| ETAIL | FD C | ΙΙ ΔΤΙ | ONS |
|-------|------|--------|-----|

|                           |                               |                             |                               | DETAILED CALCULATIONS   |
|---------------------------|-------------------------------|-----------------------------|-------------------------------|---|
| Dec 2012 V1               |                               |                             |                               |   |
| Salesforce Opportunity N  | lame                          | 0                           |                               | Application # TRI01 Rev. 0  |
| Project Name              | TriHealth - Mercantile Se     | f Direct Custom - Bethesd   | a Oak - IGV to VFD            | TRI01-TriHealthBO-Hptl-DY IGV to VFD State OH   |
|                           |                               |                             |                               |   |
| Measure Description       |                               |                             |                               |   |
| Replacing Inlet Guide Var | ies (IGV) volume controls v   | /ith VFDs on two 75-hp su   | pply fan motors at TriHealt   | h Bethesda Oak. The customer also implemented supply air static pressure reset controls and the proposed duty cycle reflects the upgrade.   |
| Baseline                  |                               |                             |                               |   |
|                           | fan motors appears to be      | 1% of total usage, which is | within expectation. Baselin   | ne was calculated using the existing motor efficiency and duty cycle. The fans run approximately 3,120 hours annually.  |
|                           |                               |                             |                               |   |
| Savings Calculation Met   | hodology                      |                             |                               |   |
| Savings were submitted u  | using the ABB ACH550 Ener     | gy Savings Estimator, whi   | ch was verified reasonable u  | using the in-house VFD calculator tool because the retrofit is from IGV to VFD (see attached reference). The change in duty cycle is due to programmed supply air static pressure reset |
| controls also implemente  | ed at the site. Tool output o | etails and efficiency used  | were verified with the tool a | and outlined in the Savings Calculations section below.   |
| Incremental Measure Co    | ost (IMC)                     |                             |                               |   |
|                           |                               | cost of \$33,500 was quot   | ed for two 75-hp supply fan   | is and two 30-hp return fans. \$22,500 was listed for the measure cost in the application, which is approximately proportional to the size of fans retrofitted.                         |
|                           |                               |                             |                               |   |
|                           | 1                             |                             |                               |   |
| IMC Calculation           | IMC (\$)                      | Baseline Cost (\$)          | Measure Cost (\$)             |   |
|                           | \$22,500.00                   | \$0.00                      | \$22,500.00                   | Attached Files  |
|                           |                               |                             |                               | ☑ Equipment Spess 🔃 🔃 🔃 🔛   |
|                           | cuments/back up files as      | appropriate                 |                               | TPI01 Custom TPI01 Custom ABB TPI01 AESC Tool TPI01 Custom  |
| TRI01 Custom Quote.pdf    |                               |                             |                               | Cost Documentation Reper part Quote.pdf Calculations.pdf.pd Savings.xk Email Comm.pdf   |
| TRI01 Custom Spec.pdf     |                               |                             |                               |   |
| TRI01 Custom AESC Tool    |                               |                             |                               |   |
| TRI01 Custom Email Com    |                               |                             |                               |   |
| TRI02 Custom ABB Calcul   | ations.pdf                    |                             |                               |   |
| Savings Calculations      |                               |                             |                               |   |
|                           | Peak kW                       | kWh                         | AESC tool                     |   |
| Baseline                  | 58.89                         | 151,053                     | 184,493                       | 1.05% Billed  |
| Proposed                  | 60.72                         | 79,646                      | 113,979                       |   |
| Savings                   | -1.83                         | 71,407                      | 70,515                        | 1.25% difference  |

Tool Outputs: 1) "Cost per Hour" and "Operating Cost" correspond to kW and kWh because 100 cents per kWh was entered to the tool.

| AIR     | Annual Or | erating Time | Operational | ×1            | ×1             |
|---------|-----------|--------------|-------------|---------------|----------------|
| Flow    | %         | hrs/year     | Shaft HP    | Cost per Hour | Operating Cost |
| 100     | 14        | 436.80       | 75.00       | \$58.89       | \$25,723.15    |
| 90      | 26        | 811.20       | 67.50       | \$53.01       | \$43,001.71    |
| 80      | 28        | 873.60       | 60.00       | \$47.12       | \$41,164.03    |
| 70      | 32        | 998.40       | 52.50       | \$41.23       | \$41,164.03    |
| 60      | 0         | 0.00         | 45.00       | \$35.34       | \$0.00         |
| 50      | 0         | 0.00         | 37.50       | \$29.45       | \$0.00         |
| 40      | 0         | 0.00         | 30.00       | \$23.56       | \$0.00         |
| 30      | 0         | 0.00         | 22.25       | \$17.47       | \$0.00         |
| 20      | 0         | 0.00         | 15.00       | \$11.78       | \$0.00         |
| 10      | 0         | 0.00         | 7.21        | \$5.66        | \$0.00         |
| TOTAL : | 100       | 3,120.00     |             |               | \$151,053      |

| Preferences                  | Efficiency S | etup Custom Ef      | ficiency Repor     | t Layout      |                 |
|------------------------------|--------------|---------------------|--------------------|---------------|-----------------|
| ficiency Setup               |              |                     |                    |               | 🕜 <u>R</u> eset |
| Efficiency<br>VFD / Inverter | Elem         | EFF.<br>Damp /Valve | EFF.<br>Inlet Vane | EFF.<br>Valve |                 |
| 0.970                        | 100 %        | 1.000               | 1.000              | 1.000         |                 |
|                              | 90 %         | 0.768               | 0.810              | 0.768         |                 |
|                              | 80 %         | 0.573               | 0.640              | 0.573         |                 |
|                              | 70 %         | 0.410               | 0.490              | 0.410         |                 |
|                              | 60 %         | 0.279               | 0.360              | 0.279         |                 |
|                              | 50 %         | 0.177               | 0.290              | 0.177         |                 |
|                              | 40 %         | 0.101               | 0.160              | 0.101         |                 |
|                              | 30 %         | 0.049               | 0.091              | 0.049         |                 |
|                              | 20 %         | 0.018               | 0.040              | 0.018         |                 |
|                              | 10 %         | 0.003               | 0.010              | 0.003         |                 |
|                              |              |                     |                    |               |                 |

| BB Energy | 7 Calculatio | n Overview   |             |               | 2              |
|-----------|--------------|--------------|-------------|---------------|----------------|
| AIR       | Annual Op    | erating Time | Operational | ×1            | ×1             |
| Flow      | %            | hrs/year     | Shaft HP    | Cost per Hour | Operating Cost |
| 100       | 5            | 156.00       | 75.00       | \$60.72       | \$9,472.32     |
| 90        | 16           | 499.20       | 54.67       | \$44.26       | \$22,094.59    |
| 80        | 24           | 748.80       | 38.40       | \$31.09       | \$23,280.19    |
| 70        | 21           | 655.20       | 25.73       | \$20.83       | \$13,647.82    |
| 60        | 18           | 561.60       | 16.20       | \$13.11       | \$7,362.58     |
| 50        | 16           | 499.20       | 9.38        | \$7.59        | \$3,788.93     |
| 40        | 0            | 0.00         | 4.80        | \$3.89        | \$0.00         |
| 30        | 0            | 0.00         | 2.03        | \$1.64        | \$0.00         |
| 20        | 0            | 0.00         | 0.60        | \$0.49        | \$0.00         |
| 10        | 0            | 0.00         | 0.08        | \$0.06        | \$0.00         |
| TOTAL :   | 100          | 3,120.00     |             |               | \$79,646       |
|           |              |              |             | 0             | Help           |

### Appendix C -Cash Rebate Calculation

#### TriHealth Bethesda Oak - VFD

| Measure                                       | Quantity | Cash Rebate Rate                          | Rebate           | Cash Rebate |
|---|----------|---|------------------|-------------|
|   |          | 50% of incentive that would be offered by |                  |             |
| Installed VFDs on two 75-HP supply fan motors | 2        | the Smart \$aver Custom program           | \$2 <i>,</i> 950 | \$5,900     |
|   |          |   | Total            | \$5,900     |

#### Appendix D -UCT Value

TriHealth Bethesda Oak - VFD

| Measure  | Total Avoided Cost | Program Cost | Total Incentive  | Quantity                  | Measure UCT |
|--|--------------------|--------------|------------------|---------------------------|-------------|
| Installed VFDs on two 75-HP supply fan motors                        | \$30,313           | \$1,983      | \$5 <i>,</i> 900 | 2                         | 3.85        |
| Totals   | \$30,313           | \$1,983      | \$5,900          | 2                         |             |
| Total Avoided Supply Costs<br>Total Program Costs<br>Total Incentive | \$1,983.00         |              |                  | Aggregate Application UCT | 3.85        |

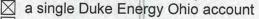
### **Ohio Mercantile Self Direct Program**

Application Guide & Cover Sheet

Questions? Call 1-866-380-9580 or visit www.duke-energy.com.

Email this form along with <u>completed Mercantile Self Direct Prescriptive or Custom applications</u>, proof of payment, energy savings calculations and spec sheets to <u>SelfDirect@Duke-Energy.com</u>. You may also fax to 1-513-629-5572.

Mercantile customers, defined as using at least 700,000 kWh annually are eligible for the Mercantile Self Direct program. Please indicate mercantile qualification:



multiple accounts in Ohio (energy usage with other utilities may be counted toward the total)

Please list Duke Energy account numbers below (attach listing of multiple accounts and/or billing history for other utilities as required):

| Account Number | Annual Usage | Account Number | Annual Usage |
|----------------|--------------|----------------|--------------|
| 0490067501     | 13,704,046   |                |              |
|                |              |                |              |
|                |              |                |              |
|                |              |                |              |
|                |              |                |              |

Self Direct rebates are available for completed Custom projects that have not previously received a Duke Energy Smart \$aver® Custom Incentive. Self Direct incentives are applicable to Prescriptive measures that were installed more than 90 days prior to submission to Duke Energy and have not previously received a Duke Energy Prescriptive rebate.

Self Direct Program requirements dictate that certain projects that may be Prescriptive in nature under the Smart \$aver program must be evaluated using the Custom process. Use the table on page two as a guide to determine which Self Direct program fits your project(s). Apply for Self Direct projects using the appropriate application forms in conjunction with this cover sheet. Where Mercantile Self Direct Prescriptive applications are listed, please refer to the measure list on that applications. If your measure is not listed, you may be eligible for a Self Direct Custom rebate. Self Direct Custom applications, like Smart \$aver Custom applications, should include detailed analysis of pre-project and post-project energy usage and project costs. Please indicate which type of rebate applications are included in the table provided on page two.

Please check each box to indicate completion of the following program requirements:

| All sections of<br>appropriate<br>application(s) are<br>completed | Proof of payment.* | Anufacturer's Spec sheets | Energy<br>model/calculations and<br>detailed inputs for<br>Custom applications |
|---|--------------------|---------------------------|--|
|---|--------------------|---------------------------|--|

\* If a single payment record is intended to demonstrate the costs of both Prescriptive & Custom projects, please include an additional document with an estimated breakout of costs for each Prescriptive and Custom energy conservation measure.

| Application Type  |            | equipment at end of<br>because equipment<br>failed** | Replaced fully operational equipment to improve efficiency*** | New Construction   |
|---|------------|--|---|--|
|   | MSD        | Custom Part 1 🔲                                      | MSD Prescriptive Lighting                                     | MSD Prescriptive Lighting  |
| Lighting  |            | ighting Worksheet                                    | MSD Custom Part 1  Custom Lighting Worksheet                  | MSD Custom Part 1  Custom Lighting Worksheet                           |
| Heating & Cooling   |            | Custom Part 1  | MSD Custom Part 1 🗌   | MSD Prescriptive Heating & Cooling                                     |
|   | MSD Custom | General Worksheet 🗌                                  | MSD Custom General Worksheet 🗌                                | MSD Custom Part 1  MSD Custom General Worksheet                        |
| Window Films,<br>Programmable<br>Thermostats, &<br>Guest Room Energy<br>Management<br>Systems | MSD Custor | Custom Part 1<br>n General and/or EMS<br>rksheet(s)  | MSD Prescriptive Heating & Cooling                            | MSD Custom Part 1 □<br>MSD Custom General and/or EMS<br>Worksheet(s) □ |
| Chillers & Thermal  |            | Custom Part 1 🗌                                      | MSD Custom Part 1 🗌   | MSD Prescriptive Chillers & Thermal<br>Storage                         |
| Storage MSD Custo   |            | General Worksheet                                    | MSD Custom General Worksheet 🗌                                | MSD Custom Part 1  MSD Custom General Worksheet                        |
|   |            | Custom Part 1 🔲                                      | MSD Custom Part 1 🗌   | MSD Prescriptive Motors, Pumps & Drives                                |
|   |            | General Worksheet 🗌                                  | MSD Custom General Worksheet 🗌                                | MSD Custom Part 1  MSD Custom General Worksheet                        |
| VFDs  | Ne         | ot Applicable  | MSD Prescriptive Motors, Pumps & Drives 🛛                     | MSD Custom Part 1  |
|   | N          |  | MSD Custom Part 1  MSD Custom VFD Worksheet                   | MSD Custom VFD Worksheet   |
|   | MSD C      | custom Part 1 🗌                                      | MSD Custom Part 1   | MSD Prescriptive Food Service  |
| Food Service  |            | General Worksheet                                    | MSD Custom General Worksheet                                  | MSD Custom Part 1  MSD Custom General Worksheet                        |
|   | MED        | ustom Part 1 🔲                                       |   | MSD Prescriptive Process   |
| Air Compressors   | MSD Cust   | om Compressed Air<br>orksheet                        | MSD Custom Part 1<br>MSD Custom Compressed Air<br>Worksheet   | MSD Custom Part 1<br>MSD Custom Compressed Air<br>Worksheet            |
|   |            |  | MSD Prescriptive Process                                      | and the second second  |
| Process   |            | ustom Part 1 🗌<br>General Worksheet 🗌                | MSD Custom Part 1  MSD Custom General Worksheet               | MSD Custom Part 1  MSD Custom General Worksheet                        |
| Energy Management<br>Systems  |            | ustom Part 1 □<br>n EMS Worksheet □                  | MSD Custom Part 1  MSD Custom EMS Worksheet                   | MSD Custom Part 1  MSD Custom EMS Worksheet                            |
| Chiller Tune-ups  |            |  | MSD Prescriptive Chiller Tune-ups                             |  |
| Behavioral*** &<br>No/Low Cost  |            |  | MSD Custom Part 1  MSD Custom General Worksheet               |  |

\*\* Under the Self Direct program, failed equipment and equipment at the end of its useful life are evaluated differently than early replacement of fully functioning equipment. All equipment replacements due to failure or old age will be evaluated via the Custom program. \*\*\* Please ensure that you include the age of the replaced equipment for measures classified as "Early Replacement" in your application as well as the estimated date that you would have otherwise replaced the existing equipment if you had not chosen a more energy efficient option. \*\*\*\* Behavioral energy efficiency and demand reduction projects must be both measurable and verifiable. Provide justification with your application.



Proposed energy efficiency measures may be eligible for Self-Direct Custom rebates if they clearly reduce electrical consumption and/or demand as compared to the appropriate baseline.

Before you complete this application, please note the following important criteria:

- Submitting this application does not guarantee a rebate will be approved.
- Rebates are based on electricity conservation only.
- Electric demand and/or energy reductions must be well documented with auditable calculations.
- Incomplete applications cannot be reviewed; all fields are required.

Refer to the complete list of Instructions and Disclaimers, beginning on page 6.

#### Notes on the Application Process

If you have any questions concerning how to complete any portion of the application or what supplementary information is required, please contact your Duke Energy Ohio, Inc account manager or the Duke Energy Smart \$aver® team at 1-866-380-9580.

Every application must include calculations of the baseline electrical usage and the electrical usage of the proposed high-efficiency equipment/system. Monthly calculations are best. You, the Duke Energy Ohio customer, or your equipment vendor / engineer should perform these calculations and submit them to Duke Energy for review. *We strongly encourage the use of modeling software (such as eQuest or comparable) for complex projects.* 

Upon receipt of your application, an acknowledgement email will be sent to you with an estimated response time based on an initial assessment of your application. The application review may include some communication to resolve any questions about the project or to request additional information. Applications that are received complete without missing information have a faster review time.

There are two ways to submit your completed application.

Email your scanned form to: <u>SelfDirect@duke-energy.com</u>

Or, fax your form to 513-629-5572



### 1. Contact Information (Required)

| Duke Energy Cu  | stomer Contact         | Information             |  |   |     |  |       |
|-----------------|------------------------|-------------------------|--|---|-----|--|-------|
| Company Name    | TriHealth-Bethesda Oak |                         |  |   |     |  |       |
| Address         | 619 Oak Street         |                         |  |   |     |  |       |
| Project Contact | Rick Volk              | Rick Volk               |  |   |     |  |       |
| City            | Cincinnati             | State Ohio Zip Code 452 |  |   |     |  | 45206 |
| Title           | Maintenance Sup        | pervisor                |  | - |     |  |       |
| Office Phone    | 513-872-2809           | Mobile Phone            |  |   | Fax |  |       |
| E-mail Address  | rick_volk@trihea       | lth.com                 |  |   | -   |  |       |

| Company Name    | Pathian                              |              |       |          |     |    |            |
|-----------------|--------------------------------------|--------------|-------|----------|-----|----|------------|
| Address         | 11260 Chester Road, Suite 545        |              |       |          |     |    |            |
| City            | Cincinnati State Ohio Zip Code 45246 |              |       |          |     |    | 45246      |
| Project Contact | Steve Rohrs                          |              |       |          |     |    |            |
| Title           | Mechanical Engin                     | neer         |       |          |     |    |            |
| Office Phone    | 513-737-7430                         | Mobile Phone | 513-3 | 325-9055 | Fax | 51 | 3-737-1549 |
| E-mail Address  | srohrs@pathian.                      | com          |       |          |     |    |            |
| Describe Role   | Energy Engineer                      |              |       |          |     |    |            |

| City Cin   | Oak Street                                      |                        |        |  |             |
|--|---|------------------------|--------|--|-------------|
|  |   |                        |        |  |             |
|  | cinnati   | State                  | Ohio   | Zip Code                                     | 45206       |
| Type of organization (check one) Unit of Government Non- Payee Federal Tax ID # of Legal | Individual/Sole I<br>Profit (non-corporat       | Proprieto<br>on)       | Co     | rporation                                    | Partnership |
| Company Name Above:  | 31-127019                                       |                        |        |  |             |
| Who should receive incentive pay   | ment? (select one)                              | Custo                  | omer [ | Vendor (C<br>must sign l                     |             |
| If the vendor is to receive payment<br>I hereby authorize payment of inc                 | nt, please sign below<br>centive directly to ve | v: <i>N/A</i><br>ndor: | -20    | what   |             |
| Customer Signature   | Valk  | Date                   | 12/20  | <u>                                     </u> | n/dd/yyyy)  |



### 2. Project Information (Required)

- A. Please indicate project type:
  - New Construction

Expansion at an existing facility

- Replacing equipment due to equipment failure
- Replacing equipment that is estimated to have remaining useful life of 2 years or less
- Replacing equipment that is estimated to have remaining useful life of more than 2 years
- Behavioral, operational and/or procedural programs/projects
- B. Please describe your project, or attach a detailed project description that describes the project.

Currently AHU 40 has two 75 HP supply fan motors that have volume controls via Inlet Guide Vanes. The IGV's will be removed and a VFD will be installed. In addition to the VFD, the unit will be resequenced to reset the supply air static pressure in the duct, by looking at the time of day, OA enthalpy, and night setback based on a photocell contact closure.

- C. When did you start and complete implementation? Start date 07/ 2012 (mm/yyyy) End date 09/ 2012 (mm/yyyy)
- D. Are you also applying for Self-Direct Prescriptive incentives and, if so, which one(s)<sup>1</sup>? Yes, the return fan for this unit has two 30 HP motors that fall under the prescriptive incentive.
- E. Please indicate which worksheet(s) you are submitting for this application (check all that apply):
  - Lighting
  - Variable Frequency Drive (VFD)
  - Compressed Air
  - Energy Management System (EMS)
  - General (for projects not easily submitted using one of the above worksheets)
- F. Please tell us if there is anything about your electrical energy projections (either for the baseline or the proposed project) that you are either unsure about or for which you have made significant assumptions. Attach additional sheets as needed.

<sup>&</sup>lt;sup>1</sup> If your project involves some equipment that is eligible for prescriptive incentives and some equipment that is likely eligible for custom incentives, and if it is feasible to separate the equipment for the energy analysis, then the equipment will be evaluated separately. If it is not feasible to separate the equipment for analysis, then the equipment will be evaluated together in the custom application.



Required: Attach a supplier or contractor invoice or other equivalent information documenting the Implementation Cost for each project listed in your application. (Note: self-install costs cannot be included in the Implementation Cost)

### 3. Signature (Required – must be signed by Duke Energy customer)

### **Customer Consent to Release of Personal Information**

I, (insert name) \_\_\_\_\_\_\_, do hereby consent to Duke Energy disclosing my Duke Energy Ohio, Inc Account Number and Federal Tax ID Number to its subcontractors solely for the purpose of administering Duke Energy Ohio's Mercantile Self-Direct Program. I understand that such subcontractors are contractually bound to otherwise maintain my Duke Energy Ohio, Inc Account Number and Federal Tax ID Number in the strictest of confidence.

I realize that under the rules and regulations of the public utilities commission, I may refuse to allow Duke Energy Ohio, Inc to release the information set forth above. By my signature, I freely give Duke Energy Ohio, Inc permission to release the information designated above.

### **Application Signature**

I certify that I meet the eligibility requirements of the Duke Energy Ohio, Inc Mercantile Self Direct Custom Incentives Program and that all information provided within this application is correct to the best of my knowledge. I agree to the terms and conditions set forth for this program. I certify that the numbers, energy savings, and responses shown on this form are correct. Further, I certify that the taxpayer identification number is current and correct. I am not subject to backup withholding because: (a) I am exempt from backup withholding; or (b) I have not been notified by the IRS that I am subject to backup withholding as a result of a failure to report all interest or dividends; or (c) the IRS has notified me that I am no longer subject to backup withholding. I am a U.S. citizen (includes a U.S. resident alien).

| Duke Energy Ohio, I | nc Customer Signature |  |
|---------------------|-----------------------|--|
| Print Name          | Rick Volk             |  |
| Date 12/21/1        | rz                    |  |
| ( /                 |                       |  |
|                     |                       |  |
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### Checklist for completing the Application

INCOMPLETE APPLICATIONS WILL RESULT IN DELAYS IN DUKE ENERGY PROCESSING YOUR APPLICATION AND NOTIFYING YOU CONCERNING AY REBATES. Before submitting the application and the required supplementary information, use the following checklist to ensure that your application is complete and the information in the application is accurate. (Note: this checklist is <u>for your use only</u> – do not submit this checklist with your application)

| Section No.<br>& Title                     | Have You:   |
|--|---|
| 1. Contact<br>Information                  | Completed the contact information for the Duke Energy customer?<br>Completed the contact information for the equipment vendor / project engineer that can answer questions about the technical aspects of the project, if that is a different person than above?  |
| 2. Project<br>Information                  | <ul> <li>Answered the questions A-E, including providing a description of your project.</li> <li>Completed and attached the lighting, compressed air, VFD, EMS and/or General worksheet(s)?</li> </ul>  |
| 3. Signature                               | Signed your name?<br>Printed your name?<br>Entered the date?  |
| Supplementary<br>information<br>(Required) | Attached a supplier or contractor's invoice or other equivalent<br>information documenting the Implementation Cost for projects listed in<br>your application? (Note: self-install costs cannot be included in the<br>Implementation Cost)<br>(If submitting the General Worksheet) attached calculations<br>documenting the energy usage and energy savings for <u>each</u> project listed<br>in your application? |

If you have any questions concerning how to complete any portion of the application or what supplementary information is required, please contact:

- your Duke Energy account manager or,
- the Duke Energy Smart \$aver® team at 1-866-380-9580.



### Instructions/Terms/Conditions

Note: Please keep for your records- do not submit with the application

- 1. Energy service companies or contractors may assist in preparing the application, but an authorized representative of the customer must sign this application to be eligible to participate in the Mercantile Self Direct Program. Completion of this application does not guarantee the approval of a Self Direct Custom Rebate.
- Once all documentation requested in this application is received by Duke Energy Ohio, Inc, and any follow-up information requested by Duke Energy is received, the rebate amount for each Energy Conservation Measure (ECM) will be communicated to the customer. The rebate amount will be based on ECM energy savings and ECM incremental installation cost.
- 3. All rebates require approval by the Public Utilities Commission of Ohio. *Duke Energy Ohio, Inc* will submit an application for rebate on the customer's behalf upon customer attestation to program terms, conditions and requirements as outlined in the rebate offer letter and upon customer completion of attestation documents required by the Public Utilities Commission of Ohio.
- 4. Duke Energy Ohio, Inc will issue a Self Direct Custom Rebate check, based on the approved rebate amount for each ECM, upon receiving approval from the Public Utilities Commission of Ohio. Duke Energy Ohio, Inc does not guarantee PUCO approval.
- 5. With the application, the customer must provide a list of all sites where the ECMs were installed. *Duke Energy Ohio, Inc* requests that sites of similar size, hours of operation and energy consuming characteristics be grouped together in one application for the determination of the rebate amount. The application should identify the site where each unique ECM was installed.
- 6. Based on the information submitted with the application and the information gathered both before and after the initial installation of the ECM, *Duke Energy Ohio, Inc* will calculate the rebate amount for each ECM.
- 7. Duke Energy Ohio, Inc may conduct random site inspections of a sample of the locations where the ECMs are installed to verify installation and operability of the ECMs and to obtain information needed to calculate the Approved Incentive Amount.
- Customers are encouraged to retain copies of all forms, invoices and supporting documentation for their records.
- Approved rebates are valid for 6 months from the date communicated to the customer by Duke Energy Ohio, Inc, subject to the expiration of measure eligibility based on project completion dates and application submission deadlines as defined by PUCO. Customers are encouraged to execute their rebate offer contracts and PUCO-required affidavits promptly to ensure eligibility is not forfeited.



- 10. *Duke Energy Ohio, Inc* reserves the right to recover all unrecoverable costs associated with the project approval if the customer decides not to execute the rebate contract, after the project is approved by *Duke Energy Ohio, Inc.*
- 11. Projects financially supported by other funding sources will be evaluated on a case-by-case basis for potential partial funding from *Duke Energy Ohio, Inc.*
- 12. Participants must be *Duke Energy Ohio, Inc* nonresidential, mercantile customers with the project sites in the *Duke Energy Ohio, Inc* service territory.
- 13. Customers or trade allies may not use any *Duke Energy* logo without prior written permission.
- 14. Only trade allies registered with Duke Energy are eligible to participate.
- 15. All equipment must be new. Used or rebuilt equipment is not eligible for incentives. All old existing equipment must be removed on retrofit projects.
- 16. Disclaimers: Duke Energy Ohio, Inc
  - a. does not endorse any particular manufacturer, product or system design within the program;
  - b. will not be responsible for any tax liability imposed on the customer as a result of the payment of incentives;
  - c. does not expressly or implicitly warrant the performance of installed equipment. (Contact your contractor for details regarding equipment warranties.);
  - d. is not responsible for the proper disposal/recycling of any waste generated or obsolete or old equipment as a result of this project;
  - e. is not liable for any damage caused by the installation of the equipment nor for any damage caused by the malfunction of the installed equipment; and
  - f. reserves the right to change or discontinue this program at any time. The acceptance of program applications is determined solely by *Duke Energy Ohio, Inc.*

| Smart \$aver®   | Page 1 of 3  |
|---|--|
| Nonresidential Custom Incentive Application<br>GENERAL CUSTOM APPLICATIONS WORK | SHEET - CUSTOM GENERAL APPLICATION PART 2 Rev 5/11 |



The General Worksheet is part 2 of the application. Do not submit this file without submitting a completed Part1 Custom Application document file, which can be found at www.duke-energy.com. This worksheet is for all projects that are not easily submitted through one of the other worksheets

Before you complete this application, please note the following important criteria:

Incentive approval is required PRIOR to equipment purchase, or any other activity which would indicate that the Duke Energy customer has already decided to proceed.

Submitting this application does not guarantee an incentive will be approved.

Incentives are based on electricity conservation only.

Electric demand and/or energy reductions must be well documented with auditable calculations.

- . Simple payback without incentive must be greater than 1 year.
- Incomplete applications will not be reviewed; all fields are required.

Refer to the complete list of Instructions and Disclaimers, found in the Custom Application Part 1 document.

#### Please enter your information and data into the cells that are shaded. Cells in white are locked and cannot be written over.

#### Duke Energy Customer Contact Information (Match the information in Application Part 1):

Name TriHealth Hospitals - Bethesda Oak Facility TriHealth Company Equipment Vendor / Project Engineer Contact Information Name Pathian - Steve Rohrs Company Pathian

Before proceeding with the custom application, please verify that your project is not on the prescriptive incentive application. The prescriptive incentive applications can be found at:

KY http://www.duke-energy.com/kentucky-business/energy-management/energy-efficiency-incentives.asp

Kentucky only: custom incentives only available to K-12 school facilities; prescriptive incentives available for those not on rate TT.

OH http://www.duke-energy.com/ohio-business/energy-management/energy-efficiency-incentives.asp NC

http://www.duke-energy.com/north-carolina-business/energy-management/energy-efficiency-incentives.asp SC

http://www.duke-energy.com/south-carolina-business/energy-management/energy-efficiency-incentives.asp

Prescriptive incentives are already pre-approved and the application is submitted after project implementation.

Take note of the equipment eligibility on the prescriptive application before planning to utilize the prescriptive application.

Nonresidential Custom Incentive Application GENERAL CUSTOM APPLICATIONS WORKSHEET - CUSTOM GENERAL APPLICATION PART 2

#### List of Sites (Required)

Provide a list of sites addressed by this custom incentive application

| Site ID<br>(see note 1) | Duke Energy Electric Account<br>Number(s) (see note 2)  | Facility Address   | List of Proposed Projects at<br>each site  | Annual<br>Hours of<br>Operation | Gross<br>Square  |
|-------------------------|---|--|--|---------------------------------|--|
| 225                     | 12345678 01   | Facility Address<br>Example: 123 Main Street, Anywhere USA 12345   | Project Name(s)  | 5,840                           | Footage<br>42,000  |
|                         | 5090204901  | 375 Dixmyth Ave, Cincinnati OH, 45220  | AHU 40 Supply fan upgrade  | 3,120                           | 659,809  |
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#### 1 Site ID

Can be a store number, building name or other way to identify the location. If there is only one site involved in this application, then a Site ID is not necessary.

#### 2 Account Numbers

Must match the facility of the proposed project(s). If there are multiple meters at a site, only include the meters that pertain to the project(s).

### Page 2 of 3 Rev 5/11

App No. Rev.

2 of 4

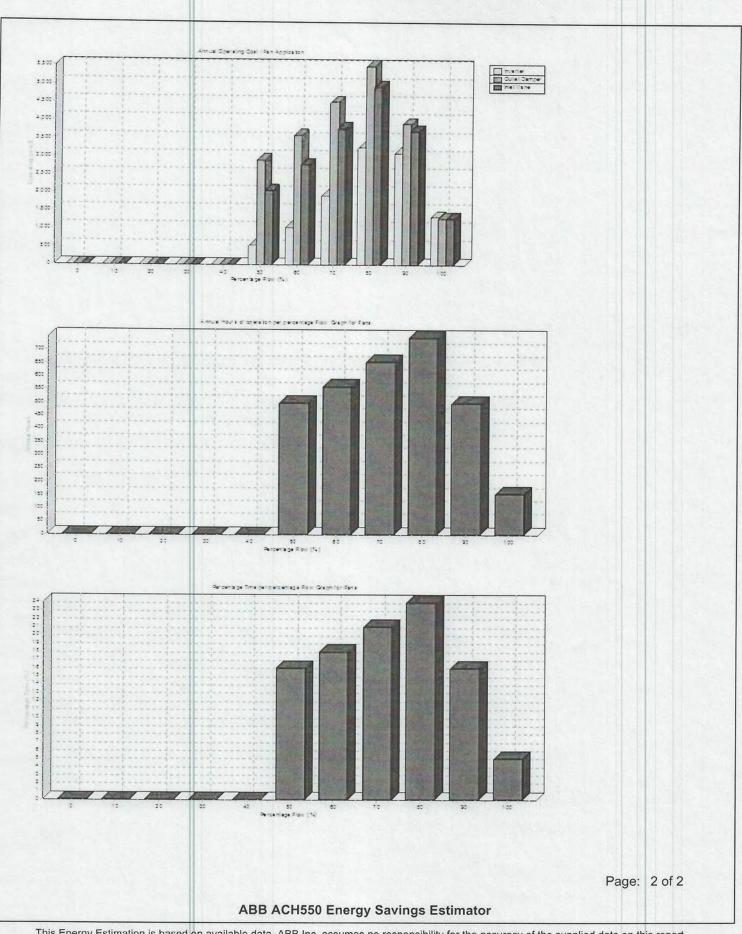
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| Smart \$aver®<br>Nonresidential Custor<br>GENERAL CUSTOM          | n Incentive Application<br>APPLICATIONS WOR                               | n<br>KSHEET - CUST                       | OM GENERAL APP   | LICATION PAR   | Page 3 of 3<br>Rev 5/11                |   | ouke<br>nergy:           |   |      |
|---|---|--|--|--|--|---|--------------------------|---|------|
| Project Name:   | wer the following ques<br>AHU 40 Supply 1                                 | fan upgrade                              |  |  | 1                                      | App No.<br>Rev.                                       |                          | -   |      |
| low would you class   | sify this project? (Pla   |  |  |  | -                                      |   |                          |   |      |
| /FD x   | Heating/Cooling<br>Motors/Pumps   |  | Air Compressor<br>Process  |  | Energy Manag<br>Other, describ         |   | X                        | _   |      |
|   |   |  | 1.100000   |  | other, descrit                         | Je below.   |                          |   |      |
| rief Project Descrip<br>Describe the Bas                          | tion<br>eline (see note 3) Equipi   | ment/System                              | Dec  | ariba the Dran   | and Link Effic                         | lan er Dertert  |                          | 7   |      |
| urrently AHU 40 has<br>is volume control v                        | s two 75 HP Supply f<br>ria Inlet Guide Vanes                             | an motors that                           | The IGV's will be re<br>VFD, the unit will b<br>the duct, by lookin<br>a photocell contact | emoved and a \<br>be resequenced<br>g at time of day<br>t closure. | to reset the su<br>, OA enthalpy,      | alled. In additi<br>pply air static<br>and night setb | pressure in              | n   |      |
| Existing Equipmen<br>etailed Project Desc<br>perating Hours (see  |   |  | useful life remain o<br>(Required)   | er how many ye   | ars until replace                      | ement?  | 20                       |   |      |
| Serating Hours (see   | Weekday   |  | aturday  | 5.   | malau                                  | Weeks of  | Total Annua              | ม   |      |
| 24 x 7 Start He   |   | Start Hour                               | aturday<br>End Hour  | Start Hour   | nday<br>End Hour                       | Use in Year<br>(see note 5)                           | Hours of<br>Use          |   |      |
|   |   |  |  |  |  | 52  |                          |   |      |
| ergy Savings  |   |  |  |  |  |   |                          |   |      |
|   | Baseline (see Note  | 3) Proposed                              | Savings  | Describe how e   | energy numbers                         | were calculated                                       |                          | 7   |      |
| nual Electric Energe<br>ectric Demand                             | <b>302,128 kW</b><br>14 kV  |  | 142,786 kWr<br>11 kW   | 1  |  |   |                          |   |      |
| alculations attached  | d Yes   | Yes                                      | (Required)   | The second second  | ol method vs new contr                 | ol method. See proje                                  | ect description          |   |      |
| ew construction proje<br>king into account any<br>Operating Hours |   | ing equipment mu<br>onal, local, state o | ust be replaced anyw<br>or federal codes or st   | ray: the baseline<br>tandards current                              | is the standard ly in effect.          | option in today'                                      |                          | 22.5 isju<br>2 UFD'Se<br>150 HP<br>x50\$/HP | - 51 |
|   | in use 52 weeks durin   |  | ample, during holida<br>d office operational h   |  | ak), provide an                        | explanation of v                                      | vhen                     |   |      |
| Average electric rate<br>you do not know you                      | e <b>(\$/kWh)</b><br>r average electric rate,                             | , use \$0.10/kWh.                        |  |  |  |   |                          | \$ 7500                                     |      |
| osts exclude self inst  | implement the project<br>allation costs. Retrofit<br>must be replaced any | projects, increme                        | ntal cost is the total o<br>ental cost is the pren   | cost of the propo<br>nium of the prop                              | osed project. New<br>osed high efficie | w construction of<br>ency project ove                 | or where<br>er baseline. |   |      |
|   | posal is attached<br>posed system is alway<br>cts or where the existi     |  | ist be replaced anywa  | ay, vendor propo   | osal of baseline                       | must also be at                                       | tached.                  |   |      |
| Simple Electric Payl<br>he simple electric pa                     | oack<br>lyback is less than 1 y   | ear, then no incer                       | ntive can be approve   | d. Double check  | average electric                       | c rate for correc                                     | t payback.               |   |      |
|   |   |  |  |  |  |   |                          |   |      |
|   |   |  |  |  |  |   |                          |   |      |



# ACH550 Energy Savings Estimator

| To: Pathian<br>Mechanical Engineer Steve Ro | ohrs                 | Prepared by:                     |                    |              |
|---|----------------------|----------------------------------|--------------------|--------------|
| Fan Application                             |                      | Project Name: Beth               | esda Oak AHU       | 40 SF        |
| Total Annual Hours of Operation:            | 3,120 Hours          | Duty Cycl                        | e                  |              |
| Operation / Motor / VFD Data                |                      | <u>% Flow</u>                    | <u>Time (Hrs)</u>  | Time (%)     |
| Cost per kWh:                               | 7.00 ct.             | , 100%                           | 156.0 Hrs          | 5 %          |
| Motor Horse Power:                          | 150.0 HP             | 2 fan meltors 90%<br>@75HPEA 80% | 499.2 Hrs          | 16 %         |
| Motor Efficiency:                           | 95.0 %               | 075HPEA 80%                      | 748.8 Hrs          | 24 %         |
| Drive Efficiency:                           | 97.0 %               | 70%                              | 655.2 Hrs          | 21 %         |
| Power Company Incentive:                    | 0.0 \$/HP            | 60%                              | 561.6 Hrs          | 18 %         |
| ABB ACH550 Drive Cost:                      | \$0                  | 50%                              | 499.2 Hrs          | 16 %         |
| ABB ACTION Drive Cost.                      |                      | 40%                              | 0.0 Hrs            | 0 %          |
| Annual Energy Cost per Control M            | lethod               | Control) 30%                     | 0.0 Hrs<br>0.0 Hrs | 0 %<br>0 %   |
| No Spood Control                            | ¢05 705              | Now Controlly 30%<br>10%         | 0.0 Hrs            | 0 %          |
| No Speed Control<br>ABB ACH550 Drive:       | \$25,725<br>\$11,154 | No                               | 0.01110            | 0 /0         |
| Outlet Damper Control                       | \$21,724             |                                  |                    |              |
| Inlet Vane Control                          | \$18,550             |                                  |                    |              |
|   |                      | Payback                          | Period ABB ACI     | H550 Drive   |
| Annual Energy Savings per Contro            | bl                   | No Contro                        | I                  | Immediate    |
|   |                      | Outlet Dar                       |                    | Immediate    |
| No Speed Control                            | \$14,571             | Inlet Vane                       |                    | Immediate    |
| Outlet Damper Control                       | \$10,570             |                                  |                    |              |
| Inlet Vane Control                          | \$7,396              |                                  |                    |              |
|   |                      | Includes Com                     | pany Incentive     |              |
|   |                      |                                  |                    | Page: 1 of 2 |
|   | ABB ACH              | 550 Energy Savings Estima        | ator               |              |



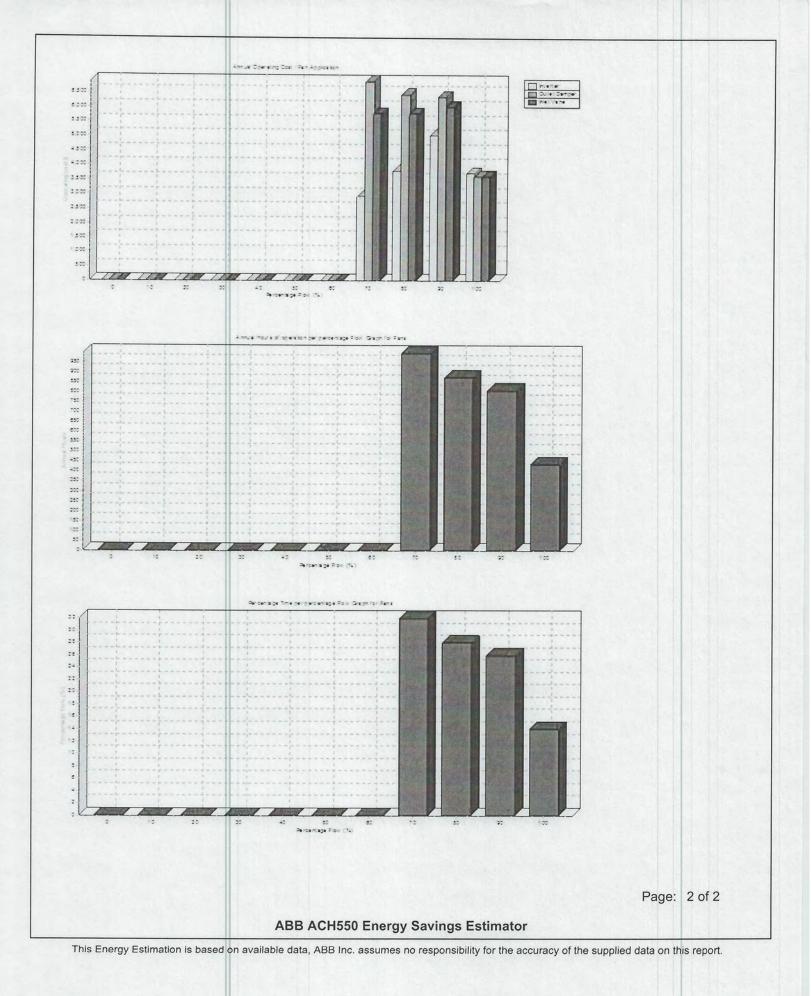
This Energy Estimation is based on available data, ABB Inc. assumes no responsibility for the accuracy of the supplied data on this report.



# ACH550 Energy Savings Estimator

| To: Pathian<br>Mechanical Engineer Steve Ro | bhrs        | Prepared            | l by:                    |                   |                        |
|---|-------------|---------------------|--------------------------|-------------------|------------------------|
| Fan Application                             |             | Project N           | ame: Beth                | esda Oak AHU 4    | 40 SF                  |
| Total Annual Hours of Operation:            | 3,120 Hours |                     | Duty Cycle               | <u>e</u>          |                        |
| Operation / Motor / VFD Data                |             |                     | <u>% Flow</u>            | <u>Time (Hrs)</u> | <u>Time (%)</u>        |
| Cost per kWh:                               | 7.00 ct.    | - 15HP              | 100%                     | 436.8 Hrs         | 14 %                   |
| Motor Horse Power:                          | 150.0 HP 2  | @75HP<br>old progra | 90%                      | 811.2 Hrs         | 26 %                   |
| Motor Efficiency:                           | 95.0 %      | 1 0                 | b- 80%                   | 873.6 Hrs         | 28 %                   |
| Drive Efficiency:                           | 07.0 %      | old prog.           | 70%                      | 998.4 Hrs         | 32 %                   |
|   | 97.0 %      | 0101                | 60%                      | 0.0 Hrs           | 0 %                    |
| Power Company Incentive:                    |             |                     | 50%                      | 0.0 Hrs           | 0 %                    |
| ABB ACH550 Drive Cost:                      | \$0         |                     | 40%                      | 0.0 Hrs           | 0 %                    |
| Annual Energy Cost per Control M            | lethod      |                     | 30%                      | 0.0 Hrs           | 0 %                    |
| Annual Energy Cost per Control N            | letitod     |                     | 20%                      | 0.0 Hrs           | 0 %                    |
| No Speed Control                            | \$25,725    |                     | 10%                      | 0.0 Hrs           | 0 %                    |
| ABB ACH550 Drive:                           | \$15,458    |                     |                          |                   |                        |
| Outlet Damper Control                       | \$23,283    |                     |                          |                   |                        |
| Inlet Vane Control                          | \$21,149    |                     |                          |                   |                        |
|   |             |                     | Payback I                | Period ABB ACH    | 1550 Drive             |
| Annual Energy Savings per Contr             | <u>ol</u>   |                     | No Contro                |                   | Immediate<br>Immediate |
| No Speed Control                            | \$10,267    |                     | Outlet Dar<br>Inlet Vane |                   | Immediate              |
| Outlet Damper Control                       | \$7,825     |                     | met vane                 |                   | mineulate              |
| Inlet Vane Control                          | \$5,692     |                     |                          |                   |                        |
|   | +0,001      |                     | Includes Comp            | any Incentive     |                        |
|   |             |                     | inoluces comp            | sany moonave      |                        |
|   |             |                     |                          |                   | Page: 1 of 2           |
|   | ABB ACH5    | 50 Energy Sav       | ings Estima              | ator              |                        |

This Energy Estimation is based on available data, ABB Inc. assumes no responsibility for the accuracy of the supplied data on this report.





Date:2/24/2012

INVOICE # 1-211

Rick Volk Bethesda Oaks Hospital 619 Oak St Cincinnati, OH 45206

то

| Salesperson       | Job  | Р    | ayment Terms | Due Date    |
|-------------------|--|------|--------------|-------------|
|                   | Furnush and Install SAF VFD: PO #135582-0- | 119  |              | 2/24/2012   |
| Description       |  | Qty. | Unit Price   | Line Total  |
| Furnush and Insta | II SAF & RAF VFD's AC-40: PO #135582-0-119 | 1    | \$35,500.00  | \$35,500.00 |
|                   |  |      |              |             |
|                   |  |      | Subtotal     | \$35,500.00 |
|                   |  |      | Sales Tax    | \$0.00      |
|                   |  |      | Total        | \$35,500.00 |
|                   |  |      | Payments     | \$0.00      |
|                   |  |      | Balance      | \$35,500.00 |

Thank you for your business!

Make all checks payable to Pathian Incorporated

2929 Audubon

Fairfield Township, OH 45011

Phone: (513) 746-8951 Fax: (513) 737-1549 dbuchanan@pathian.com



### VSD Calculation

Inputs

| Nominal HP  | 100  |               |
|-------------|------|---------------|
| Load        | 0.85 | *at full flow |
| BHP         | 85   |               |
| Number      | 1    |               |
| Efficiency  | 94   |               |
| Hours       | 8760 |               |
| Measured kW | 74.6 | *at full flow |

Calculated Fields

| Electric HP | 90.42553 |
|-------------|----------|
| FL kW       | 74.6     |
| kWh Savings | 197,984  |

Retrofit Fan with Inlet Guide Vanes to VSD



▼

|        |         | Exi    | sitng     |         |         |         |        |           |         |         |
|--------|---------|--------|-----------|---------|---------|---------|--------|-----------|---------|---------|
| % Flow | % Hours | %Power | kW        | kWh     | % Speed | % Hours | %Power | kW        | kWh     | Savings |
| 20     |         | 47%    |           |         | 20      |         | 5%     |           |         |         |
| 25     |         | 51%    |           |         | 25      |         | 6%     |           |         |         |
| 30     |         | 55%    |           |         | 30      |         | 8%     |           |         |         |
| 35     |         | 57%    |           |         | 35      |         | 11%    |           |         |         |
| 40     |         | 58%    |           |         | 40      |         | 14%    |           |         |         |
| 45     |         | 59%    |           |         | 45      |         | 17%    |           |         |         |
| 50     |         | 60%    |           |         | 50      | 16      | 21%    | 15.666    | 21,957  |         |
| 55     |         | 61%    |           |         | 55      |         | 26%    |           |         |         |
| 60     |         | 63%    |           |         | 60      | 18      | 32%    | 23.872    | 37,641  |         |
| 65     |         | 66%    |           |         | 65      |         | 38%    |           |         |         |
| 70     | 32      | 69%    | 51.330    | 143,889 | 70      | 21      | 44%    | 32.824    | 60,383  | 83,506  |
| 75     |         | 72%    |           |         | 75      |         | 50%    |           |         |         |
| 80     | 28      | 75%    | 56.121    | 137,654 | 80      | 24      | 57%    | 42.522    | 89,398  | 48,256  |
| 85     |         | 79%    |           |         | 85      |         | 64%    |           |         |         |
| 90     | 26      | 85%    | 63.650    | 144,968 | 90      | 16      | 73%    | 54.458    | 76,328  | 68,640  |
| 95     |         | 92%    |           |         | 95      |         | 86%    |           |         |         |
| 100    | 14      | 100%   | 74.600    | 91,489  | 100     | 5       | 105%   | 78.330    | ,       | 57,181  |
|        |         |        | Total kWh | 518,000 |         |         |        | Total kWh | 320,017 | 197,984 |

|                                       | Baseline | Pro | oposed |
|---------------------------------------|----------|-----|--------|
| 1 Direct Drive to VSD                 |          | 2   | 9      |
| 2 Pos Disp Pump to VSD                |          | 3   | 9      |
| 3 Centrifugal Pump to VSD             |          | 4   | 8      |
| 4 Centrifugal Pump with Bypass to VSD |          | 5   | 8      |
| 5 Fan with Bypass to VSD              |          | 5   | 10     |
| 6 Fan with Outlet Dampers to VSD      |          | 6   | 10     |
| 7 Fan with Inlet Guide Vanes to VSD   |          | 7   | 10     |

| ID | Strategy | 5   | 10 | 15 | 20       | 25       | 30       | 35       | 40       | 45       | 50       | 55       | 60       | 65       | 70       | 75       | 80       | 85       | 90       | 95       | 100  |
|----|----------|-----|----|----|----------|----------|----------|----------|----------|----------|----------|----------|----------|----------|----------|----------|----------|----------|----------|----------|------|
| 1  | 1 3      | 2 0 | 0  | 0  | 1        | 1        | 1        | 1        | 1        | 1        | 1        | 1        | 1        | 1        | 1        | 1        | 1        | 1        | 1        | 1        | 1    |
| 2  | 2 :      | 3 0 | 0  | 0  | 1        | 1        | 1        | 1        | 1        | 1        | 1        | 1        | 1        | 1        | 1        | 1        | 1        | 1        | 1        | 1        | 1    |
| 3  | 3 4      | I 0 | 0  | 0  | 0.632112 | 0.648488 | 0.665742 | 0.683876 | 0.71     | 0.72     | 0.74     | 0.76     | 0.79     | 0.81     | 0.83     | 0.86     | 0.89     | 0.93     | 0.94     | 0.96     | 1    |
| 4  | 4 4      | 5 0 | 0  | 0  | 1        | 1        | 1        | 1        | 1        | 1        | 1        | 1        | 1        | 1        | 1        | 1        | 1        | 1        | 1        | 1        | 1    |
| Ę  | 5 6      | 6 0 | 0  | 0  | 0.576577 | 0.612613 | 0.648649 | 0.684685 | 0.720721 | 0.756757 | 0.783784 | 0.81982  | 0.846847 | 0.864865 | 0.891892 | 0.918919 | 0.936937 | 0.954955 | 0.963964 | 0.981982 | 1    |
| e  | 6 1      | 0   | 0  | 0  | 0.46789  | 0.513761 | 0.550459 | 0.568807 | 0.577982 | 0.587156 | 0.59633  | 0.605505 | 0.633028 | 0.66055  | 0.688073 | 0.715596 | 0.752294 | 0.788991 | 0.853211 | 0.917431 | 1    |
| 7  | 7 8      | 3 0 | 0  | 0  | 0.05     | 0.06     | 0.08     | 0.11     | 0.14     | 0.17     | 0.21     | 0.25     | 0.3      | 0.35     | 0.41     | 0.48     | 0.57     | 0.66     | 0.78     | 0.9      | 1.05 |
| 8  | 3 9      | 0 0 | 0  | 0  | 0.21     | 0.26     | 0.31     | 0.36     | 0.41     | 0.46     | 0.51     | 0.56     | 0.61     | 0.66     | 0.71     | 0.76     | 0.82     | 0.87     | 0.93     | 0.98     | 1.05 |
| 9  | 9 10     | 0 0 | 0  | 0  | 0.05     | 0.06     | 0.08     | 0.11     | 0.14     | 0.17     | 0.21     | 0.26     | 0.32     | 0.38     | 0.44     | 0.5      | 0.57     | 0.64     | 0.73     | 0.86     | 1.05 |

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in

Case No(s). 13-0728-EL-EEC

Summary: Application Application to Commit Energy Efficiency/Peak Demand Reduction Programs (Mercantile Customers Only)- Tri Health Bethesda Oak Hospital electronically filed by Carys Cochern on behalf of Duke Energy