### BEFORE THE PUBLIC UTILITIES COMMISSION OF OHIO

In the Matter of the Application of	)	
Aqua Ohio, Inc. for Authority to Assess a	)	Case No. 13-588-WW-SIC
System Improvement Charge in the Lake Erie	)	
Division	)	

### AMENDMENT TO APPLICATION FOR AUTHORITY TO COLLECT A SYSTEM IMPROVEMENT CHARGE IN AQUA OHIO'S LAKE ERIE DIVISION

On March 5, 2013, Aqua Ohio, Inc. ("Aqua") filed its Application in the above-captioned case. Aqua hereby files the following amended exhibits and schedules:

Exhibit A Proposed Newspaper Notice in the service territory of the filing of the System Improvement Charge Application.

Exhibit C The following schedules:

10. Revenue distribution

11. Proposed customer notice to be contained with or on the customer's first bill containing the surcharge.

The Amendment updates certain figures in Schedule 10 and places the customer and newspaper notices under the proper headings. Aqua's witness, Richard Hideg, continues to support the updated schedules, with a single revision to his testimony: on page 2, line 7, of his testimony, the number "481,849" should replace the number "475,171," to reflect the revision to Schedule 10. Otherwise, the Application itself, the content of the notices themselves, and all other exhibits remain unchanged.

Dated: March 12, 2013

#### Respectfully submitted,

/s/ Andrew J. Campbell

Mark A. Whitt (Counsel of Record)

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ATTORNEYS FOR AQUA OHIO, INC.

#### **CERTIFICATE OF SERVICE**

Aqua Ohio, Inc., certifies that a copy of the foregoing Amendment to Application for Authority to Collect a System Improvement Charge in its Lake Erie Division has been served via U.S. Regular Mail, this 12th day of March, 2013, upon the addresses set forth below for the chief executive of each municipal corporation, the board of township trustees of each township, the board of county commissioners of each county in which affected customers are located, and each party to Aqua's last surcharge case.

/s/ Andrew J. Campbell
Andrew J. Campbell. One of th

Andrew J. Campbell, One of the Attorneys for Aqua Ohio, Inc.

#### **CITY OF MENTOR**

Ken Filipiak	Elizabeth Limestahl	Scott J. Marn
City Manager	Clerk of Council	Council President
City of Mentor	City of Mentor	City of Mentor
8500 Civic Center Blvd.	8500 Civic Center Blvd.	8500 Civic Center Blvd.
Mentor, Ohio 44060	Mentor, Ohio 44060	Mentor, Ohio 44060

#### **CITY OF MENTOR-ON-THE-LAKE**

Lake
hio

#### **CITY OF KIRTLAND**

Denise Roelle	R. Robert Umholtz
Clerk of Council	Council President
City of Kirtland	City of Kirtland
9301 Chillicothe Road	9301 Chillicothe Road
Kirtland, Ohio 44094	Kirtland, Ohio 44094
	Clerk of Council City of Kirtland 9301 Chillicothe Road

#### VILLAGE OF WAITE HILL

Robert A. Ranallo, Mayor Janet M. Mulh, Clerk-Treasurer

Village Hall
7215 Eagle Road
7215 Eagle Road

Waite Hill, Ohio 44094 Waite Hill, Ohio 44094

#### KIRTLAND HILLS VILLAGE

John F. Turben, Mayor Paul C. Gum, Council President

Village Hall Village Hall

8026 Chillicothe Road Kirtland Hills, Ohio 44060 8026 Chillicothe Road Kirtland Hills, Ohio 44060

#### **CONCORD TOWNSHIP**

Paul R. Malchesky, Trustee Chairman Amy L. Dawson, Fiscal Officer

Concord Town Hall
7229 Ravenna Road
7229 Ravenna Road
Concord, Ohio 44077
Concord, Ohio 44077

#### PAINESVILLE TOWNSHIP

James S. Falvey, Chairman Michael A. Patriarca, Fiscal Officer

Painesville Township Trustees Painesville Township Office

55 Nye Road 55 Nye Road

Painesville, Ohio 44077 Painesville, Ohio 44077

#### LAKE COUNTY COMMISSIONERS

Robert E. Aufuldish, President
Amy Elszasz, Clerk
105 Main Street
P.O. Box 490
P.O. Box 490

Painesville, Ohio 44077 Painesville, Ohio 44077

#### SAGAMORE HILLS TOWNSHIP

Scott Gale, Fiscal Officer 11551 Valley View Road Sagamore Hills, Ohio 44067

#### NORTHFIELD CENTER TOWNSHIP

Andy LaGuardia, Fiscal Officer 9546 Brandywine Road Northfield Center, Ohio 44067

#### VILLAGE OF JEFFERSON

Judy Maloney, Mayor 27 E. Jefferson Street Jefferson, Ohio 444047 Patricia Fisher, Clerk -Treasurer 27 E. Jefferson Street Jefferson, Ohio 44047

#### **NORLICK**

Brian A. Davis, President Williams County Commissioners One Courthouse Square Bryan, Ohio 43506 James Davis
Center Township Fiscal
Officer
12457 County Road C
Bryan, Ohio 43506-9733
Greg Gendron, Pres. HOA
Norlick Place Homeowners
Association
208 Illinois Drive
Bryan OH 43506

#### **SENECA**

Steven Hays Bridgewater Township Trustee 7731 County Road P-50 Montpelier, Ohio 43543 Dee Lantz Fiscal Officer Bridgewater Township 16685 St. 576 #G Montpelier, Ohio 43543

David Deubner, President Lake Seneca, POA 475 Seneca Isle Drive Montpelier, Ohio 43543

#### **ROAMING SHORES VILLAGE**

Mayor John L. Ball P. O. Box 237 Roaming Shores, Ohio 44084 Leann Moses, Clerk-Treasurer P. O. Box 237 Roaming Shores, Ohio 44084

## **EXHIBIT A**

#### LEGAL NOTICE

#### Lake Erie Division

#### AQUA OHIO, INC., NOTICE OF APPLICATION TO ASSESS A SYSTEM IMPROVEMENT CHARGE BEFORE THE PUBLIC UTILITIES COMMISSION OF OHIO

Public notice is herby given that on March 4, 2013, Aqua Ohio, Inc. filed with the Public Utilities Commission of Ohio an application entitled "In the Matter of the Application of Aqua Ohio, Inc., For Authority to Assess a System Improvement Charge in the Lake Erie Division." The case number of the proceeding before the Public Utilities Commission of Ohio is 13-XXXX-WW-SIC.

A copy of the application is available for inspection at the Lake Erie Division, located at 8644 Station Street, Mentor, Ohio 44060-4316. Additionally, a copy of said application is available for inspection at the offices of the Public Utilities Commission of Ohio located at 180 East Broad Street, Columbus, Ohio 43266-0573. The filing may also be viewed on line at the Commission's docketing department website www.ohio.gov/puco/docketing/index.cfm.

In its application, the Company seeks to avail itself of the authority set forth in Ohio Revised Code section 4909.172 to assess a monthly system improvement charge on all metered and fire service customers. The purpose of the surcharge is to recover costs incurred related to replacement of certain qualifying infrastructure improvements, totaling more than \$2.4 million, to its water distribution system since the last rate increase or system improvement charge approved by the Public Utilities Commission of Ohio. The application, if granted in full, will result in an additional \$1.00 per month for an average residential customer using 5,300 gallons of water per month. The proposed surcharge would equal three percent of the total water service bill of each customer and customers can contact the applicant toll-free at 877.987.2782 with any questions concerning the application.

Any person, firm, corporation or association may file comments regarding the proposed application with the Public Utilities Commission of Ohio. Any comments must be filed with the Public Utilities Commission of Ohio by XXXX XX, 2013.

#### LEGAL NOTICE

#### Former Lake Erie East Division

#### AQUA OHIO, INC., NOTICE OF APPLICATION TO ASSESS A SYSTEM IMPROVEMENT CHARGE BEFORE THE PUBLIC UTILITIES COMMISSION OF OHIO

Public notice is herby given that on November XX, 2013 Aqua Ohio, Inc. filed with the Public Utilities Commission of Ohio an application entitled "In the Matter of the Application of Aqua Ohio, Inc., For Authority to Assess a System Improvement Charge in the Lake Erie Division." The case number of the proceeding before the Public Utilities Commission of Ohio is 13-XXXX-WW-SIC.

A copy of the application is available for inspection at the Lake Erie Division, located at 8644 Station Street, Mentor, Ohio 44060-4316. Additionally, a copy of said application is available for inspection at the offices of the Public Utilities Commission of Ohio located at 180 East Broad Street, Columbus, Ohio 43266-0573. The filing may also be viewed on line at the Commission's docketing department website www.ohio.gov/puco/docketing/index.cfm.

In its application, the Company seeks to avail itself of the authority set forth in Ohio Revised Code section 4909.172 to assess a monthly system improvement charge on all metered and fire service customers. The purpose of the surcharge is to recover costs incurred related to replacement of certain qualifying infrastructure improvements, totaling more than \$2.4 million, to its water distribution system since the last rate increase or system improvement charge approved by the Public Utilities Commission of Ohio. The application, if granted in full, will result in an additional 99 cents per month for an average residential customer using 3,850 gallons of water per month. The proposed surcharge would equal three percent of the total water service bill of each customer and customers can contact the applicant toll-free at 877.987.2782 with any questions concerning the application.

Any person, firm, corporation or association may file comments regarding the proposed application with the Public Utilities Commission of Ohio. Any comments must be filed with the Public Utilities Commission of Ohio by January XX, 2013.

#### LEGAL NOTICE

#### Former Seneca and Norlick Division

#### AQUA OHIO, INC., NOTICE OF APPLICATION TO ASSESS A SYSTEM IMPROVEMENT CHARGE BEFORE THE PUBLIC UTILITIES COMMISSION OF OHIO

Public notice is herby given that on March 4, 2013, Aqua Ohio, Inc. filed with the Public Utilities Commission of Ohio an application entitled "In the Matter of the Application of Aqua Ohio, Inc., For Authority to Assess a System Improvement Charge in the Lake Erie Division." The case number of the proceeding before the Public Utilities Commission of Ohio is 13-XXXX-WW-SIC.

A copy of the application is available for inspection at the Lake Erie Division, located at 8644 Station Street, Mentor, Ohio 44060-4316. Additionally, a copy of said application is available for inspection at the offices of the Public Utilities Commission of Ohio located at 180 East Broad Street, Columbus, Ohio 43266-0573. The filing may also be viewed on line at the Commission's docketing department website www.ohio.gov/puco/docketing/index.cfm.

In its application, the Company seeks to avail itself of the authority set forth in Ohio Revised Code section 4909.172 to assess a monthly system improvement charge on all flat rate customers. The purpose of the surcharge is to recover costs incurred related to replacement of certain qualifying infrastructure improvements, totaling more than \$2.4 million, to its water distribution system since the last rate increase or system improvement charge approved by the Public Utilities Commission of Ohio. The application, if granted in full, will result in an additional \$1.08 per month. The proposed surcharge would equal three percent of the total water service bill of each customer and customers can contact the applicant toll-free at 877.987.2782 with any questions concerning the application.

Any person, firm, corporation or association may file comments regarding the proposed application with the Public Utilities Commission of Ohio. Any comments must be filed with the Public Utilities Commission of Ohio by XXXX XX, 2013.

# **EXHIBIT C Schedule 10**

#### AQUA OHIO, Inc, LAKE ERIE DIVISION Case No. 13-xxxx-WW-SIC Revenue Distribution

#### **REVISED 3-11-2013**

		Revenue Distribution		Surcharge		Surcharge
Line		12 Montl	hs Ended 1-31-2013	Percent		Revenues
No.						
1	Residential ***	\$	12,371,581	3.0000%	\$	371,147
2	Commerical		1,880,536	3.0000%	\$	56,416
3	Industrial		627,252	3.0000%	\$	18,818
4	Public Authority		332,865	3.0000%	\$	9,986
5	Private Fire		596,614	3.0000%	\$	17,898
6	Sales for Resale		252,812	3.0000%	\$	7,584
7	Late Fees					
8	Account Activation Fees					
9	Other Misc Revenues					
10		\$	16,061,660		\$	481,849

<sup>\*\*\*\*</sup> Less Auburn Lakes revenue \$177,076

# **EXHIBIT C Schedule 11**

#### AQUA OHIO, INC.

#### LAKE ERIE DIVISION

#### **CUSTOMER NOTICE: SYSTEM IMPROVEMENT CHARGE**

Senate Bill 44, which became Ohio law on January 6, 2004, created an infrastructure improvement surcharge, known as the System Improvement Charge (SIC), to assist water companies to fund the replacement and rehabilitation of infrastructure including aging water mains that are crucial to service reliability and water quality. The surcharge may not exceed three (3%) percent per year.

With the Environmental Protection Agency estimating that approximately \$335 billion is needed to address water system infrastructure throughout the country over the next 20 years, SIC is important legislation which will allow water companies such as Aqua Ohio, Inc. to accelerate the replacement of old water mains to improve water quality, pressure, flow and long-term system viability. It transforms your infrastructure rehabilitation program from reactive to proactive and allows customers to pay as the work is being completed.

Aqua Ohio, Inc. filed an application on March xx, 2013 with the Public Utilities Commission of Ohio (PUCO) in Case No. 13-0000-WW-SIC seeking approval to assess and collect a SIC in its Lake Erie Division. The purpose of seeking authority to assess and collect a SIC in its Lake Erie Division is to recover costs related to certain qualifying infrastructure improvements totaling more than \$2.4 million, primarily water main replacements, to Aqua Ohio, Inc.'s distribution system since the last rate case. After conducting an investigation into the application, the PUCO approved Aqua Ohio, Inc.'s request on Xxxx xx, 2013. The PUCO approved the assessment of a surcharge of three (3%) percent to your monthly bill. The surcharge will appear on billings on or after Xxxx xx, 2013. The SIC approved by the PUCO will result in the average residential customer bill being increased by an additional charge in the amount of one dollar (\$1.00) per month for an average residential customer using 5,300 gallons of water per month.

The surcharge will continue to appear on future bills and may be adjusted or eliminated based on the findings of the PUCO.

If you have any questions concerning the impact of the surcharge on your bill, or have any questions regarding SIC, please call Aqua Ohio at 877-987-2782.

#### AQUA OHIO, INC.

#### FORMER SENECA AND NORLICK DIVISION

#### **CUSTOMER NOTICE: SYSTEM IMPROVEMENT CHARGE**

Senate Bill 44, which became Ohio law on January 6, 2004, created an infrastructure improvement surcharge, known as the System Improvement Charge (SIC), to assist water companies to fund the replacement and rehabilitation of infrastructure including aging water mains that are crucial to service reliability and water quality. The surcharge may not exceed three (3%) percent per year.

With the Environmental Protection Agency estimating that approximately \$335 billion is needed to address water system infrastructure throughout the country over the next 20 years, SIC is important legislation which will allow water companies such as Aqua Ohio, Inc. to accelerate the replacement of old water mains to improve water quality, pressure, flow and long-term system viability. It transforms your infrastructure rehabilitation program from reactive to proactive and allows customers to pay as the work is being completed.

Aqua Ohio, Inc. filed an application on March xx 2013 with the Public Utilities Commission of Ohio (PUCO) in Case No. 13-0000-WW-SIC seeking approval to assess and collect a SIC in its Lake Erie Division. The purpose of seeking authority to assess and collect a SIC in its Lake Erie Division is to recover costs related to certain qualifying infrastructure improvements totaling more than \$2.4 million, primarily water main replacements, to Aqua Ohio, Inc.'s distribution system. After conducting an investigation into the application, the PUCO approved Aqua Ohio, Inc.'s request on Xxxxx xx, 2013. The PUCO approved the assessment of a surcharge of three (3%) percent to your monthly bill. The surcharge will appear on billings on or after Xxxx xx, 2012. The SIC approved by the PUCO will result in the average residential customer bill being increased by an additional charge in the amount of one dollar and eight cents (\$1.08) per month.

The surcharge will continue to appear on future bills and may be adjusted or eliminated based on the findings of the PUCO.

If you have any questions concerning the impact of the surcharge on your bill, or have any questions regarding SIC, please call Aqua Ohio at 877-987-2782.

#### AQUA OHIO, INC.

#### **FORMER LAKE ERIE EAST DIVISION**

#### **CUSTOMER NOTICE: SYSTEM IMPROVEMENT CHARGE**

Senate Bill 44, which became Ohio law on January 6, 2004 created an infrastructure improvement surcharge, known as the System Improvement Charge (SIC), to assist water companies to fund the replacement and rehabilitation of infrastructure including aging water mains that are crucial to service reliability and water quality. The surcharge may not exceed three (3%) percent per year.

With the Environmental Protection Agency estimating that approximately \$335 billion is needed to address water system infrastructure throughout the country over the next 20 years, SIC is important legislation which will allow water companies such as Aqua Ohio, Inc. to accelerate the replacement of old water mains to improve water quality, pressure, flow and long-term system viability. It transforms your infrastructure rehabilitation program from reactive to proactive and allows customers to pay as the work is being completed.

Aqua Ohio, Inc. filed an application on March XX, 2013 with the Public Utilities Commission of Ohio (PUCO) in Case No. 13-0000-WW-SIC seeking approval to assess and collect a SIC in its Lake Erie Division. The purpose of seeking authority to assess and collect a SIC in its Lake Erie Division is to recover costs related to certain qualifying infrastructure improvements totaling more than \$2.4 million, primarily water main replacements, to Aqua Ohio, Inc.'s distribution system. After conducting an investigation into the application, the PUCO approved Aqua Ohio, Inc.'s request on XXXX XX, 2013. The PUCO approved the assessment of a surcharge of three (3%) percent to your monthly bill. The surcharge will appear on billings on or after xxxx xx, 2013. The SIC approved by the PUCO will result in the average residential customer bill being increased by an additional charge in the amount of ninety-nine cents (\$.99) per month for an average residential customer using 3,850 gallons of water per month.

The surcharge will continue to appear on future bills and may be adjusted or eliminated based on the findings of the PUCO.

If you have any questions concerning the impact of the surcharge on your bill, or have any questions regarding SIC, please call Aqua Ohio at 877-987-2782.

This foregoing document was electronically filed with the Public Utilities

**Commission of Ohio Docketing Information System on** 

3/12/2013 3:17:59 PM

in

Case No(s). 13-0588-WW-SIC

Summary: Amended Application Amendment to Application for Authority to Collect a System Improvement Charge in Aqua Ohio's Lake Erie Division electronically filed by Mr. Andrew J Campbell on behalf of Aqua Ohio, Inc.