



# Public Utilities Commission

John Kasich, Governor  
Todd A. Snitchler, Chairman

Commissioners

Steven D. Lesser  
Andre T. Porter  
Lynn Slaby  
M. Beth Trombold

## PUBLIC UTILITIES COMMISSION OF OHIO

*Certified as a Competitive Retail Electric Service Provider*

Certificate Number:

**13-646E (1)**

Issued Pursuant to Case Number(s):

**13-0373-EL-CRS**

A certificate as a Competitive Retail Electric Service Provider is hereby granted to, **SmartEnergy Holdings, LLC** whose office or principal place of business is located at **575 Lexington Avenue, 4th Floor, New York, NY 10022** to provide **aggregation and power marketer** services within the State of Ohio effective **March 09, 2013**.

The certification of competitive retail electric suppliers is governed by Section 4901:1-24-(01-13) of the Ohio Administrative Code, Section 4901:1-21-(01-15) of the Ohio Administrative Code, and Section 4928.08 of the Ohio Revised Code.

This Certificate is revocable if all of the conditions set forth in the aforementioned case(s) are not met.

Subject to all rules and regulations of the Commission, now existing or hereafter promulgated.

Witness the seal of the Commission affixed at Columbus, Ohio.

Dated: **3/11/2013**

By Order of

PUBLIC UTILITIES COMMISSION OF OHIO

Barcy F. McNeal, Secretary  
Betty McCauley, Acting Secretary  
Tanowa M. Troupe, Acting Secretary

Certificate Expires: **March 09, 2015**

This is to certify that the images appearing are an accurate and complete reproduction of a case file document delivered in the regular course of business  
Technician Am Date Processed 3/11/13

## **CRES AUTOMATIC CASE ACTION FORM**

Case No. **13-0373-EL-CRS**

Date Sent: **March 11, 2013**

Effective Date of Certificate: **March 9, 2013**

Certificate Expires: **March 9, 2015**

Company Name and Company Name d/b/a: **SmartEnergy Holdings, LLC**

☐ Renewal

### **Action Needed:**

☒ Issue Certificate Number to: **SmartEnergy Holdings, LLC** at (address): **575 Lexington Avenue, 4<sup>th</sup> Floor, New York, NY 10022**

☒ Certified To Provide the Following Services:

- ☐ Retail Generation
- ☒ Aggregation
- ☒ Power Marketer
- ☐ Power Broker
- ☐ Governmental Aggregation

☐ Renew Certificate No.            to

☐ Revise Certificate No.            to (check all applicable):

☐ Reflect name change from            to

☐ Reflect address change from            to

☐ Add new service offering to certificate:

- ☐ Retail Generation
- ☐ Aggregation
- ☐ Power Marketer
- ☐ Power Broker
- ☐ Governmental Aggregation

☐ Correct Administrative Error

☐ Reflect Change of Ownership to:

☐ Cancel Certificate No.

☐ Protect Un-redacted copies until

☐ Close Case File, Case Withdrawn at Applicant's Request

☐ Close Case File

**CASE NUMBER:** 13-0373-EL-CRS  
**CASE DESCRIPTION:** SMARTENERGY HOLDINGS LLC  
**DATE OF SERVICE:** 3/11/2013  
**DOCUMENT SIGNED ON:** 3 / 11 / 2013

**Sign Here:** 

	APPLICANT	ATTORNEY
<b>PARTY OF RECORD</b>		
SMARTENERGY HOLDING LLC	NONE	
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4TH FLOOR		
NEW YORK,NY 10022		
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