



*The Public Utilities Commission of Ohio*

PUCO USE ONLY		
Date Received	Case Number	Version
	13-532-EL-AGG	August 2004

## **CERTIFICATION APPLICATION FOR AGGREGATORS/POWER BROKERS**

Please print or type all required information. Identify all attachments with an exhibit label and title (Example: Exhibit A-5 Experience). All attachments should bear the legal name of the Applicant. Applicants should file completed applications and all related correspondence with the Public Utilities Commission of Ohio, Docketing Division; 180 East Broad Street, Columbus, Ohio 43215-3793.

**This PDF form is designed so that you may input information directly onto the form.  
You may also download the form, by saving it to your local disk, for later use.**

### **A. APPLICANT INFORMATION**

#### **A-1 Applicant's legal name, address, telephone number and web site address**

Legal Name Northeast Energy Advisors, LLC  
Address 10900 Perry Highway, Suite 210, Wexford, PA 15090  
Telephone # (412) 389-7290 Web site address (if any) www.northeastenergyadvisors.com

#### **A-2 List name, address, telephone number and web site address under which Applicant will do business in Ohio**

Legal Name Northeast Energy Advisors, LLC  
Address 10900 Perry Highway, Suite 210, Wexford, PA 15090  
Telephone # (412) 389-7290 Web site address (if any) www.northeastenergyadvisors.com

#### **A-3 List all names under which the applicant does business in North America**

Northeast Energy Advisors, LLC  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

#### **A-4 Contact person for regulatory or emergency matters**

Name Dave Mikula  
Title President

Business address 10900 Perry Highway, Suite 210, Wexford, PA 15090  
Telephone # (412) 389-7290 Fax # (412) 202-4233  
E-mail address (if any) dmikula@northeastenergyadvisors.com

**A-5 Contact person for Commission Staff use in investigating customer complaints**

Name Erich Landis  
Title Vice President and Treasurer  
Business address 10900 Perry Highway, Suite 210, Wexford, PA 15090  
Telephone # (412) 389-7290 Fax #   
E-mail address (if any) elandis@northeastenergyadvisors.com

**A-6 Applicant's address and toll-free number for customer service and complaints**

Customer Service address 10900 Perry Highway, Suite 210, Wexford, PA 15090  
Toll-free Telephone # (888) 998-0553 Fax # (412) 202-4233  
E-mail address (if any) elandis@northeastenergyadvisors.com

**A-7 Applicant's federal employer identification number # 262092958**

**A-8 Applicant's form of ownership (check one)**

- |  |   |
|--|---|
| <input type="checkbox"/> Sole Proprietorship                 | <input type="checkbox"/> Partnership                                |
| <input type="checkbox"/> Limited Liability Partnership (LLP) | <input checked="" type="checkbox"/> Limited Liability Company (LLC) |
| <input type="checkbox"/> Corporation                         | <input type="checkbox"/> Other <u></u>                              |

**A-9 (Check all that apply) Identify each electric distribution utility certified territory in which the applicant intends to provide service, including identification of each customer class that the applicant intends to serve, for example, residential, small commercial, mercantile commercial, and industrial. (A mercantile customer, as defined in (A) (19) of Section 4928.01 of the Revised Code, is a commercial customer who consumes more than 700,000 kWh/year or is part of a national account in one or more states).**

- |  |                                      |  |                                     |                                     |
|--|--------------------------------------|--|-------------------------------------|-------------------------------------|
| <input checked="" type="checkbox"/> <b>First Energy</b>                  |                                      |  |                                     |                                     |
| <input type="checkbox"/> Ohio Edison                                     | <input type="checkbox"/> Residential | <input checked="" type="checkbox"/> Commercial | <input type="checkbox"/> Mercantile | <input type="checkbox"/> Industrial |
| <input type="checkbox"/> Toledo Edison                                   | <input type="checkbox"/> Residential | <input checked="" type="checkbox"/> Commercial | <input type="checkbox"/> Mercantile | <input type="checkbox"/> Industrial |
| <input type="checkbox"/> Cleveland Electric Illuminating                 | <input type="checkbox"/> Residential | <input checked="" type="checkbox"/> Commercial | <input type="checkbox"/> Mercantile | <input type="checkbox"/> Industrial |
| <input checked="" type="checkbox"/> <b>Cincinnati Gas &amp; Electric</b> | <input type="checkbox"/> Residential | <input checked="" type="checkbox"/> Commercial | <input type="checkbox"/> Mercantile | <input type="checkbox"/> Industrial |
| <input checked="" type="checkbox"/> <b>Monongahela Power</b>             | <input type="checkbox"/> Residential | <input checked="" type="checkbox"/> Commercial | <input type="checkbox"/> Mercantile | <input type="checkbox"/> Industrial |
| <input checked="" type="checkbox"/> <b>American Electric Power</b>       |                                      |  |                                     |                                     |
| <input type="checkbox"/> Ohio Power                                      | <input type="checkbox"/> Residential | <input checked="" type="checkbox"/> Commercial | <input type="checkbox"/> Mercantile | <input type="checkbox"/> Industrial |
| <input type="checkbox"/> Columbus Southern Power                         | <input type="checkbox"/> Residential | <input checked="" type="checkbox"/> Commercial | <input type="checkbox"/> Mercantile | <input type="checkbox"/> Industrial |
| <input checked="" type="checkbox"/> <b>Dayton Power and Light</b>        | <input type="checkbox"/> Residential | <input checked="" type="checkbox"/> Commercial | <input type="checkbox"/> Mercantile | <input type="checkbox"/> Industrial |

- A-10 Provide the approximate start date that the applicant proposes to begin delivering services  
April 1, 2013

**PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:**

- A-11 **Exhibit A-11 "Principal Officers, Directors & Partners"** provide the names, titles, addresses and telephone numbers of the applicant's principal officers, directors, partners, or other similar officials.
- A-12 **Exhibit A-12 "Corporate Structure,"** provide a description of the applicant's corporate structure, including a graphical depiction of such structure, and a list of all affiliate and subsidiary companies that supply retail or wholesale electricity or natural gas to customers and companies that aggregate customers in North America.
- A-13 **Exhibit A-13 "Company History,"** provide a concise description of the applicant's company history and principal business interests.
- A-14 **Exhibit A-14 "Articles of Incorporation and Bylaws,"** if applicable, provide the articles of incorporation filed with the state or jurisdiction in which the Applicant is incorporated and any amendments thereto.
- A-15 **Exhibit A-15 "Secretary of State,"** provide evidence that the applicant has registered with the Ohio Secretary of the State.

**B. APPLICANT MANAGERIAL CAPABILITY AND EXPERIENCE**

**PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:**

- B-1 **Exhibit B-1 "Jurisdictions of Operation,"** provide a list of all jurisdictions in which the applicant or any affiliated interest of the applicant is, at the date of filing the application, certified, licensed, registered, or otherwise authorized to provide retail or wholesale electric services including aggregation services.
- B-2 **Exhibit B-2 "Experience & Plans,"** provide a description of the applicant's experience and plan for contracting with customers, providing contracted services, providing billing statements, and responding to customer inquiries and complaints in accordance with Commission rules adopted pursuant to Section 4928.10 of the Revised Code.

**B-3** **Exhibit B-3 "Summary of Experience,"** provide a concise summary of the applicant's experience in providing aggregation service(s) including contracting with customers to combine electric load and representing customers in the purchase of retail electric services. (e.g. number and types of customers served, utility service areas, amount of load, etc.).

**B-4** **Exhibit B-4 "Disclosure of Liabilities and Investigations,"** provide a description of all existing, pending or past rulings, judgments, contingent liabilities, revocation of authority, regulatory investigations, or any other matter that could adversely impact the applicant's financial or operational status or ability to provide the services it is seeking to be certified to provide.

**B-5** Disclose whether the applicant, a predecessor of the applicant, or any principal officer of the applicant have ever been convicted or held liable for fraud or for violation of any consumer protection or antitrust laws within the past five years.  
☒ No      ☐ Yes

If yes, provide a separate attachment labeled as **Exhibit B-5 "Disclosure of Consumer Protection Violations"** detailing such violation(s) and providing all relevant documents.

**B-6** Disclose whether the applicant or a predecessor of the applicant has had any certification, license, or application to provide retail or wholesale electric service including aggregation service denied, curtailed, suspended, revoked, or cancelled within the past two years.  
☒ No      ☐ Yes

If yes, provide a separate attachment labeled as **Exhibit B-6 "Disclosure of Certification Denial, Curtailment, Suspension, or Revocation"** detailing such action(s) and providing all relevant documents.

## **C. APPLICANT FINANCIAL CAPABILITY AND EXPERIENCE**

**PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:**

**C-1** **Exhibit C-1 "Annual Reports,"** provide the two most recent Annual Reports to Shareholders. If applicant does not have annual reports, the applicant should provide similar information in Exhibit C-1 or indicate that Exhibit C-1 is not applicable and why.

**C-2** **Exhibit C-2 "SEC Filings,"** provide the most recent 10-K/8-K Filings with the SEC. If applicant does not have such filings, it may submit those of its parent company. If the applicant does not have such filings, then the applicant may indicate in Exhibit C-2 that the applicant is not required to file with the SEC and why.

- C-3** **Exhibit C-3 “Financial Statements,”** provide copies of the applicant’s two most recent years of audited financial statements (balance sheet, income statement, and cash flow statement). If audited financial statements are not available, provide officer certified financial statements. If the applicant has not been in business long enough to satisfy this requirement, it shall file audited or officer certified financial statements covering the life of the business.
- C-4** **Exhibit C-4 “Financial Arrangements,”** provide copies of the applicant's financial arrangements to conduct CRES as a business activity (e.g., guarantees, bank commitments, contractual arrangements, credit agreements, etc.,).
- C-5** **Exhibit C-5 “Forecasted Financial Statements,”** provide two years of forecasted financial statements (balance sheet, income statement, and cash flow statement) for the applicant’s CRES operation, along with a list of assumptions, and the name, address, e-mail address, and telephone number of the preparer.
- C-6** **Exhibit C-6 “Credit Rating,”** provide a statement disclosing the applicant’s credit rating as reported by two of the following organizations: Duff & Phelps, Dun and Bradstreet Information Services, Fitch IBCA, Moody’s Investors Service, Standard & Poors, or a similar organization. In instances where an applicant does not have its own credit ratings, it may substitute the credit ratings of a parent or affiliate organization, provided the applicant submits a statement signed by a principal officer of the applicant’s parent or affiliate organization that guarantees the obligations of the applicant.
- C-7** **Exhibit C-7 “Credit Report,”** provide a copy of the applicant’s credit report from Experion, Dun and Bradstreet or a similar organization.
- C-8** **Exhibit C-8 “Bankruptcy Information,”** provide a list and description of any reorganizations, protection from creditors or any other form of bankruptcy filings made by the applicant, a parent or affiliate organization that guarantees the obligations of the applicant or any officer of the applicant in the current year or within the two most recent years preceding the application.

C-9 **Exhibit C-9 "Merger Information."** provide a statement describing any dissolution or merger or acquisition of the applicant within the five most recent years preceding the application.

*Erin J. Jones, vice president*

Signature of Applicant & Title

COMMONWEALTH OF PENNSYLVANIA  
COUNTY OF ALLEGHENY

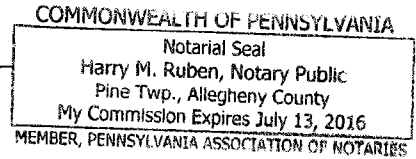
Sworn and subscribed before me this 26<sup>th</sup> day of February, 2013  
Month Year

*Harry M. Ruben*

Signature of official administering oath

Print Name and Title

My commission expires on \_\_\_\_\_



# **AFFIDAVIT**

State of COMMONWEALTH OF PENNSYLVANIA ss.  
County of COUNTY OF ALLEGHENY (Town)

ERICH LANDIS, Affiant, being duly sworn/affirmed according to law, deposes and says that:

He/She is the Vice Pres. & Gen. Mgr. (Office of Affiant) of Northeast Energy Advisors LLC (Name of Applicant);

That he/she is authorized to and does make this affidavit for said Applicant,

1. The Applicant herein, attests under penalty of false statement that all statements made in the application for certification are true and complete and that it will amend its application while the application is pending if any substantial changes occur regarding the information provided in the application.
2. The Applicant herein, attests it will timely file an annual report with the Public Utilities Commission of Ohio of its intrastate gross receipts, gross earnings, and sales of kilowatt-hours of electricity pursuant to Division (A) of Section 4905.10, Division (A) of Section 4911.18, and Division (F) of Section 4928.06 of the Revised Code.
3. The Applicant herein, attests that it will timely pay any assessments made pursuant to Sections 4905.10, 4911.18, or Division F of Section 4928.06 of the Revised Code.
4. The Applicant herein, attests that it will comply with all Public Utilities Commission of Ohio rules or orders as adopted pursuant to Chapter 4928 of the Revised Code.
5. The Applicant herein, attests that it will cooperate fully with the Public Utilities Commission of Ohio, and its Staff on any utility matter including the investigation of any consumer complaint regarding any service offered or provided by the Applicant.
6. The Applicant herein, attests that it will fully comply with Section 4928.09 of the Revised Code regarding consent to the jurisdiction of Ohio Courts and the service of process.
7. The Applicant herein, attests that it will comply with all state and/or federal rules and regulations concerning consumer protection, the environment, and advertising/promotions.
8. The Applicant herein, attests that it will use its best efforts to verify that any entity with whom it has a contractual relationship to purchase power is in compliance with all applicable licensing requirements of the Federal Energy Regulatory Commission and the Public Utilities Commission of Ohio.
9. The Applicant herein, attests that it will cooperate fully with the Public Utilities Commission of Ohio, the electric distribution companies, the regional transmission entities, and other electric suppliers in the event of an emergency condition that may jeopardize the safety and reliability of the electric service in accordance with the emergency plans and other procedures as may be determined appropriate by the Commission.
10. If applicable to the service(s) the Applicant will provide, the Applicant herein, attests that it will adhere to the reliability standards of (1) the North American Electric Reliability Council (NERC), (2) the appropriate regional reliability council(s), and (3) the Public Utilities Commission of Ohio. (Only applicable if pertains to the services the Applicant is offering)

11. The Applicant herein, attests that it will inform the Commission of any material change to the information supplied in the application within 30 days of such material change, including any change in contact person for regulatory purposes or contact person for Staff use in investigating customer complaints.

That the facts above set forth are true and correct to the best of his/her knowledge, information, and belief and that he/she expects said Applicant to be able to prove the same at any hearing hereof.

Scott J. Joubert, Vice President  
Signature of Affiant & Title

Sworn and subscribed before me this 26<sup>th</sup> day of February, 2013  
Month Year

Harry M. Ruben  
Signature of official administering oath

Print Name and Title COMMONWEALTH OF PENNSYLVANIA

My commission expires on \_\_\_\_\_

Notarial Seal  
Harry M. Ruben, Notary Public  
Pine Twp., Allegheny County  
My Commission Expires July 13, 2016  
MEMBER, PENNSYLVANIA ASSOCIATION OF NOTARIES



Northeast Energy Advisors, LLC

**Exhibit A-11**

**“Principal Officers, Directors & Partners”**

The applicant has two members, Dave Mikula (President and Secretary) and Erich Landis (Vice President and Treasurer). The address is Northeast Energy Advisors, LLC, 10900 Perry Highway, Suite 210, Wexford, PA 15090. The phone number is 412-389-7290.

Northeast Energy Advisors, LLC

**Exhibit A-12**

**“Corporate Structure”**

David Mikula and Erich Landis are the sole two members.

**Exhibit A-13**

**“Company History”**

Northeast Energy Advisors, LLC, a Pennsylvania Limited Liability Company, was formed in February of 2008 and provides consulting services, energy supply advice, analysis services and management tools. The Company’s two members, Dave Mikula and Erich Landis, have a combined experience of more than twenty years in the electricity and natural gas industry.

Northeast Energy Advisors, LLC

**Exhibit A-14**

**“Articles of Incorporation and Bylaws”**

See the attached Certificate of Organization.

DEPARTMENT OF STATE

JANUARY 6, 2012

TO ALL WHOM THESE PRESENTS SHALL COME, GREETING:

**Northeast Energy Advisors, LLC**

I, Carol Aichele, Secretary of the Commonwealth of Pennsylvania

do hereby certify that the foregoing and annexed is a true and correct  
copy of

Certificate of Organization filed on February 19, 2008

which appear of record in this department.



IN TESTIMONY WHEREOF, I have  
hereunto set my hand and caused  
the Seal of the Secretary's Office to  
be affixed, the day and year above  
written.

A handwritten signature in cursive script, reading "Carol Aichele".

---

Secretary of the Commonwealth

Sent: Saturday, February 16, 2008 4:43 PM  
To: ra-st-pao4b@state.pa.us  
Subject: PAO4B New Registration 206989

**PENNSYLVANIA DEPARTMENT OF STATE  
CORPORATION BUREAU  
Certificate of Organization  
Domestic Limited Liability Company  
(15 Pa.C.S. § 8913)**

Document will be returned to the name and address you enter below.

Name

dave mikula  
Address  
578 Olive Street  
Address

City  
Pittsburgh

State Zip Code  
PA 15237

Email: makomik@verizon.net

Fee: \$125

Commonwealth of Pennsylvania  
CERTIFICATE OF ORGANIZATION 2 Page(s)



In compliance with the requirements of 15 Pa.C.S. § 8913 (relating to certificate of organization), the undersigned desiring to organize a limited liability company, hereby certifies that:

1. The name of the limited liability company (designator is required, i.e., "company", "limited" or "limited liability company" or abbreviation):  
**Northeast Energy Advisors, LLC**
2. The (a) address of limited liability company's initial registered office in this Commonwealth or (b) name of its commercial registered office provider and the county of venue is:  

(a) Number and Street	City	State	Zip	County
578 Olive Street	Pittsburgh	PA	15237	02

  
(b) Name of Commercial Registered Office \_\_\_\_\_ County \_\_\_\_\_
3. The name and address, including street and number, if any, of each organizer is (all organizers must sign on page 2):  

Name	Address
Erich Landis	578 Olive Street Pittsburgh PA 15237
4. *Strike out if inapplicable term*  
A member's interest in the company is to be evidenced by a certificate of membership interest.
5. *Strike out if inapplicable*  
Management of the company is vested in a manager or managers.
6. The specified effective date, if any is:  

03	01	2008
Month	Day	Year

  
hour, if any \_\_\_\_\_
7. *Strike out if inapplicable term:* The company is a restricted professional company organized to render the following restricted professional service(s): \_\_\_\_\_
8. For additional provisions of the certificate, if any, attach an 8½ x 11 sheet.

CC-\$125

IN TESTIMONY WHEREOF, the organizer(s)

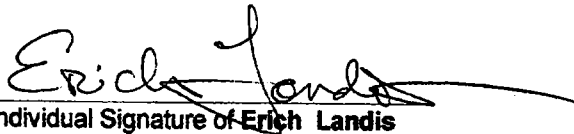
2/20/2008

Document must be completed and mailed to the address listed below.

Department of State  
Corporation Bureau  
P.O. Box 8722  
Harrisburg, PA 17105-8722  
(717) 787-1057

1. The enterprise structure is:  
**Limited Liability Company**
2. The enterprise legal name is:  
**Northeast Energy Advisors, LLC**
3. The enterprise's fictitious name is:

IN TESTIMONY WHEREOF, the undersigned have caused this application to be executed this  
17<sup>th</sup> day of Feb, 2008.



Individual Signature of **Erich Landis**

0698920080216

To avoid any delay or rejection, signature form(s) should be received within 7-10 days  
of the registration submission date.

Northeast Energy Advisors, LLC

**Exhibit A-15**

**“Secretary of State”**



**\*201305600174\***

DATE:	DOCUMENT ID	DESCRIPTION	FILING	EXPED	PENALTY	CERT	COPY
02/25/2013	201305600174	REG. OF FOR. PROFIT LIM. LIAB. CO. (LFP)	125.00	100.00	.00	.00	.00

**Receipt**

This is not a bill. Please do not remit payment.

VORYS, SATER, SEYMOUR & PEASE  
52 E. GAY STREET  
COLUMBUS, OH 43215

**STATE OF OHIO  
CERTIFICATE**

**Ohio Secretary of State, Jon Husted**

**2175765**

It is hereby certified that the Secretary of State of Ohio has custody of the business records for

**NORTHEAST ENERGY ADVISORS, LLC**

and, that said business records show the filing and recording of:

Document(s):

**REG. OF FOR. PROFIT LIM. LIAB. CO.**

Document No(s):

**201305600174**

**Effective Date: 02/22/2013**



United States of America  
State of Ohio  
Office of the Secretary of State

Witness my hand and the seal of the  
Secretary of State at Columbus, Ohio this  
25th day of February, A.D. 2013.

A handwritten signature in black ink that reads "Jon Husted".

Ohio Secretary of State

**United States of America  
State of Ohio  
Office of the Secretary of State**

*I, Jon Husted, do hereby certify that I am the duly elected, qualified and present acting Secretary of State for the State of Ohio, and as such have custody of the records of Ohio and Foreign business entities; that said records show NORTHEAST ENERGY ADVISORS, LLC, a Pennsylvania For Profit Limited Liability Company, Registration Number 2175765, filed on February 22, 2013, is currently in FULL FORCE AND EFFECT upon the records of this office.*



*Witness my hand and the seal of the  
Secretary of State at Columbus, Ohio  
this 25th day of February, A.D. 2013*

A handwritten signature in black ink that reads "Jon Husted". The signature is written in a cursive style with a large, stylized "J" and "H".

Ohio Secretary of State

Validation Number: V201353FFE013

Northeast Energy Advisors, LLC

**Exhibit B-1**

**“Jurisdictions of Operation”**

Pennsylvania

**Exhibit B-2**

**“Experience & Plans”**

Applicant’s two members, Dave Mikula and Erich Landis, have a combined experience of twenty years in the electricity and natural gas industry. Applicant is currently licensed by the Pennsylvania Public Utility Commission to offer, render, furnish or supply electric generation supplier services as a “broker/marketer” to various customer types throughout the Commonwealth of Pennsylvania. Applicant will use its experience and existing infrastructure to work in its preferred business segments to build new relationships and leverage existing relationships into new aggregator/power broker business in Ohio.

### **Exhibit B-3**

#### **“Summary of Experience”**

Northeast Energy Advisors, LLC’s two members, Dave Mikula and Erich Landis have a combined experience of more than 20 years in the electricity and natural gas industry.

Dave Mikula, President and Secretary, has been involved with electricity and natural gas supply and consulting services since 1998. He has worked for Strategic Energy (now Direct Energy), and Reliant Energy Solutions. Over his 13 year career in the industry, he has originated, negotiated and managed electricity and natural gas supply sales agreements. He has assisted companies of various sizes in navigating the complexities of a deregulated energy marketplace and provided objective and unbiased cost saving solutions. In recent years, he has communicated his knowledge of the energy marketplace to industry and association panels by serving as an educational speaker addressing effective procurement strategies for electricity and natural gas supply. Dave holds a Bachelor of Science degree in Electrical Engineering from Pennsylvania State University.

Erich Landis, Vice President and Treasurer, has worked with some of the largest manufacturers and property management companies in the region to manage their energy expenses through competitively purchased electricity and natural gas. Erich has held a variety of executive and management positions with some of the nation’s leading electric suppliers including Strategic Energy (now Direct Energy), Green Mountain Energy and CSW (now American Electric Power). Erich holds a bachelor’s degree in Economics from The Texas Tech University System (San Angelo) and a Master’s of Public Administration from Texas A & M University.

**Exhibit B-4**

**“Disclosure of Liabilities and Investigations”**

The applicant is not aware of the existence of any pending or past rulings, judgments, contingent liabilities, revocation of authority, regulatory investigations, or any other matter that could adversely impact its financial or operational status or ability to provide the services it is seeking to be certified to provide.

Northeast Energy Advisors, LLC

**Exhibit C-1**

**“Annual Reports”**

The applicant is a privately held limited liability company and does not publish or prepare annual reports.

Northeast Energy Advisors, LLC

**Exhibit C-2**

**“SEC Filings”**

As a privately held limited liability company, the applicant does not have such filings nor does it have a parent that makes such filings.



Northeast Energy Advisors, LLC

**Exhibit C-3**

**“Financial Statements”**

This exhibit contains confidential and proprietary information and is being submitted under seal.

**Exhibit C-4**

**“Financial Arrangements”**

Because the applicant does not propose to take title to the electric power, it is the applicant’s understanding that this exhibit is not applicable.

Northeast Energy Advisors, LLC

**Exhibit C-5**

**“Forecasted Financial Statements”**

This exhibit contains confidential and proprietary information and is being submitted under seal.

**Exhibit C-6**

**“Credit Rating”**

The applicant does not have a credit rating from Duff & Phelps, Dun & Bradstreet Information Services, Fitch IBCA, Moody’s Investors Service, Standard & Poor’s, or a similar organization. It does not have a parent with such a credit rating.

Northeast Energy Advisors, LLC

**Exhibit C-7**

**“Credit Report”**

Please see the attached credit report from Experian.



## CreditScore<sup>SM</sup> Report

as of: 11/06/12 15:37 ET

### Northeast Energy Advisors, LLC

**Address:** 578 Olive St  
Pittsburgh, PA 15237-4879  
United States

**Experian BIN:** 882632283

**Key Personnel:** Erich Landis  
**SIC Code:** 8748-Business Consulting  
Services, Nec

**Business Type:** Corporation  
**Experian File Established:** March 2008  
**Experian Years on File:** 4 Years  
**Years in Business:** More than 4 Years

**Filing Data Provided by:** Pennsylvania  
**Date of Incorporation:** 02/19/2008

**UCC Filings:** 0

This location does not yet have an estimated Days Beyond Terms (DBT), or a Payment Trend Indicator. This is often the result of too few Payment Tradelines.

**Businesses Scoring Worse:** 58%

- ✓ Bankruptcies: 0
- ✓ Liens: 0
- ✓ Judgments Filed: 0
- ✓ Collections: 0

### Credit Summary

**Credit Ranking Score: 58**

High  
Risk



Low  
Risk

The objective of the Credit Ranking Score is to predict payment behavior. High Risk means that there is a significant probability of delinquent payment. Low Risk means that there is a good probability of on-time payment.

#### Key Score Factors:

- Risk associated with company's industry.
- Number of employees.

**Recommended Action:** Low-Medium Risk

\* The information herein is furnished in confidence for your exclusive use for legitimate business purposes and shall not be reproduced. Neither Experian nor its sources or distributors warrant such information nor shall they be liable for your use or reliance upon it.

**Exhibit C-8**

**“Bankruptcy Information”**

The applicant has not filed any reorganization, protection from creditors or other form of bankruptcy.

**Exhibit C-9**

**“Merger Information”**

The applicant has not been involved with any dissolution, merger or acquisition within the five most recent years preceding the application.



**This foregoing document was electronically filed with the Public Utilities**

**Commission of Ohio Docketing Information System on**

**2/26/2013 4:08:36 PM**

**in**

**Case No(s). 13-0532-EL-AGG**

Summary: Application Certification Application for Aggregators/Power Brokers electronically filed by Mr. Stephen M Howard on behalf of Northeast Energy Advisors, LLC