

NE
FILE



The Public Utilities Commission of Ohio

13-399-EL-AGG

63

PUCO USE ONLY		
Date Received	Case Number	Version
13	399-EL-AGG	August 2004

CERTIFICATION APPLICATION FOR AGGREGATORS/POWER BROKERS

Please print or type all required information. Identify all attachments with an exhibit label and title (Example: Exhibit A-5 Experience). All attachments should bear the legal name of the Applicant. Applicants should file completed applications and all related correspondence with the Public Utilities Commission of Ohio, Docketing Division; 180 East Broad Street, Columbus, Ohio 43215-3793.

**This PDF form is designed so that you may input information directly onto the form.
You may also download the form, by saving it to your local disk, for later use.**

A. APPLICANT INFORMATION

A-1 Applicant's legal name, address, telephone number and web site address

Legal Name Flagship Solutions, Inc.
Address 4606 River Dr., Lisle, IL 60532
Telephone # (207) 890-8477 Web site address (if any) www.flagshipsolutions.net

A-2 List name, address, telephone number and web site address under which Applicant will do business in Ohio

Legal Name Flagship Solutions, Inc.
Address 4606 River Dr., Lisle, IL 60532
Telephone # (207) 890-8477 Web site address (if any) www.flagshipsolutions.net

A-3 List all names under which the applicant does business in North America

Flagship Solutions, Inc.

A-4 Contact person for regulatory or emergency matters

Name David Leather
Title President

This is to certify that the images appearing are an accurate and complete reproduction of a case file document delivered in the regular course of business.
Technician Ann Date Processed 2/8/13

RECEIVED-DOCKETING DIV
2013 FEB -8 PM 2:25
PUCO

Business address 61 Watson Rd., Norway, ME 04268
Telephone # (207) 743-1644 Fax # (207) 743-6675
E-mail address (if any) dleather@flagshipsolutions.net

A-5 Contact person for Commission Staff use in investigating customer complaints

Name David Leather
Title President
Business address 61 Watson Rd., Norway, ME 04268
Telephone # (207) 743-1644 Fax # (207) 743-6675
E-mail address (if any) dleather@flagshipsolutions.net

A-6 Applicant's address and toll-free number for customer service and complaints

Customer Service address 61 Watson Rd. Norway, ME 04268
Toll-free Telephone # NONE Fax # (207) 743-6675
E-mail address (if any) dleather@flagshipsolutions.net

A-7 Applicant's federal employer identification number # 363912338

A-8 Applicant's form of ownership (check one)

- | | |
|--|--|
| <input type="checkbox"/> Sole Proprietorship | <input type="checkbox"/> Partnership |
| <input type="checkbox"/> Limited Liability Partnership (LLP) | <input type="checkbox"/> Limited Liability Company (LLC) |
| <input checked="" type="checkbox"/> Corporation | <input type="checkbox"/> Other _____ |

A-9 (Check all that apply) Identify each electric distribution utility certified territory in which the applicant intends to provide service, including identification of each customer class that the applicant intends to serve, for example, residential, small commercial, mercantile commercial, and industrial. (A mercantile customer, as defined in (A) (19) of Section 4928.01 of the Revised Code, is a commercial customer who consumes more than 700,000 kWh/year or is part of a national account in one or more states).

- | | | | | |
|---|--------------------------------------|--|--|--|
| <input checked="" type="checkbox"/> First Energy | | | | |
| <input checked="" type="checkbox"/> Ohio Edison | <input type="checkbox"/> Residential | <input checked="" type="checkbox"/> Commercial | <input checked="" type="checkbox"/> Mercantile | <input checked="" type="checkbox"/> Industrial |
| <input checked="" type="checkbox"/> Toledo Edison | <input type="checkbox"/> Residential | <input checked="" type="checkbox"/> Commercial | <input checked="" type="checkbox"/> Mercantile | <input checked="" type="checkbox"/> Industrial |
| <input checked="" type="checkbox"/> Cleveland Electric Illuminating | <input type="checkbox"/> Residential | <input checked="" type="checkbox"/> Commercial | <input checked="" type="checkbox"/> Mercantile | <input checked="" type="checkbox"/> Industrial |
| <input checked="" type="checkbox"/> Cincinnati Gas & Electric | <input type="checkbox"/> Residential | <input checked="" type="checkbox"/> Commercial | <input checked="" type="checkbox"/> Mercantile | <input checked="" type="checkbox"/> Industrial |
| <input checked="" type="checkbox"/> Monongahela Power | <input type="checkbox"/> Residential | <input checked="" type="checkbox"/> Commercial | <input checked="" type="checkbox"/> Mercantile | <input checked="" type="checkbox"/> Industrial |
| <input checked="" type="checkbox"/> American Electric Power | | | | |
| <input checked="" type="checkbox"/> Ohio Power | <input type="checkbox"/> Residential | <input checked="" type="checkbox"/> Commercial | <input checked="" type="checkbox"/> Mercantile | <input checked="" type="checkbox"/> Industrial |
| <input checked="" type="checkbox"/> Columbus Southern Power | <input type="checkbox"/> Residential | <input checked="" type="checkbox"/> Commercial | <input checked="" type="checkbox"/> Mercantile | <input checked="" type="checkbox"/> Industrial |
| <input checked="" type="checkbox"/> Dayton Power and Light | <input type="checkbox"/> Residential | <input checked="" type="checkbox"/> Commercial | <input checked="" type="checkbox"/> Mercantile | <input checked="" type="checkbox"/> Industrial |

- A-10 Provide the approximate start date that the applicant proposes to begin delivering services

March 8, 2013

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:

- A-11 **Exhibit A-11 "Principal Officers, Directors & Partners"** provide the names, titles, addresses and telephone numbers of the applicant's principal officers, directors, partners, or other similar officials.
- A-12 **Exhibit A-12 "Corporate Structure,"** provide a description of the applicant's corporate structure, including a graphical depiction of such structure, and a list of all affiliate and subsidiary companies that supply retail or wholesale electricity or natural gas to customers and companies that aggregate customers in North America.
- A-13 **Exhibit A-13 "Company History,"** provide a concise description of the applicant's company history and principal business interests.
- A-14 **Exhibit A-14 "Articles of Incorporation and Bylaws,"** if applicable, provide the articles of incorporation filed with the state or jurisdiction in which the Applicant is incorporated and any amendments thereto.
- A-15 **Exhibit A-15 "Secretary of State,"** provide evidence that the applicant has registered with the Ohio Secretary of the State.

B. APPLICANT MANAGERIAL CAPABILITY AND EXPERIENCE

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:

- B-1 **Exhibit B-1 "Jurisdictions of Operation,"** provide a list of all jurisdictions in which the applicant or any affiliated interest of the applicant is, at the date of filing the application, certified, licensed, registered, or otherwise authorized to provide retail or wholesale electric services including aggregation services.
- B-2 **Exhibit B-2 "Experience & Plans,"** provide a description of the applicant's experience and plan for contracting with customers, providing contracted services, providing billing statements, and responding to customer inquiries and complaints in accordance with Commission rules adopted pursuant to Section 4928.10 of the Revised Code.

B-3 **Exhibit B-3 "Summary of Experience,"** provide a concise summary of the applicant's experience in providing aggregation service(s) including contracting with customers to combine electric load and representing customers in the purchase of retail electric services. (e.g. number and types of customers served, utility service areas, amount of load, etc.).

B-4 **Exhibit B-4 "Disclosure of Liabilities and Investigations,"** provide a description of all existing, pending or past rulings, judgments, contingent liabilities, revocation of authority, regulatory investigations, or any other matter that could adversely impact the applicant's financial or operational status or ability to provide the services it is seeking to be certified to provide.

B-5 Disclose whether the applicant, a predecessor of the applicant, or any principal officer of the applicant have ever been convicted or held liable for fraud or for violation of any consumer protection or antitrust laws within the past five years.

☒ No ☐ Yes

If yes, provide a separate attachment labeled as **Exhibit B-5 "Disclosure of Consumer Protection Violations"** detailing such violation(s) and providing all relevant documents.

B-6 Disclose whether the applicant or a predecessor of the applicant has had any certification, license, or application to provide retail or wholesale electric service including aggregation service denied, curtailed, suspended, revoked, or cancelled within the past two years.

☒ No ☐ Yes

If yes, provide a separate attachment labeled as **Exhibit B-6 "Disclosure of Certification Denial, Curtailment, Suspension, or Revocation"** detailing such action(s) and providing all relevant documents.

C. APPLICANT FINANCIAL CAPABILITY AND EXPERIENCE

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:

C-1 **Exhibit C-1 "Annual Reports,"** provide the two most recent Annual Reports to Shareholders. If applicant does not have annual reports, the applicant should provide similar information in Exhibit C-1 or indicate that Exhibit C-1 is not applicable and why.

C-2 **Exhibit C-2 "SEC Filings,"** provide the most recent 10-K/8-K Filings with the SEC. If applicant does not have such filings, it may submit those of its parent company. If the applicant does not have such filings, then the applicant may indicate in Exhibit C-2 that the applicant is not required to file with the SEC and why.

- C-3** **Exhibit C-3 “Financial Statements,”** provide copies of the applicant’s two most recent years of audited financial statements (balance sheet, income statement, and cash flow statement). If audited financial statements are not available, provide officer certified financial statements. If the applicant has not been in business long enough to satisfy this requirement, it shall file audited or officer certified financial statements covering the life of the business.
- C-4** **Exhibit C-4 “Financial Arrangements,”** provide copies of the applicant's financial arrangements to conduct CRES as a business activity (e.g., guarantees, bank commitments, contractual arrangements, credit agreements, etc.,).
- C-5** **Exhibit C-5 “Forecasted Financial Statements,”** provide two years of forecasted financial statements (balance sheet, income statement, and cash flow statement) for the applicant’s CRES operation, along with a list of assumptions, and the name, address, e-mail address, and telephone number of the preparer.
- C-6** **Exhibit C-6 “Credit Rating,”** provide a statement disclosing the applicant’s credit rating as reported by two of the following organizations: Duff & Phelps, Dun and Bradstreet Information Services, Fitch IBCA, Moody’s Investors Service, Standard & Poors, or a similar organization. In instances where an applicant does not have its own credit ratings, it may substitute the credit ratings of a parent or affiliate organization, provided the applicant submits a statement signed by a principal officer of the applicant’s parent or affiliate organization that guarantees the obligations of the applicant.
- C-7** **Exhibit C-7 “Credit Report,”** provide a copy of the applicant’s credit report from Experion, Dun and Bradstreet or a similar organization.
- C-8** **Exhibit C-8 “Bankruptcy Information,”** provide a list and description of any reorganizations, protection from creditors or any other form of bankruptcy filings made by the applicant, a parent or affiliate organization that guarantees the obligations of the applicant or any officer of the applicant in the current year or within the two most recent years preceding the application.

C-9 **Exhibit C-9 "Merger Information,"** provide a statement describing any dissolution or merger or acquisition of the applicant within the five most recent years preceding the application.

Dan M. Leather PRESIDENT
Signature of Applicant & Title

Sworn and subscribed before me this 5th day of February, 2013
Month Year

Tim M. Goteau
Signature of official administering oath

Tim M. Goteau, Notary
Print Name and Title

My commission expires on 12-11-13

**CERTIFICATION APPLICATION FOR
AGGREGATORS/POWER BROKERS
ATTACHMENTS**

Exhibit A-11

Principal Officers, Directors and Partners

Name: David Leather

Title: President and Secretary

Address: 61 Watson Rd., Norway, ME 04268

Telephone Number: 207-743-1644

Exhibit A-12

Corporate Structure

Flagship Solutions, Inc. (FSI) is an “S” Corporation of which David Leather is the sole owner. There are no affiliate and subsidiary companies associated with FSI.

Exhibit A-13

Company History

Flagship Solutions, Inc. was incorporated on January 24, 2005 with the primary charter of providing telecommunications and energy consulting to our clients. The energy consulting currently consists primarily of power brokering.

Exhibit A-14
Articles of Incorporation and Bylaws

FORM BCA 2.10 (rev. Dec. 2003)
ARTICLES OF INCORPORATION
Business Corporation Act

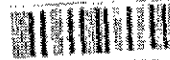
Jesse White, Secretary of State
Department of Business Services
Springfield, IL 62756
Telephone (217) 782-6622
(217) 782-6961
<http://www.cyberdriveillinois.com>

Send payment in the form of a cashier's
check, certified check, money order
or an Illinois attorney's or CPA's check
payable to the Secretary of State
SEE NOTE 1 TO DETERMINE FEES!

Filed 1/24/2005
Jesse White, Secretary of State

Filing Fee \$150.00 Franchise Tax \$ 25.00 Total \$ 175.00 File # 63925132 Approved BE
Submit in duplicate Type or Print clearly in black ink Do not write above this line

1. CORPORATE NAME: RESULTS AUDITING, INC.



CP0066485

(The corporate name must contain the word "corporation," "company," "incorporated," "limited" or an abbreviation thereof.)

2 Initial Registered Agent	ROBERT	J	DYKSTRA
	<i>First Name</i>	<i>Middle Initial</i>	<i>Last name</i>
Initial Registered Office	1415 W 55TH ST	202	
	<i>Number</i>	<i>Street</i>	<i>Suite #</i>
	COUNTRYSIDE	IL	60525-6543
	<i>City</i>	<i>ZIP Code</i>	<i>County</i>
			COOK

3 Purpose or purposes for which the corporation is organized: 44
(If not sufficient space to cover this point, add one or more sheets of this size.)

The transaction of any or all lawful businesses for which corporations may be incorporated under the Illinois Business Corporation Act

4 Paragraph 1 Authorized Shares, Issued Shares and Consideration Received

Class	Number of Shares Authorized	Number of Shares Proposed to be Issued	Consideration to be Received Therefor
COMMON	1,000	100	\$ 100.00
			TOTAL = \$ 100.00

Paragraph 2. The preferences, qualifications, limitations, restrictions and special or relative rights in respect of the shares of each class are
(If not sufficient space to cover this point, add one or more sheets of this size.)

5. OPTIONAL (a) Number of directors constituting the initial board of directors of the corporation: _____
 (b) Names and addresses of the persons who are to serve as directors until the first annual meeting of shareholders or until their successors are elected and qualify:

Name	Address	City, State, ZIP

6. OPTIONAL (a) It is estimated that the value of all property to be owned by the corporation for the following year wherever located will be: \$ _____
 (b) It is estimated that the value of the property to be located within the State of Illinois during the following year will be: \$ _____
 (c) It is estimated that the gross amount of business that will be transacted by the corporation during the following year will be: \$ _____
 (d) It is estimated that the gross amount of business that will be transacted from places of business in the State of Illinois during the following year will be: \$ _____

7. OPTIONAL OTHER PROVISIONS

Attach a separate sheet of this size for any other provision to be included in the Articles of Incorporation, e.g., authorizing preemptive rights, denying cumulative voting, regulating internal affairs, voting majority requirements, fixing a duration other than perpetual, etc.

8. NAME(S) & ADDRESS(ES) OF INCORPORATOR(S)

The undersigned incorporator(s) hereby declare(s) under penalties of perjury, that the statements made in the foregoing Articles of Incorporation are true.

Dated JANUARY 12 2005
 (Month & Day) Year

1. Mark Storto
 Signature
 MARK STORTO
 (Type or Print Name)

2. David M. Leather
 Signature
 DAVID M. LEATHER
 (Type or Print Name)

3. _____
 Signature
 (Type or Print Name)

Address

1. 1630 LOIS ANN LANE
 Street
 NAPERVILLE IL 60563
 City/Town State ZIP Code

2. 65516 MILLCREEK LANE
 Street
 NAPERVILLE IL 60540
 City/Town State ZIP Code

3. _____
 Street
 City/Town State ZIP Code

(Signatures must be in **BLACK INK** on original document. Carbon copy, photocopy or rubber stamp signatures may only be used on conformed copies.)

NOTE: If a corporation acts as incorporator, the name of the corporation and the state of incorporation shall be shown and the execution shall be by a duly authorized corporate officer. Type or print officer's name and title beneath signature.

Note 1: Fee Schedule

The initial franchise tax is assessed at the rate of 15/100 of 1 percent (\$1.50 per \$1,000) on the paid-in capital represented in this State. (Minimum initial franchise tax is \$25)

The filing fee is \$150

The minimum total due (franchise tax + filing fee) is \$175

Note 2: Return to:

VAN ECK & DYKSTRA INC.

(Firm Name)
ROBERT J. DYKSTRA

(Address)
1415 W 55TH ST #202

(Mailing Address)
COUNTRYSIDE IL 60525
 (City, State, ZIP Code)

FORM **BCA 10.30** (rev. Dec 2003)
ARTICLES OF AMENDMENT
Business Corporation Act

Jesse White, Secretary of State
Department of Business Services
Springfield, IL 62756
Telephone (217) 782-1832
<http://www.cyberdriveillinois.com>

Render payment in the form of a
check or money order payable
to the Secretary of State

File # _____ Filing Fee: \$50.00 Approved _____
Submit in duplicate _____ Type or Print clearly in black ink. Do not write above this line.

1 **CORPORATE NAME: RESULTS AUDITING, INC.**

(Note 1)

2 **MANNER OF ADOPTION OF AMENDMENT:**

The following amendment of the Articles of Incorporation was adopted on JULY 25
(Month & Day)

2005 in the manner indicated below ("X" one box only)
(Year)

☐ By a majority of the incorporators, provided no directors were named in the articles of incorporation and no directors have been elected;

(Note 2)

☐ By a majority of the board of directors, in accordance with Section 10.10, the corporation having issued no shares as of the time of adoption of this amendment;

(Note 2)

☐ By a majority of the board of directors, in accordance with Section 10.15, shares having been issued but shareholder action not being required for the adoption of the amendment;

(Note 3)

☒ By the shareholders, in accordance with Section 10.20, a resolution of the board of directors having been duly adopted and submitted to the shareholders. At a meeting of shareholders, not less than the minimum number of votes required by statute and by the articles of incorporation were voted in favor of the amendment;

(Note 4)

☐ By the shareholders, in accordance with Sections 10.20 and 7.10, a resolution of the board of directors having been duly adopted and submitted to the shareholders. A consent in writing has been signed by shareholders having not less than the minimum number of votes required by statute and by the articles of incorporation. Shareholders who have not consented in writing have been given notice in accordance with Section 7.10;

(Notes 4 & 5)

☐ By the shareholders, in accordance with Sections 10.20 and 7.10, a resolution of the board of directors having been duly adopted and submitted to the shareholders. A consent in writing has been signed by all the shareholders entitled to vote on this amendment;

(Note 5)

3 **TEXT OF AMENDMENT:**

a When amendment effects a name change, insert the new corporate name below. Use Page 2 for all other amendments.

Article I: The name of the corporation is

FLAGSHIP SOLUTIONS, INC.

(NEW NAME)

All changes other than name, include on page 2
(over)

C-173 13

Text of Amendment

to (If amendment affects the corporate purpose, the amended purpose is required to be set forth in its entirety. If there is not sufficient space to do so, add one or more sheets of this size.)

~~Page 2~~ 01

PAGE 11D

4 The manner, if not set forth in Article 3b, in which any exchange, reclassification or cancellation of "super shares" or a portion of the number of authorized shares of any class bears the number of issued shares of that class authorized for or affected by the amendment is as follows: *(If not applicable, insert "No change")*

5 (a) The amendment not set forth in Article 3b, in which said amendment effects a change in the amount of paid-in capital. Paid-in capital replaces the term Stated Capital and Paid-in Surplus and is equal to the total of those accounts as set forth in the following: *(If not applicable, insert "No change")*

NO CHANGE

(b) The amount of paid-in capital (and is Capital) replaces the term Stated Capital and Paid-in Surplus and is equal to the total of those accounts as set forth in the following: *(If not applicable, insert "No change")*

	Before Amendment	After Amendment
Paid in Capital	\$ _____	\$ _____

(Complete either Item 6 or 7 below. All signatures must be in BLACK INK.)

6 The undersigned corporation has caused these articles to be signed by a duly authorized officer who affirms, under penalties of perjury, that the facts stated herein are true.

Dated JULY 25 2005 RESULTS AUDITING, INC.
(Month & Day) (Year) (Exact Name of Corporation at date of filing)
David M. Leather
(Type and Print Name of Officer)
DAVID M. LEATHER, PRESIDENT
(Type and Print Name of Officer)

7 If amendment is authorized pursuant to Section 10-10 by the incorporators, the incorporators must sign below and type or print name and title.

OR

If amendment is authorized by the incorporators pursuant to Section 10-10 and there are no officers, then a majority of incorporators or directors may be designated by the board must sign below and type or print name and title.

The undersigns affirm, on the penalties of perjury, that the facts stated herein are true.

Dated _____
(Month & Day) (Year)

~~Print~~ DL

PAGE 11E

FLAGSHIP SOLUTIONS, INC.

NOTES and INSTRUCTIONS

- NOTE 1: State the true exact corporate name as it appears on the records of the office of the Secretary of State BEFORE any amendments herein reported.
- NOTE 2: Incorporators are permitted to adopt amendments ONLY before any shares have been issued and before any directors have been named or elected. (§ 10.10)
- NOTE 3: Directors may adopt amendments without shareholder approval in only seven instances, as follows:
- (a) to remove the names and addresses of directors named in the articles of incorporation;
 - (b) to remove the name and address of the initial registered agent and registered office, provided a statement pursuant to § 5.10 is also filed;
 - (c) to increase, decrease, create or eliminate the par value of the shares of any class, so long as no class or series of shares is adversely affected;
 - (d) to split the issued whole shares and unissued authorized shares by multiplying them by a whole number, so long as no class or series is adversely affected thereby;
 - (e) to change the corporate name by substituting the word "corporation", "incorporated", "company", "limited", or the abbreviation "corp.", "inc.", "co.", or "llc" for a similar word or abbreviation in the name, or by adding a geographical attribution to the name;
 - (f) to reduce the authorized shares of any class pursuant to a cancellation statement filed in accordance with § 9.05;
 - (g) to restate the articles of incorporation as currently amended. (§ 10.15)
- NOTE 4: All amendments not adopted under § 10.10 or § 10.15 require (1) that the board of directors adopt a resolution setting forth the proposed amendment and (2) that the shareholders approve the amendment.
- Shareholder approval may be (1) by vote at a shareholders' meeting (either annual or special) or (2) by consent in writing, without a meeting.
- To be adopted, the amendment must receive the affirmative vote or consent of the holders of at least 2/3 of the outstanding shares entitled to vote on the amendment (but if class voting applies, then also at least a 2/3 vote within each class is required).
- The articles of incorporation may supersede the 2/3 vote requirement by specifying any smaller or larger vote requirement not less than a majority of the outstanding shares entitled to vote and not less than a majority within each class when class voting applies. (§ 10.20)
- NOTE 5: When shareholder approval is by consent, all shareholders must be given notice of the proposed amendment at least 5 days before the consent is signed. If the amendment is adopted, shareholders who have not signed the consent must be promptly notified of the passage of the amendment. (§§ 7.10 & 10.20)
- NOTE 6: In the event of an increase in paid-in capital, the corporation must pay all applicable franchise taxes, penalties and interest before this document can be accepted for filing.

Exhibit A-15
Secretary of State Registration



DATE:	DOCUMENT ID	DESCRIPTION	FILING	EXPED	PENALTY	CERT	COPY
01/15/2013	201301500197	FOREIGN FOR PROFIT CORPORATION - LICENSE (FLF)	125.00	.00	.00	.00	.00

Receipt

This is not a bill. Please do not remit payment.

FLAGSHIP SOLUTIONS, INC.
ATTN: DAVID LEATHER
61 WATSON RD
NORWAY, ME 04268

**STATE OF OHIO
CERTIFICATE**

Ohio Secretary of State, Jon Husted

2165222

It is hereby certified that the Secretary of State of Ohio has custody of the business records for
FLAGSHIP SOLUTIONS, INC.

and, that said business records show the filing and recording of:

Document(s):

FOREIGN FOR PROFIT CORPORATION - LICENSE

Authorization to transact business in Ohio is hereby given, until surrender, expiration or cancellation of this license.

Document No(s):

201301500197



United States of America
State of Ohio
Office of the Secretary of State

Witness my hand and the seal of the
Secretary of State at Columbus, Ohio
this 14th day of January, A.D. 2013.

Jon Husted

Ohio Secretary of State

Exhibit B-1
Jurisdictions of Operation

Flagship Solutions, Inc. currently supports clients with power supply consulting and brokering in Illinois.

Exhibit B-2 Experience & Plans

The following is our general approach with power consulting and brokering. It is our intention to continue this approach in Ohio. We have clients in IL that would like support with their Ohio operations. FSI does **not** bill customers for power brokering services.

Exhibit B-3 has a list of sample clients in IL and a list of potential clients in OH.

Flagship Solutions, Inc.

"Specializing in Overhead Expense Reduction"

ENERGY PROCUREMENT SUPPORT

Dave Leather

207.743.1644

dleather@flagshipsolutions.net

www.flagshipsolutions.net

AGENDA

- Introduction
- Energy Procurement Projects
 - Areas of Support
 - Process
 - Information Required
- Operating principles
- Advantages of third party involvement
- Summary/Q&A

ENERGY PROCUREMENT PROJECTS

FSI supports clients with using a **unique blend of technical, financial, negotiation, and project-management expertise** to provide cost-effective solutions.

Projects focus on **gas and electricity supply procurement** in states that support deregulated energy supply (refer to the map).

PROCESS

➤ **Analysis**

- Analyze costs, usage and other operating parameters
- Determine rate class, usage history and load profiles
- Review current contracts and invoices
- Evaluate current vendors
- Identify contractual priorities

➤ **Design and Development**

- Evaluate pricing options for supply procurement
- Identify potential suppliers
- Identify potential areas for reduction in usage (optional)

➤ **Implementation**

- Obtain bids from potential suppliers
- Obtain client approval on a vendor finalist
- Negotiate with vendor and finalize a contract
- Work with chosen supplier to ensure smooth transition

➤ **Feedback**

- Check first invoice for errors
- Work with supplier to correct any issues
- Revise plans, as appropriate
- Support client with any contract or supplier issues
- Identify market changes and potential opportunities for future power supply

PRODUCT

Fully implemented programs that:

- *Reduce costs; and/or*
- *Maintain energy supply costs within budget*

INFORMATION REQUIRED

To get started FSI needs:

- *Four invoices – one from each quarter of the previous 12 months*
- *Copy of the current contract(s)*

OPERATING PRINCIPLES

FSI will:

- Find **best possible price balanced** with **quality vendor service**;
- **Provide *confidential services***;
- Operate as an **extension to the staff**; and we will
- Maintain a **consultative** relationship throughout the term of the supplier contract

ADVANTAGES OF FSI INVOLVEMENT

- Provide a fresh look at energy supply costs and services
- Avoid interruption of daily business management
- Operate as a strategic business partner – i.e., we operate as part of your team
- Provide maximum value through the application of financial, technical, negotiation, and project-management expertise

SUMMARY

FSI can:

- Fill a *niche* in your business operations;
- Provide a source of expertise; and
- *Help you control energy supply costs!*

Exhibit B-3

Summary of Experience

The following is a sampling of our client base for power brokering:

1. Energy (Nicor gas and ComEd power territory) support to a chain of Burger Kings (approximately 40) in the Chicago area; annual power consumption = ~12 million kw-hr
2. Energy (Peoples Gas and ComEd electric power territory) support to a chain of theatres based in IL; annual power consumption = ~4 million kw-hr
3. Energy (ComEd electric power territory) support to a beer distribution company in IL; approximate annual power consumption = ~2 million kw-hr

The following are some potential clients in OH for power brokering:

1. Electric Power – Kable Packaging
2. Electric Power – Sun Chemical Corporation
3. Electric Power – Talmer Bank

Exhibit B-4
Disclosure of liabilities and Investigations

NONE

Exhibit C-1
Annual Reports

As a privately held S-Corporation no annual reports are filed

Exhibit C-2
SEC Filings

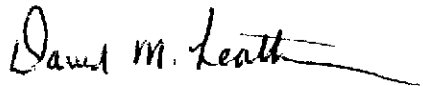
Not required to file with the SEC – Privately Held

Exhibit C-3
Financial Statements

To The Public Utilities Commission of Ohio

I am submitting the accompanying Balance Sheet as of December 31, 2011 and the Income Statement and Statement of Cash Flow for the fiscal year ending December 31, 2011 of Flagship Solutions, Inc.. The financial statements were prepared from the books and records of the company. The financial statements have been prepared on the accounting basis of accounting used by the company for Federal income tax purposes, which is a comprehensive basis of accounting other than generally accepted accounting principles in the United States of America.

To the best of my knowledge, the accompanying financial statements are true, correct and complete.

A handwritten signature in black ink that reads "David M. Leather". The signature is written in a cursive style with a long horizontal flourish extending to the right.

David M. Leather
President
Flagship Solutions, Inc.
January 31, 2013

**FLAGSHIP SOLUTIONS, INC.
BALANCE SHEET
AS OF DECEMBER 31, 2011**

ASSETS

CURRENT ASSETS

CASH IN BANK

\$ 2,210.21

TOTAL CURRENT ASSETS

2,210.21

PROPERTY AND EQUIPMENT

TOTAL ASSETS

\$ 2,210.21

FLAGSHIP SOLUTIONS, INC.
BALANCE SHEET
AS OF DECEMBER 31, 2011

LIABILITIES AND STOCKHOLDERS' EQUITY

CURRENT LIABILITIES

N/P SHAREHOLDERS \$ 808.16

TOTAL CURRENT LIABILITIES 808.16

LONG-TERM LIABILITIES

TOTAL LIABILITIES 808.16

STOCKHOLDERS' EQUITY

CAPITAL STOCK 100.00

RETAINED EARNINGS 1,293.00

CURRENT YEAR NET INCOME (LOSS) 9.05

TOTAL STOCKHOLDERS' EQUITY 1,402.05

**TOTAL LIABILITIES AND
STOCKHOLDERS' EQUITY** \$ 2,210.21

FLAGSHIP SOLUTIONS, INC.
INCOME STATEMENT
FOR THE YEAR ENDED DECEMBER 31, 2011

	YEAR ENDED DECEMBER 31, 2011	%
SALES		
SALES	\$ 177,348.84	100.00
TOTAL SALES	177,348.84	100.00
GROSS PROFIT	177,348.84	100.00
OPERATING EXPENSES		
FREIGHT & POSTAGE	26.40	0.01
INSURANCE	250.00	0.14
LICENSES & TAXES	100.00	0.06
OUTSIDE SERVICES	166,890.84	94.10
STATE INCOME TAX	15.00	0.01
TRAVEL	10,059.51	5.67
TOTAL OPERATING EXPENSES	177,341.75	100.00
OPERATING INCOME (LOSS)	7.09	0.00
OTHER INCOME AND EXPENSES		
INTEREST INCOME	1.96	0.00
TOTAL OTHER INCOME AND EXPENSES	1.96	0.00
NET INCOME (LOSS)	\$ 9.05	0.01

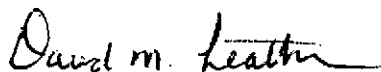
**FLAGSHIP SOLUTIONS, INC.
STATEMENT OF CASH FLOWS
FOR THE YEAR ENDED DECEMBER 31, 2011**

	<u>2011</u>
CASH FLOWS FROM OPERATING ACTIVITIES	
NET INCOME (LOSS)	\$ 9.05
ADJUSTMENTS TO RECONCILE NET INCOME (LOSS) TO NET CASH PROVIDED BY (USED IN) OPERATING ACTIVITIES:	
LOSSES (GAINS) ON SALES OF FIXED ASSETS	0.00
DECREASE (INCREASE) IN OPERATING ASSETS:	
INCREASE (DECREASE) IN OPERATING LIABILITIES:	
ACCRUED LIABILITIES	(325.88)
TOTAL ADJUSTMENTS	(325.88)
NET CASH PROVIDED BY (USED IN) OPERATING ACTIVITIES	(316.83)
CASH FLOWS FROM INVESTING ACTIVITIES	
PROCEEDS FROM SALE OF FIXED ASSETS	0.00
NET CASH PROVIDED BY (USED IN) INVESTING ACTIVITIES	0.00
CASH FLOWS FROM FINANCING ACTIVITIES	
PROCEEDS FROM SALE OF STOCK	0.00
NET CASH PROVIDED BY (USED IN) FINANCING ACTIVITIES	0.00
NET INCREASE (DECREASE) IN CASH AND CASH EQUIVALENTS	(316.83)
CASH AND CASH EQUIVALENTS AT BEGINNING OF PERIOD	2,527.04
CASH AND CASH EQUIVALENTS AT END OF PERIOD	\$ 2,210.21

To The Public Utilities Commission of Ohio

I am submitting the accompanying Balance Sheet as of December 31, 2012 and the Income Statement and Statement of Cash Flow for the fiscal year ending December 31, 2012 of Flagship Solutions, Inc.. The financial statements were prepared from the books and records of the company. The financial statements have been prepared on the accounting basis of accounting used by the company for Federal income tax purposes, which is a comprehensive basis of accounting other than generally accepted accounting principles in the United States of America.

To the best of my knowledge, the accompanying financial statements are true, correct and complete.

A handwritten signature in black ink that reads "David M. Leather". The signature is written in a cursive style with a long, sweeping underline.

David M. Leather
President
Flagship Solutions, Inc.
January 31, 2013

FLAGSHIP SOLUTIONS, INC.
BALANCE SHEET
AS OF DECEMBER 31, 2012

ASSETS

CURRENT ASSETS

CASH IN BANK

\$ 2,706.09

TOTAL CURRENT ASSETS

2,706.09

PROPERTY AND EQUIPMENT

TOTAL ASSETS

\$ 2,706.09

FLAGSHIP SOLUTIONS, INC.
BALANCE SHEET
AS OF DECEMBER 31, 2012

LIABILITIES AND STOCKHOLDERS' EQUITY

CURRENT LIABILITIES

N/P SHAREHOLDERS \$ 808.16

TOTAL CURRENT LIABILITIES 808.16

LONG-TERM LIABILITIES

TOTAL LIABILITIES 808.16

STOCKHOLDERS' EQUITY

CAPITAL STOCK 100.00

RETAINED EARNINGS 1,302.05

CURRENT YEAR NET INCOME (LOSS) 495.88

TOTAL STOCKHOLDERS' EQUITY 1,897.93

**TOTAL LIABILITIES AND
STOCKHOLDERS' EQUITY** \$ 2,706.09

FLAGSHIP SOLUTIONS, INC.
INCOME STATEMENT
FOR THE YEAR ENDED DECEMBER 31, 2012

	YEAR ENDED DECEMBER 31, 2012	%
SALES		
SALES	\$ 199,913.67	100.00
TOTAL SALES	199,913.67	100.00
GROSS PROFIT	199,913.67	100.00
OPERATING EXPENSES		
BANK CHARGES	62.33	0.03
COMPUTER SUPPORT	4,143.95	2.07
ENTERTAINMENT	1,020.25	0.51
INSURANCE	1,674.00	0.84
LICENSES & TAXES	100.00	0.05
OUTSIDE SERVICES	182,162.43	91.12
PROFESSIONAL SERVICES	888.00	0.44
TRAVEL	9,368.05	4.69
TOTAL OPERATING EXPENSES	199,419.01	99.75
OPERATING INCOME (LOSS)	494.66	0.25
OTHER INCOME AND EXPENSES		
INTEREST INCOME	1.22	0.00
TOTAL OTHER INCOME AND EXPENSES	1.22	0.00
NET INCOME (LOSS)	\$ 495.88	0.25

**FLAGSHIP SOLUTIONS, INC.
STATEMENT OF CASH FLOWS
FOR THE YEAR ENDED DECEMBER 31, 2012**

	<u>2012</u>
CASH FLOWS FROM OPERATING ACTIVITIES	
NET INCOME (LOSS)	\$ 495.88
ADJUSTMENTS TO RECONCILE NET INCOME (LOSS) TO NET CASH PROVIDED BY (USED IN) OPERATING ACTIVITIES:	
LOSSES (GAINS) ON SALES OF FIXED ASSETS	0.00
DECREASE (INCREASE) IN OPERATING ASSETS:	
INCREASE (DECREASE) IN OPERATING LIABILITIES:	
ACCRUED LIABILITIES	<u>0.00</u>
TOTAL ADJUSTMENTS	<u>0.00</u>
NET CASH PROVIDED BY (USED IN) OPERATING ACTIVITIES	495.88
CASH FLOWS FROM INVESTING ACTIVITIES	
PROCEEDS FROM SALE OF FIXED ASSETS	<u>0.00</u>
NET CASH PROVIDED BY (USED IN) INVESTING ACTIVITIES	0.00
CASH FLOWS FROM FINANCING ACTIVITIES	
PROCEEDS FROM SALE OF STOCK	<u>0.00</u>
NET CASH PROVIDED BY (USED IN) FINANCING ACTIVITIES	<u>0.00</u>
NET INCREASE (DECREASE) IN CASH AND CASH EQUIVALENTS	495.88
CASH AND CASH EQUIVALENTS AT BEGINNING OF PERIOD	<u>2,210.21</u>
CASH AND CASH EQUIVALENTS AT END OF PERIOD	<u>\$ 2,706.09</u>

Exhibit C-4
Financial Arrangements

None – We are not taking title to the power

Exhibit C-5
Forecasted Financial Statements

To The Public Utilities Commission of Ohio

I am submitting the accompanying Forecasted Balance Sheet as of December 31, 2013 and the Forecasted Income Statement and Forecasted Statement of Cash Flow for the fiscal year ending December 31, 2013 of Flagship Solutions, Inc.. The forecasted financial statements were prepared with the assumptions that gross income and related expenses will increase by ten percent per year. The forecasted statements were prepared using the books and records of the company. The forecasted financial statements have been prepared on the accounting basis of accounting used by the company for Federal income tax purposes, which is a comprehensive basis of accounting other than generally accepted accounting principles in the United States of America.

The forecasted statements were prepared by my accountants:

Van Eck and Dykstra, Inc.
1415 W 55th St Ste 202
Countryside, IL 60525
(708)482-8000
Email: bob@vaneckanddykstra.com

To the best of my knowledge, the accompanying forecasted financial statements are true, correct and complete.



David M. Leather
President
Flagship Solutions, Inc.
January 31, 2013

**FLAGSHIP SOLUTIONS, INC.
FORECASTED BALANCE SHEET
AS OF DECEMBER 31, 2013**

ASSETS

CURRENT ASSETS

CASH IN BANK

\$ 4,816.09

TOTAL CURRENT ASSETS

4,816.09

PROPERTY AND EQUIPMENT

TOTAL ASSETS

\$ 4,816.09

**FLAGSHIP SOLUTIONS, INC.
FORECASTED BALANCE SHEET
AS OF DECEMBER 31, 2013**

LIABILITIES AND STOCKHOLDERS' EQUITY

CURRENT LIABILITIES

N/P SHAREHOLDERS

\$ 808.16

TOTAL CURRENT LIABILITIES

808.16

LONG-TERM LIABILITIES

TOTAL LIABILITIES

808.16

STOCKHOLDERS' EQUITY

CAPITAL STOCK

100.00

RETAINED EARNINGS

1,797.93

CURRENT YEAR NET INCOME (LOSS)

2,110.00

TOTAL STOCKHOLDERS' EQUITY

4,007.93

**TOTAL LIABILITIES AND
STOCKHOLDERS' EQUITY**

\$ 4,816.09

**FLAGSHIP SOLUTIONS, INC.
FORECASTED INCOME STATEMENT
FOR THE YEAR ENDED DECEMBER 31, 2013**

	YEAR ENDED DECEMBER 31, 2013	%
SALES		
SALES	\$ 219,905.00	100.00
TOTAL SALES	219,905.00	100.00
GROSS PROFIT	219,905.00	100.00
OPERATING EXPENSES		
BANK CHARGES	65.00	0.03
COMPUTER SUPPORT	4,200.00	1.91
ENTERTAINMENT	1,050.00	0.48
INSURANCE	1,700.00	0.77
LICENSES & TAXES	100.00	0.05
OUTSIDE SERVICES	200,380.00	91.12
PROFESSIONAL SERVICES	900.00	0.41
TRAVEL	9,400.00	4.27
TOTAL OPERATING EXPENSES	217,795.00	99.04
OPERATING INCOME (LOSS)	2,110.00	0.96
NET INCOME (LOSS)	\$ 2,110.00	0.96

**FLAGSHIP SOLUTIONS, INC.
FORECASTED STATEMENT OF CASH FLOWS
FOR THE YEAR ENDED DECEMBER 31, 2013**

2013

CASH FLOWS FROM OPERATING ACTIVITIES

NET INCOME (LOSS)	\$ 2,110.00
ADJUSTMENTS TO RECONCILE NET INCOME (LOSS) TO NET CASH PROVIDED BY (USED IN) OPERATING ACTIVITIES:	
LOSSES (GAINS) ON SALES OF FIXED ASSETS	0.00
DECREASE (INCREASE) IN OPERATING ASSETS:	
INCREASE (DECREASE) IN OPERATING LIABILITIES:	
ACCRUED LIABILITIES	<u>0.00</u>
TOTAL ADJUSTMENTS	<u>0.00</u>
NET CASH PROVIDED BY (USED IN) OPERATING ACTIVITIES	2,110.00

CASH FLOWS FROM INVESTING ACTIVITIES

PROCEEDS FROM SALE OF FIXED ASSETS	<u>0.00</u>
NET CASH PROVIDED BY (USED IN) INVESTING ACTIVITIES	0.00

CASH FLOWS FROM FINANCING ACTIVITIES

PROCEEDS FROM SALE OF STOCK	<u>0.00</u>
NET CASH PROVIDED BY (USED IN) FINANCING ACTIVITIES	<u>0.00</u>

NET INCREASE (DECREASE) IN CASH AND CASH EQUIVALENTS	2,110.00
---	----------

CASH AND CASH EQUIVALENTS AT BEGINNING OF PERIOD	<u>2,706.09</u>
--	-----------------

CASH AND CASH EQUIVALENTS AT END OF PERIOD	<u><u>\$ 4,816.09</u></u>
--	---------------------------

To The Public Utilities Commission of Ohio

I am submitting the accompanying Forecasted Balance Sheet as of December 31, 2014 and the Forecasted Income Statement and Forecasted Statement of Cash Flow for the fiscal year ending December 31, 2014 of Flagship Solutions, Inc.. The forecasted financial statements were prepared with the assumptions that gross income and related expenses will increase by ten percent per year. The forecasted statements were prepared using the books and records of the company. The forecasted financial statements have been prepared on the accounting basis of accounting used by the company for Federal income tax purposes, which is a comprehensive basis of accounting other than generally accepted accounting principles in the United States of America.

The forecasted statements were prepared by my accountants:

Van Eck and Dykstra, Inc.
1415 W 55th St Ste 202
Countryside, IL 60525
(708)482-8000
Email: bob@vaneckanddykstra.com

To the best of my knowledge, the accompanying forecasted financial statements are true, correct and complete.



David M. Leather
President
Flagship Solutions, Inc.
January 31, 2013

**FLAGSHIP SOLUTIONS, INC.
FORECASTED BALANCE SHEET
AS OF DECEMBER 31, 2014**

ASSETS

CURRENT ASSETS

CASH IN BANK \$ 8,831.09

TOTAL CURRENT ASSETS 8,831.09

PROPERTY AND EQUIPMENT

TOTAL ASSETS \$ 8,831.09

**FLAGSHIP SOLUTIONS, INC.
FORECASTED BALANCE SHEET
AS OF DECEMBER 31, 2014**

LIABILITIES AND STOCKHOLDERS' EQUITY

CURRENT LIABILITIES

N/P SHAREHOLDERS \$ 808.16

TOTAL CURRENT LIABILITIES 808.16

LONG-TERM LIABILITIES

TOTAL LIABILITIES 808.16

STOCKHOLDERS' EQUITY

CAPITAL STOCK 100.00

RETAINED EARNINGS 3,907.93

CURRENT YEAR NET INCOME (LOSS) 4,015.00

TOTAL STOCKHOLDERS' EQUITY 8,022.93

**TOTAL LIABILITIES AND
STOCKHOLDERS' EQUITY** \$ 8,831.09

FLAGSHIP SOLUTIONS, INC.
FORECASTED INCOME STATEMENT
FOR THE YEAR ENDED DECEMBER 31, 2014

	YEAR ENDED DECEMBER 31, 2014	%
SALES		
SALES	\$ 241,900.00	100.00
TOTAL SALES	241,900.00	100.00
GROSS PROFIT	241,900.00	100.00
OPERATING EXPENSES		
BANK CHARGES	65.00	0.03
COMPUTER SUPPORT	4,200.00	1.74
ENTERTAINMENT	1,100.00	0.45
INSURANCE	1,700.00	0.70
LICENSES & TAXES	100.00	0.04
OUTSIDE SERVICES	220,420.00	91.12
PROFESSIONAL SERVICES	900.00	0.37
TRAVEL	9,400.00	3.89
TOTAL OPERATING EXPENSES	237,885.00	98.34
OPERATING INCOME (LOSS)	4,015.00	1.66
NET INCOME (LOSS)	\$ 4,015.00	1.66

**FLAGSHIP SOLUTIONS, INC.
FORECASTED STATEMENT OF CASH FLOWS
FOR THE YEAR ENDED DECEMBER 31, 2014**

	<u>2014</u>
CASH FLOWS FROM OPERATING ACTIVITIES	
NET INCOME (LOSS)	\$ 4,015.00
ADJUSTMENTS TO RECONCILE NET INCOME (LOSS) TO NET CASH PROVIDED BY (USED IN) OPERATING ACTIVITIES:	
LOSSES (GAINS) ON SALES OF FIXED ASSETS	0.00
DECREASE (INCREASE) IN OPERATING ASSETS:	
INCREASE (DECREASE) IN OPERATING LIABILITIES:	
ACCRUED LIABILITIES	<u>0.00</u>
TOTAL ADJUSTMENTS	<u>0.00</u>
NET CASH PROVIDED BY (USED IN) OPERATING ACTIVITIES	4,015.00
CASH FLOWS FROM INVESTING ACTIVITIES	
PROCEEDS FROM SALE OF FIXED ASSETS	<u>0.00</u>
NET CASH PROVIDED BY (USED IN) INVESTING ACTIVITIES	0.00
CASH FLOWS FROM FINANCING ACTIVITIES	
PROCEEDS FROM SALE OF STOCK	<u>0.00</u>
NET CASH PROVIDED BY (USED IN) FINANCING ACTIVITIES	<u>0.00</u>
NET INCREASE (DECREASE) IN CASH AND CASH EQUIVALENTS	4,015.00
CASH AND CASH EQUIVALENTS AT BEGINNING OF PERIOD	<u>4,816.09</u>
CASH AND CASH EQUIVALENTS AT END OF PERIOD	<u><u>\$ 8,831.09</u></u>

Exhibit C-6
Credit Rating

None – Refer to the Financial Statements

Exhibit C-7
Credit Report

None – Refer to the Financial Statements

Exhibit C-8
Bankruptcy Information

Not Applicable

Exhibit C-9
Merger Information

Not Applicable

AFFIDAVIT

State of MAINE :

NORWAY ss.
(Town)

County of OXFORD :

DAVID M. LEATHER, Affiant, being duly sworn/affirmed according to law, deposes and says that:

He/She is the PRESIDENT (Office of Affiant) of FRANSHIP SOLUTIONS, INC. (Name of Applicant);

That he/she is authorized to and does make this affidavit for said Applicant,

1. The Applicant herein, attests under penalty of false statement that all statements made in the application for certification are true and complete and that it will amend its application while the application is pending if any substantial changes occur regarding the information provided in the application.
2. The Applicant herein, attests it will timely file an annual report with the Public Utilities Commission of Ohio of its intrastate gross receipts, gross earnings, and sales of kilowatt-hours of electricity pursuant to Division (A) of Section 4905.10, Division (A) of Section 4911.18, and Division (F) of Section 4928.06 of the Revised Code.
3. The Applicant herein, attests that it will timely pay any assessments made pursuant to Sections 4905.10, 4911.18, or Division F of Section 4928.06 of the Revised Code.
4. The Applicant herein, attests that it will comply with all Public Utilities Commission of Ohio rules or orders as adopted pursuant to Chapter 4928 of the Revised Code.
5. The Applicant herein, attests that it will cooperate fully with the Public Utilities Commission of Ohio, and its Staff on any utility matter including the investigation of any consumer complaint regarding any service offered or provided by the Applicant.
6. The Applicant herein, attests that it will fully comply with Section 4928.09 of the Revised Code regarding consent to the jurisdiction of Ohio Courts and the service of process.
7. The Applicant herein, attests that it will comply with all state and/or federal rules and regulations concerning consumer protection, the environment, and advertising/promotions.
8. The Applicant herein, attests that it will use its best efforts to verify that any entity with whom it has a contractual relationship to purchase power is in compliance with all applicable licensing requirements of the Federal Energy Regulatory Commission and the Public Utilities Commission of Ohio.
9. The Applicant herein, attests that it will cooperate fully with the Public Utilities Commission of Ohio, the electric distribution companies, the regional transmission entities, and other electric suppliers in the event of an emergency condition that may jeopardize the safety and reliability of the electric service in accordance with the emergency plans and other procedures as may be determined appropriate by the Commission.
10. If applicable to the service(s) the Applicant will provide, the Applicant herein, attests that it will adhere to the reliability standards of (1) the North American Electric Reliability Council (NERC), (2) the appropriate regional reliability council(s), and (3) the Public Utilities Commission of Ohio. (Only applicable if pertains to the services the Applicant is offering)

11. The Applicant herein, attests that it will inform the Commission of any material change to the information supplied in the application within 30 days of such material change, including any change in contact person for regulatory purposes or contact person for Staff use in investigating customer complaints.

That the facts above set forth are true and correct to the best of his/her knowledge, information, and belief and that he/she expects said Applicant to be able to prove the same at any hearing hereof.

David M. Leather PRESIDENT
Signature of Affiant & Title

Sworn and subscribed before me this 5th day of February, 2013
Month Year

Tina M. Goteau
Signature of official administering oath

Tina M. Goteau, Notary
Print Name and Title

My commission expires on 12-11-13