

PUBLIC UTILITIES COMMISSION OF OHIO

Certified as a Competitive Retail Electric Service Provider

Certificate Number:

13-622E (1)

Issued Pursuant to Case Number(s):

12-3189-EL-AGG

A certificate as a Competitive Retail Electric Service Provider is hereby granted to, **Rosenthal Energy Advisors, Inc.** whose office or principal place of business is located at **1412 Main Street, Suite 2100, Dallas, TX 75202** to provide **aggregation and power broker** services within the State of Ohio effective **January 11, 2013**.

The certification of competitive retail electric suppliers is governed by Section 4901:1-24-(01-13) of the Ohio Administrative Code, Section 4901:1-21-(01-15) of the Ohio Administrative Code, and Section 4928.08 of the Ohio Revised Code.

This Certificate is revocable if all of the conditions set forth in the aforementioned case(s) are not met.

Subject to all rules and regulations of the Commission, now existing or hereafter promulgated.

Witness the seal of the Commission affixed at Columbus, Ohio.

Dated: **1/15/2013**

By Order of

PUBLIC UTILITIES COMMISSION OF OHIO



Barcy F. McNeal, Secretary

Betty McCauley, Acting Secretary

Tanowa M. Troupe, Acting Secretary

Certificate Expires: January 11, 2015

This is to certify that the images appearing are an accurate and complete reproduction of a case file document delivered in the regular course of business
Technician SM Date Processed - **JAN 15 2013**

CRES AUTOMATIC CASE ACTION FORM

Case No. **12-3189-EL-AGG**

Date Sent: **January 15, 2013**

Effective Date of Certificate: **January 11, 2013**

Certificate Expires: **January 11, 2015**

Company Name and Company Name d/b/a: **Rosenthal Energy Advisors, Inc.**

☐ Renewal

Action Needed:

☒ Issue Certificate Number to: **Rosenthal Energy Advisors, Inc.** at (address):
1412 Main St., Suite 2100, Dallas, TX 75202

☒ Certified To Provide the Following Services:

- ☐ Retail Generation
- ☒ Aggregation
- ☐ Power Marketer
- ☒ Power Broker
- ☐ Governmental Aggregation

☐ Renew Certificate No. to

☐ Revise Certificate No. to (check all applicable):

☐ Reflect name change from to

☐ Reflect address change from to

☐ Add new service offering to certificate:

- ☐ Retail Generation
- ☐ Aggregation
- ☐ Power Marketer
- ☐ Power Broker
- ☐ Governmental Aggregation

☐ Correct Administrative Error

☐ Reflect Change of Ownership to:

☐ Cancel Certificate No.

☐ Protect Un-redacted copies until

☐ Close Case File, Case Withdrawn at Applicant's Request

☐ Close Case File

CASE NUMBER: 12-3189-EL-AGG
CASE DESCRIPTION: ROSENTHAL ENERGY ADVISORS INC
DATE OF SERVICE: 1/15/2013
DOCUMENT SIGNED ON: 1/15/2013

Sign Here: _____

**APPLICANT****PARTY OF RECORD****ATTORNEY**

ROSENTHAL ENERGY ADVISORS INC
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NONE

ATTORNEY**PARTY OF RECORD****ATTORNEY**

none

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