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- A-12. *Exhibit A-12 "Principal Officers, Directors & Partners". Provide the names, titles, address and telephone numbers of the applicant's principal officers, directors, partners, or other similar officials. See Exhibit A-12 attached hereto.*
- D-3. *Exhibit D-3 "Key Technical Personnel". Provide the names, titles, e-mail addresses, telephone numbers, and the background of key personnel involved in the operational aspects of the applicant's business. See Exhibit D-3 attached hereto.*

All other information provided in GSERNA's Application remains accurate and current. Enclosed for filing are one (1) original and ten (10) copies.

If you have any questions or require additional information in connection with this update, please contact me at (713) 636-1607 or at [Naveen.rabie@gdfsuezna.com](mailto:Naveen.rabie@gdfsuezna.com).

Regards,

A handwritten signature in black ink, appearing to read "Naveen Rabie".

Naveen Rabie  
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The Public Utilities Commission of Ohio

**GDF SUEZ**

**GDF Suez Energy Resources NA, Inc. – Certificate No. 04-118(1)  
Update to Certification Application for Retail Power Marketer in the  
State of Ohio by the Public Utilities Commission of Ohio**

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**Exhibit D-3 – “Key Technical Personnel”**

*Names, titles, e-mail addresses, telephone numbers, and the background of key personnel involved in the operational aspects of the applicant's business.*

**SAM HENRY - President & CEO**

Sam Henry is the President and CEO of GDF Suez Energy Resources NA, Inc. (GSERNA), one of the nation's leading energy providers serving commercial and industrial customers and a subsidiary of IPR-GDF Suez North America, Inc. (IPR-GSNA), a member of the internal energy groups International Power and GDF Suez, active in the development, acquisition, and operation of electricity and cogeneration facilities, the marketing and sales of products and services, and the import and distribution of natural gas and LNG. Prior to joining GSERNA, from 2004 to 2012, Mr. Henry was the President and CEO of IPR-GDF Suez Energy Marketing, Inc., the trading and portfolio management operating group of IPR-GSNA. His responsibilities included all commercial activities surrounding power generation plants and the hedging of commodity price risks for the company in North America. In Mr. Henry's three decades in the energy industry, he has focused on asset and risk management. Prior to joining the IPR-GSNA group, Mr. Henry was Vice President of Risk Management at Edison Mission Energy, a company with 25,000 MW of generation in the United States, United Kingdom and Australia. Mr. Henry also served as Vice President of Trading and Risk management for Conoco's natural gas and gas liquids division, as well as its affiliated power marketer, Dupont Power Marketing. Mr. Henry also held positions at Chevron in the crude oil, gas liquids, and natural gas marketing sectors in Texas and New York. Mr. Henry is a member of the Global Association of Risk Professionals and has been a member of the New York Mercantile Exchange advisory committee on propane, natural gas, and electricity trading. He also serves on the Advisory Board of the University of Houston Global Energy Management Institute.

**CRAIG SUTTER - Senior Vice President, Sales**

Mr. Sutter joined the GDF SUEZ group in January 2005 and is responsible for sales strategy and day-to-day operations for all customer segments and geographic territories. Mr. Sutter's experience in liberalized energy markets dates back to 1991 and includes senior management roles with Enron Energy Services and Sempra Energy Solutions. Mr. Sutter has more than 15 years of energy sales experience and was most recently the VP of Industrial Sales at Sempra. Mr. Sutter possesses a broad skill set that includes expertise in gas and power markets, operations and maintenance contracts, energy efficiency related capital projects, energy outsourcing and general management. He holds a Bachelor of Arts in Marketing from Wartburg College in Iowa and currently resides in Houston, Texas.

**RAY CUNNINGHAM - Vice President, General Counsel & Secretary**

Mr. Cunningham joined the retail business unit of GDF SUEZ in October 2012 as its Vice President & Assistant General Counsel where he is responsible for government affairs, regulatory compliance, corporate transactions, litigation, risk management, and all legal matters impacting the retail business. Mr. Cunningham has over 25 years of experience in the energy industry and was most recently Vice President & Assistant General Counsel of the wholesale gas and power business unit of GDF SUEZ. Mr. Cunningham is a graduate of Texas Tech University and South Texas College of Law.

**DAVID COFFMAN - Vice President, Marketing**

Mr. Coffman joined the company in 2007 and is responsible for strategic planning, product development, market research and advertising. Prior to GDF SUEZ, he worked at Aquila Energy where he served in various capacities including product development, risk management consulting and fundamental market analysis within the retail and wholesale markets. He also held similar roles for Black & Veatch Corporation. Mr. Coffman earned a Bachelor of Science in Business Administration from the University of Missouri and his Masters of Business Administration from Avila University.

**JAY HARPOLE - Vice President, Supply**

Mr. Harpole joined the company in August 2002 and is responsible for pricing, and portfolio risk management. Mr. Harpole joined GDF SUEZ from Dynegy where he served as Manager of Wholesale and Retail Structuring. Before joining Dynegy, Mr. Harpole worked for Exxon Chemical Americas where he served in the Controllers Department in Houston. Prior to working at Exxon, Mr. Harpole worked for Lamar Advertising Company in Investor Relations and M&A analysis. Mr. Harpole holds a MBA and BS degree in International Trade and Finance from Louisiana State University.

**SALVATORE NICOTRA – Vice President & Controller, Business Control**

Mr. Nicotra is responsible for the financial functions, including accounting, performance reporting, planning, settlements and credit. In addition, he heads up the customer billing and account management functions. Prior to GDF SUEZ, Mr. Salvatore was the Vice President of Business Control for GDF SUEZ Gas NA LLC. Mr. Nicotra is a certified public accountant and holds a Bachelor of Business Administration in accounting from Baylor University. Mr. Salvatore is a member of the American Institute of CPA's, as well as the National Association of Certified Valuators and Analysts.

**JOHN HENDERSON – Vice President, Retail Strategy**

Mr. Henderson joined the Retail group in October 2012 to focus on strategies for extending the company's commercial and industrial capabilities to the B2C market. Previously, Mr. Henderson served as Vice President, Central Portfolio Management focusing on compliance with the new Dodd-Frank financial reform regulations and hedging strategy and optimization of GDF SUEZ North America electricity, LNG and retail portfolio. Mr. Henderson joined GDF SUEZ in 2002 and has served in a broad range of commercial and operational executive roles including retail marketing and operations, COO of Mexico regulated gas distribution and co-generation, North America merchant generation management, and portfolio risk management. Prior to joining GDF SUEZ, Mr. Henderson held energy-related executive positions at The New Power Company, a joint venture between Enron, AOL, GE and IBM to serve residential customers, and Enron in North America and the United Kingdom. Mr. Henderson began his career working in New York in investment banking prior to moving to Houston and focusing on energy. Mr. Henderson holds a Bachelor of Science degree in Electrical Engineering and also Economics from Rice University (with highest distinction) and an MBA degree from The Standard Graduate School of Business. Mr. Henderson is a member of the Global Association of Risk Professionals and is actively involved in advancing competitive policy through numerous gas, electric and retail industry associations.

**DEENA MORGAN – Vice President & General Manager, Think Energy**

Ms. Morgan is General Manager of the Small Commercial Competitive Retail Business for Think Energy. She is responsible for the sales, marketing and operations of the company in its quest to become a market leader in the deregulated retail electricity business. Prior to joining GDF SUEZ, Ms. Morgan served as Reliant Energy's VP of Retail Strategy, where she was responsible for strategy and analytics for the small and large commercial and industrial segments. Ms. Morgan supported various efforts in her tenure, including structuring long-term deals for the Wholesale Origination Team, the development of retail pricing models, Small Business Segment Marketing, C&I Strategy and Portfolio Analytics. Ms. Morgan holds a science degree in Applied Mathematics from Texas A&M University.

**VIKRAM KULKARNI – Assistant Vice President, Operations**

Mr. Kulkarni joined the company in June 2003 and is responsible for a number of critical operational aspects including load analysis, forecasting, order fulfillment and information technology. Mr. Kulkarni has more than 10 years of experience in the retail energy space within operations, structuring and risk management functions. He was previously with TXU Energy and an associate with Enron Energy Services. Mr. Kulkarni's staff manages the retail business unit's project management, load analytics, data analysis, business services, and customer service. Mr. Kulkarni holds a Bachelor of Science degree in Economics from the University of Wisconsin – Madison, and a Master of Science degree in Finance from Boston College.



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**Exhibit A-12 – “Principal Officers, Directors & Partners”**

*Names, titles, addresses and telephone numbers of the applicant's principal officers, directors, partners, other similar officials.*

**Sam Henry**

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