

12-2874-EL-AGG

2012 OCT 29 PA 4: 17

Powering Your Business

October 25, 2012

The Public Utilities Commission of Ohio Docketing Division 13th Floor 180 East Broad Street Columbus, OH 43215-3793

To Whom it May Concern,

Enclosed with this cover letter is one original Power Broker application and 10 copies. Tobelmann Energy Brokers, Inc, is a small energy broker in Pennsylvania with hopes to provide our services in Ohio as a Power Broker. We do not take title to the power supplied.

Please review and submit our application for consideration for a license in Ohio. Please let us know if you need any other information.

Regards,

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John Tobelmann, CEM, CEP President Tobelmann Energy Brokers, Inc. 610-639-1406 john@tobelmann.net



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The Public Utilities Commission of Ohio

12-2874-EL-	AGG
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PUCO USE ONLY

Date Received	Case Number	Version
	EL-AGG	August 2004

CERTIFICATION APPLICATION FOR AGGREGATORS/POWER BROKERS

Please print or type all required information. Identify all attachments with an exhibit label and title (Example: Exhibit A-5 Experience). All attachments should bear the legal name of the Applicant. Applicants should file completed applications and all related correspondence with the Public Utilities Commission of Ohio, Docketing Division; 180 East Broad Street, Columbus, Ohio 43215-3793.

This PDF form is designed so that you may input information directly onto the form. You may also download the form, by saving it to your local disk, for later use.

A. <u>APPLICANT INFORMATION</u>

A-1 Applicant's legal name, address, telephone number and web site address

Legal Name Tobelmann Energy Brokers, Inc. Address 15 Kiloran Wynd, Glenmoore, PA 19343 Telephone # (610) 639-1406 Web site address (if any) www.tobelmann.net

A-2 List name, address, telephone number and web site address under which Applicant will do business in Ohio

 Legal Name Tobelmann Energy Brokers, Inc.

 Address 15 Kiloran Wynd, Glanmoora, PA 19343

 Telephone # (610) 639-1406
 Web site address (if any) www.lobelmann.net

- A-3 List all names under which the applicant does business in North America Tobelmann Energy Brokers, Inc.
- A-4 Contact person for regulatory or emergency matters

John Tobelmann President



15 Kiloran Wynd Glenmoore, PA 19343 (888) 583-7760 toll free (610) 639-1406 cell (484) 204-0618 fax john@tobelmann.net

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Business address 15 Kiloran Wynd, Glenmoore, PA 19343							
Telephone # (610) 639-1406	Fax # (484) 204-0618						
E-mail address (if any) iohn@tobelmann.net							

A-5 Contact person for Commission Staff use in investigating customer complaints

Name John Tobelmann	
Title President	
Business address 15 Kiloran Wynd, Glenmoore, PA	19343
Telephone # (610) 639-1406	Fax # (484) 204-0618
E-mail address (if any) john@tobelmann.net	

A-6 Applicant's address and toll-free number for customer service and complaints

 Customer Service address
 P.O. Box 456, Lionville, PA 19353

 Toll-free Telephone #
 (888) 583-7790

 Fax #
 (484) 204-0618

 E-mail address (if any)
 customerservice@tobe/mann.net

A-7 Applicant's federal employer identification number # 264679562

A-8 Applicant's form of ownership (check one)

Sole Proprietorship	Partnership
Limited Liability Partnership (LLP)	Limited Liability Company (LLC)
Corporation	Other

A-9 (Check all that apply) Identify each electric distribution utility certified territory in which the applicant intends to provide service, including identification of each customer class that the applicant intends to serve, for example, residential, small commercial, mercantile commercial, and industrial. (A mercantile customer, as defined in (A) (19) of Section 4928.01 of the Revised Code, is a commercial customer who consumes more than 700,000 kWh/year or is part of a national account in one or more states).

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Ohio Edison	Residential	Commercial	Mercantile	d Industrial
🖬 Toledo Edison	Residential	■ Commercial	n Mercantile	Industrial
Cleveland Electric Illuminating	🛛 Residential	Commercial	Mercantile	Industrial
🖬 Cincinnati Gas & Electric	Residential	Commercial	@Mercantile	🖬 Industrial
🗹 Monongahela Power	B Residential	Commercial	6 Mercantile	🖬 Industrial
American Electric Power				
🖬 Ohio Power	Besidential	Commercial	n Mercantile	Industrial
Columbus Southern Power	e Residential	Commercial	d Mercantile	ndustrial
Dayton Power and Light	d Residential	d Commercial	🖬 Mercantile	6 Industrial

A-10 Provide the approximate start date that the applicant proposes to begin delivering services

November 1, 2012

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:

- A-11 <u>Exhibit A-11 "Principal Officers, Directors & Partners"</u> provide the names, titles, addresses and telephone numbers of the applicant's principal officers, directors, partners, or other similar officials.
- A-12 <u>Exhibit A-12 "Corporate Structure,"</u> provide a description of the applicant's corporate structure, including a graphical depiction of such structure, and a list of all affiliate and subsidiary companies that supply retail or wholesale electricity or natural gas to customers and companies that aggregate customers in North America.
- A-13 <u>Exhibit A-13 "Company History,"</u> provide a concise description of the applicant's company history and principal business interests.
- A-14 <u>Exhibit A-14 "Articles of Incorporation and Bylaws,"</u> if applicable, provide the articles of incorporation filed with the state or jurisdiction in which the Applicant is incorporated and any amendments thereto.
- A-15 <u>Exhibit A-15 "Secretary of State,</u>" provide evidence that the applicant has registered with the Ohio Secretary of the State.

B. APPLICANT MANAGERIAL CAPABILITY AND EXPERIENCE

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:

- **B-1** <u>Exhibit B-1 "Jurisdictions of Operation,"</u> provide a list of all jurisdictions in which the applicant or any affiliated interest of the applicant is, at the date of filing the application, certified, licensed, registered, or otherwise authorized to provide retail or wholesale electric services including aggregation services.
- B-2 <u>Exhibit B-2 "Experience & Plans,"</u> provide a description of the applicant's experience and plan for contracting with customers, providing contracted services, providing billing statements, and responding to customer inquiries and complaints in accordance with Commission rules adopted pursuant to Section 4928.10 of the Revised Code.

- **B-3** Exhibit B-3 "Summary of Experience," provide a concise summary of the applicant's experience in providing aggregation service(s) including contracting with customers to combine electric load and representing customers in the purchase of retail electric services. (e.g. number and types of customers served, utility service areas, amount of load, etc.).
- **B-4** Exhibit B-4 "Disclosure of Liabilities and Investigations," provide a description of all existing, pending or past rulings, judgments, contingent liabilities, revocation of authority, regulatory investigations, or any other matter that could adversely impact the applicant's financial or operational status or ability to provide the services it is seeking to be certified to provide.

If yes, provide a separate attachment labeled as <u>Exhibit B-5 "Disclosure of Consumer</u> <u>Protection Violations"</u> detailing such violation(s) and providing all relevant documents.

B-6 Disclose whether the applicant or a predecessor of the applicant has had any certification, license, or application to provide retail or wholesale electric service including aggregation service denied, curtailed, suspended, revoked, or cancelled within the past two years.

Ya No □Yes

If yes, provide a separate attachment labeled as <u>Exhibit B-6 "Disclosure of</u> <u>Certification Denial, Curtailment, Suspension, or Revocation"</u> detailing such action(s) and providing all relevant documents.

C. APPLICANT FINANCIAL CAPABILITY AND EXPERIENCE

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:

- C-1 <u>Exhibit C-1 "Annual Reports,"</u> provide the two most recent Annual Reports to Shareholders. If applicant does not have annual reports, the applicant should provide similar information in Exhibit C-1 or indicate that Exhibit C-1 is not applicable and why.
- C-2 <u>Exhibit C-2 "SEC Filings,"</u> provide the most recent 10-K/8-K Filings with the SEC. If applicant does not have such filings, it may submit those of its parent company. If the applicant does not have such filings, then the applicant may indicate in Exhibit C-2 that the applicant is not required to file with the SEC and why.

C-9 <u>Exhibit C-9 "Merger Information."</u> provide a statement describing any dissolution or merger or acquisition of the applicant within the five most recent years preceding the application.

ignature of Applicant & Title Sworn and subscribed before me this 25 day of 047, 2012 Month Year Kent Men Signature of official administering oath Kevin P. Broney Print Name and Title My commission expires on FEB 9, 2015 COMMONWEALTH OF PENNSYLVANIA Notarial Seai Kevin P. Brady, Notary Public Uwchian Twp., Chester County My Commission Expires Feb. 9, 2015 MEMBER, PENNSYLVANIA ASSOCIATION OF NOTARIES

<u>AFFIDAVIT</u>

State of <u>PA</u>:

EXAN (Town) ss.

County of CHESTER :

I, <u>John Tobelmann</u>, Affiant, being duly sworn/affirmed according to law, deposes and says that: He/She is the <u>President</u> (Office of Affiant) of <u>Tobelmann Evergy</u> Brokers Ing(Name of Applicant);

That he/she is authorized to and does make this affidavit for said Applicant,

- 1. The Applicant herein, attests under penalty of false statement that all statements made in the application for certification are true and complete and that it will amend its application while the application is pending if any substantial changes occur regarding the information provided in the application.
- 2. The Applicant herein, attests it will timely file an annual report with the Public Utilities Commission of Ohio of its intrastate gross receipts, gross earnings, and sales of kilowatt-hours of electricity pursuant to Division (A) of Section 4905.10, Division (A) of Section 4911.18, and Division (F) of Section 4928.06 of the Revised Code.
- 3. The Applicant herein, attests that it will timely pay any assessments made pursuant to Sections 4905.10, 4911.18, or Division F of Section 4928.06 of the Revised Code.
- 4. The Applicant herein, attests that it will comply with all Public Utilities Commission of Ohio rules or orders as adopted pursuant to Chapter 4928 of the Revised Code.
- 5. The Applicant herein, attests that it will cooperate fully with the Public Utilities Commission of Ohio, and its Staff on any utility matter including the investigation of any consumer complaint regarding any service offered or provided by the Applicant.
- 6. The Applicant herein, attests that it will fully comply with Section 4928.09 of the Revised Code regarding consent to the jurisdiction of Ohio Courts and the service of process.
- 7. The Applicant herein, attests that it will comply with all state and/or federal rules and regulations concerning consumer protection, the environment, and advertising/promotions.
- 8. The Applicant herein, attests that it will use its best efforts to verify that any entity with whom it has a contractual relationship to purchase power is in compliance with all applicable licensing requirements of the Federal Energy Regulatory Commission and the Public Utilities Commission of Ohio.
- 9. The Applicant herein, attests that it will cooperate fully with the Public Utilities Commission of Ohio, the electric distribution companies, the regional transmission entities, and other electric suppliers in the event of an emergency condition that may jeopardize the safety and reliability of the electric service in accordance with the emergency plans and other procedures as may be determined appropriate by the Commission.
- 10. If applicable to the service(s) the Applicant will provide, the Applicant herein, attests that it will adhere to the reliability standards of (1) the North American Electric Reliability Council (NERC), (2) the appropriate regional reliability council(s), and (3) the Public Utilities Commission of Ohio. (Only applicable if pertains to the services the Applicant is offering)

11. The Applicant herein, attests that it will inform the Commission of any material change to the information supplied in the application within 30 days of such material change, including any change in contact person for regulatory purposes or contact person for Staff use in investigating customer complaints.

That the facts above set forth are true and correct to the best of his/her knowledge, information, and belief and that he/she expects said Applicant to be able to prove the same at any hearing hereof.

Signature of Affiant & Title

Sworn and subscribed before me this 25 day of	0 CT Month	2012 Year	
Signature of official administering dath	Ke Print	Name and Titl	COMMONWEALTH OF PENNSYLVANIA
My commission expires on	Ferb 9	2015	Notarial Seal Kevin P. Brady, Notary Public Uwchlan Twp., Chester County My Commission Expires Feb. 9, 2015 MEMBER, PENNSYLVANIA ASSOCIATION OF NOTARIES

- C-3 <u>Exhibit C-3 "Financial Statements,"</u> provide copies of the applicant's two most recent years of audited financial statements (balance sheet, income statement, and cash flow statement). If audited financial statements are not available, provide officer certified financial statements. If the applicant has not been in business long enough to satisfy this requirement, it shall file audited or officer certified financial statements covering the life of the business.
- C-4 <u>Exhibit C-4 "Financial Arrangements,"</u> provide copies of the applicant's financial arrangements to conduct CRES as a business activity (e.g., guarantees, bank commitments, contractual arrangements, credit agreements, etc.,).
- C-5 <u>Exhibit C-5 "Forecasted Financial Statements,"</u> provide two years of forecasted financial statements (balance sheet, income statement, and cash flow statement) for the applicant's CRES operation, along with a list of assumptions, and the name, address, e-mail address, and telephone number of the preparer.
- C-6 Exhibit C-6 "Credit Rating," provide a statement disclosing the applicant's credit rating as reported by two of the following organizations: Duff & Phelps, Dun and Bradstreet Information Services, Fitch IBCA, Moody's Investors Service, Standard & Poors, or a similar organization. In instances where an applicant does not have its own credit ratings, it may substitute the credit ratings of a parent or affiliate organization, provided the applicant submits a statement signed by a principal officer of the applicant's parent or affiliate organization that guarantees the obligations of the applicant.
- C-7 <u>Exhibit C-7 "Credit Report,"</u> provide a copy of the applicant's credit report from Experion, Dun and Bradstreet or a similar organization.
- C-8 <u>Exhibit C-8 "Bankruptcy Information,"</u> provide a list and description of any reorganizations, protection from creditors or any other form of bankruptcy filings made by the applicant, a parent or affiliate organization that guarantees the obligations of the applicant or any officer of the applicant in the current year or within the two most recent years preceding the application.



Exhibit A-11

Principle Officers, Directors & Partners

John Tobelmann President 15 Kiloran Wynd Glenmoore, Pa 19343 (610) 639-1406 (484) 204-0618 fax john@tobelmann.net

Pamela Shauger Vice President 15 Kiloran Wynd Glenmoore, PA 19343 (888) 583-7760

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Exhibit A-12

Corporate Structure

Tobelmann Energy Brokers, Inc. is a small S-Corporation formed in Pennsylvania. Tobelmann Energy Brokers has 2 employees. We also work with 6 independent contractors who use our services to procure electricity and natural gas for their customers. We have no subsidiaries.

Our independent contractors are: Energy Management Systems, Inc. AAPL, Inc. Eric Highbloom Marty Moss Ibrahim Ghouneim John Hashem

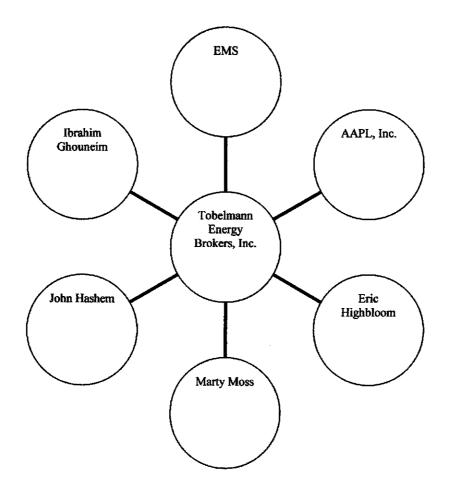




Exhibit A-13

Company History

Tobelmann Energy Brokers, Inc. is an S Corp, incorporated in the State of Pennsylvania, January 1, 2009. Its primary business function is to procure energy products for commercial entities operating in the North East US. Energy products include Electricity, Natural Gas and Fuel Oil.

Tobelmann Energy Brokers is solely owned by John Tobelmann, an energy professional who has 20 years experience in energy consulting, operations management and energy channel sales.

Tobelmann Energy Brokers employs independent sales representatives to solicit new business. Total current contracted rep companies number 6, including approximately 10 total sales people.

Tobelmann Energy Brokers currently has customers in the North East as well as II, TX and MI with annual energy expenditures of approximately \$30 million or about 180,000 Megawatt hours. Customers consist of Restaurants, Nursing Homes, Office Buildings, Shopping Centers and Hotels.

Tobelmann Energy Brokers has facilitated energy contracts for customers using the following ESCO's:

- o ConEdison Solutions
- o Direct Energy
- o Dominion Energy
- o **Exelon**
- o GDF Suez
- o Hess Corporation
- o Hudson Energy Services
- Liberty Power
- o Spark Energy Services
- o UGI Energy Services
- Washington Gas Energy Services

About the President

John Tobelmann graduated in 1985 with a BS degree in Quantitative Business Analysis from The Pennsylvania State University.

John has been procuring energy for customers since 1992. John was the Operations Manager for an energy procurement company for over a decade. The company sold electricity and natural gas for ConEd Solutions, Strategic Energy, Keyspan Energy, PSE&G Energy Technologies, Select Energy, Hess and Duke. Some of John's customer's have included Hilton Hotels of NYC, Silverstein Properties, Darden Restaurants, Helmsley Spear – including The Empire State Building to name just a few. By 2001, energy procurement customers used over \$350 Million in electricity annually. John also trained approximately 100 sales representatives in the process of energy procurement from 1998-2005.

In 2005, John started his own company and in 2009 incorporated under the name Tobelmann Energy Brokers, Inc. Because of its sophisticated approach, Tobelmann Energy Brokers has a distinguished portfolio of clients including Hotels, Medical Facilities, Restaurants, Metering Companies and other large commercial buildings.



Exhibit A-14

Article of Incorporation attached on next pages

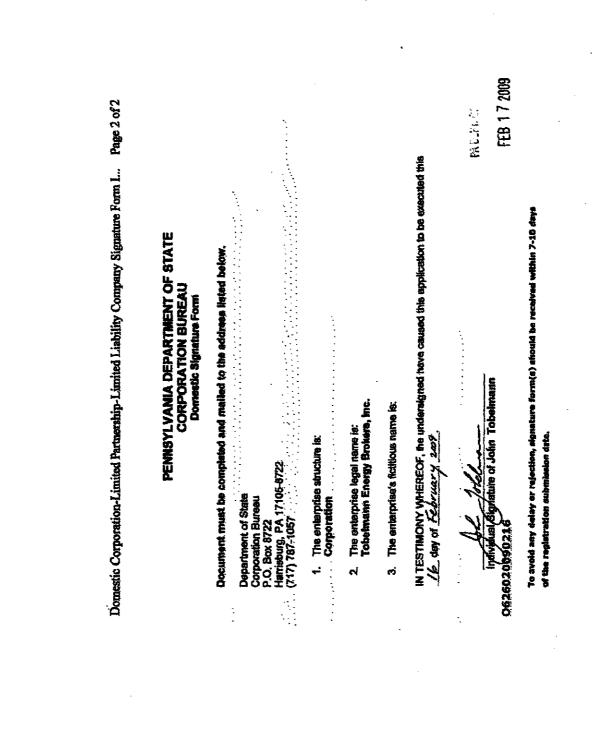
Articles of Incorporation-For Profit

Entity #: 3863450
Date Filed: 02/17/2009
Pedro A. Cortés
Secretary of the Commonwealth

ST, PAO4B

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2/18/2009



T IS T BOBA BARRIES IN BURLINGEN ISI



Corporations

Online Services | Corporations | Forms | Contact Corporations | Business Services

Date: 12/14/2011 Business Entity Filing History (Select the link above to view the Business Entity's Filing History)

Business Name History

Name Tobelmann Energy Brokers, Inc.	Name Type Current Name		
Business Corporation - Dome	stic - Information		
Entity Number:	3863450		
Status:	Active		
Entity Creation Date:	2/17/2009		
State of Business.:	PA		
Registered Office Address:	5401 Lister Court Chester Springs PA 19425 Chester		
Mailing Address:	No Address		
Officers			
Name:	JOHN R TOBELMANN		
Title:	President		
Add res s:	5401 LISTER CT CHESTER SPRGS PA 19425-15		
Name:	PAMELA SHAUGER		
Title:	Vice President		
Address:	5401 LISTER CT CHESTER SPRGS PA 19425-15		



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By Business Name By Business Entity ID Verify Verify Certification Online Orders Register for Online Orders Order Good Standing Order Certified Documents Order Business List My Images Search for Images

Search



Exhibit A-15

Secretary of State

State of Ohio Certificate attached on next page

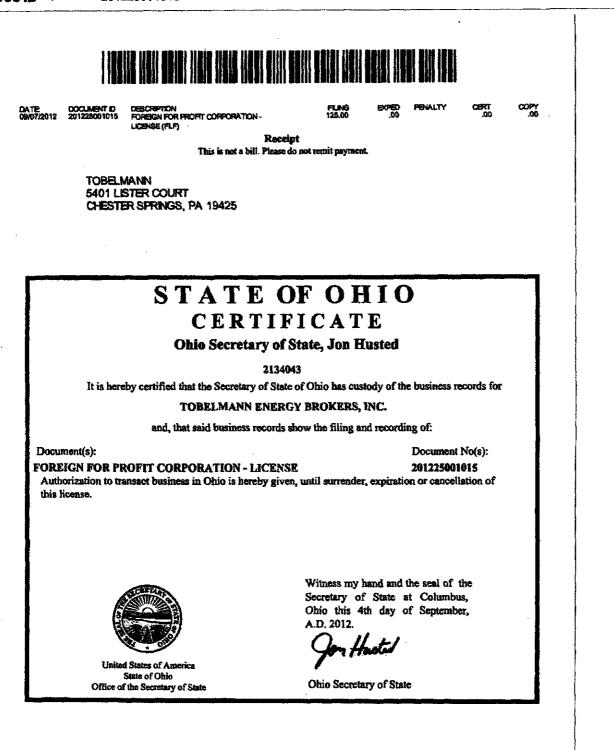




Exhibit B-1

Jurisdictions of Operation

Tobelmann Energy Brokers, Inc. is certified, registered or licensed in the following states:

State	License or Docket ID #
Pennsylvania	A-2010-2211385
Maryland	IR-2429
Massachusetts	EB-196
Illinois	12-0097
Delaware	12-229, order 8154
New Jersey	EA-0191



Exhibit B-2

Experience & Plans

Tobelmann Energy Brokers, Inc. (TEB) has been incorporated in Pennsylvania since January 2009. Prior to incorporation, the founder and president, John Tobelmann had over 17 years in the energy procurement industry. [Resume Attached on next page]

Since January 2010, when the retail electricity rate caps were removed in Pennsylvania, TEB has secured electricity and natural gas supply for over 200 customers in Pennsylvania, Maryland, Massachusetts, Illinois and Delaware.

TEB may from time to time have customers with locations in other states like Ohio [where we do not normally operate] who request our services in those states. Other than those opportunities, we are not actively marketing our service in Ohio. We are attempting to hire a sales representative in Ohio at this time to solicit commercial customers once we are certified there.

TEB does not bill our customers. TEB does not contract directly with its customers. Rather we facilitate a sales contract between one of many suppliers [that we work with] and the customer. The supplier ultimately contracted with bills the customer directly and if that customer pays their bill, the supplier pays TEB a commission. If there are any problems between the supplier and the customer, the customer is instructed to first contact TEB to facilitate a resolution. But the ultimate responsibility to solve any problem resides with the supplier. Since our inception, we have not had one problem that we were not able to resolve amicably and no customers to my knowledge have terminated their contract prematurely.

All of the requirements mandated in 4928.10 of the Revised Code are spelled out in the supplier contract, which is provided at the time of contracting.



Exhibit B-3

Summary of Experience

Tobelmann Energy Brokers, Inc. (TEB) has been incorporated in Pennsylvania since January 2009. Prior to incorporation, the founder and president, John Tobelmann had over 17 years in the energy procurement industry. [Resume Attached on next page]

Since January 2010, when the retail electricity rate caps were removed in Pennsylvania, TEB has secured electricity and natural gas supply for over 200 customers in Pennsylvania, Maryland, Massachusetts, Illinois and Delaware and New York. Total load is approximately 300,000 Megawatt Hours.

In Pennsylvania, TEB has contracted 236,000 Megawatt Hours of electricity since January 2010, 97% of which is commercial/industrial. TEB has contracted with a very small amount of residential accounts – mainly friends and family of our sales reps. TEB has also aggregated a block of residential accounts for one of our commercial customers totaling 4,000 Mw hours per year. PA customers are located in PECO, PPL, MetEd, Allegheny and Penn Power utility areas.

In Maryland, TEB has contracted 700 Mw hours of energy for one commercial client. Customer's meters are located in BGE, Delmarva and Allegheny territories

In Delaware, TEB has contracted 364 Mw hours of electricity for one commercial client. Client is located in Delmarva territory.

In Mass, TEB has contracted 2,300 Mw hours of energy for one commercial client. Client is located in NEMA/SEMA areas.

In Illinois, TEB has contracted 2,600 Mw hours of energy for one commercial client. This is a ComEd customer.

In New York, TEB has contracted 56,000 Mw Hours of energy for approximately 25 commercial clients. All are ConEdison locations.



Exhibit B-4

Disclosure of Liabilities and Investigations

Tobelmann Energy Brokers, Inc. (TEB) has no existing, pending or past rulings, judgments, contingent liabilities, revocation of authority, regulatory investigations or any other matter that could adversely impact our financial or operational status or impact our ability to service our clients.



Exhibit B-5

Disclosure

Neither Tobelmann Energy Brokers, Inc nor John Tobelmann have ever been convicted of fraud or violation of any consumer protection or antitrust laws.



Exhibit B-6

Disclosure

Neither Tobelmann Energy Brokers, Inc nor John Tobelmann have ever had any certification, license or application to provide retail or wholesale electric service including aggregation service denied, curtailed, suspended, revoked or cancelled.

(888) 583-7760



Exhibit C-1

Annual Reports

Tobelmann Energy Brokers, Inc is not a public corporation and has no shareholders other than the principal, John Tobelmann and so it does not produce annual reports.



Exhibit C-2

SEC Filings

Tobelmann Energy Brokers, Inc is not a public corporation and has no shareholders other than the principal, John Tobelmann and so it is not subject to SEC Filings.



Exhibit C-3

Financial Statements

2 years Financial Statements attached.



Member of: American Institute of CPA's Pennsylvania Institute of CPA's

August 22, 2011

To the Board of Directors Tobelmann Energy Brokers Inc. 5401 Lister Court Chester Springs, PA 19425

ACCOUNTANT'S COMPILATION REPORT

We have compiled the accompanying balance sheet and profit and loss statements – cash basis of Tobelmann Energy Brokers as of December 31, 2010 and for the 6 months ended June 30, 2011. We have not audited or reviewed the accompanying financial statements and, accordingly, do not express an opinion or provide any assurance about whether the financial statements are in accordance with the cash basis of accounting. The financial statements have been prepared on the accounting basis used by the Company for Federal income tax purposes.

Management is responsible for the preparation and fair presentation of the financial statements in accordance with the cash basis of accounting and for the designing, implementing and maintaining internal control relevant to the preparation and fair presentation of the financial statements.

Our responsibility is to conduct the compilation in accordance with Statements on Standards for Accounting and Review Services issued by the American Institute of Certified Public Accountants. The objective of a compilation is to assist management in presenting in the form of financial statements without undertaking to obtain or provide any assurance that there are no material modifications that should be made to the financial statements.

Management has elected to omit substantially all of the disclosures ordinarily included in financial statements prepared in accordance with the cash basis of accounting. If the omitted disclosures were included in the financial statements, they might influence the user's conclusions about the Company's assets, liabilities, equity, revenue, and expenses. Accordingly, the financial statements are not designed for those who are not informed about such matters.

Michael P. Rudy, CPA

TOBELMANN ENERGY BROKERS INC Balance Sheet As of December 31, 2010

	Dec 31, 10
ASSETS	
Current Assets Checking/Savings	C 004 00
Checking	5,924.00
Total Checking/Savings	5,924.00
Total Current Assets	5,924.00
Fixed Assets	
Furniture and Equipment	3,085.00
Accumulated Depreciation	-3,085.00
Total Fixed Assets	0.00
TOTAL ASSETS	5,924.00
LIABILITIES & EQUITY Equity	·
Shareholder Draw	-35,510.32
Capital Stock	1,000.00
Net Income	40,434.32
Total Equity	5,924.00
TOTAL LIABILITIES & EQUITY	5,924.00

•

TOBELMANN ENERGY BROKERS INC Profit & Loss

January through December 2010

	Jan - Dec 10
Ordinary Income/Expense Income	
Commissions	92,808.05
Total income	92,808.05
Cost of Goods Sold Contract Labor	35,899.00
Total COGS	35,899.00
Gross Profit	56,909.05
Expense Legal & Professional Fees Auto and Truck Expenses Depreciation Expense Meals and Entertainment Office Supplies Payroll Expenses	5,304.00 413.00 625.49 100.00 777.00 8,964.24
Travel Expense	291.00
Total Expense	16,474.73
Net Ordinary Income	40,434.32
Net Income	40,434.32



March 8, 2012

To the Board of Directors Tobelmann Energy Brokers Inc. 5401 Lister Court Chester Springs, PA 19425

ACCOUNTANT'S COMPILATION REPORT

We have compiled the accompanying balance sheet and profit and loss statements – cash basis of Tobelmann Energy Brokers as of December 31, 2011. We have not audited or reviewed the accompanying financial statements and, accordingly, do not express an opinion or provide any assurance about whether the financial statements are in accordance with the cash basis of accounting. The financial statements have been prepared on the accounting basis used by the Company for Federal income tax purposes.

Management is responsible for the preparation and fair presentation of the financial statements in accordance with the cash basis of accounting and for the designing, implementing and maintaining internal control relevant to the preparation and fair presentation of the financial statements.

Our responsibility is to conduct the compilation in accordance with Statements on Standards for Accounting and Review Services issued by the American Institute of Certified Public Accountants. The objective of a compilation is to assist management in presenting in the form of financial statements without undertaking to obtain or provide any assurance that there are no material modifications that should be made to the financial statements.

Management has elected to omit substantially all of the disclosures ordinarily included in financial statements prepared in accordance with the cash basis of accounting. If the omitted disclosures were included in the financial statements, they might influence the user's conclusions about the Company's assets, liabilities, equity, revenue, and expenses. Accordingly, the financial statements are not designed for those who are not informed about such matters.

Michael P. Rudy, CPA

TOBELMANN ENERGY BROKERS INC Profit & Loss

January through December 2011

	Jan - Dec 11
Ordinary Income/Expense	
Income	
Commissions	242,504.70
Total Income	242,504,70
Cost of Goods Sold	
Contract Labor	86,401.11
Total COGS	86,401.11
Gross Profit	156,103.59
Expense	
efax service	440.00
Charity	275.00
Compensation of Officers	21,000.00
Miscellaneous	0.00
Legal & Professional Fees	7,280.08
Payroll Taxes	5,562.23
Salaries and Wages	42,500.00
Advertising and Promotion	1,253.64
Auto and Truck Expenses	5,697,49
Insurance Expense	516.40
Office Supplies	3,724.00
Telephone Expense	1,820.00
Travel Expense	3,561.36
Total Expense	93,630.20
Net Ordinary Income	62,473.39
Other Income/Expense	
Other Expense	
2% > Shareholder Health insuran	5,474.57
Total Other Expense	5,474.57
Net Other Income	-5,474.57
Net Income	56,998.82

TOBELMANN ENERGY BROKERS INC Balance Sheet

As of December 31, 2011

	Dec 31, 11
ASSETS	
Current Assets	
Checking/Savings	05 740 00
Checking	25,743.89
Total Checking/Savings	25,743.89
Total Current Assets	25,743.89
Fixed Assets	
Furniture and Equipment	5,231.17
Accumulated Depreciation	-3,085.00
Total Fixed Assets	2,146.17
TOTAL ASSETS	27,890.06
LIABILITIES & EQUITY	
Liabilities	
Current Liabilities	
Other Current Liabilities	
Payroll Liabilities	
HSA	400.00
Andrew Health	1,139.86
PA W/H	638.38
Fed/FICA	957.60
	17.60
Payroll Liabilities - Other	-680.64
Total Payroli Liabilities	2,472.80
Total Other Current Liabilities	2,472.80
Total Current Liabilities	2,472.80
Total Liabilities	2,472.80
Equity	
Capital Stock	1,000.00
Retained Earnings	4,924.00
Shareholder Distributions	-37,505.56
Net Income	56,998.82
Total Equity	25,417.26
TOTAL LIABILITIES & EQUITY	27,890.06



Exhibit C-4

Financial Arrangements

Tobelmann Energy Brokers, Inc, does not take title to and energy products and so does not require any capital expenditures or bank guarantees.



Exhibit C-5

Forecasted Financial Statements

Tobelmann Energy Brokers, Inc, does not have any CRES operations.

(888) 583-7760



Exhibit C-6

Credit Rating

DnB Credit Rating attached.

DNBi

Live Report : TOBELMANN ENERGY BROKERS, INC.

D-U-N-S® Number: 96-237-0958

Endorsement: john@tobelmann.net

D&B Address	արորջ հարորակացորություրը հարձարական արդանան հետոնումնենեն հատել ունեւուցն ու ոնչարը՝ մենք հետոնումներ ամունեն Հայու	Trade Name	\$	
Address	5401 Lister Ct Chester Springs,PA - 19425	Trade Names	 No trade names for this company. 	And an and the second second second
Location Type	Single Location			}
Phone	610 639-1406			
Fax				l
Web				
ין איז				

Company Summary

Trade Payments - Timeliness of Historical Payments

When weighted by dollar amount, Payments to suppliers average generally within terms 12-month D&B PAYDEX®: 80

(Lowest Risk:100; Highest Risk:1) This assessment is based on D&Bs 12-month PAYDEX® Score.

Predictive Indicators - Risk of Financial Stress

Financial Stress Score Class: 2 Low To Moderate risk of severe financial stress over the next 12 months.

History & Operations

This is a single location						
Chief Executive	JOHN TOBELMANN, PRES					
Year Started	2009					
Employees	3					
SIC	4911					
Line of business	Bectric services					
NAICS	221122					
History Status	CLEAR					

Public Filings

The following data includes both open and closed filings found in D&B's database on this company.

Record Type	Number of Records	Most Recent Filing Date	36
Bankruptcies	0	-	50
Judgments	0	*	

Predictive Indicators - Risk of Payment Delinquency

Commercial Credit Score Class: 1

Predictive Indicators - Supplier Evaluation Risk

Supplier Evaluation Risk Rating: 4 Moderate risk of supplier experiencing severe financial stress over the next 12 months.

Predictive Indicators - Credit Capacityfor Headquarters

D&B Rating: --

The blank rating symbol should not be interpreted as indicating that credit should be denied. It simply means that the information available to D&B does not permit us to classify the company within our rating key and that further enquiry should be made before reaching a decision. Some reasons for using a "-" symbol include: deficit net worth, bankruptcy proceedings, insufficient payment information, or incomplete history information.

This assessment is based on D&B's D&B Rating.

https://na3.dnbi.com/sas/companies/printECF?ENTITY_ID=null&ECF_MODE=PRINT_MODE&IS_DNB_FIN...

DNBi

Liens	0	-
Suits	0	-
UCCs	0	-

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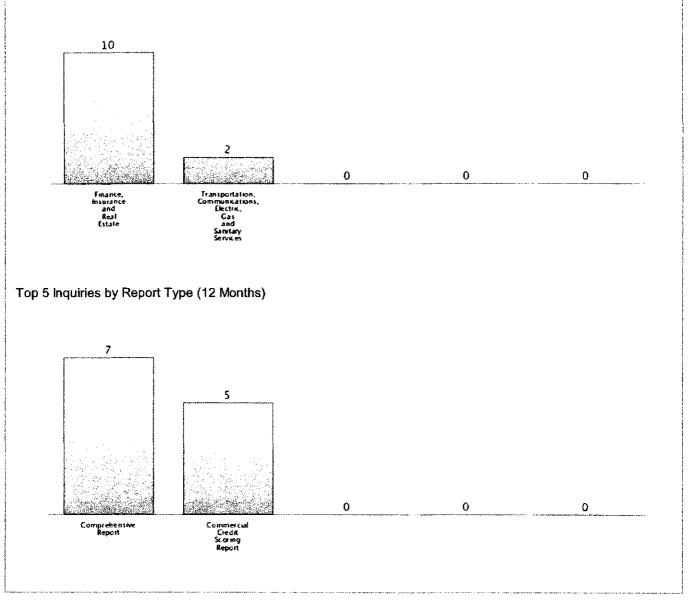
Powering Your Business

Exhibit C-7

Credit Report

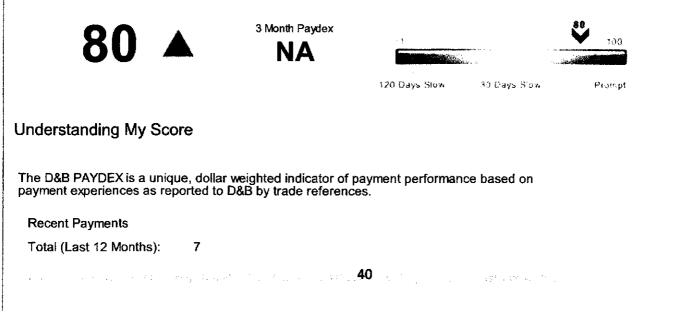
DnB Credit Report attached.

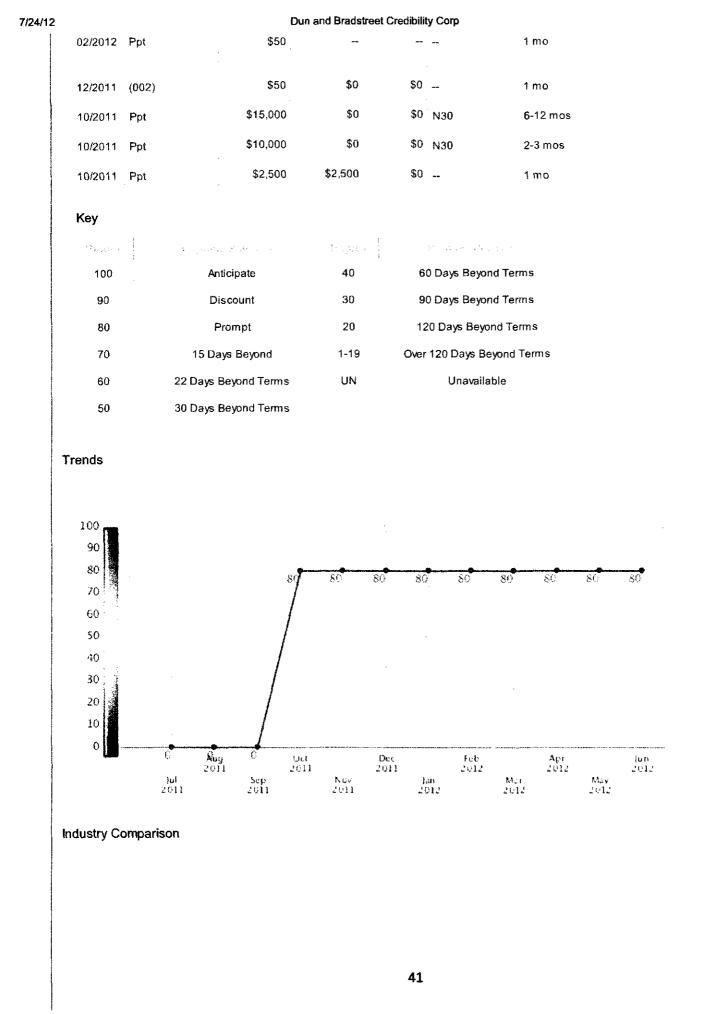
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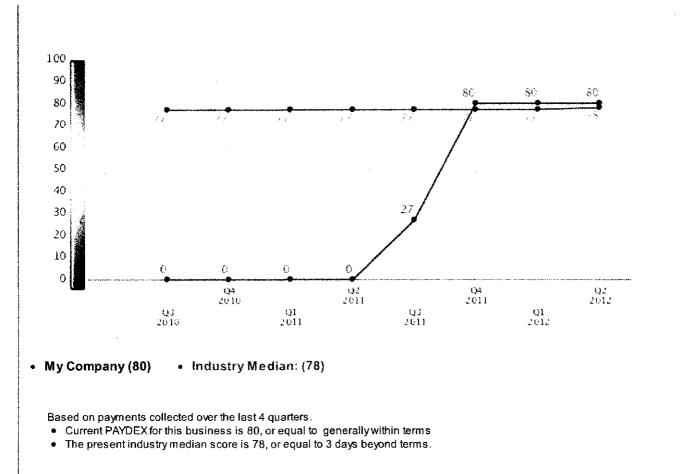


Scores

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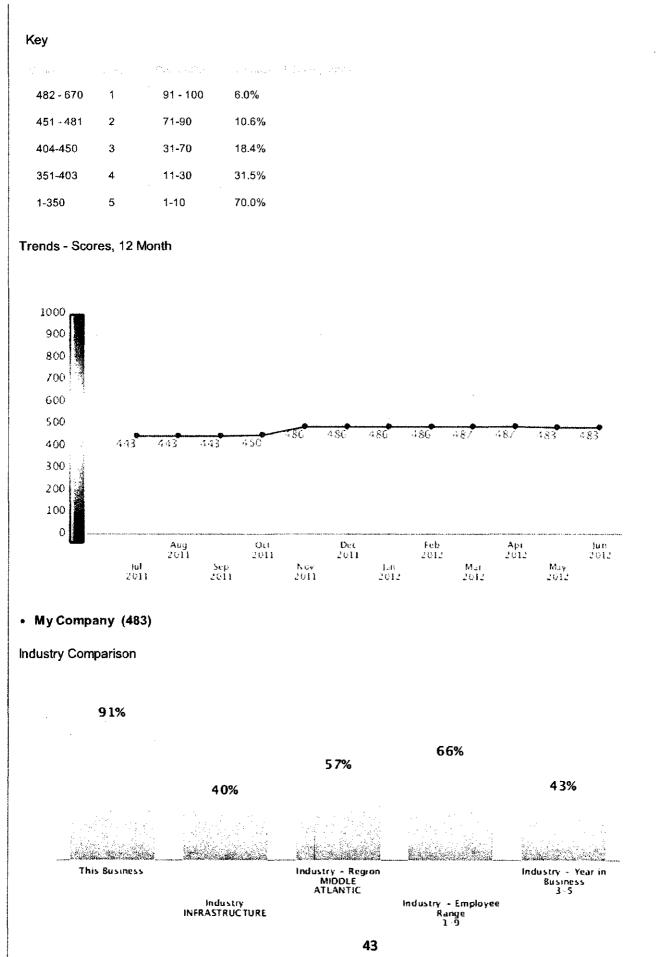




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score 483 ▼	Class 1	Percentile 91%		5 4 High	3 Moderate	2
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Understanding My The Commercial Credit delinquent manner (90 tions without paying all	t Score predicts days or more j creditors in ful	past terms), obta I over the next 12	in legal relief 2 months. So	from credito	s or cease opera-	
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Among Companies with			%			
Factors Affecting						
Low number of sal		•	42			

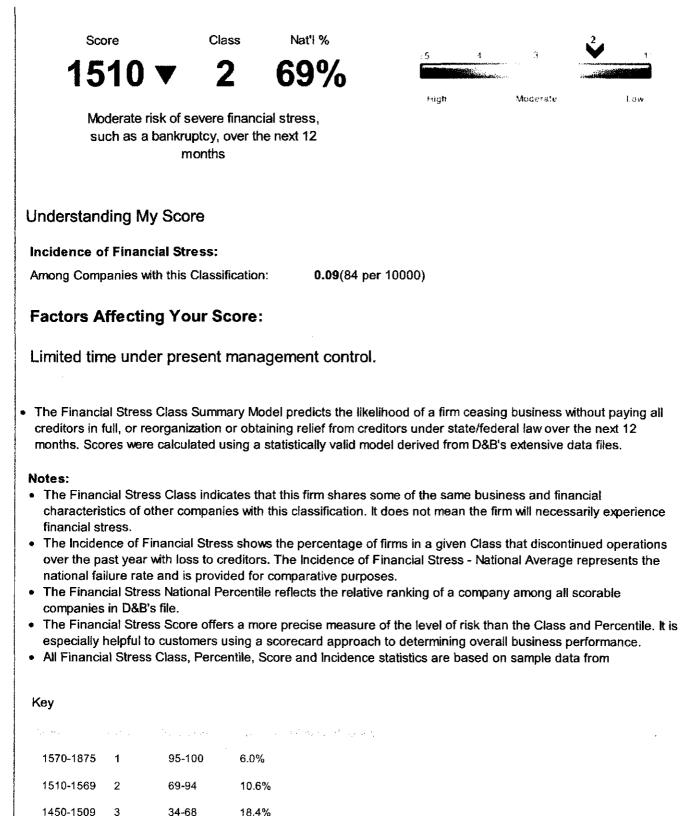




This business has a Credit Score Percentile that shows:

- · Lower risk than other companies in the same region.
- Lower risk than other companies in the same industry.
- Lower risk than other companies in the same employee size range.
- Lower risk than other companies with a comparable number of years in business.

Bergening Schurz Sector



44

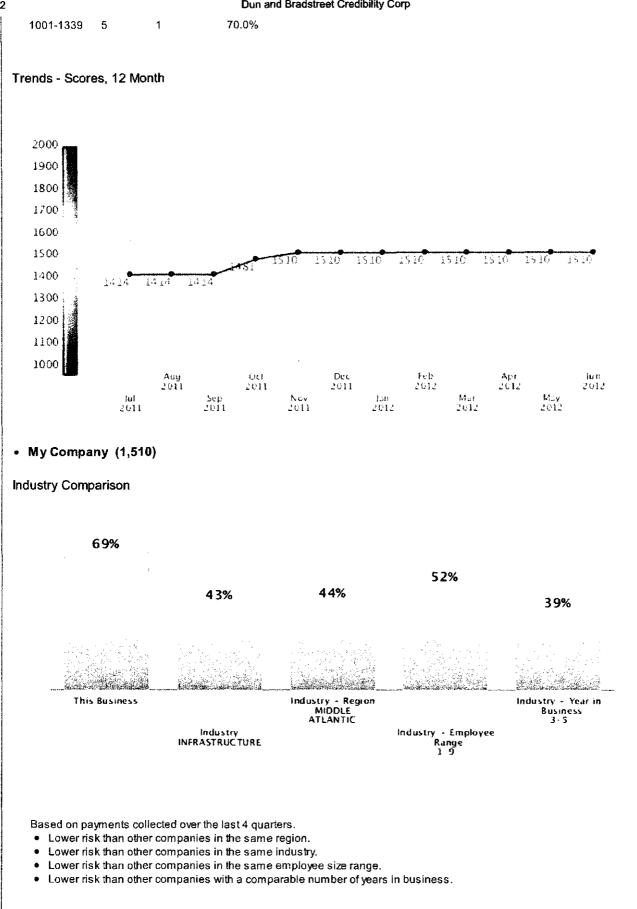
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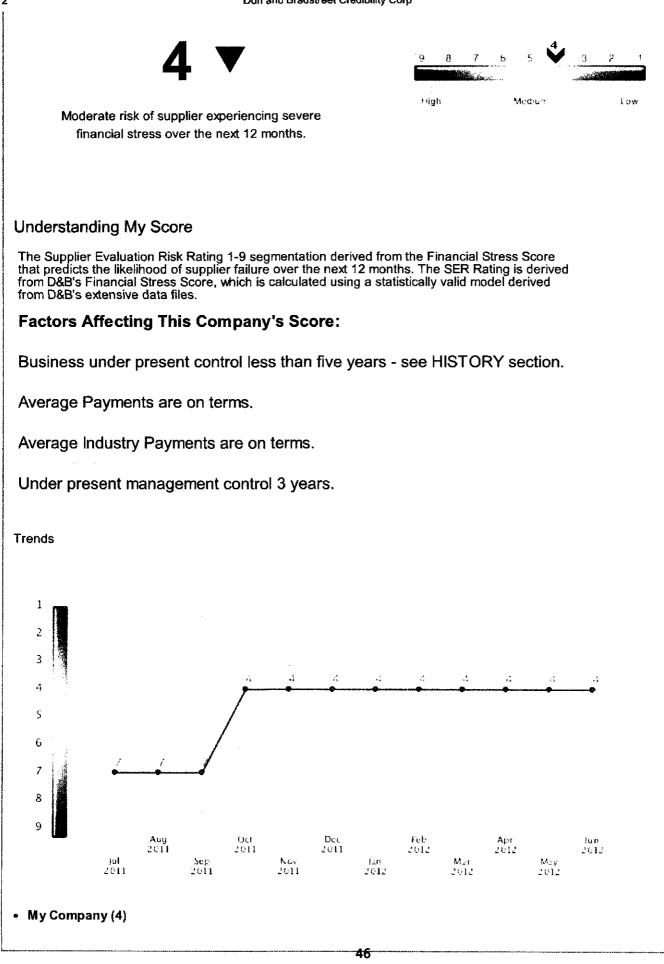
31.5%

1340-1449

Low



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Understanding My Score

D&B's Credit Limit Recommendation is intended to help you more easily manage your credit decisions. It provides two recommended dollar guidelines:

A conservative limit, which suggests a dollar benchmark if your policy is to extend less credit to minimize risk.

An aggressive limit, which suggests a dollar benchmark if your policy is to extend more credit with potentially more risk.

The dollar guideline amounts are based on a historical analysis of credit demand of customers in D&B's U.S. payments database which have a similar profile to your business.

TARE Maingeo	
Rating	
1. Shi ta 1. 19	
	2010-04-28
Understanding N	ly Score
Factors Affectir	ng Your Score
# of Employees Total	: 3
Sales:	\$30,000,000.00
Payment Activity (bas	ed on 7 experiences):
Average High Credit:	\$4,766
Highest Credit:	\$15,000
Total Highest Credit:	\$28,650
	in this section may have been adjusted by D&B to reflect as certain intangible assets.

Inquiries

12 Month Summary

Over the past 12 months ending 7-2012, 12 individual requests for information on your company were received; this represents a 41.67% increase over the prior 12 month period. The 12 inquiries were made by 5 unique companies indicating that some companies have inquired on your business multiple times and may be monitoring you. Of the total products purchased, 10, or 83.33% came from the Finance, Insurance and Real Estate sector; 2, or 16.67% came from the Transportation, Communications, Electric, Gas and Sanitary Services sector.

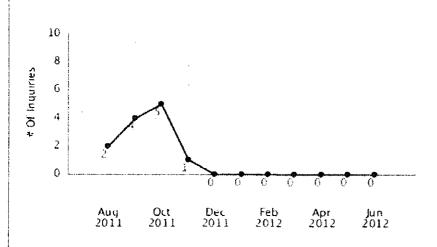
12

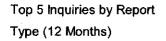
12 Mo. Total:

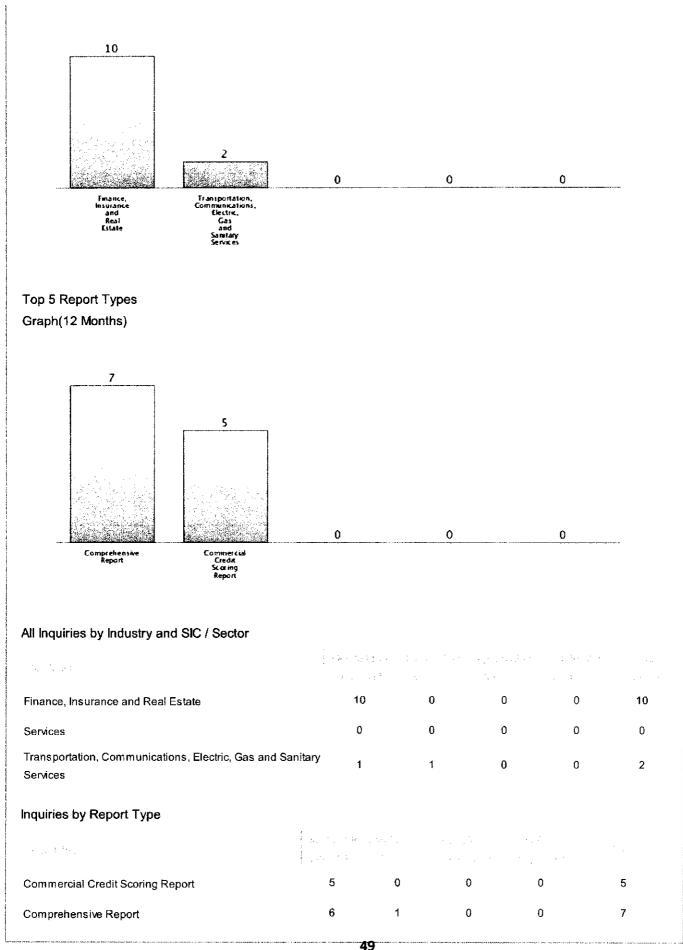
12 Mo. Unique Companies: 5

à. 6	$f_{M,n}(t) = f_{M,n}(t)$	120 ± 200	
11/01/11	Comprehensive	Transportation, Communications,	
11/01/11	Report	Electric, Gas and Sanitary Services	
10/18/11	Commercial Credit	Finance, Insurance and Real Estate	
10/10/11	Scoring Report	Finance, insurance and Real Estate	-
10/18/11	Comprehensive	Finance Insurance and Pool Estate	E
10/10/11	Report	Finance, Insurance and Real Estate	
10/18/11	Comprehensive	Finance, Insurance and Real Estate	
10/10/11	Report	Finance, insurance and Rear Estate	
10/18/11	Comprehensive	Finance Incurance and Deal Estate	
10/10/11	Report	Finance, Insurance and Real Estate	
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00/20/44	Commercial Credit	Finance Incurrence and Deal Estate	
09/28/11	Scoring Report	Finance, Insurance and Real Estate	
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Trends - 12 Month







Payments

Currency: Shown in USD unless otherwise indicated

The second start of the

Current Paydex: Industry Median: Payment Trend:	80 78 ♣争	Equal to generally within terms Equal to 3 DAYS BEYOND terms Unchanged, compared to payments three months ago			
Total payment Exp	eriences	in D&Bs File (HQ):	7		
Payments Within 1	Terms (no	ot dollar weighted):	100		
Total Placed For C	ollection:		NA		
Average Highest C	Credit:		4,766		
Largest High Cred	it:		15,000		
Highest Now Owing: Highest Past Due:			2,500		
			NA		

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Total (Last 12 Months): 7								
						1.872	veta († 1	
- · · · ·	11	and the second second	e Maria an an an an Arthur An Anna an Anna Anna Anna Anna Anna Ann	· · · ·				
Top Industries								
Legal services	1	\$15,000	\$15,000	100%	0	0	0	0
Insurance agent	1	\$10,000	\$10,000	100%	0	0	0	0
Medical scvs plan	. 1	\$2,500	\$2,500	100%	0	0	0	0
Accounting services	1	\$1,000	\$1,000	100%	0	0	0	0
Lithographic printing	1	\$50	\$50	100%	0	0	0	0
Telephone communictns	1	\$50	\$50	100%	0	0	0	0
Other Categories								
Cash experiences	1	\$50	\$50					
Unknown	0	\$0	\$0					
Unfavorable comments	0	\$0	\$0					
Placed for collections with D&B:	0	\$0	\$0	-	-			
Other	0	N/A	\$0				_	
Total in D&B's file	7	\$28,650	\$1 5 ,000					-

Providence Concerned Concerns

7

We currently don't have enough data to display this section.

机动力自动运

Total (Last 12 Months):

1 - . .		a service in a day	a stana d	an a	land the second s
02/2012	Ppt	\$50			1 mo
12/2011	(002)	\$50	\$0	\$0	1 mo
10/2011	Ppt	\$15,000	\$0	\$0 N30	6-12 mos
10/2011	Ppt	\$10,000	\$0	\$0 N30	2-3 mos
10/2011	Ppt	\$2,500	\$2,500	\$0	1 mo
10/2011	Ppt	\$1,000	\$0	\$0 N10	1 mo
10/2011	Ppt	\$50	\$ 0	\$0 N30	1 mo

Indications of slowness can be the result of dispute over merchandise, skipped invoices, etc. Accounts are sometimes placed for collection even though the existence or amount of debt is disputed.

The public record items contained in this report may have been paid, terminated, vacated or released prior to the date this report was printed.

History & Operations

Currency: Shown in USD unless otherwise indicated

Contractory Charles State

Company Name:	TOBELMANN	URL:	NA
	ENERGY BROKERS,	Stock Symbol:	NA
	INC.	History:	NA
Doing Business As:	TOBELMANN	Operations:	NA
	ENERGY BROKERS,	Present Management Control:	NA
	INC.	Annual Sales:	\$30,000,000
Street Address:	5401 Lister Ct		
	Chester Springs, PA		
	19425		
Phone:	(610) 639-1406		
Fax:	NA		
1401			

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The following information was reported:07/14/2012

Officer(s): JOHN TOBELMANN, PRES

The Pennsylvania Secretary of State's business registrations file showed that Tobelmann Energy Brokers, Inc was registered as a corporation on February 17, 2009. Business started 2009. 100% of capital stock is owned by John Tobelmann. JOHN TOBELMANN. Work history is unknown.

Service Property and

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CORPORATE AND BUSINESS REGISTRATIONS REPORTED BY THE SECRETARY OF STATE OR OTHER OFFICIAL SOURCE AS

OF JULY 13 2012.

The following data is for informational purposes only and is not an official record. Certified copies may be obtained from the Pennsylvania Department of State.

Registered Name:	Tobelmann Energy Brokers, Inc.	
Business Type:	CORPORATION	
Corporation Type:	NOT AVAILABLE	
Date Incorporated:	Feb 17 2009	
State of Incorporation:	PENNSYLVANIA	
Filing Date:	Feb 17 2009	
FilingFedID:	NA	
Registration ID:	3863450	
Duration:	PERPETUAL	
Duration Date:	NA	
Status:	ACTIVE	
Status Attained Date:	NA	
Where Filed:	SECRETARY OF STATE/CORPORATIONS DIVISION, HARRISBURG, PA	
Registered Agent:	NA	
Agent Appointed:	NA	
AgentStatus:	NA	
Principals:	JOHN R TOBELMANN, PRESIDENT, 5401 LISTER CT, CHESTER	
	SPRGS, PA, 194251500	
PAMELA SHAUGER, MCE PRESIDENT, 5401 LISTER CT, CHESTER		
SPRGS, PA 194251500		

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07/14/2012

Description:

Provides electric services, specializing in electric power broker (100%).

Terms are on a commission basis. Sells to commercial concerns. Territory: Regional.

Employees: 3 which includes officer(s).

Facilities: Operates from residence of John Tobelmann.

Location: NA

Branches: NA

Subsidiaries: NA

Subsidiaries: NA

Subsidiaries: NA

Subsidiaries: NA

Subsidiaries: NA

$\sum_{i=1}^{n} \left(\left(\frac{1}{2} + \frac{1}{2} \right) \right) = \sum_{i=1}^{n} \left(\left(\frac{1}{2} + \frac{1}{2} \right) \right) = \left(\left(\frac{1}{2} + \frac{1}{2} \right) \right)$

SIC:

Based on information in our file, D&B has assigned this company an extended 8-digit SIC. D&Bs use of 8-digit SICs enables us to be more specific to a companys operations that if we use the standard 4-digit code. The 4-

digit SIC numbers link to the description on the Occupational Safety & Health Administration (OSHA) Web site. Links open in a new browser window. 4911 9904 Electric power broker

NAICS: 221122 Electric Power Distribution

Public Filings

Currency: Shown in USD unless otherwise indicated

1

Charles

The following data includes both open and closed filings found in D&B's database on this company.				
the second system				
Bankruptcy Proceedings	-	-		
Judgments	0	-		
Liens	0			
Suits	0	-		
UCCs	0	-		

The following Public Filing data is for information purposes only and is not the official record. Certified copies can only be obtained from the official source.

Joseph Colinda

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We currently don't have enough data to display this section.

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We currently don't have enough data to display this section.

Banking & Finance

We currently don't have enough data to display this section.

We currently don't have enough data to display this section.

Special Events

We currently don't have enough data to display this section.

Corporate Linkage

Parent	We currently don't have enough data to display this section.
Headquarters (US)	We currently don't have enough data to display this section.
US Linkages	We currently don't have enough data to display this section.
International Linkages	We currently don't have enough data to display this section.



Powering Your Business

Exhibit C-8

Bankruptcy Information

Tobelmann Energy Brokers, Inc. has never reorganized, has never needed protection from creditors and has never filed for bankruptcy protection under any statute.



Powering Your Business

Exhibit C-9

Merger Information

Tobelmann Energy Brokers, Inc. has never merged with any another organization.