



PUCO USE ONLY		
Date Received	Case Number	Version
OCT 18 2012	-EL-AGG	August 2004

CERTIFICATION APPLICATION FOR AGGREGATORS/POWER BROKERS

Please print or type all required information. Identify all attachments with an exhibit label and title (Example: Exhibit A-5 Experience). All attachments should bear the legal name of the Applicant. Applicants should file completed applications and all related correspondence with the Public Utilities Commission of Ohio, Docketing Division; 180 East Broad Street, Columbus, Ohio 43215-3793.

**This PDF form is designed so that you may input information directly onto the form.
You may also download the form, by saving it to your local disk, for later use.**

A. APPLICANT INFORMATION

A-1 Applicant's legal name, address, telephone number and web site address

Legal Name Anthony Carapezza
 Address 6917 Detroit ave Apt 4
 Telephone # (585) 472-2989 Web site address (if any) www.energybysource.com

A-2 List name, address, telephone number and web site address under which Applicant will do business in Ohio

Legal Name Source Energy, LLC.
 Address 6917 Detroit ave Apt 4
 Telephone # (407) 476-8723 Web site address (if any) www.energybysource.com

A-3 List all names under which the applicant does business in North America

Source Energy, LLC.

A-4 Contact person for regulatory or emergency matters

Name Anthony Carapezza
 Title Owner

This is to certify that the images appearing are an accurate and complete reproduction of a case file document delivered in the regular course of business
 Technician [Signature] Date Processed OCT 18 2012

RECEIVED-DOCKETING DIV
 2012 OCT 18 PM 12:24
 PUCO

Business address 6917 Detroit Ave Apt 4
Telephone # (585) 472-2989 Fax # (312) 488-5011
E-mail address (if any) acarapezza@energybysource.com

A-5 Contact person for Commission Staff use in investigating customer complaints

Name Chris Turnbull
Title Operations Director
Business address 3270 Fairhill Dr. Rocky River Ohio 44116
Telephone # (440) 463-2536 Fax # (312) 488-5011
E-mail address (if any) ctrumbull@energybysource.com

A-6 Applicant's address and toll-free number for customer service and complaints

Customer Service address 6917 Detroit ave Apt4
Toll-free Telephone # (407) 476-8723 Fax # (312) 488-5011
E-mail address (if any) support@energybysource.com

A-7 Applicant's federal employer identification number # 900802326

A-8 Applicant's form of ownership (check one)

- | | |
|--|--|
| <input type="checkbox"/> Sole Proprietorship | <input type="checkbox"/> Partnership |
| <input type="checkbox"/> Limited Liability Partnership (LLP) | <input type="checkbox"/> Limited Liability Company (LLC) |
| <input type="checkbox"/> Corporation | <input type="checkbox"/> Other _____ |

A-9 (Check all that apply) Identify each electric distribution utility certified territory in which the applicant intends to provide service, including identification of each customer class that the applicant intends to serve, for example, residential, small commercial, mercantile commercial, and industrial. (A mercantile customer, as defined in (A) (19) of Section 4928.01 of the Revised Code, is a commercial customer who consumes more than 700,000 kWh/year or is part of a national account in one or more states).

- | | | | | |
|--|--------------------------------------|-------------------------------------|-------------------------------------|-------------------------------------|
| <input type="checkbox"/> First Energy | | | | |
| <input type="checkbox"/> Ohio Edison | <input type="checkbox"/> Residential | <input type="checkbox"/> Commercial | <input type="checkbox"/> Mercantile | <input type="checkbox"/> Industrial |
| <input type="checkbox"/> Toledo Edison | <input type="checkbox"/> Residential | <input type="checkbox"/> Commercial | <input type="checkbox"/> Mercantile | <input type="checkbox"/> Industrial |
| <input type="checkbox"/> Cleveland Electric Illuminating | <input type="checkbox"/> Residential | <input type="checkbox"/> Commercial | <input type="checkbox"/> Mercantile | <input type="checkbox"/> Industrial |
| <input type="checkbox"/> Cincinnati Gas & Electric | <input type="checkbox"/> Residential | <input type="checkbox"/> Commercial | <input type="checkbox"/> Mercantile | <input type="checkbox"/> Industrial |
| <input type="checkbox"/> Monongahela Power | <input type="checkbox"/> Residential | <input type="checkbox"/> Commercial | <input type="checkbox"/> Mercantile | <input type="checkbox"/> Industrial |
| <input type="checkbox"/> American Electric Power | | | | |
| <input type="checkbox"/> Ohio Power | <input type="checkbox"/> Residential | <input type="checkbox"/> Commercial | <input type="checkbox"/> Mercantile | <input type="checkbox"/> Industrial |
| <input type="checkbox"/> Columbus Southern Power | <input type="checkbox"/> Residential | <input type="checkbox"/> Commercial | <input type="checkbox"/> Mercantile | <input type="checkbox"/> Industrial |
| <input type="checkbox"/> Dayton Power and Light | <input type="checkbox"/> Residential | <input type="checkbox"/> Commercial | <input type="checkbox"/> Mercantile | <input type="checkbox"/> Industrial |

- A-10** Provide the approximate start date that the applicant proposes to begin delivering services

November 1, 2012

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:

- A-11** **Exhibit A-11 "Principal Officers, Directors & Partners"** provide the names, titles, addresses and telephone numbers of the applicant's principal officers, directors, partners, or other similar officials.
- A-12** **Exhibit A-12 "Corporate Structure,"** provide a description of the applicant's corporate structure, including a graphical depiction of such structure, and a list of all affiliate and subsidiary companies that supply retail or wholesale electricity or natural gas to customers and companies that aggregate customers in North America.
- A-13** **Exhibit A-13 "Company History,"** provide a concise description of the applicant's company history and principal business interests.
- A-14** **Exhibit A-14 "Articles of Incorporation and Bylaws,"** if applicable, provide the articles of incorporation filed with the state or jurisdiction in which the Applicant is incorporated and any amendments thereto.
- A-15** **Exhibit A-15 "Secretary of State,"** provide evidence that the applicant has registered with the Ohio Secretary of the State.

B. APPLICANT MANAGERIAL CAPABILITY AND EXPERIENCE

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:

- B-1** **Exhibit B-1 "Jurisdictions of Operation,"** provide a list of all jurisdictions in which the applicant or any affiliated interest of the applicant is, at the date of filing the application, certified, licensed, registered, or otherwise authorized to provide retail or wholesale electric services including aggregation services.
- B-2** **Exhibit B-2 "Experience & Plans,"** provide a description of the applicant's experience and plan for contracting with customers, providing contracted services, providing billing statements, and responding to customer inquiries and complaints in accordance with Commission rules adopted pursuant to Section 4928.10 of the Revised Code.

B-3 **Exhibit B-3 "Summary of Experience,"** provide a concise summary of the applicant's experience in providing aggregation service(s) including contracting with customers to combine electric load and representing customers in the purchase of retail electric services. (e.g. number and types of customers served, utility service areas, amount of load, etc.).

B-4 **Exhibit B-4 "Disclosure of Liabilities and Investigations,"** provide a description of all existing, pending or past rulings, judgments, contingent liabilities, revocation of authority, regulatory investigations, or any other matter that could adversely impact the applicant's financial or operational status or ability to provide the services it is seeking to be certified to provide.

B-5 Disclose whether the applicant, a predecessor of the applicant, or any principal officer of the applicant have ever been convicted or held liable for fraud or for violation of any consumer protection or antitrust laws within the past five years.

☒ No ☐ Yes

If yes, provide a separate attachment labeled as **Exhibit B-5 "Disclosure of Consumer Protection Violations"** detailing such violation(s) and providing all relevant documents.

B-6 Disclose whether the applicant or a predecessor of the applicant has had any certification, license, or application to provide retail or wholesale electric service including aggregation service denied, curtailed, suspended, revoked, or cancelled within the past two years.

☒ No ☐ Yes

If yes, provide a separate attachment labeled as **Exhibit B-6 "Disclosure of Certification Denial, Curtailment, Suspension, or Revocation"** detailing such action(s) and providing all relevant documents.

C. APPLICANT FINANCIAL CAPABILITY AND EXPERIENCE

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:

C-1 **Exhibit C-1 "Annual Reports,"** provide the two most recent Annual Reports to Shareholders. If applicant does not have annual reports, the applicant should provide similar information in Exhibit C-1 or indicate that Exhibit C-1 is not applicable and why.

C-2 **Exhibit C-2 "SEC Filings,"** provide the most recent 10-K/8-K Filings with the SEC. If applicant does not have such filings, it may submit those of its parent company. If the applicant does not have such filings, then the applicant may indicate in Exhibit C-2 that the applicant is not required to file with the SEC and why.

- C-3 Exhibit C-3 “Financial Statements,”** provide copies of the applicant’s two most recent years of audited financial statements (balance sheet, income statement, and cash flow statement). If audited financial statements are not available, provide officer certified financial statements. If the applicant has not been in business long enough to satisfy this requirement, it shall file audited or officer certified financial statements covering the life of the business.
- C-4 Exhibit C-4 “Financial Arrangements,”** provide copies of the applicant's financial arrangements to conduct CRES as a business activity (e.g., guarantees, bank commitments, contractual arrangements, credit agreements, etc.,).
- C-5 Exhibit C-5 “Forecasted Financial Statements,”** provide two years of forecasted financial statements (balance sheet, income statement, and cash flow statement) for the applicant’s CRES operation, along with a list of assumptions, and the name, address, e-mail address, and telephone number of the preparer.
- C-6 Exhibit C-6 “Credit Rating,”** provide a statement disclosing the applicant’s credit rating as reported by two of the following organizations: Duff & Phelps, Dun and Bradstreet Information Services, Fitch IBCA, Moody’s Investors Service, Standard & Poors, or a similar organization. In instances where an applicant does not have its own credit ratings, it may substitute the credit ratings of a parent or affiliate organization, provided the applicant submits a statement signed by a principal officer of the applicant’s parent or affiliate organization that guarantees the obligations of the applicant.
- C-7 Exhibit C-7 “Credit Report,”** provide a copy of the applicant’s credit report from Experian, Dun and Bradstreet or a similar organization.
- C-8 Exhibit C-8 “Bankruptcy Information,”** provide a list and description of any reorganizations, protection from creditors or any other form of bankruptcy filings made by the applicant, a parent or affiliate organization that guarantees the obligations of the applicant or any officer of the applicant in the current year or within the two most recent years preceding the application.

AFFIDAVIT

State of OHIO :

LAKEWOOD ss.
(Town)

County of Cuyahoga

Anthony Cavapreza, Affiant, being duly sworn/affirmed according to law, deposes and says that:

He/She is the Owner (Office of Affiant) of Source Energy LLC (Name of Applicant);

That he/she is authorized to and does make this affidavit for said Applicant,

1. The Applicant herein, attests under penalty of false statement that all statements made in the application for certification are true and complete and that it will amend its application while the application is pending if any substantial changes occur regarding the information provided in the application.
2. The Applicant herein, attests it will timely file an annual report with the Public Utilities Commission of Ohio of its intrastate gross receipts, gross earnings, and sales of kilowatt-hours of electricity pursuant to Division (A) of Section 4905.10, Division (A) of Section 4911.18, and Division (F) of Section 4928.06 of the Revised Code.
3. The Applicant herein, attests that it will timely pay any assessments made pursuant to Sections 4905.10, 4911.18, or Division F of Section 4928.06 of the Revised Code.
4. The Applicant herein, attests that it will comply with all Public Utilities Commission of Ohio rules or orders as adopted pursuant to Chapter 4928 of the Revised Code.
5. The Applicant herein, attests that it will cooperate fully with the Public Utilities Commission of Ohio, and its Staff on any utility matter including the investigation of any consumer complaint regarding any service offered or provided by the Applicant.
6. The Applicant herein, attests that it will fully comply with Section 4928.09 of the Revised Code regarding consent to the jurisdiction of Ohio Courts and the service of process.
7. The Applicant herein, attests that it will comply with all state and/or federal rules and regulations concerning consumer protection, the environment, and advertising/promotions.
8. The Applicant herein, attests that it will use its best efforts to verify that any entity with whom it has a contractual relationship to purchase power is in compliance with all applicable licensing requirements of the Federal Energy Regulatory Commission and the Public Utilities Commission of Ohio.
9. The Applicant herein, attests that it will cooperate fully with the Public Utilities Commission of Ohio, the electric distribution companies, the regional transmission entities, and other electric suppliers in the event of an emergency condition that may jeopardize the safety and reliability of the electric service in accordance with the emergency plans and other procedures as may be determined appropriate by the Commission.
10. If applicable to the service(s) the Applicant will provide, the Applicant herein, attests that it will adhere to the reliability standards of (1) the North American Electric Reliability Council (NERC), (2) the appropriate regional reliability council(s), and (3) the Public Utilities Commission of Ohio. (Only applicable if pertains to the services the Applicant is offering)

C-9 Exhibit C-9 "Merger Information," provide a statement describing any dissolution or merger or acquisition of the applicant within the five most recent years preceding the application.

Cathy Campbell owner
Signature of Applicant & Title

Sworn and subscribed before me this 12th day of OCTOBER, 2012
Month Year

Deborah Ann Peris
Signature of official administering oath

DEBORAH ANN PERIS, NOTARY
Print Name and Title

My commission expires on 6/19/2016

11. The Applicant herein, attests that it will inform the Commission of any material change to the information supplied in the application within 30 days of such material change, including any change in contact person for regulatory purposes or contact person for Staff use in investigating customer complaints.

That the facts above set forth are true and correct to the best of his/her knowledge, information, and belief and that he/she expects said Applicant to be able to prove the same at any hearing hereof.

Cathy Camp Owner
Signature of Affiant & Title

Sworn and subscribed before me this 12th day of OCTOBER, 2012
Month Year

Deborah Ann Peris
Signature of official administering oath

DEBORAH ANN PERIS, NOTARY
Print Name and Title

My commission expires on 6/19/2016

A-11:

Anthony Carapezza, Owner 6917 Detroit Ave. Apt 4 Cleveland Ohio 44102, 585-472-2989

Chris Trumbull, Operations Director 3270 Fairhill Dr. Rocky River Ohio 44116, 440-463-2536

A-12:

Anthony Carapezza Owner. Chris Trumbull Operations Director. Affiliate partnership with AEP wholesale electricity.

A-13:

Anthony Carapezza formed Source Energy, LLC in February 2012. Source Energy was formed with the goals of obtaining affiliate partnerships throughout Ohio to provide an energy brokerage service to all applicable residents and businesses.

A-14:

Not Applicable

A-15:

Provided

B-1:

No, I am not currently certified, licensed, registered or otherwise authorized to provide retail or wholesale electric services including aggregation services at the time of this filing.

B-2:

Anthony Carapezza and Chris Trumbull both worked with Volunteer Energy where they learned what is required in obtaining energy partnerships and forming a positive, caring and reliable service to all current and potential customers. This honest approach has left Volunteer Energy with happy and trusting customers in which Source Energy will focus on obtaining the same trust and happiness. For all complaints and inquiries our office is open Monday through Friday 9:00am until 5:00pm which can be reached at 407-476-8723. We also have an email address and incase the client would be interested in a web based form of contact. Our web site is used to provide free and helpful energy information and quotes.

B-3:

Anthony Carapezza and Chris Trumbull while working with Volunteer Energy have come across many clients with large load factors and high annual volume that require pricing outside of a general product. For example, we have serviced accounts like the Galleria Mall in downtown Cleveland that has an annual usage over 21 million kWh annually. For accounts like this we worked directly with Tom Arnold of Volunteer who taught us the process of obtaining Letters of Authorization and then obtaining a proper

bid on the account. Once the contract was finalized we were then able to speak with the appropriate personnel to explain the terms, conditions and a detailed explanation of their contract and currently obligations. We have serviced all of First Energy's territory in Ohio with a focus of 80% commercial and 20% residential.

B-4:

None

B-5:

No

B-6:

No

C-1:

Currently does not apply, Source Energy is a new company with no shareholders, and has currently not been in business for a year.

C-2:

We are not a publicly held company.

C-3:

Provided

C-4:

Not taking title to the power.

C-5:

Provided

C-6:

Anthony Carapezza has an TransUnion credit score of 656.

C-7:

Provided

C-8:

None

C-9:

None



DATE:	DOCUMENT ID	DESCRIPTION	FILING	EXPED	PENALTY	CERT	COPY
02/27/2012	201205501348	ARTICLES OF ORGNZTN/DOM. PROFIT LIM.LIAB. CO. (LCP)	125.00	.00	.00	.00	.00

Receipt

This is not a bill. Please do not remit payment.

ANTHONY CARAPEZZA
6917 DETROIT AVE APT 4
CLEVELAND, OH 44102

**STATE OF OHIO
CERTIFICATE**

Ohio Secretary of State, Jon Husted

2085014

It is hereby certified that the Secretary of State of Ohio has custody of the business records for

SOURCE ENERGY, LLC.

and, that said business records show the filing and recording of:

Document(s)

ARTICLES OF ORGNZTN/DOM. PROFIT LIM.LIAB. CO.

Document No(s):

201205501348



United States of America
State of Ohio
Office of the Secretary of State

Witness my hand and the seal of
the Secretary of State at Columbus,
Ohio this 21st day of February, A.D.
2012.

Ohio Secretary of State

Source Energy, LLC.
Balance Sheet
As of September 30, 2012

Liabilities	2012	2013
Accounts payable	\$ 1,000	\$ 10,000
Reserve for Outstanding Claims	\$ 1,000	\$ 3,000
Accrued Expenses	\$ 500	\$ 1,500
Advances Received	\$ 4,000	\$ 4,000
Unexpired Reinsurance	\$ -	\$ -
Ccured Bonus	\$ -	\$ 5,000
Short-Term Government Bonds	\$ -	\$ -
Unusual Casualty Reserve	\$ -	\$ -
Public Bonds	\$ -	\$ -
Loans Payable	\$ 1,000	\$ 50,000
Accrued Retirement Benefit Cost	\$ -	\$ -
Other Liabilities	\$ 4,000	\$ 40,000
Total Liabilities	\$ 11,500	\$ 113,500
Owners' Equity		
Chris Trumbell, Contributed Capital	\$ 40,000	\$ 150,000
Partner 2, Contributed Capital	\$ 40,000	\$ 150,000
Retained Earnings	\$ 10,500	\$ 9,500
Total Owners' Equity	\$ 90,500	\$ 309,500
Total Liabilities and Owner's Equity	\$ 102,000	423,000

Balance sheets are historical (As of September 30, 2012)

Income statements are current (For the month of September 30, 2012)

y, LLC.

heet

30, 2012

Assets	2012	2013
Cash and Deposits	\$ 4,000	\$ 8,000
Securites	\$ -	\$ 5,000
Inventories	\$ 3,000	\$ 6,000
Accounts Receivable	\$ 80,000	\$ 250,000
Accrued Revenues	\$ -	\$ -
Prepaid Expenses	\$ -	\$ -
Loans Receivables	\$ -	\$ -
Other Receivables	\$ 10,000	\$ 10,000
Allowance for Bad Debts	\$ -	\$ -
Tangible Fixed Assets	\$ 5,000	\$ 9,000
State Owned Assets	\$ -	\$ -
Land	\$ -	\$ 10,000
Natraul Gas Vehicle	\$ -	\$ 35,000
Buildings	\$ -	\$ 50,000
Structures	\$ -	\$ 10,000
Wind Turbines	\$ -	\$ -
Construction in Progress	\$ -	\$ -
Commodity	\$ -	\$ -
Intangible Fixed Assets	\$ -	\$ 5,000
Contributions	\$ -	\$ 25,000
Total Assets	\$ 102,000	\$ 423,000

Income statement for Source Energy ending 1/1/2013

Income	\$	
Services rendered		<u>314,500</u>
Expenses		
Salaries		42,000
Telephone & internet		16,000
Electricity & gas		1,500
Property rates & taxes		1,200
Insurance		9,000
Advertising costs		55,000
Fuel		2,000
Bank charges/interest paid		<u>800</u>
Net profit		187,000

Cash flow statement for Source Energy year ending 1/1/2013 \$

Cash flow from operating activities:

Cash receipts from customers	155,000
Cash paid to employees	-42,000
Cash generated from operations	113,000

Net cash flow from operating activities 113,000

Cash flow from investing activities

Marketing	-55,000
Net cash flow from investing	-55,000

Cash flow from financing activities

Proceeds from capital contributed	150,000
Drawings	-5,000
Proceeds from loan	50,000
Payment of loan	-40,000
Net cash flow from financing activities	155,000

Net increase/decrease in cash 213,000

Cash at the beginning of period

Cash at the end of the period **213,000**



KeyBank
P.O. Box 22114
Albany, NY 12201-2114

Business Banking Statement
September 30, 2012
page 1 of 3

SOURCE ENERGY LLC
6917 DETROIT AVE APT 4
CLEVELAND OH 44102-3051

Questions or comments?
Call our Key Business Resource Center
1-888-KEY4BIZ (1-888-539-4249)

Enroll in Online Banking today at Key.com.
Access your available accounts, transfer funds and view your transactions right from your PC.

KeyNotes

Important Information about Check Image Statements

If you are receiving statements displaying digital images of the front and back of each check, please note the following important information.

Statements will be limited to ten thousand digital check images. Accounts with more than ten thousand checks per statement period will not receive check images with the account statement.

If you should require a copy of a specific check image, all the digital check images or to discuss other delivery channels for check images please feel free to contact us at the phone number provided on this statement. Check images are retained on our secure system for the period specified by law.

Please read and retain this information with all of your KeyBank Account Opening Agreements and Disclosures.

get organized with Key

De-clutter and put important documents in a safe place.

Our Safe Deposit Boxes offer peace of mind by providing a secure environment to store what matters most to you, such as:

- * Back-up computer files, digital photos and personal or professional documents*
- * Birth, marriage, death certificates*
- * Stamp, coin or other valuable collections and records*

*Visit Branch Locations on key.com to find a KeyBank branch near you that offers Safe Deposit Boxes.**

**Contents of safe deposit box are not FDIC insured. Safe Deposit Boxes are not water, fire or burglar proof. Please see Safe Deposit Box Agreement for all terms and conditions.*

***Subject to availability. Not all branches offer Safe Deposit Boxes.*

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Business Banking Statement
September 30, 2012
page 2 of 3

[REDACTED]

KeyBank Basic Business Checking [REDACTED]
SOURCE ENERGY LLC

Beginning balance 8-31-12	\$250.00
Net fees and charges	-8.00
Ending balance 9-30-12	\$242.00

Fees and
charges

Date		Quantity	Unit Charge	
9-28-12	Paper Statement Fee	1	3.00	-\$3.00
9-28-12	Service Charge	1	5.00	-5.00
Fees and charges assessed this				month
				-\$8.00

[REDACTED]