#### BEFORE

#### THE PUBLIC UTILITIES COMMISSION OF OHIO

In the Matter of the Application of Duke Energy Ohio, Inc., for an Increase in Gas Rates.	) ) Case No. 12-1685-G. )	A-AIR		
In the Matter of the Application of Duke Energy Ohio, Inc., for Tariff Approval.	) ) Case No. 12-1686-G. )	A-ATA		
In the Matter of the Application of Duke Energy Ohio, Inc., for Approval of an Alternative Rate Plan for Gas Distribution Service.	) ) Case No. 12-1687-G. ) )	A-ALT		
In the Matter of the Application of Duke Energy Ohio, Inc., for Approval to Change Accounting Methods.	) ) Case No. 12-1688-G )	A-AAM	201	IREC
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DUKE ENER	RGY OHIO, INC.		ଞ୍ଚ	AIC.
Management policies, practi	ices, and organization			
Operating income				
Rate base				
Allocations				
Rate of return				
Rates and tariffs				
X Other: Manufactured Gas P	lant			

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July 20, 2012

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#### I. INTRODUCTION AND PURPOSE

#### 1 Q. PLEASE STATE YOUR NAME AND BUSINESS ADDRESS.

- A. My name is Andrew C. Middleton, and my business address is P.O. Box 58,
  Mount Sidney, VA 24467.
- 4 Q. BY WHOM ARE YOU EMPLOYED AND IN WHAT CAPACITY?
- 5 A. I am the President of Corporate Environmental Solutions LLC, which is
  6 headquartered at 1348 Beulah Rd., Pittsburgh, PA 15235.

# 7 Q. PLEASE SUMMARIZE YOUR EDUCATION AND PROFESSIONAL 8 QUALIFICATIONS.

9 Α. I have a Bachelor of Science with distinction in Civil Engineering from Virginia 10 Polytechnic Institute & State University, awarded in 1971; and a Master of 11 Science Degree in Sanitary Engineering also from Virginia Polytechnic Institute 12 & State University, awarded in 1972. In 1975, I earned a Ph.D. in Environmental 13 Engineering from Cornell University. I have been a Registered Professional 14 Engineer in the Province of Ontario since 1975. I am also Board Certified in the 15 area of hazardous waste management by the American Academy of 16 Environmental Engineers.

17 Q. PLEASE SUMMARIZE YOUR PROFESSIONAL EXPERIENCE.

A. My professional work experience is detailed on my Curriculum Vitae, provided as
 Attachment ACM-1, which lists my numerous positions. My experience includes
 service from 1981 to 1988 as Vice President of the Environmental Resources
 Department of Koppers Company, with responsibility for management of all
 environmental issues, including operation of more than 50 chemical and allied
 products plants and more than 50 previously operated plants and disposal sites,

1 including superfund sites. I founded, and was President of, Keystone 2 Environmental Resources, Inc., a subsidiary of Koppers, offering environmental consulting, analytical, and remediation services through offices in the United 3 4 States (U.S.) and Canada, focusing on wood treating sites, manufactured gas plant 5 sites, byproduct coke oven sites, chemical plant sites and the design and operation 6 of industrial wastewater and groundwater treatment systems. In this position, I 7 was a principal investigator for a research project funded by the Gas Research 8 Institute on assessment and remediation of manufactured gas plant sites. Starting 9 in July 1988, I served as President of Haniel Environmental Services, Inc., the 10 U.S. branch of a German company specializing in site remediation. 11 Subsequently, I was a Principal with ThermoRetec Consulting Corporation, an engineering and remedial services company specializing in on-site treatment of 12 13 organic wastes. My work involved day-to-day management of Superfund sites, 14 site remediation, environmental audits of industrial facilities, and other related 15 matters. I moved from this position to be General Manager of ThermoRetec's 16 Site Management and Closure Division and then to serve as Senior Vice President 17 of The RETEC Group, Inc. In 2001, I founded Corporate Environmental 18 Solutions LLC.

## Q. PLEASE DESCRIBE YOUR DUTIES AS PRESIDENT OF CORPORATE ENVIRONMENTAL SOLUTIONS LLC.

A. I am responsible for technical, operational, and business affairs. I provide senior
 consulting services in the areas of corporate environmental management,
 environmental risk characterization and management, environmental dispute

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resolution, site assessment and remediation, and treatment of industrial
 wastewaters.

## 3 Q. PLEASE PROVIDE AN OVERVIEW OF YOUR EXPERIENCE WITH 4 MANUFACTURED GAS PLANT SITES.

5 Α. My industrial experience included a large number of environmental projects 6 relating to byproduct coke plants and other facilities involving the production, 7 processing, and handling of tar and tar chemicals, including ones addressing 8 industrial wastewater treatment and industrial site investigation and remediation. 9 All of these projects provided experience relevant to manufactured gas plant 10 (MGP) sites. As an environmental consultant, I have worked on over 300 MGP 11 sites, including visits to at least 145 sites. My scope of work on the vast majority 12 of those 300 sites included a review of historical information about each plant. In 13 the course of my research concerning these 300 MGPs and the manufactured gas 14 industry in general, I have also seen and reviewed information concerning 15 numerous other MGPs. I have testified on seven occasions before state public 16 utility commissions regarding MGPs. I have also testified about MGPs in a 17 number of lawsuits across the U.S. in depositions and affidavits, as well as twice 18 in court proceedings, where the courts recognized me as an expert on 19 manufactured gas plants.

# 20Q.HAVE YOU PREVIOUSLY TESTIFIED BEFORE THE PUBLIC21UTILITIES COMMISSION OF OHIO?

A. I have not previously testified before the Public Utilities Commission of Ohio
(Commission). A list of my previous experience testifying before similar agencies
in other states is included in Attachment ACM-1.

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### 1 Q. WHAT IS THE PURPOSE OF YOUR TESTIMONY IN THESE 2 PROCEEDINGS?

A. My testimony will address the history of the manufactured gas industry in the U.S., industry practices with regard to generating and managing residuals from gas manufacture during the time when the MGPs were operational, and the development of understanding with regard to the contaminants that resulted from those operations, along with the current industry practices with regard to remediation of the resultant issues.

#### II. <u>HISTORY OF THE MANUFACTURED GAS INDUSTRY IN THE U.S.</u>

## 9 Q. PLEASE PROVIDE AN OVERVIEW OF THE HISTORY OF GAS 10 MANUFACTURING.

11 Although "gas" was first named in 1609, the first gas company was not founded Α. until over 200 years later in London in 1812. The first U.S. gas company was 12 13 founded in Baltimore in 1816. A century later, by 1920, the U.S. had over 1,000 14 manufactured gas companies. However, by 1970, utility-owned or -operated 15 MGPs were almost non-existent, with manufactured gas having been replaced by 16 natural gas across the U.S. The 150-year period from 1816 until the mid-1960s 17 defines the era of manufactured gas (MGP Era). During the MGP Era, the U.S. 18 manufactured gas industry began, matured, and ended. Various gas-making 19 processes, gas storage vessels, and gas purification equipment were developed 20 and modified throughout much of the MGP Era.

With regard to the size of this industry, in 1985 the Radian Corporation issued a report on a survey of historic MGP locations in the U.S. for the period 1880-1950. This report included a list of historic locations by city and state and it

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identified over 1500 such locations. Attachment ACM-2 is a map of the U.S. 1 2 showing the number of locations by state based on this report. The list includes 3 90 locations in the state of Ohio. These numbers likely understate the total 4 number of MGPs. The Radian report was based on a survey of gas directories in 5 the decade years beginning in 1880 and running through 1950, but not all MGP 6 locations were identified in the survey. For example, the survey did not include 7 all locations before 1880 and it did not necessarily include all multiple locations 8 in a given city. However, it documents that there was a large number of MGP 9 locations across the U.S. and provides insight into the parts of the country where 10 they were located.

#### 11 Q. HOW WAS MANUFACTURED GAS MADE?

A. Three types of gas-making processes generally dominated the manufacture of gas
in the U.S. during the MGP Era: coal gas, carburetted water gas (also known as
just "water gas") and oil gas, typically with heat contents in the range of 500-600
British thermal units per standard cubic foot of gas (Btu). As will be discussed
below, one form of oil gas manufacture resulted in a higher heat content of around
1000 Btu, which is near that of natural gas.

18 <u>Coal Gas Manufacture (or Coal Carbonization)</u>

19 Coal gas manufacture, also known as coal carbonization, which began in 20 1816, had two primary process configurations: retorts and byproduct coke ovens. 21 Retorts were the first apparatus used and they were smaller vessels more widely 22 used by the gas industry throughout the MGP Era than the larger byproduct coke 23 ovens.

1 In either case, bituminous coal was heated to a high temperature in a 2 closed vessel (i.e., a retort or a coke oven) in the absence of air. Attachment 3 ACM-3 is a schematic diagram of the coal gas process. Heating of bituminous 4 coal resulted in the volatile portion of the coal, approximately 30% by weight, 5 being driven off as hot gas, which was then cooled and purified through various 6 processes. The hot gas emanating from the closed vessel was first immediately 7 quenched with water, which cooled it and condensed coal tar. Ouenching 8 occurred in a hydraulic main, which was a pipe continuously flowing with water 9 and also receiving the hot gas from the retorts or ovens. The resulting coal tar and 10 water mixture flowed to quiescent basins for separation, with substantial recycling 11 Following of the water back to quenching and recovery of the coal tar. quenching, the coal gas went through further purification steps to remove 12 13 additional gas constituents. The purified gas was stored in gas holders prior to its 14 distribution. The remaining part of the coal was coke, which came out of the 15 retorts or ovens in a red hot state that needed quenching with water. Coke was a 16 high-carbon material used as fuel (e.g., under the retorts), in metallurgical 17 processes, or as feedstock to the carburetted water gas process. Today, coke is 18 still manufactured from bituminous coal in byproduct coke ovens for use in 19 metallurgical processes.

Attachment ACM-4 contains drawings of the front and side views of a coal gas retort bench containing six individual retorts. The front view shows that the retorts typically had a D-shaped cross section. The sectional side view shows the length of the retorts back into the bench. The firebox at the bottom received coke, which was burned to generate the heat for the retorts.

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1 Attachment ACM-5 shows pictures of a byproduct coke oven installation. 2 These installations were much larger than retort benches. The individual ovens 3 were narrow, tall rectangles that could be opened on both ends. Coke ovens were 4 constructed in long rows called batteries. The firebrick structure below and 5 between individual ovens contained passageways. These ovens were heated by 6 burning gas within these passageways.

7 <u>Carburetted Water Gas</u>

8 Carburetted water gas manufacture made gas from coal or coke plus some 9 form of oil, in three cylindrical vessels in series. T.S.C. Lowe invented the 10 carburetted water gas process in the 1870s and, by the early 1900s, it had become 11 the dominant process in the U.S., surpassing coal gas manufacture. In many 12 locations, coal gas and carburetted water gas were both used at the same time.

13 The process was cyclical alternating in first heating of the firebrick in the 14 second two vessels by burning coal or coke in the first vessel and then in making 15 gas in all three vessels by steam and oil addition. Attachment ACM-6 shows a 16 schematic diagram of the carburetted water gas process. True water gas (also 17 referred to as blue gas) was first made by reacting red-hot coal or coke with steam 18 in the generator, the first of the three vessels used in the process. To generate 19 sufficient heating or illuminating capacity to be distributed to the public, true water gas had to be carburetted. This was accomplished by passing the true water 20 21 gas into a second vessel, the carburetter, where the gas was sprayed with oil or an 22 oil fraction (e.g., a light or heavy fuel oil). The oil or oil fraction vaporized, 23 began thermally cracking to form gas, and was finally converted permanently to 24 gas in the third vessel, the superheater.

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As with coal carbonization, the gas was immediately quenched upon exiting the gas generation equipment in a wash box to cool it and condense carburetted water gas tar. The resulting tar and water mixture flowed to quiescent basins for separation, with substantial recycle of the water and recovery of the tar. Following the wash box, carburetted water gas went through further purification steps to remove additional constituents and then flowed to the storage and distribution system.

8 Carburetted water gas manufacture was more flexible in operation than 9 coal gas manufacture, and it also converted most of the coal or coke to gas, in 10 contrast to coal gas, which resulted in a substantial amount of coke.

11 Carburetted water gas tar was similar, but not identical, to the coal tar 12 produced by the coal gas process. The difference resulted from the use of 13 petroleum in the gas manufacturing process rather than solely bituminous coal as 14 for coal gas manufacture.

#### 15 <u>Oil Gas</u>

Oil gas manufacture had three general process configurations: small-scale oil gas, West Coast oil gas and high-Btu oil gas. These processes made gas from crude oil or some fraction of oil, often in conjunction with the use of steam. There were many equipment configurations for the small scale oil gas processes, which were used predominantly in the 1800s. In this testimony, the term "oil gas" will mean either the West Coast oil gas processes or the high-Btu oil gas process (*i.e.*, excluding the small-scale oil gas processes).

The West Coast oil gas process was first used in major installations in the
early twentieth century on the West Coast and continued to be used throughout

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the MGP Era, including installations on the East Coast. The West Coast oil gas processes were first developed in the 1890s with the first major oil gas plant beginning operation in 1902 in Oakland, California. Oil gas manufacture was economically beneficial in situations where crude oil was more readily available and less costly than coal, such as on the West Coast of the U.S. in the early 1900s.

6 Attachment ACM-7 includes schematic diagrams of two configurations of 7 the West Coast oil gas process. The process was cyclical and it relied on one 8 (single-shell oil gas) or two vessels (two-shell oil gas) filled with firebrick in a 9 manner to create gas passageways. In the first cycle, oil was burned in the vessels 10 to heat the firebrick to a high temperature. In the second cycle, manufacture of oil 11 gas occurred by injection of steam and additional oil into the hot vessels, which 12 caused a reaction to form gas.

13 As with the carburetted water gas process, the hot gas exited the vessel 14 into a wash box, in which it was quenched with water. This quenching caused, 15 depending on the process, lampblack and/or oil gas tar to separate from the gas. 16 Lampblack was fine carbon particles in the gas. The relative proportions of 17 lampblack and tar in the hot gas depended on the operational conditions of the oil 18 gas process. For example, the oil gas process could have been configured and 19 operated to produce more lampblack and less tar. Also, depending on the 20 configuration and operation of the wash box, the degree of separation of 21 lampblack and tar could have been affected. For example, primary removal of 22 lampblack from the gas could have been accomplished in the wash box, with tar 23 removal in subsequent purification steps, by the design and operation of the wash 24 box. The resulting lampblack-water mixture or oil gas tar-water mixture typically

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1 flowed to quiescent basins for separation of the water and recovery of the 2 lampblack and tar. Following the wash box, gas was further purified to remove 3 additional constituents.

4 After conversion to natural gas, many gas companies used the high-Btu oil 5 gas process for supplementing natural gas supplies in times of high demand (i.e., 6 The high-Btu oil gas process was generally developed for peak shaving). 7 application when gas companies were switching from manufactured gas to natural 8 gas. High-Btu oil gas was a modification of oil gas manufacture that resulted in 9 the manufactured gas having a heat content of approximately 1000 Btu per cubic 10 foot, thus allowing it to be compatibly mixed with natural gas. Typically, the role 11 of this process was to be on standby such that, during periods of peak demands 12 (e.g., colder winter times), it could be activated to supplement natural gas 13 supplies. This process was often used just a few days or weeks per year. The 14 high-Btu oil gas process could be developed either by modifying a carburetted 15 water gas process or a regular oil gas process. Its operation was similar to that of 16 the oil gas process.

17 Other Processes and Gas Sources

18 In addition to the three major processes, there were at times other gas-19 making processes used less frequently than those discussed above (*e.g.*, refinery 20 gas reforming or rosin gas manufacture).

Lastly, there were instances where gas companies purchased the excess coal gas made in byproduct coke ovens owned and operated by non-utilities (*e.g.*, steel companies). As necessary, the gas companies purified this gas made by

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1		others to a quality satisfactory for distribution to their customers. Typically, this
2		purification was for removal of sulfur compounds to a lower required standard.
3	Q.	WHAT WAS THE VARIABILITY OF THE VARIOUS PROCESSES FOR
4		THE MANUFACTURE OF GAS?
5	A.	The 1924 edition of Brown's Directory of American Gas Companies provided a
6		breakdown of the types of MGPs listed in that edition:
7 8 9 10 11 12 13 14 15 16 17 18		<ul> <li>coal gas plants: 201</li> <li>[carburetted] water gas plants: 429</li> <li>oil gas plants: 35</li> <li>mixed: coal &amp; water: 151</li> <li>mixed: water &amp; oil: 49</li> <li>mixed: water &amp; coke oven 8</li> <li>other variations: 23</li> <li>distributing (gas purchased): 88</li> <li>byproduct coke ovens: 82</li> <li>Total 1,066</li> </ul> This breakdown illustrates the predominance of water gas and coal gas plants in the early twentieth century of the MGP Era.
19	Q.	WHAT RESIDUALS DID MANUFACTURED GAS CONTAIN THAT
20		NEEDED TO BE REDUCED IN LEVELS BEFORE DISTRIBUTION OF
21		THE GAS?
22	A.	The vaporous residuals in hot manufactured gas exiting the gas-making
23		equipment that most commonly required reduction in levels before distribution
24		were tar and sulfur compounds, especially sulfide compounds, for all of the
25		manufacturing processes discussed above. In the case of coal gas, the vaporous
26		residuals also included ammonia and cyanide compounds. If a carburetted water
27		gas or oil gas process used crude oil, the vaporous residuals also included cyanide
28		compounds. At times, some MGPs also removed other vaporous residuals, such

as a mixture of benzene, toluene, xylenes and other volatile compounds known as
 "light oil," as commercial byproducts. In the case of oil gas processes, lampblack
 required removal before distribution of the gas.

#### 4 Q. HOW WAS MANUFACTURED GAS PURIFIED?

5 Gas purification removed the residuals described above to recover commercial Α. 6 byproducts and to make the gas suitable for distribution and use. Attachment 7 ACM-8 is a schematic diagram of the overall general gas manufacturing, 8 purification, and storage processes. As described above, the first step in 9 purification was the quenching of the hot gas with water. This occurred in the 10 hydraulic main for coal gas manufacture and in the wash box for carburetted 11 water gas and oil gas manufacture. This quenching step condensed much of the 12 tar vapor resulting in a tar-water mixture for further handling. Where lampblack 13 was present, its removal occurred in this first quenching step. Further removal of 14 remaining tar was accomplished generally by the use of condensers and scrubbers. 15 Additional equipment, such as tar extractors or Cottrell precipitators, was used at 16 some plants as it became commercially available. At coal gas plants, ammonia 17 removal, typically through water absorption, was the next step after tar removal. 18 At some coal gas plants and at byproduct coke ovens, absorption of ammonia into 19 sulfuric acid was used. Depending on the process and scale of operation, light oil 20 and the chemical, naphthalene, may have also been removed, typically by oil 21 scrubbing.

The most common last step before gas storage was hydrogen sulfide removal. Prior to the 1880s, lime absorption was the typical process. In the 1880s and afterwards, iron-oxide beds became the dominant process. Around

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1 1920 and afterwards, some larger plants used a liquid sulfur removal process 2 which had been developed around that time. In the case of coal gas and oil gas 3 plants using crude oil, hydrogen sulfide removal also accomplished cyanide 4 removal from the gas.

5 After hydrogen sulfide removal, the gas went into storage prior to its 6 distribution.

7 Q. HO

#### HOW WAS GAS STORED?

8 A. There were three general types of gas holders used to store manufactured gas: 1)
9 low-pressure, water-seal; 2) waterless, low-pressure; and 3) high-pressure.

10 The low-pressure, water-seal, gas holders were the most common form of 11 gas storage during the MGP Era. This type of holder consisted of a water tank, 12 the holder itself, which could have had multiple telescoping lifts, and structural 13 components and piping. Attachment ACM-9 is a diagram of a low-pressure, 14 water-seal holder with a below-ground, masonry water tank. The water tank was 15 filled with water that sealed the gas within the holder. The holder itself moved up 16 and down within its superstructure as gas was added or removed from it.

The waterless, low-pressure holder consisted of a very large, vertical tank with a disk floating on the gas inside. Attachment ACM-10 illustrates a lowpressure waterless seal holder. The purpose of the disk was to contain and pressurize the gas. The disk moved up and down in the interior of the tank as gas was added and removed, respectively. The seal between the perimeter of the disk and the inside of the holder was typically wetted with recirculating tar.

High pressure holders were either horizontal cylinders (a.k.a. "bullet
 tanks," like current propane storage cylinders), vertical cylinders (Attachment

1		ACM-11) or spherical (e.g., the Hortonsphere) (Attachment ACM-12). These
2		tanks received gas from compressors and stored the gas at higher pressures (e.g.,
3		30-60 pounds per square inch) than the low-pressure holders. These were
4		mechanically sealed, pressurized tanks, in contrast to the low-pressure holders.
5		Gas holders ranged in size from small (e.g., 25,000 cubic feet in an early
6		low-pressure, water-seal holder) up to very large (e.g., 20 million cubic feet for
7		waterless holders of the 1920s and afterwards).
8	Q.	WHAT RESIDUALS RESULTED FROM THE MANUFACTURE OF
9		GAS?
10	A.	As discussed above, purification of gas generated certain residuals: tar and some
11		form of sulfur removal residual from all of the major gas-making processes; some
12		form of ammonia residual from coal gas manufacture; and, at some plants, other
13		residuals like light oil or naphthalene. In addition to these residuals, wastewater
14		was generated by all of the major gas-making processes, coke was generated by
15		coal gas manufacture, lampblack was generated by oil gas, clinker was generated
16		by carburetted water gas manufacture, and ash was generated by burning of coal
17		or coke for heating retorts or making steam in a boiler house. These represent the
18		dominant residuals from gas making. Attachment ACM-13 is a table
19		summarizing these residuals and providing a brief description of them.
20	Q.	WHAT HAPPENED TO THE RESIDUALS FROM GAS
21		MANUFACTURE?
22	A.	Residuals included both byproducts and wastes. Byproducts were materials that

could be sold or be beneficially used at the MGP. Wastes were the converse -

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- materials that could not be sold or used beneficially. There were three general
   methods for disposition of these residuals:
- Sale or Use as Byproducts: Various markets existed at different times for
   byproducts. These markets changed according to external factors.
   Byproducts could also be used by a gas company directly or as feedstocks
   to other manufacturing processes to create more valuable byproducts.
- Use as Fuel: If residuals had sufficient energy content and had physical
   and chemical characteristics that could reasonably facilitate use as fuel,
   they could be burned to generate heat for the gas manufacturing process or
   in the boiler house to generate steam.
- Disposal: If residuals could not be sold or used as byproducts or fuel, they
   became wastes for disposal.
- 13 The viability of byproduct recovery was dependent on several factors. 14 Economical technologies had to be available to recover byproducts that would 15 meet market specifications, sufficient quantities of material had to be produced to 16 warrant recovery, and there had to be a market for the byproducts. The principal 17 motivation for byproduct recovery was to generate added revenue, reducing the 18 cost of gas to the consumer, thereby making manufactured gas less costly. As 19 part of their oversight role on behalf of the gas consumer, public service 20 commissions often received reports on the recovery and sale or use of byproducts 21 from manufactured gas companies within their respective jurisdictions.
- 22 Q. WHAT WAS THE TYPICAL DISPOSITION OF COKE?
- A. Coke from coal gas manufacture was a high-carbon content byproduct that was
  sold for use as fuel or as a component in metallurgical processes (*e.g.*, steel

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making), or was used at the MGP as fuel or as feedstock to the carburetted water gas process.

#### **3 Q. WHAT WAS THE TYPICAL DISPOSITION OF TAR?**

4 Α. Tar from any of the processes was a byproduct 1) sold for use in making 5 commercial products (e.g., road tar and tar chemicals), 2) used as fuel at the 6 MGP, or 3) used at the MGP as a feedstock for producing commercial products 7 (e.g., road tar and tar chemicals). In its raw state from gas generation, tar 8 contained varying amounts of water. As necessary and practical, tar was 9 dehydrated to make it a suitable byproduct. Various dehydration processes were 10 available to generate lower water-content tar, including heating and centrifugation 11 methods. None, however, proved to be completely practical on every high water-12 content tar. If a high water-content tar could not be reasonably treated or the tar 13 could not be sold or burned, it was typically stored in tanks, gas holders, or onsite 14 ponds until it could be recovered for sale or use or until it was eventually disposed 15 of as a waste at some point in time, which may have been during a present-day 16 remedial action.

#### 17 Q. HOW WAS TAR COMMERCIALLY USED?

A. Tar is a complex mixture of hundreds of organic chemical compounds, including
many polycyclic aromatic hydrocarbons (PAHs). It had, and still has, many
beneficial uses. Various companies outside of the gas industry purchased tar
during the MGP Era to refine it into commercial products. The primary refining
process for tar was distillation into different fractions. The commercial products
made from tar included creosote as a preservative for railroad ties and utility
poles, road tar, bitumen used for tar roofs, tar coatings, and tar pitch used in the

1 manufacture of aluminum. Some gas companies refined the tar at the MGP and 2 sold the resulting commercial products directly to end users, such as state or 3 county road departments.

4 Substantial volumes of tar were put on the ground in paving roads and 5 streets or for dust suppression on roads and streets, including at locations in Ohio 6 (See Attachments ACM-14 – ACM-19 for road tar advertisements citing locations 7 in Ohio). For example, application rates were up to two gallons of tar binder per 8 square yard of road. On a 20-foot wide road, this would be 23,000 gallons of tar 9 per mile of road. In 1913, the Barrett Company stated that its product, Tarvia, 10 had been used successfully on over 50 million yards of roadways and pavements 11 in this country. For a 20-ft wide road, this quantity in square yards equates to 12 over 4,000 miles of roads and streets. At an application rate of two gallons per 13 square yard, this would equate to 100 million gallons of tar placed on roads and 14 streets.

15 Currently, coal tar (there is no current production of carburetted water gas 16 or oil gas tar) remains a commercial product used for a variety of purposes, 17 including the production of creosote, roofing bitumen, tar pitch for the aluminum 18 industry, and driveway sealer. In addition, certain shampoos (*e.g.*, Westwood-19 Squibb Sebutone® tar shampoo) contain a USP-grade of coal tar.

#### 20 Q. WHAT WAS THE TYPICAL DISPOSITION OF AMMONIA?

A. Ammonia from a coal gas process was typically recovered and sold as a chemical
 source of ammonia or sold or given away as fertilizer. As an example of a
 commercial use, in the early days of refrigeration, ammonia was the gas used in
 the compressor equipment.

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#### 1 Q. WHAT WAS THE TYPICAL DISPOSTION OF LIGHT OIL?

2 In the manufactured gas industry, "light oil" was a liquid recovered from the gas-A. making process that was made up primarily of volatile aromatic hydrocarbons 3 4 (e.g., benzene and toluene). Light oil was less dense than, and therefore floated 5 on, water. Without being refined, light oil could be used as fuel or sold as commercial product for use as a feedstock in chemical manufacture. It could be 6 7 refined into motor fuel for mixing with gasoline or for use by itself. It could also 8 be distilled into its different fractions, thereby serving as a source for commercial 9 chemicals such as benzene. Light oil recovered from the gas of any of the 10 processes was typically sold as a commercial product, used at the MGP as fuel, or 11 processed at the MGP into other commercial products (e.g., motor fuel).

## 12 Q. WHAT WAS THE TYPICAL DISPOSITION OF RESIDUALS FROM 13 SULFUR REMOVAL?

A. There were three general types of material mixtures resulting from sulfur removal.
Spent lime was found, primarily in the 1800s, and spent iron oxides were found
from the 1880s until the end of the MGP Era. In addition, elemental sulfur was
recovered from certain liquid sulfur removal processes from the 1920s until the
end of the MGP Era. The typical disposition of these materials was as follows:

19 Spent Lime

20 Spent lime was a mixture of wet lime that had reacted with hydrogen 21 sulfide (and, in the case of coal gas, hydrogen cyanide) to form chemical 22 compounds of sulfide (and cyanide in the case of coal gas). Its use was 23 predominantly before the 1880s when iron oxide sulfur removal was developed;

- however, its use afterwards continued at some MGPs. It was sold or given away
   as a soil conditioner or disposed of as a waste.
- 3 Spent Iron Oxides

4 Spent iron oxide was a mixture of iron compounds, sulfur compounds, and 5 elemental sulfur, as well as the medium on which the iron oxide had originally 6 been fixed. This medium was often wood chips or wood shavings, but it could 7 have been other materials (e.g., corn cobs) depending on the materials available to 8 the MGP. The purpose of the medium was to provide porosity together with a 9 surface for the iron oxide so that the hydrogen sulfide containing gas could flow 10 through a bed of the material and have the sulfide react with the iron. In the case 11 of coal gas and of oil gas using crude oil, the spent iron oxide also contained iron 12 cyanides, as the iron would react with the hydrogen cyanide present in these 13 manufactured gases. Iron cyanides typically converted to Prussian blue or ferric 14 ferrocyanide (FFC), which is a stable compound. Commercially, Prussian blue 15 was and is used as a blue pigment (e.g., artist pigment or laundry bluing).

16 The sulfide removal capacity of the iron oxide could be regenerated 17 several times (known as revivification in the gas industry). Revivification was 18 accomplished by removing the iron oxides and placing them on the MGP site for 19 exposure to air, or by adding air to the gas entering the purification process. 20 However, at some point no further revivification could be attained and they 21 became "spent."

The spent oxides were typically used as fill materials, disposed of as a waste, or sold or used as sources of chemicals. An example of this market is the

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- appearance in the 1910s in Brown's Directory Gas Companies of advertisements
   seeking to purchase spent oxide.
- 3 <u>Elemental Sulfur</u>

Liquid sulfur purifiers were developed in the 1920s for use at larger scale MGPs. The purification process was to scrub the gas with a solution that would absorb the hydrogen sulfide and then treat the scrubber solution to remove the sulfide so the solution could be recycled to the scrubber. In certain of these processes, elemental sulfur was recovered. Elemental sulfur from liquid sulfur purifiers was typically sold as a commercial product or disposed of as a waste if it was not saleable.

#### 11 Q. WHAT WAS THE TYPICAL DISPOSITION OF ASH AND CLINKER?

A. Ash resulted from heating the retort coal gas process by burning coke underneath the retorts or by burning of coal or coke in the boiler to generate steam. It consisted of the chemical compounds in coal that did not combust. Clinker was a residual of the carburetted water gas process, being the remnants of the coal or coke that did not burn or react in the gas-making process. It consisted of the noncombustible compounds in coal or coke, along with unreacted carbon. Clinker had a slag-like appearance.

19Ash and clinker were not generally marketable in the U.S. Sometimes,20ash was used in building materials and clinker was used in applications like sports21running tracks. The majority of ash and clinker was used as fill or disposed of as22a waste.

#### 1 Q. WHAT WAS THE TYPICAL DISPOSITION OF WASTEWATER?

A. Wastewater was the excess water from the gas making and purifying processes,
not recycled to the process. Substantial amounts of water were recirculated for
hot gas quenching, gas scrubbing, and gas cooling. Typically, the excess water
(*i.e.*, wastewater) became an effluent discharged to surface waters, to local
municipal sewerage systems, or to the MGP site itself, where its fate depended on
the local site hydrologic conditions.

8 Q. WHAT HAPPENED TO RESIDUALS FOR WHICH THERE WAS NO 9 MARKET OR ECONOMIC USE DURING SOME TIME PERIOD IN 10 WHICH AN MGP OPERATED?

A. If there was no market or economic use for any of the residuals produced, they
became wastes for disposal by the means customary at the time, as discussed in
Section III below, which included onsite disposal at the MGP site.

#### 14 Q. WHY WERE MGPS TAKEN OUT OF SERVICE?

15 A. MGPs were taken out of service throughout the MGP Era for various reasons. 16 Some plants reached the end of their useful lives and were not replaced. Some 17 were closed when gas could be more economically provided by other larger plants 18 on a regional basis. Many were closed when the introduction of natural gas made 19 them obsolete. Some carburetted water gas plants were converted to high-Btu oil 20 gas plants for peak shaving during the 1940s and thereafter before being closed 21 permanently. Peak-shaving equipment operated intermittently for short periods of 22 time to provide gas during a period of high demand (e.g., very cold winter days).

1 Once taken out of service, the plants were dismantled in whole or in part for 2 various reasons. One purpose was to reduce their assessed value for tax purposes. 3 Another was to allow for reuse or redevelopment of the land.

4

#### Q. HOW WERE MGPS TAKEN OUT OF SERVICE?

5 The procedures for taking a plant out of service generally entailed dismantling A. 6 and demolishing all of the above-ground structures and leveling the site, except 7 where certain buildings were left for future use. Below-ground tanks were filled 8 with building debris or other material to bring them to ground level. Bulk liquids 9 removed from tanks were sold or used as byproducts or fuel, as applicable and 10 practical, or disposed of as waste by means customary at the time. Some portions 11 were often left behind in tanks that were not completely removed (e.g., below-12 grade water tanks of gas holders of below-grade tar separators). Below-grade 13 pipes were left in place along with the liquids they might contain that were not 14 Salvageable materials, such as steel from tanks, were readily removable. 15 recovered. Solid wastes from above-ground vessels, such as iron oxides, were 16 used as fill or disposed of as waste, including at the MGP site itself.

#### III. <u>INDUSTRY PRACTICES DURING THE MGP ERA RESULTING IN</u> <u>PRESENT-DAY ENVIRONMENTAL IMPACTS</u>

#### 17 Q. WHAT WASTE DISPOSAL PRACTICES DID THE MANUFACTURED

18

#### GAS INDUSTRY USE?

19 A. In the manufactured gas industry, as in other industries during the 150-year MGP 20 Era from 1816 until the mid-1960s, when residuals could not be recovered and 21 sold or used as fuel or byproducts, they became wastes for disposal. Wastewaters 22 were typically discharged as effluents to surface waters, municipal sewerage

1 systems, or the MGP site itself. Solids were generally disposed of on land 2 including at the MGP site itself. For example, unusable tar was disposed of in 3 ponds or low-lying areas onsite or offsite. These disposal methods were widely 4 practiced during the MGP Era by MGPs, other types of industry, and 5 municipalities, and were considered to be acceptable and proper. Indeed, due to 6 the state of the technology at that time, there were no other feasible means of 7 disposal.

#### 8

#### Q. HOW WERE MGP RESIDUALS RELEASED AT MGP LOCATIONS?

9 In addition to waste disposal at an MGP location, there were several other Α. 10 activities related to the storage and transfer of liquids at an MGP that sometimes 11 resulted in releases of residuals to an MGP site. As liquid byproducts, such as tar, 12 were produced, they were pumped around the plant through piping networks to 13 above- and below-grade processing and storage vessels. Accidental leaks and 14 spills from pipes, pump seals and valves occurred. These incidents resulted in 15 releases of liquids to the site. In addition, leaks and spills of liquids from above-16 and below-ground tanks, pits, and other vessels, such as gas holders, sometimes 17 also occurred, causing liquids to reach the surface or enter the subsurface of the 18 site.

19 The revivification process for iron oxides from gas purification was also a 20 means through which residuals or their chemical constituents could have been 21 released to the surface of the site. One means to revivify oxide was by spreading 22 it in thin layers on the ground so that air could oxidize the iron sulfide to iron 23 oxide, its reactive state, and sulfur (*i.e., ex situ* revivification). When the oxides 24 could no longer be revivified, they were often removed from the purifier boxes

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and placed on the ground. Depending on the circumstances, the oxide might be
 stored on the ground at the MGP for extended periods of time. Eventually, if the
 oxides could not be sold or used as the source of saleable chemicals, they were
 used as fill or disposed of on other parts of the site or in offsite disposal areas.

Lastly, demolition and dismantling of an MGP often resulted in the release of residuals to an MGP location. This occurred from disposal of waste or leaks and spills during demolition and dismantling at the MGP location. To the extent that residuals were left in below-grade vessels or pipes, these residuals remained at the MGP location.

## 10 Q. HOW DID PRESENT-DAY ENVIRONMENTAL IMPACTS RESULT 11 FROM HISTORIC MGP ACTIVITIES?

A. Typical operating, disposal, and demolition/dismantling practices during the MGP Era at former MGP sites resulted in environmental contamination of media such as soil or groundwater, as contamination is defined today (*i.e.*, in 2012), which often require remediation under current state or federal laws and regulations. Additionally, pre- or post-MGP activities sometimes also resulted in releases of the same or different chemicals to an MGP site or the spreading of chemicals left behind at the cessation of MGP activities.

Beginning in approximately the 1970s, analytical technologies began to become commercially available to measure relatively low concentrations of chemical constituents in the environment, which provided a basis to begin assessing impacts currently understood. A number of organic or inorganic chemicals may possibly be present in now-measurable concentrations in environmental media, such as soil or groundwater at or near a former MGP site as

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a result of historic gas manufacturing activities. Organic chemical compounds include the following groups: volatile aromatics (*e.g.*, BTEX), phenolics, and polycyclic aromatic hydrocarbons (*i.e.*, PAHs). It should be noted that these groups of compounds generally represent the chemicals possibly present at MGP sites, but they may not represent what actually will be discovered at any specific location. Current testing at a specific MGP site may or may not find any or all of these chemical compounds.

#### IV. DEVELOPMENT OF AWARENESS OF POLLUTION ISSUES

# 8 Q. HOW DID CONSIDERATION OF THE ENVIRONMENT CHANGE 9 AFTER THE END OF THE MGP ERA?

10 A. The MGP Era ended in the mid-1960s before the first Earth Day in 1970, the year 11 that began a modern era of environmentalism (Environmental Era). From 1970 12 onward, the U.S. Congress enacted a series of laws revolutionizing the U.S. 13 approach to environmental regulation and management of air quality, water 14 quality, solid waste, industrial sites, and historic disposal facilities. A national 15 understanding of the impact of historic industrial operating and disposal activities 16 on soil and groundwater quality evolved in the 1970s, resulting in the passage of 17 the "Superfund" Act in December 1980. Laws, regulations, and guidance issued 18 under Superfund and state counterparts formed the foundations of the 19 environmental field of site remediation, a new field of practice in the 1980s. 20Application of the site remediation process to MGP sites generally began in the 21 1980s and continues through the present as a significant post-MGP Era effort by 22 those deemed responsible for MGP sites.

1		With regard to U.S. EPA's involvement in MGP sites, as mentioned above
2		in Section II, the U.S. EPA engaged the Radian Corporation in the 1980s to
3		prepare a survey of MGP locations in the U.S., which report was issued in 1985.
4		More recently, in 1999, the U.S. issued "A Resource for MGP Site
5		Characterization and Remediation." This document was prepared in conjunction
6		with the Edison Electric Institute, the Utility Solid Waste Activities Group, the
7		American Gas Association, and individual utilities. It was a compilation of
8		innovative strategies and technical approaches for expediting site characterization
9		and source material remediation at former MGP sites.
10	Q.	DURING THE MGP ERA, WHAT WAS THE MANUFACTURED GAS
11		INDUSTRY'S UNDERSTANDING OF ENVIRONMENTAL IMPACTS
12		WITH RESPECT TO HOW THEY ARE UNDERSTOOD TODAY?
13	A.	Manufactured gas plants' operating, waste disposal, and demolition/dismantling
13 14	A.	Manufactured gas plants' operating, waste disposal, and demolition/dismantling practices were consistent with the practices of other industries, governments, and
	A.	
14	A.	practices were consistent with the practices of other industries, governments, and
14 15	A.	practices were consistent with the practices of other industries, governments, and individuals throughout the U.S during that time. During the MGP Era, which was
14 15 16	A.	practices were consistent with the practices of other industries, governments, and individuals throughout the U.S during that time. During the MGP Era, which was prior to the Environmental Era, these practices throughout industry and society as
14 15 16 17	A.	practices were consistent with the practices of other industries, governments, and individuals throughout the U.S during that time. During the MGP Era, which was prior to the Environmental Era, these practices throughout industry and society as a whole were generally regulated by the principle of nuisance control ( <i>e.g.</i> ,
14 15 16 17 18	A.	practices were consistent with the practices of other industries, governments, and individuals throughout the U.S during that time. During the MGP Era, which was prior to the Environmental Era, these practices throughout industry and society as a whole were generally regulated by the principle of nuisance control ( <i>e.g.</i> , controlling offenses to the senses, such as smoke and odors in the air,
14 15 16 17 18 19	A.	practices were consistent with the practices of other industries, governments, and individuals throughout the U.S during that time. During the MGP Era, which was prior to the Environmental Era, these practices throughout industry and society as a whole were generally regulated by the principle of nuisance control ( <i>e.g.</i> , controlling offenses to the senses, such as smoke and odors in the air, objectionable tastes in the water, or soot deposition). Nuisances were considered
14 15 16 17 18 19 20	A.	practices were consistent with the practices of other industries, governments, and individuals throughout the U.S during that time. During the MGP Era, which was prior to the Environmental Era, these practices throughout industry and society as a whole were generally regulated by the principle of nuisance control ( <i>e.g.</i> , controlling offenses to the senses, such as smoke and odors in the air, objectionable tastes in the water, or soot deposition). Nuisances were considered temporary problems and were dealt with as discrete and separate situations in a
14 15 16 17 18 19 20 21	A.	practices were consistent with the practices of other industries, governments, and individuals throughout the U.S during that time. During the MGP Era, which was prior to the Environmental Era, these practices throughout industry and society as a whole were generally regulated by the principle of nuisance control ( <i>e.g.</i> , controlling offenses to the senses, such as smoke and odors in the air, objectionable tastes in the water, or soot deposition). Nuisances were considered temporary problems and were dealt with as discrete and separate situations in a manner so as to eliminate the immediate offensive condition.

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from gas. In the late 1800s and into the 1900s, there were newspaper articles about people taking their children to gas plants when the purifying boxes with the iron oxide were being opened to change out the media. According to these articles, breathing the vapors from the purifying boxes brought relief to those suffering from croup, colds, and whooping cough. In the present day, it is difficult to conceive of something similar happening, independent of whether such an activity would pose any significant risk as presently understood.

8 From 1816 until the present, surface water has been accepted as a proper 9 receptor of wastewaters. Discharge of wastewater to surface waters (e.g., rivers) 10 was common for industries and municipalities during the MGP Era and continues 11 to be so today. However, the required degree of treatment of wastewaters throughout this time period has changed significantly, especially during the 12 13 Environmental Era after passage of the amendments to the Clean Water Act in 14 1972. In 1972, regulations promulgated under the Clean Water Act mandated 15 controls on wastewater discharges across the U.S. based on best practical 16 treatment and subsequently best available treatment. Prior to 1972, a river's 17 capacity to assimilate wastewater discharge was a factor in regulating treatment of 18 wastewaters. The Clean Water Act changed this practice. Since 1972, there have 19 been increasing limitations placed on wastewater discharges based on current 20 understandings of impacts to rivers with respect to present water quality 21 standards. These Environmental Era requirements have also extended to 22 stormwater discharges and runoff from agricultural lands. Present-day regulation 23 of wastewater discharges contrasts greatly to regulation during the MGP Era.

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From 1816 until the 1970s, land was accepted as the final receptor for many kinds of wastes. Solid and liquid wastes from industries and municipalities were disposed of in open dumps either onsite or offsite, and/or in low-lying areas onsite. In the 1970s, the requirements for land disposal of industrial waste began to change significantly, especially with passage of the Resource Conservation and Recovery Act (RCRA) in 1976.

7 There are several significant examples of industries, other than the 8 manufactured gas industry, that also followed these disposal practices prior to the 9 1980s. In the iron and steel industry, solid wastes from byproduct coke plants 10 were disposed of on land, either onsite or offsite. These wastes consisted 11 primarily of ash, sludges from cleaning of process tanks and vessels, and spent 12 oxides or other gas cleaning solids (e.g., off-specification sulfur). Additionally, in 13 the petroleum refining industry, oily sludges were disposed of on land. In the 14 wood-treating industry, waste liquids were disposed of in onsite ponds. Additionally, sludges from the cleaning of tanks and vessels were disposed of in 15 16 onsite dump areas. All these practices continued until the 1980s, when 17 regulations promulgated under the 1976 RCRA mandated controls on land 18 disposal of wastes across the U.S. For example, in approximately 1980, a list of 19 commercial chemical products was issued under RCRA, which defined certain 20 products, when discarded, to be hazardous waste. However, it is noteworthy that 21 coal tar was not one of the commercial products in that list. It is also noteworthy 22 that, during the MGP Era, as described in Section II, streets and roads were 23 commercially tarred under the supervision of municipal, county, and state street and road agencies. Present-day regulations on disposal of solid and hazardous 24

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wastes developed from this act. These Environmental Era waste disposal
 regulations have also required treatment of certain wastes prior to land disposal
 and, also, incineration of certain wastes.

4 Municipal garbage, trash, and sludges from sewage treatment plants were 5 disposed of in open dumps during the MGP Era. These practices remained in 6 effect in the U.S. until the 1970s and 1980s, when regulations began to 7 systematically phase them out, in favor of sanitary landfills or controlled land 8 application, in the case of sewage sludges.

9 Q. WHAT IS A REASONABLE INDUSTRY PRACTICE WITH RESPECT
10 TO THE OPERATION OF AN INDUSTRIAL FACILITY SUCH AS AN
11 MGP, INCLUDING DISPOSITION OF RESIDUALS FROM SUCH A
12 FACILITY?

# A. I consider an activity to have been a reasonable practice if the activity was one which a reasonable business person, given the context of the legal standards and state of knowledge at the time of the activity, would have engaged in.

## 16 Q. HOW WOULD YOU CHARACTERIZE THE RESIDUALS HANDLING

17 AND DISPOSITON AND THE DEMOLITION AND DISMANTLING

#### 18 PRACTICES OF THE MANUFACTURED GAS INDUSTRY?

A. Based on my knowledge of the history of the manufactured gas industry in the
U.S., the practices for residuals handling and disposition and for demolition and
dismantling were fully consistent with those of other industries and municipalities
across the country during the MGP Era and were reasonable and prudent in view
of the circumstances and information available at the time.

#### V. <u>CURRENT UTILITY PRACTICES CONCERNING</u> <u>MGP SITE REMEDIATION</u>

### 1 Q. HOW DO UTILITIES GENERALLY APPROACH THE REMEDIATION 2 OF MGP SITES?

3 Α. Generally, utilities approach MGP site remediation in a manner consistent with 4 that of other entities in the U.S. Typically, the approach is a multistep process 5 beginning with initial involvement in a site and ending with site closure and no 6 further involvement in the site with respect to remediation. The initial 7 involvement is triggered by some event (e.g., regulatory agency inquiry, site 8 redevelopment, or imposition of a right of way). In the overall process, the site is 9 first characterized with respect to contaminants present, after which an evaluation 10 is made as to the potential impacts of those contaminants on human health or the 11 environment, compliance of site conditions with applicable regulations, and the 12 actions necessary to make the site suitable for redevelopment based on reasonably 13 anticipated, future land use, which might include residential, industrial, or 14 commercial uses. Following this evaluation, remedial measures are developed for 15 mitigating the impacts or site conditions to acceptable levels. These remedial 16 measures can be categorized as 1) reduction-of-contaminant actions such as 17 removal of contaminated materials or treatment of the materials at the site, 2) 18 prevention-of-exposure-to-contaminant actions such as engineered controls (e.g., 19 installation of site covers or containment walls) or establishment of institutional 20 controls limiting use of a site (e.g., restrictive covenants recorded on the deed), or 21 3) immobilization-of-contaminant actions such as solidification of soils at a site. 22 If the remediation is being performed under the direct oversight of a regulatory

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agency or of a designated professional, approval of the agency or professional is
 obtained for the proposed remedial measures prior to implementation. Once
 successful impact mitigation has been confirmed, the process is complete.

# 4 Q. WHAT ORGANIZATION IS TYPICALLY THE ENVIRONMENTAL 5 REGULATORY AGENCY WITH JURISDICTION OVER THE MGP 6 SITE?

7 A. Often, with regard to MGP sites, the state environmental agency with
8 responsibility for site assessment and remediation is the responsible agency,
9 although there are some MGP sites that are under the primary jurisdiction of the
10 U.S. EPA.

## Q. PLEASE GENERALLY DESCRIBE THE SITE ASSESSMENT AND REMEDIATION PROCESS.

The site assessment and remediation (SAR) process can vary in procedures and 13 Α. 14 details from state to state and from site to site. Generally, as used here, the term 15 "site" means an area containing chemicals of environmental interest with 16 boundaries that include the highest concentrations of the chemicals and the extent 17 that these chemicals have migrated outwards in concentrations of environmental 18 significance. A site may encompass more than one such area, in which case the 19 individual areas are sub-sites. The sub-sites may be known as "operable units" or 20 "areas of concern" or by other names, depending on the applicable regulations for 21 the location. Applicable regulations may also explicitly define the term "site" in 22 specific cases.

23 As a general illustration, Attachment ACM-20 is a diagram of elements of 24 the SAR process often applicable, recognizing that not necessarily every step in

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this diagram will be carried out in every site situation. The steps in this diagram
 are as follows:

#### 3 Preliminary Assessment

The Preliminary Assessment (PA) is a first evaluation of the site, based on 4 5 information gained from sources such as historical records, site reconnaissance, 6 areal geologic and soil maps, and possibly relatively limited sampling. The 7 objective of the PA is to evaluate the site situation from this limited data and to 8 determine the next appropriate step. The PA evaluation often includes a preliminary conceptual model of potential exposure pathways, concluding with a 9 10 qualitative risk assessment of potential impacts. It is possible that no significant 11 potential impacts or unacceptable site conditions exist and a PA can recommend that No Further Action (NFA) is necessary. The dashed line from the Qualitative 12 13 Risk Assessment box in Attachment ACM-20 shows this pathway to Site Closure box. The PA may also result in concluding that an Interim Remedial Measure 14 (IRM) is necessary to address a condition. IRMs encompass a broad range of 15 16 actions, including fencing to restrict access, removal of affected soil, covering of part of the site, etc. Often, the PA might conclude that a site investigation is the 17 18 next appropriate step.

19 Investigation & Analysis

Often, the next step after the PA is an intrusive investigation of the site with sampling of environmental media (*e.g.*, soil or groundwater) with analysis of the data collected, sometimes concluding with a quantitative risk assessment. Additional phases of site investigation might be necessary to fill data gaps since it is at times difficult to completely plan in advance an investigation of a site with

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1 unknown conditions at the start. The data analysis includes determination of the 2 extent of contamination, the migration pathways for individual constituents, and 3 site conditions, all of which support an evaluation of impacts. In addition, the 4 analysis of data also determines compliance with environmental regulations and the need for actions to facilitate anticipated future site use. The results of the 5 6 investigation and analysis might conclude that an IRM is necessary, as described 7 above under the PA. Alternately, the results of this effort might conclude that 8 there is no significant risk and NFA is appropriate. When unacceptable risk or 9 site conditions are found, the next step is Remedial Action Development.

#### 10 <u>Remedial Action Development</u>

11 This step is the development at a somewhat conceptual level of a remedial 12 action that will address unacceptable risk or site conditions. The scope of this 13 step can vary greatly depending on the nature of the site impacts to be considered 14 and the current or probable future use of the site. It can include an evaluation of 15 different alternatives to mitigate unacceptable levels of risk or site conditions. This evaluation is often called a feasibility study (FS). However, in many 16 17 situations, an FS is not needed and the appropriate course of action may be 18 apparent with little need to compare a range of alternatives. Under any 19 circumstances, remedial actions may include a combination of the general 20 categories of actions described above: reduction-of-contaminants, prevention-of-21 exposure-to-contaminants, and/or immobilization-of-contaminants.

#### 22 <u>Approval</u>

Typically, the next step is gaining approval of the proposed remedial action prior to proceeding. There can be some variation of this step from state to

state, depending on the individual regulations. For example, if a state regulatory
 agency has direct oversight, then approval would be from the agency itself.
 Another example would be where a state designates professionals authorized to
 approve steps in the process in lieu of state agency approval.

١

Engineering Design

5

6 Detailed engineering design and specification often follows approval of 7 the proposed remedial action. This is necessary to convert the conceptual 8 remedial action into drawings, specifications, and detailed work plans, when that 9 is necessary for the work to proceed. The level of effort in Engineering Design 10 varies with the scope of the approved remedial action.

11 <u>Construction Contracting</u>

12 Once a remedial action has been designed, the next step is typically 13 engagement of contractors to implement the design.

14 <u>Construction</u>

15 This is the implementation of the remedial design, which can include a 16 wide range of activities.

17 Operation, Maintenance & Monitoring (OM&M)

Once Construction is complete, it may be necessary to operate, maintain, and/or monitor a remedial system. Examples include groundwater treatment operation, soil cover maintenance, and groundwater monitoring. Groundwater monitoring where the objective is to confirm the natural decay of contaminants in groundwater is a special category of remediation known as monitored natural attenuation (MNA). It is also a possibility that none of these activities will be required. If monitoring of the site should find that the impacts have not been

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- successfully mitigated, then it might be necessary to return to some earlier step in
   the SAR process, including another phase of Investigation & Analysis to
   determine what additional measures might be necessary.
- 4 <u>Site Closure</u>

5 Once Construction and, as applicable, Operation & Maintenance are 6 complete, Site Closure can be sought from the pertinent environmental regulatory 7 agency. Typically, Site Closure results from issuance of a document by the 8 regulatory agency confirming that no further remedial actions are necessary at the 9 site at that time. Examples of this document include a covenant not to sue, a no-10 further-remediation letter, or a release-of-liability letter. The nature of this 11 document can vary significantly from state to state.

## 12 Q. WHAT OTHER FACTORS MIGHT INFLUENCE THE SELECTION OF 13 REMEDIAL ACTIONS AT AN MGP SITE?

14 A. In addition to an entity performing the site investigation and remediation process 15 with a state agency regulating the process, as described above, there are, at times, 16 third parties that may be involved or may have influence on the remedial action 17 for the site. These may include a third-party site owner, neighboring property 18 owners, the governing local body, local residents, or local organizations. In many 19 cases, community involvement is a significant driver in the decision to select a 20 particular remedial action for a site or in how that remedial action might be 21 implemented. Business or residential use of the property may involve temporary 22 relocation of current tenants of the building during remediation or permanent 23 relocation. Property owners may require compensation for disruption of their 24 businesses or residencies. In some cases the utility responsible for the

remediation may purchase the property to facilitate its remediation. Potential site redevelopment may necessitate that additional remedial actions be performed so that future use of the site is not restricted by materials that might be left onsite by some remedial actions (*e.g.*, engineered barriers). Other governing agencies may also be involved in instances when wetlands or surface water bodies are involved.

#### 6

7

#### Q. WHAT KINDS OF REDUCTION-OF-CONTAMINANT ACTIONS HAVE

#### BEEN GENERALLY USED TO REMEDIATE MGP SITES IN THE U.S?

8 A. Remedial actions have been applied to MGP sites that have reduced the 9 concentration of contaminants present (i.e., reduction-of-contaminant actions). 10 Reduction has been accomplished by two general means. One is physical 11 removal of the contaminated medium (e.g., soil) from the site, with its disposition 12 offsite in an appropriate facility. Excavation of tar-contaminated soil followed by 13 its transportation to an offsite, appropriately-permitted landfill for final disposal is 14 one example. Another example is the pumping of contaminated groundwater with 15 its discharge to a city sewer for final treatment and disposal.

16 The second general means of reduction of contaminants is by treatment at 17 the site either in situ or ex situ. In situ treatment is application of a treatment 18 technology to the medium, leaving it in place at the site. An example is in situ 19 chemical oxidation (ISCO), which is effected by injecting chemicals (e.g., 20 hydrogen peroxide) in the subsurface to destruct contaminants through a chemical 21 reaction. An example of an ex situ treatment technology is the excavation of 22 soils, with onsite treatment (e.g., through thermal desorption) and disposition of 23 the treated soils back onsite.

## Q. WHAT KINDS OF PREVENTION-OF-EXPOSURE-TO-CONTAMINANT ACTIONS HAVE BEEN GENERALLY USED TO REMEDIATE MGP SITES IN THE U.S?

Remedial actions have been applied to MGP sites that prevent exposure to 4 A. 5 These actions fall into two general categories: contaminants at the site. 6 engineered barriers and institutional controls. An engineered barrier can be a 7 cover over the site, such as a soil cover or an asphalt cap, the intent being to 8 prevent people on the site surface from coming into contact with contaminants in 9 the subsurface of the site. Another example would be a vapor barrier placed 10 beneath the foundation of a building to be constructed, the intent being to prevent 11 the migration of volatile chemicals from the subsurface to the interior of the 12 building.

13 Institutional controls are procedures established for a site to control human 14 activities at the site. Examples include deed restrictions to prevent residential 15 construction on a site or to prevent installation of a well for drinking water. The 16 intent of an institutional control is prevention of exposure to chemicals by 17 procedurally controlling human activities.

18 Q. WHAT KINDS OF IMMOBILIZATION-OF-CONTAMINANT ACTIONS
 19 HAVE BEEN GENERALLY USED TO REMEDIATE MGP SITES IN THE
 20 U.S?

A. Remedial actions have been applied at MGP sites to immobilize contaminants.
An example is the *in situ* solidification/stabilization (ISS) of soil where cement is

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mixed with soil resulting in the formation of a mass of soil solidified, along with its contaminants, in place.

## 3 Q. HOW HAVE THE ABOVE TYPES OF REMEDIAL ACTIONS BEEN 4 USED IN COMBINATION AT MGP SITES?

5 Α. It is common for combinations of the above actions to be used to remediate MGP 6 sites. An example is removal of contaminated soil such that a site could be reused 7 for industrial purposes with a deed restriction preventing use of the site for 8 residential purposes. A second example is installation of a vertical, engineered 9 barrier to prevent horizontal movement of groundwater with a groundwater pump 10 and treatment for reduction of the chemical concentrations in groundwater. The 11 individual or combination of remedial actions applicable to a particular MGP site 12 is highly specific to the conditions at that site, including its reasonably anticipated 13 future use. As such, from site to site the combination of remedial actions may 14 vary greatly.

#### 15

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#### Q. WHAT HAPPENS TO CHEMICALS RELEASED TO AN MGP SITE BY OTHER SITE USES?

A. As discussed above, pre- or post-MGP activities sometimes also resulted in releases of the same or different chemicals to an MGP site. Where this occurred, it is often the case that the chemicals released by the non-MGP site uses are commingled with those released during the MGP activities. The fact that they are present in such locations necessitates their being addressed as part of the MGP remediation. In these cases, chemicals released to an MGP site by other site uses are part of the MGP site assessment and remediation actions.

## Q. HOW MIGHT A PLANNED SITE ASSESSMENT AND REMEDIATION PROJECT CHANGE WITH TIME?

3 A. As a site progresses through the various steps in the SAR process (i.e., PA 4 through Closure), which may occur over years or even decades, additional 5 information is gathered through site investigation to help refine the remedial 6 actions that might be possible at the site or to show that additional remedial 7 actions will be necessary. For example, investigation data may indicate that 8 contaminants are in groundwater or are deeper in soil than originally estimated. 9 which may lead to the need to remove saturated soil (*i.e.*, below the water table) or to pump and treat groundwater. Additionally, a governing state agency will not 10 11 typically approve the final remedy (and sometimes the interim steps in the 12 process, such as the site investigation report) without the approval of the site 13 owner. Therefore, remediation of third-party owned sites is highly influenced by 14 the site owner and the level of remediation that the owner is willing to accept to 15 give approval. This level of remediation may vary among property owners where 16 a site crosses multiple property lines or it may vary with a single property owner 17 over time. At each of the SAR steps shown in Attachment ACM-20, the 18 information gathered in the previous steps leads to refinement of the path forward 19 to address the contaminants on the site in light of the known information and 20 potential future site use at that point in time. Hence, it is not unusual for the scope and level of effort to change over the course of taking an MGP site to 21 22 closure.

## 1Q.WHAT GUIDANCE HAS BEEN ISSUED FOR SITE ASSESSMENT AND2REMEDIATION OF MGP SITES?

3 Α. The first guidance in the U.S. specifically focused on MGP sites was issued in 4 1984 by the Edison Electric Institute, "Handbook on Manufactured Gas Plant Sites." I was a coauthor/editor of this document. This document provided 5 6 information on site assessment and remediation contemporary to that time. In 1987, the Gas Research Institute issued a four-volume set entitled "Management 7 8 of Manufactured Gas Plant Sites," providing additional information. I was in 9 charge of preparing Volume IV, "Site Restoration," which focused on remediation 10 technologies at the time. The Gas Research Institute updated and reissued these 11 documents in 1996. In addition to these past guidance documents, there have 12 been conferences and seminars on MGP sites, where additional information on 13 assessment and remediation topics is presented. In addition to these MGP-14 specific documents, state agencies have from time to time issued guidance on site 15 assessment and remediation. These guidance documents are periodically 16 reviewed and updated by the state agencies. Generally, changes are made to 17 reflect changes in laws or regulations or in response to new information about the 18 potential adverse effects of individual chemicals. Changes can lead to changes in 19 the remedial actions that might be needed at any site. It is to be emphasized that 20 all of these are guidance and site-specific conditions might require variance from 21 the guidance to result in a scientifically sound process.

## 1Q.HOW ARE INNOVATIONS IN MGP SITE ASSESSMENT AND2REMEDIATION LEARNED?

3 In addition to guidance document updates, the gas industry periodically A. 4 participates in meetings on MGP sites where there are often updates on MGP site 5 assessment and remediation strategies and technologies, including innovations for 6 possible consideration. For example, in March 2012, the "Fourth International 7 Symposium and Exhibition on the Redevelopment of Manufactured Gas Plants 8 Sites - MGP 2012" was held in Chicago. Sponsors included the Electric Power 9 Research Institute. This series of symposiums began in 1995 in Prague, Czech 10 Republic, with intermediate meetings in Reading, UK, in 2006 and in Mystic, 11 CT, in 2008. In the 2012 symposium, session topics included In Site Chemical 12 Oxidation (ISCO), In Situ Solidification/Stabilization, MGP Brownfields 13 Experience and Remediation Case Studies. At these meetings, innovative 14 investigative or remedial technologies are at times described, whereby new 15 technological possibilities are communicated for possible consideration.

#### VI. <u>CONCLUSION</u>

## 16 Q. WERE ATTACHMENTS ACM-1 THROUGH ACM-20 PREPARED BY 17 YOU OR UNDER YOUR SUPERVISION?

18 A. Yes.

Q. IS THE INFORMATION IN ATTACHMENTS ACM-1 THROUGH ACM 20 ACCURATE TO THE BEST OF YOUR KNOWLEDGE AND BELIEF?
 21 A. Yes.

22 Q. DOES THIS CONCLUDE YOUR PRE-FILED DIRECT TESTIMONY?

23 A. Yes.

#### ACM-1

#### **CURRICULUM VITAE**

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#### **EDUCATION**

Rockingham County Public School System, Rockingham County, Virginia, 1954-1966.
Virginia Polytechnic Institute & State University, Blacksburg, Virginia, 1966-1971.
Awarded B.S. with distinction in Civil Engineering with Cooperative Education Option (1971).
Awarded M.S. in Sanitary Engineering (1972).
Cornell University, Ithaca, New York, 1971-74
Awarded Ph.D. in Environmental Engineering (1975).

#### **PROFESSIONAL REGISTRATION**

Registered Professional Engineer of Province of Ontario (No. 31596018) since 1975.

#### PROFESSIONAL SOCIETIES

American Society of Civil Engineers American Society for Testing and Materials Water Environment Federation

#### **BOARD CERTIFCATION**

American Academy of Environmental Engineers (board certified by eminence in the specialty area of hazardous waste management), BCEE

#### **AWARDS**

Recipient of 1995 New York Water Environment Association Linn H. Enslow Memorial Award for outstanding paper, "Treatment of Organically Contaminated Groundwater in Municipal Activated Sludge System."

Recipient of the 1999 PECO Energy (Philadelphia, PA) High Energy Excellence Award for work as a member of PECO's Environmental Insurance Recovery Team.

#### **MEMBERSHIP/COMMITTEE ACTIVITIES**

November 1995 – Present: National Trainer for ASTM for its risk-based corrective action (RBCA) standard (E1739). In this capacity, Dr. Middleton instructs at the two-day ASTM RBCA course being held nationally. He has instructed hundreds of students in numerous of these courses across the U.S.

**2000 – 2006:** Member of the External Advisory Panel, Environmental Engineering Department, SUNY/Buffalo, Buffalo, NY. As a member he advised the Environmental Engineering Department on the educational needs of the environmental engineering practice on matters related to environmental remediation, waste treatment and management and management of environmental affairs. This panel periodically met with the faculty of the Department regarding the undergraduate environmental engineering program.

**1999 – 2004:** Member of the Environmental Technical Advisory Board, Alcoa, Pittsburgh, PA. As a member he advised the Alcoa Corporation on technical topics related to environmental remediation, waste treatment and management and management of environmental affairs, including topics for research and development. This board met several times annually with Alcoa's environmental management and remediation teams.

**1999 – 2002:** Chair, Water Environment Research Foundation (WERF) Project Subcommittee on "Enhancing Biodegradability of Refractory Aromatics in Wastewater: Pretreatment with Elemental Iron, 99-CTS-3." WERF awarded this grant to the University of Delaware for research on the capabilities of elemental iron to pretreat recalcitrant organic compounds in wastewater to improve their treatability in biological systems. The subcommittee then provided oversight on the progress of the research including review of the interim and final reports.

**1998 – 2004:** Chair, Water Environment Research Foundation (WERF) Project Subcommittee on "Evaluating and Optimizing Source Treatment Technologies to Improve the Biodegradability of Organic Compounds, 99-WWF-5." This subcommittee solicited and awarded a WERF grant to San Diego State University for research on the capabilities of advanced oxidative technologies to pretreat recalcitrant organic compounds in wastewater to improve their treatability in biological systems. The subcommittee then provided oversight on the progress of the research including review of the interim and final reports.

#### **EMPLOYMENT RECORD**

**November 2001 – Present:** *President, Corporate Environmental Solutions LLC.* Dr. Middleton founded this company in 2001 to provide environmental services. He is responsible for technical, operational and business affairs. He personally provides senior consulting services in the areas of corporate environmental management, environmental risk characterization and management, environmental dispute resolution, site assessment and remediation, and treatment of industrial wastewaters.

**September 1981 – 2001:** *Civil Engineering Department, Carnegie-Mellon University, Pittsburgh, Pennsylvania*: Intermittent teaching of graduate courses in contaminated water treatment. He developed an innovative approach for the water and wastewater treatment course by unifying the subject matter into a course on "Treatment of Contaminated Water." This course focused on selection and design of a treatment system based on the nature and concentrations of contaminants and the intended means of disposition using a matrix of individual unit processes. The approach is applicable regardless of whether the contaminated water is municipal or industrial wastewater, groundwater or storm runoff. This approach contrasts to separate courses for water, wastewater or groundwater treatment.

January 2001 – November 2001: Senior Vice President, The RETEC Group, Inc. In this capacity he was responsible for executive oversight of engineering, science and technology efforts across the company as well as his technical consulting client program management practices. Additionally, he managed the O&M Group and provided consulting and engineering services, project and program management and business development in environmental management; contaminated water treatment; and, in site assessment and remediation.

April 1999 – December 2000: General Manager of ThermoRetec's Site Management and Closure Division. Responsible for the division technical and business affairs including division P&L. This division had a Construction Group and an Operations and Maintenance (O&M) Group. The construction group carried out large civil remediation construction projects (e.g., excavation, sheet piling, slurry walls, landfill covers, contaminated water treatment plant construction) for industrial and utility clients. The O&M Group operated remediation systems (e.g., groundwater extraction and treatment, land treatment units for bioremediation of soil, soil venting, NAPL recovery, landfill leachate treatment) across the U.S. also for industrial and utility clients. Additionally, he provided consulting and engineering services in environmental management, contaminated water treatment, laboratory and field treatability projects on site assessment and remediation.

January 1990 – April 1999: Principal of ThermoRetec Consulting Corporation. Responsible for technical and business affairs of company. ThermoRetec (formerly RETEC) is an engineering and remedial services company specializing in on-site treatment of organic wastes. Day-to-day duties included project management of RI/FS's on Superfund sites, site remediation, environmental audits of industrial facilities, design and operation of treatment facilities for contaminated groundwater, soils, industrial and municipal wastewaters, permitting of industrial facilities, and remedial technology development. He also was the principal investigator on field research studies for site remediation. He served as a member of ThermoRetec's Board of Directors from 1990 until 1995.

June 1991 – December 1996: Member of the Board of Directors of EnSys Environmental Products, Inc.: EnSys was a biotechnology start up company developing and selling immunoassay test kits for the analysis of soil and water. During his tenure on the Board, EnSys went public in an IPO in 1993 and merged with Strategic Diagnostics, Inc. (symbol: SDIX) in 1996. Dr. Middleton provided advice on commercialization opportunities for new test kits, served on the Audit Committee and chaired the Compensation Committee of this publicly traded company.

May 1990 – December 1995: Member of the Board of Directors of Remediation Technologies, Inc (RETEC): RETEC was a privately held company during his tenure on the Board. It tripled in size in this five-year period and became an acquisition of the publicly traded Thermo Remediation, Inc. (later renamed ThermoRetec) in December 1995. Dr. Middleton provided advice on strategic direction for the company as well as on technology commercialization.

July 1988 – December 1989: President of Haniel Environmental Services, Inc. (HES). Responsible for operations, technical matters and business affairs. HES was the U.S. branch of a German company specializing in site remediation. While in this position, his technical activities included managing soil gas surveys and *in situ* clean up of volatile organic compounds with soil venting and groundwater aeration systems, as well as general site decommissioning and remediation, project management of RI/FS's, and technical support of litigation. He served on the boards of directors of HES and its subsidiary companies during this his tenure as President.

June 1986 – June 1988: President of Keystone Environmental Resources, Inc. (also founder of Keystone). Responsible for management and leadership that grew the company from 90 employees to

over 250 with ten offices in the United States and Canada offering environmental consulting, analytical, and remediation services. Keystone was a wholly owned subsidiary of Koppers. Keystone specialized in the investigation and remediation of wood treating, tar-contaminated and chemical sites and in the design and operation of wastewater and groundwater treatment systems. He was also the principal investigator for Keystone's research project funded by the Gas Research Institute on assessment and remediation of manufactured gas plant sites and the director of the company's research and development efforts on new environmental technologies. He served on the board of directors of Keystone and continued as Vice President of Koppers Environmental Resources.

August 1984 – June 1986: Vice President and General Manager of Pioneering Technologies (in addition to Environmental Resources): Overall responsibilities for a program made up of a Materials Science Department, a Manufacturing Technologies Department, a Technical Information Department, and a Project Management Group; activities included research on polymer science and wood treating chemicals, computer-assisted drafting; instrumentation and control, systems design and installation, and computer and library facility management. Project management activities included facilitating use of a computer-based project management system throughout Koppers Science and Technology activities, especially on interdisciplinary teams. Additionally, Dr. Middleton directed this department's interactions with Koppers' venture investments in biotechnology and materials science.

June 1981 – June 1988: Vice President and General Manager of Environmental Resources Department, Koppers Company, Inc., Monroeville, Pennsylvania: Overall responsibility for management of Koppers environmental affairs. Included in Koppers operations were over 50 Chemical & Allied Products plants including 17 wood preserving plants, as well as other facilities producing metal products and road materials. In addition to the operating facilities, his overall responsibility included management of over 50 previously operated plants (wood treating and chemical plants) and disposal sites, a number of which are Superfund sites. His duties also included management of the environmental reserves for remediation of previously operated properties as well as developing an annual budget for activities on these sites. He built a multi-disciplinary staff of environmental engineers and scientists from 1981-1986, which was of such quality and capability that it was converted to a P&L subsidiary in 1986 (Keystone Environmental Resources, Inc.) to provide services outside of Koppers on a commercial basis.

**February 1979 – May 1981:** Manager of Water Quality Engineering Section of Environmental Resources and Occupational Health Department, Koppers Company, Inc., Monroeville, Pennsylvania: The objective of this section was to provide in-house water quality engineering services to Koppers Company. Projects included activated sludge treatability studies (bench-scale and pilot plant) at tar distillation plants; wastewater characterization studies at tar distillation and chemical plants; treatability studies for oil removal (bench-scale and pilot plant) at tar distillation and chemical plants; activated sludge plant startup at coke plants; preparation of activated sludge control programs at coke, chemical, and tar distillation plants; hydrogeologic surveys at tar distillation, wood preserving, and coke plants; fish toxicity studies on chemical and tar distillation plant wastewaters; priority pollutant surveys at chemical, coke, and tar distillation plants; development of wastewater treatment processes to achieve BAT for coke, tar distillation, and synthetic fuels plants. In this position, he also established a treatability laboratory program for wastewater, groundwater, sludge and soil.

June 1978 – January 1979: Senior Research Engineer, Research Department, Koppers Company, Inc., Monroeville, Pennsylvania: Responsible for water pollution control projects with Koppers Company, Inc., including activated sludge pilot plant study with continuous fish bioassays of effluent at a chemical plant; preparation of operational control programs at chemical sludge plants for coke and tar distillation plants.

July 1976 – May 1978: Assistant Professor of Civil Engineering, SUNY at Buffalo, Buffalo, New York: Teaching graduate and undergraduate courses in water and wastewater treatment and environmental engineering; acquiring and directing funded programs of research in water pollution control engineering, supervised graduate students and development of water pollution control laboratories; two students received Ph.D. degrees and nine received M.S. degrees in environmental engineering under his direction.

September 1974 – June 1976: Assistant Professor of Civil Engineering, University of Ottawa, Ottawa, Ontario: Teaching graduate and undergraduate course in water and wastewater treatment and environmental engineering; acquiring and directing funded programs of research in water pollution control engineering; supervising graduate students and development of water pollution control laboratories; seven students received M.S. degrees in environmental engineering under his direction.

September 1971 – August 1974: *EPA Post Masters Trainee, Cornell University, Ithaca, New York*: Study in the Environmental Engineering Ph.D. Program under Dr. A. W. Lawrence in Civil and Environmental Engineering School. In addition to his experimental research on the kinetics of microbial sulfate reduction, he also developed an approach for least cost design of wastewater treatment systems. He received a Ph.D. in environmental engineering.

September 1970 – August 1971: Public Health Fellow, VPI&SU, Blacksburg, Virginia: Study in Sanitary Engineering Program under Dr. E. M. Jennelle, Civil Engineering Department. He conducted experimental research on the water quality of a large, pumped storage reservoir near VPI for his Master's thesis. He received an MS in sanitary engineering.

March-June, September-December 1968; March-June, September-December, 1969: Co-op student in Civil Engineering, Wiley & Wilson Consulting Engineers & Architects, Lynchburg, Virginia: Worked as Engineering Design Assistant on municipal water and wastewater projects and as a land and route survey party member. The Co-op Program was part of his undergraduate work at Virginia Tech, from which he received a BS in civil engineering with distinction.

#### **PUBLICATIONS (JOURNALS)**

- 1. Middleton, A.C. and Lawrence, A.W., 1973. Discussion of "Optimal Design of Wastewater Treatment Systems by Enumeration," by G.F. Parkin and R.R. Dague, <u>Journal Environmental Engineering Division, ASCE, 99</u>, 960.
- 2. Middleton, A.C. and Lawrence, A.W., 1974. "Cost Optimization of Activated Sludge Systems," <u>Biotechnology and Bioengineering, XVI</u>, 807.
- 3. Middleton, A.C. and Lawrence, A.W., 1976. "Least Cost Design of Activated Sludge Systems," Journal Water Pollution Control Federation. 48, 395.
- 4. Middleton, A.C. and Lawrence, A.W., 1977. "Kinetics of Microbial Sulfate Reduction, "Journal <u>Water Pollution Control Federation. 49</u>,1659.
- 5. Middleton, A.C. and Rovers, F.A., 1976. "Average pH," Communications, Journal Water Pollution Control Federation, 48, 395.
- 6. Adamowski, K and Middleton, A.C., 1977. "Steady-State Dissolved Oxygen Model for the Rideau River," <u>Canadian Journal of Civil Engineering. 4</u>, 471.

- Craig, E.W., Meredith, D.D., and Middleton, A.C., 1977. Discussion of "Simplified Optimization of Activated Sludge Process," by C.P.L. Grady, Jr., <u>Journal Environmental Engineering Division</u>. <u>ASCE, 103</u>, 1158.
- 8. MacInnes, C.D., Middleton, A.C., and Adamowski, K, 1978. "Stochastic Design of Flow Equalization Basins," Journal Environmental Engineering Division. ASCE, 104, 1277.
- Craig, E.W., Meredith, D.D. and Middleton, A.C., 1978. "Cost Optimization of the Activated Sludge Process Using the Box-Complex Algorithm," <u>Journal Environmental Engineering</u> <u>Division, ASCE. 104</u>, 1101.
- Westerndorf, J.R. and Middleton, A.C., 1979. "Chemical Aspects of the Relationship Between Drinking Water Quality and Long-Term Health Effects: An Overview," <u>Journal American Water</u> <u>Works Association. 71</u>, 417.
- 11. Fritz, J.J., Middleton, A.C., and Meredith, D.D., 1979. "Dynamic Process Modeling of Wastewater Stabilization Ponds," Journal Water Pollution Control Federation, 51, 2724.
- Fritz, J.J., Meredith, D.D., and Middleton, A.C., 1980. "Non-Steady State Bulk Temperature Determination for Simple Aquatic Ecosystems: Stabilization Ponds," <u>Water Research (U.K), 14</u>, 413.
- 13. Habicht, M.H., Adamowski, K., and Middleton, A.C., 1981. "Potential Eutrophication of the Rideau River by an Urban Drainage Waterway," <u>Canadian Journal of Civil Engineering</u>, 8, 165.
- 14. Hughey, P.W., Meredith, D.D., and Middleton, A.C., 1982. "Optimal Operation of an Activated Sludge Plant," Journal Environmental Engineering Division, ASCE, 108, 349.
- 15. Smith, J.R., Luthy, R.G., and Middleton, A.C., 1988. "Microbial Ferrous Iron Oxidation in Acidic Solution," Journal Water Pollution Control Federation, 60, 518.
- 16. Meredith, D.D., Middleton, A.C., and Smith, J.R., 1990. "Design of Detention Basins for Industrial Sites," Journal Water Resources Planning and Management, ASCE, 116, 586.
- 17. Middleton, A.C., Nakles, D.V., and Linz, D.G., 1991. "The Influence of Soil Composition on Bioremediation of PAH-Contaminated Soils," <u>Remediation, 1</u>, 391.
- Smith, J.R., Neuhauser, E.F., Middleton, A.C., Weightman, R.L, Linz, D.G., 1993. "Treatment of Organically Contaminated Groundwaters in Municipal Activated Sludge Systems," <u>Water</u> <u>Environment Research</u>, 65.

#### **PUBLICATIONS (BOOKS)**

- 1. Craun, J.C. and Middleton, A.C. (co-editors/authors), 1984. <u>Handbook on Manufactured Gas</u> <u>Plant Sites</u>, Washington, D.C.: Edison Electric Institute.
- Unites, D., Nakles, D., Menzie, C., Middleton, A., and Helsel, R. (co-editors/authors), 1987. <u>Management of Manufactured Gas Plant Sites. Vol. I-IV</u>, Chicago, Illinois: Gas Research Institute.

#### PUBLICATIONS (CONFERENCE PROCEEDINGS)

- Weyland, H.J. and Middleton, A.C., 1977. "Metals Recovery from Metallic Hydroxide Sludges Through Microbial Sulfate Reduction," <u>Proceedings 9<sup>th</sup> Mid-Atlantic Industrial Waste</u> <u>Conference</u>, Bucknell University, Lewisburg, Pennsylvania.
- Lee, G.C., Meredith, D.D., and Middleton, A.C., Eds., 1979. "Proceedings of Hazardous Waste Management and Disposal Seminar," <u>WREE Report No. 79-2</u>, Civil Engineering SUNY/Buffalo, Buffalo, New York.
- Bhattacharyya, A. and Middleton, A.C., 1979. "Development of Biological Treatment System Achieving BATEA for Coke Plant Wastewaters," <u>Proceedings 11<sup>th</sup> Mid-Atlantic Industrial Waste</u> <u>Conference</u>, Pennsylvania State University, State College, Pennsylvania.
- Bhattacharyya, A. and Middleton, A.C., 1980. "Solids Retention Time: A Controlling Factor in the Successful Biological Nitrification of Coke Plant Wastes," <u>Proceedings 12<sup>th</sup> Mid-Atlantic</u> <u>Industrial Waste Conference</u>, Bucknell University, Lewisburg, Pennsylvania.
- Bhattacharyya, A. and Middleton, A.C., 1980. "Enhanced Biological Treatment System for Coke Plant Wastewater Achieving Complete Nitrification," <u>Proceedings 35<sup>th</sup> Industrial Waste</u> <u>Conference</u>, Purdue University, Lafayette, Indiana.
- Middleton, A.C., 1981. "Process Control for Activated Sludge Treatment of Coke Plant Wastewater," <u>Proceedings: Symposium on Iron and Steel Pollution Abatement Technology for</u> <u>1980</u>, EPA-600/9-81-017, Philadelphia, Pennsylvania.
- Middleton, A.C., Smith, J.R., Urbassik, M.R., Keffer, R.E., Sawchuck, P.W., and Edwards, G.E., 1984. "Industrial Wastewater Treatability Study Achieving BCT/BAT Treatment," <u>Proceedings</u> <u>16<sup>th</sup> Mid-Atlantic Industrial Waste Conference</u>, Pennsylvania State University, State College, Pennsylvania.
- Middleton, A.C., 1995. "Historical Overview of Manufactured Gas Processes Used in the United States," presented at International Symposium and Trade Fair on the Clean-up of Manufactured Gas Plants, Prague, Czech Republic; published in <u>Land Contamination & Reclamation, Vol. 3</u>, <u>No. 4</u>, pp.5-17 – 5-19.

#### PRESENTATIONS

- Middleton, A.C. and Jenelle, E.M., "The Influence of an Impoundment on the Priority of Effluent Treatment in the Upstream Watershed," presented at 26<sup>th</sup> Annual Meeting, Virginia Water Poll. Control Assn., Roanoke, Virginia, April 30, 1970.
- Middleton, A.C. and Jenelle, E.M., "Processes Influencing Water Quality in a Pumped Storage Reservoir," presented at 8<sup>th</sup> Annual Meeting, Am. Water Resources Assn., St. Louis, Missouri, October 31, 1972.
- Middleton, A.C. and Lawrence, A.W., "Cost Optimization of Activated Sludge Wastewater Treatment Systems," presented at 166<sup>th</sup> National Meeting, Am. Chem. Soc., Chicago, Illinois, August 30, 1973.

- Middleton, A.C. and Lawrence, A.W., "Least Cost Design of Activated Sludge Systems," presented at 46<sup>th</sup> Annual Meeting, Water Pollution Control Federation, Cleveland, Ohio, October 22, 1973.
- 5. Adamowski, K and Middleton, A.C., "Water Quality of the Rideau River," invited seminar at 2<sup>nd</sup> Annual Science Education Day Conf., Kanata, Ontario, April 12, 1975.
- Middleton, A.C. and Lawrence, A.W., "Kinetics and Engineering Significant of Microbial Sulfate Reduction," presented at 47<sup>th</sup> Annual Meeting, Water Pollution Control Federation, Miami Beach, Florida, October 8, 1975.
- 7. Middleton, A.C., "The Science of Environmental Impact Statement," invited seminar for Buffalo Section of ASCE Workshop on "The Preparation of Environmental Impact Statements," Buffalo, New York, February 8, 1977.
- 8. Middleton, A.C., "Design of the Activated Sludge Process," invited seminar for Buffalo Section ASCE Workshop on "Design and Operation of the Activated Sludge Process," Buffalo, New York, March 14, 1978.
- 9. Middleton, A.C. and Lawrence, A.W., "The Effect of Recycle Sludge Pumping Rates on the Activated Sludge Process," invited seminar for Buffalo Section ASCE Workshop on "Design and Operation of the Activated Sludge Process," Buffalo, New York, March 14, 1978.
- Westendorf, J.R., Middleton, A.C., and Kasprzak, P.J., "Co-Disposal of a Combined Municipal/Industrial Wastewater Treatment Plant Sludge with Municipal Refuse in a Sanitary Landfill," presented at 52<sup>nd</sup> Annual Conference Water Pollution Control Federation, Houston, Texas, October, May 14, 1980.
- 11. Middleton, A.C., "Wastewater Treatment for Coke and Coal-Tar Distillation Plants," presented at the Spring Meeting American Coke and Coal Chemicals Institute, Hilton Head, South Carolina, May 19, 1981.
- 12. Middleton, A.C., "Hazardous Wastes," presented at Disaster Emphasis Day, Annual Conference, Church of the Brethren, Indianapolis, Indiana, June 23, 1981.
- Hughey, P.W., Meredith, D.D., and Middleton, A.C., "Optimal Operation of an Activated Sludge Wastewater Treatment Plant," presented at The International Symposium on Real Time Operation of Hydrosystems, Waterloo, Ontario, Canada, June 25, 1981.
- Middleton, A.C., "Removal of Priority Pollutants From Coal-Tar Condensate Water," invited speaker at The Fate of Wastewater-Borne Priority Pollutants Subjected to Biological Treatment, U.S. EPA Seminar, Washington, D.C., May 4, 1982.
- Malik, D.P., Middleton, A.C., Bryant, D.L., Sgro, G.A., Fillo, J.P., Charna, R.B., and Maruhnich, E.D., "Water Usage and Treatment, Tennessee Synfuels Project," presented at ASCE Conference on Water & Energy: Technical & Policy Issues, Pittsburgh, Pennsylvania, May 1982.
- Middleton, A.C., "BAT Regulations for Coke Plants," invited speaker at Fall Meeting, Manufacturing and Environmental Committee, American Coke and Coal Chemicals Institute, Indianapolis, Indiana, September 14, 1982.

- 17. Middleton, A.C., "Priority Pollutant Removal From Coke and Coal-Tar Distillation Plant Wastewaters By Biological Treatment," invited speaker at Biological Treatment, Priority Pollutants and BATEA Seminar, Philadelphia, Pennsylvania, December 10, 1982.
- 18. Middleton, A.C., "Wastewater Treatment For Coke Plants: Regulations and Capabilities," invited speaker at Eastern States Coke Conference, Pittsburgh, Pennsylvania, February 1983.
- 19. Middleton, A.C., "Land Disposal and Spill Site Environments," invited speaker at Genetic Control of Environmental Pollutants, University of Washington, Seattle, August 1, 1983.
- Middleton, A.C. and Oster, L.A., "Projected Environmental Costs to Permit and Operate the PMA Methanol Plant," presented at the AIChE 1984 Summer National Meeting, Philadelphia, Pennsylvania, August 19, 1984.
- Spencer, J.D., Middleton, A.C., Smith, J.R., Campbell, J.R., and Zeff, J.D., "Evaluation of Treatment Technologies for Contaminated Groundwater," presented at the Water Pollution Control Federation 59<sup>th</sup> Annual Conference/Exposition, Los Angeles, California, October 6-9, 1986.
- 22. Middleton, A.C., "Opportunities for Chemical Engineers in Hazardous Waste Management," presented to the Pittsburgh Section of AIChE, Pittsburgh, Pennsylvania, January 13, 1987.
- 23. Middleton, A.C., "Environmental Management," invited speaker at the annual meeting of the National Wood Window and Door Association, Maui, Hawaii, February 1987.
- 24. Hegnauer, A. and Middleton, A.C., "Environmental Considerations at Manufactured Gas Plant Sites," presented at the American Gas Association Distribution/Transmission Conference, Las Vegas, Nevada, May 1987.
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- 26. Middleton, A.C., Presentation on bioremediation of wood treating wastes to Committee on Small Business, Subcommittee on Energy and Agriculture, U.S. House of Representatives, Washington, D.C., September 1987.
- 27. Hiller, D.H. and Middleton, A.C., "Die Abwicklung von Schadensfallen in den USA," presented at Harress Geotechnik-Umweltseminar, Kloster Banz, Germany, October, 21-22, 1988.
- Smith, J.R., Fu, J.K, and Middleton, A.C., "Field Work Evaluating Engineered Biodegradation System Treatment of Soil Contaminated with Wood Preserving Chemicals," presented at Conference on Genetically Engineered or Adapted Microorganisms in Hazardous Waste Treatment, Washington, D.C., December 1988.
- 29. Middleton, A.C., "Co-Treatment of Groundwater in POTWs," presented at Management of Manufactured Gas Plant Sites Technology Transfer Seminar sponsored by EEI, EPRI, and GRI, Pittsburgh, Pennsylvania, April 19-20, 1989.

- 30. Middleton, A.C. and Hiller, D.H., "*In Situ* Aeration of Groundwater, a Technology Overview," presented at Conference on Prevention and Treatment of Soil and Groundwater Contamination in the Petroleum Refining and Distribution Industry, Montreal, Quebec, October 16-17, 1990.
- Linz, D.G., Neuhauser, E.F. and Middleton, A.C., "Perspectives on Bioremediation in Gas Industry," presented at Environmental Biotechnology Symposium, Knoxville, TN, October 17-19, 1990.
- 32. Middleton, A.C., "A Historical Perspective of Manufactured Gas Plant Operations," presented at 1990 Manufactured Gas Plant Site Workshop sponsored by AGA, Boston, MA, October 31-November 1, 1990.
- 33. Middleton, A.C., "Past Operations and Present-Day Site Management," presented at MGP Technology Transfer Seminar sponsored by EPRI and GRI, Atlanta, GA, April 2-3, 1991.
- 34. Middleton, A.C., "Remediation Options and Technologies," presented at Manufactured Gas Plant Site Workshop sponsored by NEGA, Sutton, MA, October 9, 1991.
- 35. Saber, D.L., Smith, J.R., Lawrence, A.W. and Middleton, A.C., "Optimization of an Oil Recovery/Groundwater Treatment System Based upon Treatability Study/Engineering Evaluations of Superfund Site Clean-Up," presented at the AIChE 1992 Summer National Meeting, August 9-12, 1992.
- Smith, J.R., Lawrence, A.W. and Middleton, A.C., "Sequencing Batch Reactor Treatment of Superfund Site Groundwater," presented at the 65<sup>th</sup> Annual Water Environment Federation Conference, New Orleans, LA, September 20-24, 1992.
- 37. Middleton, A.C., Lawrence, A.W., Morgan, D.J., Lees, M.G. and Hayes, T.D., Biosparging Strategies for Containment and Remediation of Organic Contaminant Groundwater Plumes at E&P Sites Using Either Vertical or Horizontal Sparge Wells," presented at The Eighth International IGT Symposium on Gas, Oil and Environmental Biotechnology, Colorado Springs, Colorado, December 11-13, 1995.
- Middleton, A.C., Draybuck, B.M., Grizzle, P.L. and Hayes, T.D., "Pilot Test of Biosparging at a Natural Gas Plant and Pipeline Facility," presented at the Ninth International IGT Symposium on Gas, Oil, and Environmental Biotechnology, Colorado Springs, Colorado, December 9-11, 1996
- Middleton, A.C., Lawrence, A.W., Draybuck, B.M., Grizzle, P.L. and Hayes, T.D., "The Role of Preliminary Testing in the Design of a Biosparge System at a Natural Gas Plant and Pipeline Facility," presented at the 1997 SPE/EPA Exploration & Production Environmental Conference, Dallas, Texas, March 3-5, 1997.
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- 41. Middleton, A.C., "Future Needs to be Addressed by Environmental Engineers and Scientists," presented at the University at Buffalo, Buffalo, NY, October 22, 1999.

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- 43. Middleton, A.C., "Future Trends in Corporate Environmental Management," presented at the University of Pittsburgh, Pittsburgh, PA, March 22, 2000.
- 44. Hasel, M.J., Shamory, C. and Middleton, A.C., "Thermal Desorption of Heavily Impacted MGP Soils under New TCLP Exemption," presented at the GTI 14<sup>th</sup> International Conference on Site Remediation Technologies, Orlando, FL, December 2-6, 2001.
- 45. Middleton, A.C., "The Effect of Historical Issues on Risk," presented at the AGA MGP Workshop, Washington, DC, August 6, 2004.
- 46. Morgan, D., Mahfood, J., Malle, J., Middleton, A. and McGraw, D., "The Effect of Site Remediation Risk Level on Potential Incidence of Cancer within the United States," poster displayed at the Midwestern Risk Assessment Meeting, Indianapolis, IN, August 26, 2004.
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- Bhattacharyya, A., Blayden, J.M., and Middleton, A.C. "Estimating Historic Tar Production at Manufactured Gas Plants," presented at the poster session of National Gas Technologies 2005 Conference, Orlando Fl, January 30-February 2, 2005.
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- 51. Middleton, A. C. "Financial Strategies for Environmental Projects," presented at the MEA Environmental Management Conference, Colorado Springs, CO, September 28, 2005.
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- 53. Fernandes, A. F. and Middleton, A.C., "A Unified Multi-State Utility MGP Management Program," presented at MGP 2006 Conference, Reading, UK, April 4-6, 2006.
- 54. Middleton, A.C., Weightman, R.L. and Blayden, J.M. "Forensic Observation during MGP Site Remediation," poster displayed at MGP 2006 Conference, Reading, UK, April 4-6, 2006.
- Lynch, M.J., Sylvester, J.M., Hart-Lovelace, J., Jones, D.R., and Middleton, A.C. "Insurance Recovery for MGP Site Clean-Up Costs," presented at MGP 2006 Conference, Reading, UK, April 4-6, 2006.

- 56. Morgan, D.J., Middleton, A.C. and Blayden, J.M. "Business Management Considerations in the Selection of Institutional and Engineering Controls for MGP Site Remediation," presented at MGP 2006 Conference, Reading, UK, April 4-6, 2006.
- 57. Middleton, A.C. "Influence of History of MGPs Lecture 1," presented at EPRI MGP 101 Course, Philadelphia, PA, June 18, 2008.

#### **TECHNICAL AND RESEARCH REPORTS**

- Middleton, A.C. and Lawrence, A.W., 1973. "Cost Optimization of Activated Sludge Wastewater Treatment Systems," <u>EPM Technical Report No. 73-1</u>, Department of Environmental Engineering, Cornell University, Ithaca, New York.
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- 3. Adamowski, K and Middleton, A.C., 1976. "Comprehensive Water Quality Study of the Rideau River from Long Island to Hog's Back Falls, June-July, 1975," Final Report to the Ontario Ministry of Environment, Kingston, Ontario.
- 4. Middleton, A.C. and McDougall, W.J., 1977. "Technological Alternatives for Industrial Wastewater Treatment," Seminar Notes, Civil Engineering, SUNY/Buffalo, Buffalo, New York.
- Uchida, A. and Middleton, A.C., 1978. "Water Quality Modeling of Mine Acid Drainage II: Laboratory Evaluation of Preliminary Model," <u>WREE Report No. 78-3</u>, Civil Engineering, SUNY/Buffalo, Buffalo, New York.
- Fritz, J.J., Meredith, D.D., and Middleton, A.C., 1978. "Modeling and Design of Wastewater Stabilization Ponds," <u>WREE Report No. 78-4</u>, Civil Engineering, SUNY/Buffalo, Buffalo, New York.
- Middleton, A.C., Narbaitz, R.M., and Uchida, A., 1980. "Phosphorus Solubilization during Anaerobic Decomposition of Algae," <u>WREE Report No. 80-1</u>, Civil Engineering, SUNY, Buffalo, Buffalo, New York.
- Fritz, J.J., Middleton, A.C., and Meredith, D.D., 1981. "Application of a Rational Process Model in Design of Waste Stabilization Ponds," <u>WREE Report, Civil Engineering</u>, SUNY/Buffalo, Buffalo, New York.
- Kasprzak, P.J., Meredith, D.D., and Middleton, A.C., 1982. "Effect of Primary Settling Tank Efficiency on Cost Optimization of the Activated Sludge Process," <u>WREE Report</u>, Civil Engineering, SUNY/Buffalo, Buffalo, New York.
- 10. Numerous other technical, research and expert reports have been prepared during employment outside universities.

#### FUNDED RESEARCH PROJECTS

- "Design of Aerated Lagoons for Low Temperature Operation," funded by Research Office, School of Graduate Studies, University of Ottawa, for the amount of \$4,500, during the period March 20,1975 to December 31,1975 (Principal Investigator).
- "Assessment and Control of Storm Water Pollution," funded by National Research Council of Canada, for the amount of \$16,500 during the period of April 1, 1975 to March 31, 1978 (Principal Investigator).
- "Development of a Water Quality Model for the Rideau River," funded by Ontario Ministry of the Environment for the amount of \$12,065 during the period of May 20, 1975 to August 8, 1975 (Co-Principal Investigator).
- 4. "Microbial Production of Limestone from Gypsum," funded by the SUNY Research Foundation for the amount of \$2,100 during the period of January 1, 1977-December 31, 1980 (Principal Investigator).
- "Phosphorus Solubilization during Anaerobic Decomposition of Algae," funded by National Science Foundation for the amount of \$52,887 during the period of October 15, 1977-March 31, 1980 (Principal Investigator).
- "Co-Disposal of Wastewater Treatment Sludge and Municipal Refuse City of Niagara Falls, New York," funded by City of Niagara Falls, New York for the amount of \$1,500 during the period of June 1,1978 to September 30,1978 (Co-Principal Investigator).
- "Metals Recovery from Waste Metallic Hydroxide Sludges through Microbial Sulfate Reduction," funded by Environment Canada for the amount of \$30,000 during the period of January 1980 to May 1980 (Co-Principal Investigator).
- 8. "Development of MGP Site Remediation Methodologies," funded by Gas Research Institute for the amount of \$250,000 during the period of June 1986-June 1988 (Principal Investigator).
- 9. "Co-Treatment of MGP Groundwater in a POTW," funded by Gas Research Institute for the amount of \$250,000 during the period of June 1987-June 1988 (Principal Investigator).
- 10. "Pilot Scale Biosparging Project," funded by Gas Research Institute for the amount of \$226,000 during the period January 1994-April 1995.

#### PAST PROFESSIONAL ACTIVITIES

- 1. Lecturer, Short Course on Engineering Control of Industrial Wastewaters, Cornell University, June 1975.
- 2. Technical Advisor, Environmental Conservation Task Force, Greater Buffalo Development Foundation, December 1976-May 1978.
- 3. Organizer and Chairperson, Hazardous Waste Management and Disposal Seminar, SUNY/Buffalo, February 1979.

- 4. Associate Engineer, Conestoga-Rovers, Ltd., Waterloo, Ontario, 1976-78. Consultant to government and industry on water and wastewater treatment and waste disposal on land.
- 5. Member, Chemical Manufacturers Association (CMA) Five-Plant Study Work Group on Priority Pollutant Removal by Biological Treatment Plants.
- 6. Member, U.S. EPA TSCA Panel on Genetic Engineering of Microorganisms for Bioremediation, Washington, D.C., 1987.
- 7. Member, Environmental Advisory Committee, Fox Chapel Borough, PA, 1988-91.
- 8. Member, Industrial Advisory Committee, Gulf States Hazardous Research Center, Lamar University, Beaumont, TX, 1990-91.
- 9. Member, Technical Advisory Committee, New York State Hazardous Waste Management Center, SUNY/Buffalo, Buffalo, NY, 1988-95.
- 10. Organizer of Gas Research Institute Seminar on Risk-Based Corrective Action for Gas Industry Applications, Chicago, IL, 1996-97.
- 11. Developer and Lecturer in Courses on Operation of a Refinery Activated Sludge Wastewater Treatment Plant, Ergon Refining, Newell, WV, 1997-99.

#### HEALTH AND SAFETY

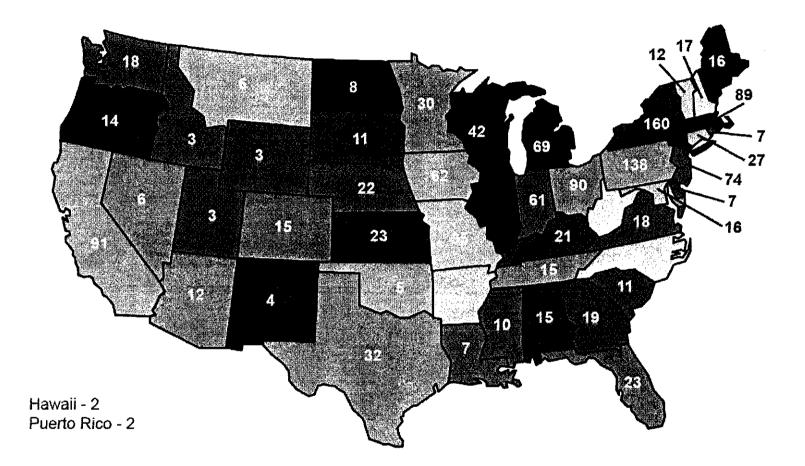
Current on 8-hour OSHA Hazardous Waste Operations Refresher 40-hour OSHA Hazardous Waste Operations Training, 1991 8-hour Hazardous Waste Supervisor Training, 1992 10-hour OSHA Construction Outreach Training, 2000 8-hour Competent Person Training (Trenching), 2000 Confined-Space Entry Training, 2005

#### **TESTIMONY**

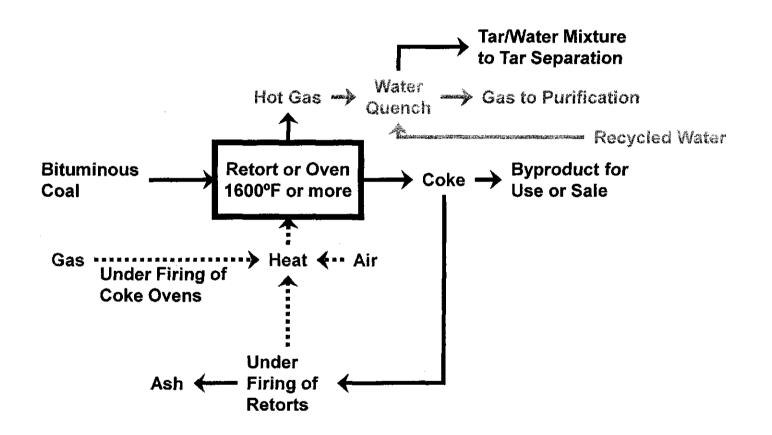
YEAR	TESTIMONY	STATE	CASE
1988-89	Deposition and trial testimony (expert witness) in Broderick Investment Co. vs. Ponderosa Timber regarding wood treating plants (Broderick Investment Co.)	CO	
1989	Deposition and trial testimony (expert witness) in USF&G Co. vs. Colorado National Bank, et al. regarding wood treating plants (Broderick Investment Co.)	СО	Civil Action No. 86-Z-1033
1989-90	Pre-filed direct and rebuttal and cross-examination testimony (expert witness) before Massachusetts Department of Public Utilities regarding manufactured gas plants (Bay State Gas, et. al.).	MA	DPU 89-161

1991	Deposition testimony (expert witness) in Burlington	WA	No. C89-155TB
	Northern vs. Washington Natural Gas, et. al.		
	regarding manufactured gas plants (Electric Utilities		
	Group)		
1991	Pre-filed direct and cross-examination testimony	IL	ICC: Docket Nos. 91-0080 through
	(expert witness) before Illinois Commerce		91-0095
	Commission regarding manufactured gas plants		
	(Peoples Gas Light & Coke, et al.)		
1991	Trial testimony (expert witness) in Escambia vs.	FL	
	Soule regarding wood treating plants (Escambia)		
1992	Rebuttal and cross-examination testimony (expert	NJ	BRC Docket No. GR91071243J
	witness) before the New Jersey Bureau of Regulatory		
	Commissioners regarding manufactured gas plants		
	(South Jersey Gas)		
1992	Direct and cross examination testimony (expert	NJ	BRC Docket No. GR91081393J
	witness) before the New Jersey Bureau of Regulated		
	Utilities regarding manufactured gas plants (New		
	Jersey Natural Gas)		
1992	Deposition testimony (expert witness) in Chemical	NJ	Case No. 89-1543
	Lehman Tank Lines vs. Aetna regarding wastewater		
1000	management (Chemical Lehman)		
1993	Pre-filed direct and cross-examination testimony	IN	Cause No. 39353 Phase II
	(expert witness) before Indiana Utilities Regulatory		1
	Commission regarding manufactured gas plants (Indiana Gas)	ļ	
1993	Deposition and trial testimony (expert witness) in	co	Civil Action No. 86-Z-1033 CA No.
1993	Broderick vs. Hartford regarding wood treating plants		90-1112
	(Broderick Vs. Hartford regarding wood treating plants)		50-1112
1993	Deposition and trial testimony (expert witness) in	WA	Civil Action No. 91-2-13506-1
	Washington Natural Gas vs. Aetna regarding		
	manufactured gas plants (Washington Natural Gas)		
1994	Deposition testimony (fact witness) in Koppers	PA	Civil Action No. 85-2136
	Company vs. Aetna regarding the Koppers Company,		
	Inc. (1978-1988)		
1994-95	Pre-filed direct and cross-examination testimony	MI	Case No. 4-10755
	(expert witness) before the Michigan Public Service	1	
	Commission regarding manufactured gas plants		
	(Consumers Power Company)	ļ	
1995	Testimony (expert witness) before the Oklahoma	ок	Cause PD No. 920024760
	Corporation Commission regarding groundwater		
1007	remediation (Oryx, ANR and Conoco, Inc.)		
1996	Deposition testimony in Indiana Gas vs. Aetna	ÎN	Civil Action 1:95CV101
1996	regarding manufactured gas plants (Indiana Gas)		Case No. CIV04 1524 T
1770	Deposition testimony (expert witness) in Hickmon vs.	OK	Case No. CIV94-1524-T
	Oryx Energy Co. regarding groundwater remediation (Oryx, ANR and Conoco, Inc.)		
1997	Deposition testimony (expert witness) in	NH	С-95-438-В
	EnergyNorth Natural Gas vs. UGI Utilities, Inc.		
	regarding manufactured gas plants (EnergyNorth		
	Natural Gas)	1	
1997	Deposition testimony (fact witness) in Penn Fuel Gas	PA	
	vs. Pennsylvania Electric Co. regarding manufactured		
		1	
	gas plant site investigations and remediation (1996-	1	

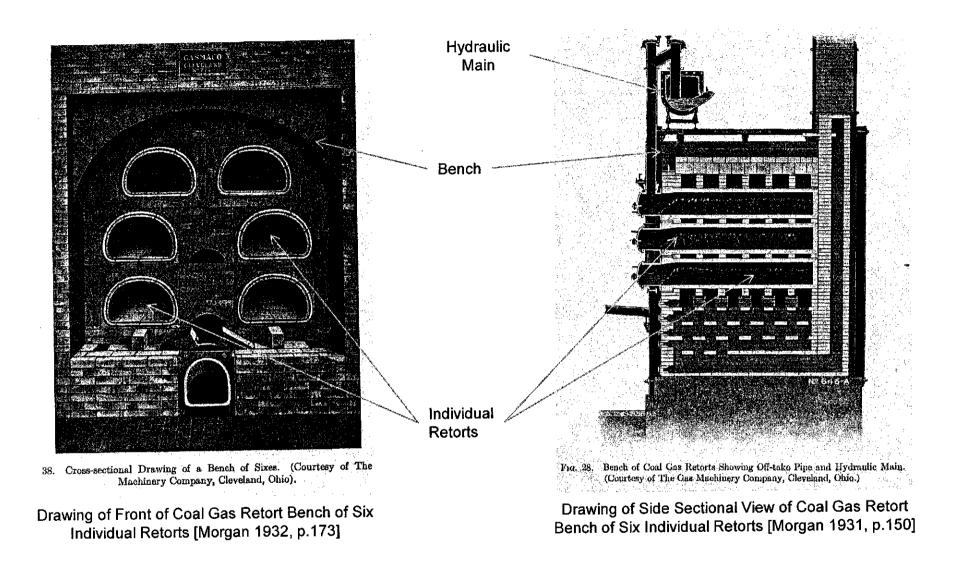
1999	Deposition testimony (fact witness) in Penn Fuel Gas	PA	Chester Co., PA, Court of Common
	vs. Aetna, et al. regarding manufactured gas plant site		Pleas Civil Division
	investigations and remediation (1996-1999)		No. 94-07744
2001	Deposition testimony (fact witness) in PSI Energy,	IN	Hendricks Co., IN, Hendricks
	Inc vs. Aetna, et al. regarding manufactured gas plant		Superior Court
	site investigations and remediation (1996-1999)	1	Cause No. 32DO1 9807 CP 230
2002-03	Deposition testimony (expert witness) in PECO	PA	Chester Co., PA, Court of Common
	Energy vs. INA, et al. regarding manufactured gas	1	Pleas Civil Division
	plants (PECO Energy)		No. 99-07386
2004	Deposition testimony (expert witness) in Bangor vs.	ME	USDC, Maine, Civil Docket No. 02-
	Citizens Communications vs. Barrett et al. regarding		cv-183-B-S
	manufactured gas plants (Citizens Communications)		
2004	Deposition testimony (30(b)6 witness, rebuttal expert	PĀ	Chester Co., PA, Court of Common
	witness) in PECO Energy vs. INA, et al. regarding	1	Pleas Civil Division
	manufactured gas plants (PECO Energy)	1	No. 99-07386
2005	Deposition testimony (expert witness, rebuttal expert	WA	Superior Court of State of
	witness) in Puget Sound Energy v. Alba General	ł	Washington
	Insurance Co. et al. regarding manufactured gas		No. 97-2-29050-3 SEA
	plants (Puget Sound Energy)		
2005	Trial testimony (expert witness) in Bangor vs.	ME	USDC, Maine, Civil Docket No. 02-
	Citizens Communications vs. Barrett et al. regarding		cv-183-B-S
	manufactured gas plants (Citizens Communications)		
2006	Deposition testimony (30(b)6 witness) in CGCU vs.	IN	Marion Co., IN, Superior Court
	Aetna Casualty & Surety Co., et al. regarding		Cause No. 49F12-0407-PL-01986
	manufactured gas plants (CGCU)		
2007	Deposition testimony (expert witness, 30(b)6	IN	Marion Co., IN, Superior Court
	witness) in CGCU vs. Aetna Casualty & Surety Co.,		Cause No. 49F12-0407-PL-01986
	et al. regarding manufactured gas plants (CGCU)		
2010		IN	Marion Co., IN, Superior Court
	Admiral Ins. Co., et al. regarding manufactured gas	1	Cause No. 49D05-0411-PL-2265
	plants (SIGECO [Vectren])		
2011	Deposition testimony (rebuttal expert witness) in	IN	Marion Co., IN, Superior Court
	SIGECO vs. Admiral Ins. Co., et al. regarding	i i	Cause No. 49D05-0411-PL-2265
	manufactured gas plants (SIGECO [Vectren])		
2011	Pre-filed direct testimony (expert witness) before	OR	UG 221
	Oregon Public Utility Commission regarding		
	manufactured gas plants (NW Natural)		



ACM-2: Map of U.S. Showing Number of MGP Locations by State According to Radian Corp. [1985]

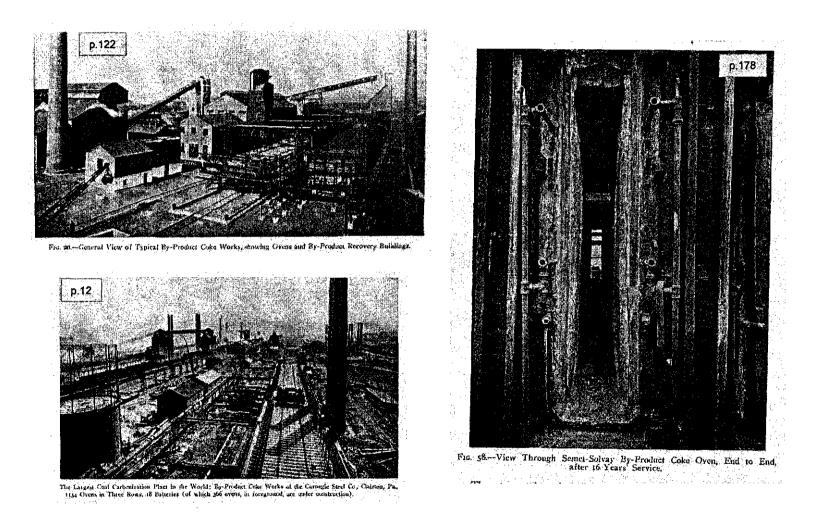




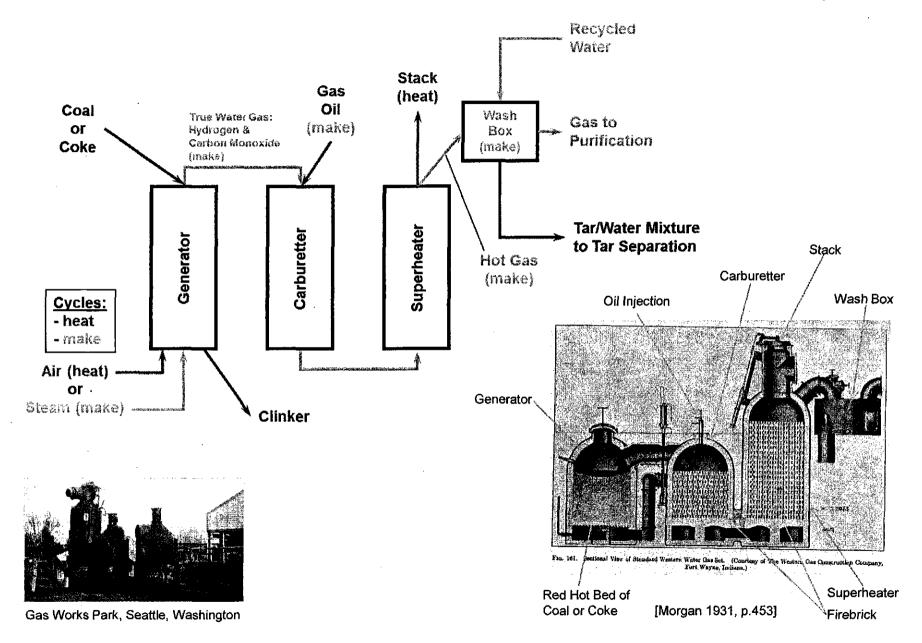


#### ACM-4: Drawings of Retort Coal Gas Apparatus

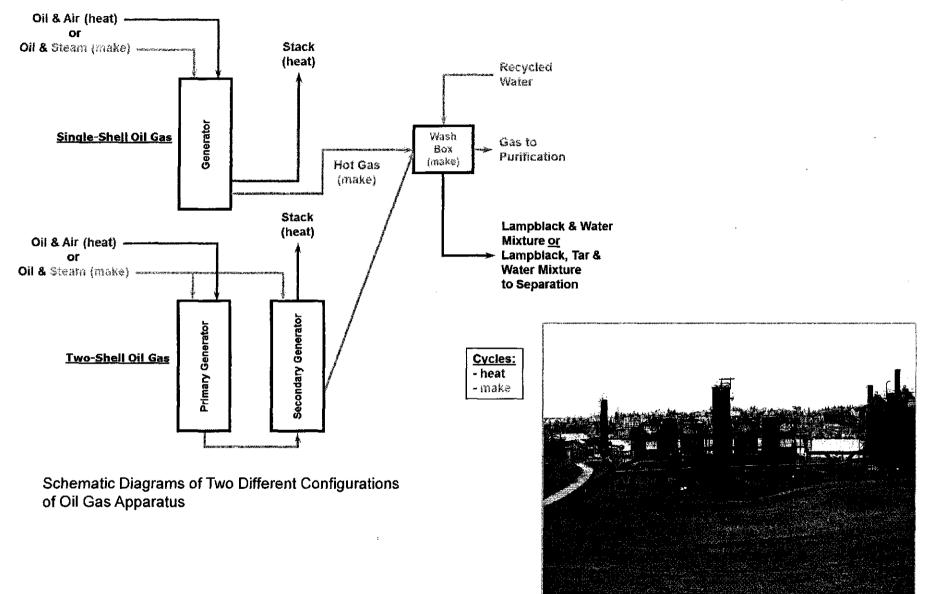
Attachment ACM-5 Page 1 of 1



ACM-5: Pictures of Byproduct Coke Oven Installations [Porter 1924]

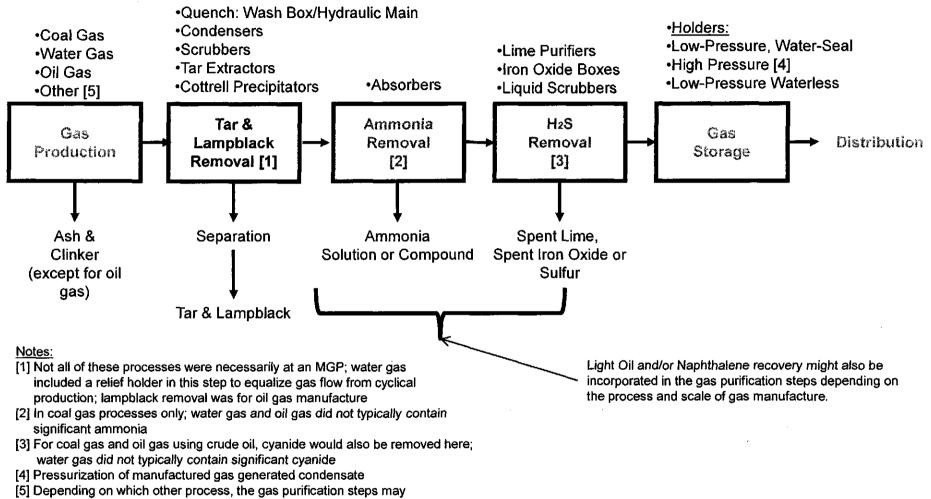


#### ACM-6: Carburetted Water Gas ("Water Gas") Manufacture



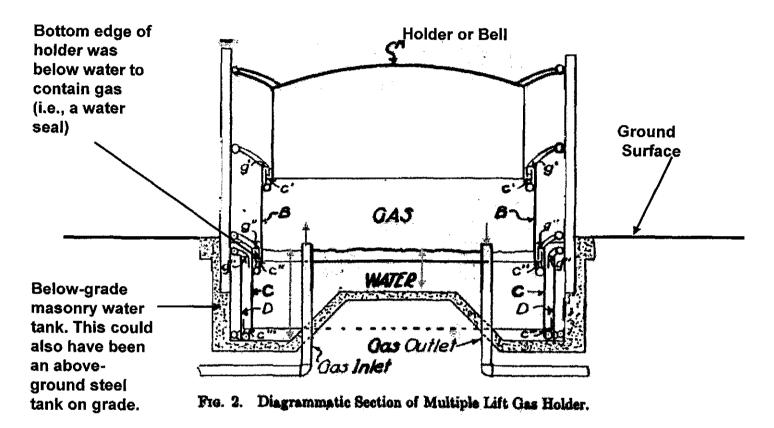
Picture of Oil Gas Generators in Gas Works Park, Seattle, Washington

#### ACM-7: Oil Gas Manufacture



have varied from this diagram

#### ACM-8: Schematic Diagram of the Overall General Gas Manufacturing, Purification and Storage Processes



[Morgan 1935, p.5]

#### ACM-9: Low Pressure, Below-Ground, Water-Seal Gas Holder Diagram

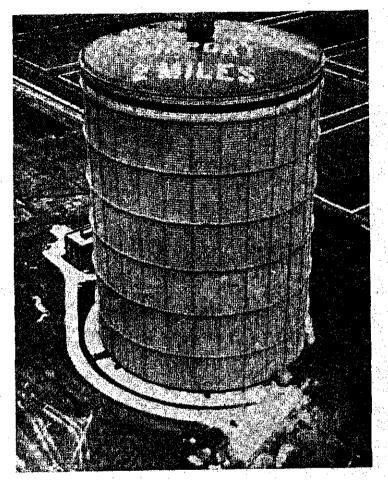


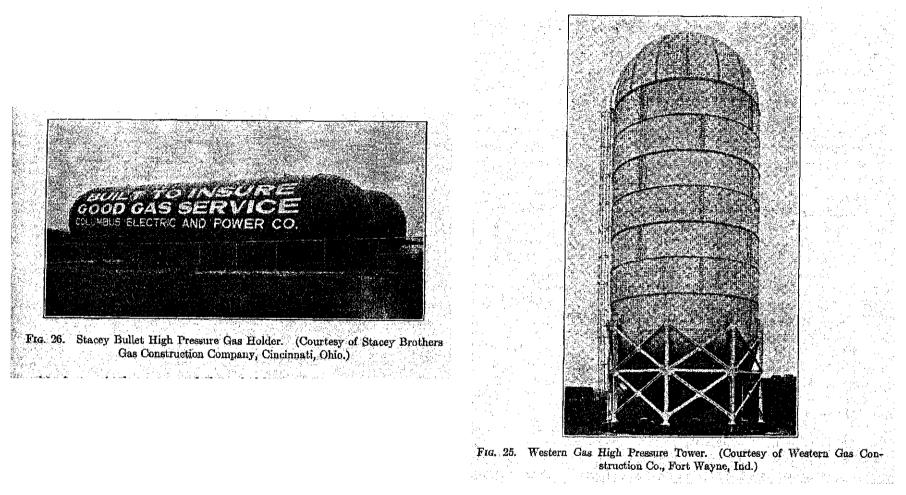
FIG. 16. Twenty Million Cubic Foot M. A. N. Waterless Holder of The Peoples Gas Light & Coke Company, Chicago, Ill. 283 ft. Diameter by 408 ft. High. (Courtesy of The Bartlett Hayward Company, Baltimore, Md.)

FIG. 13. Elevation and Plan of M. A. N. Waterless Holder with Capacity of Fifteen Million Cubic Feet. (Courtesy of The Bartlett Hayward Company, Baltimore, Md.)

[Morgan 1935, pp.34, 38]

#### ACM-10 Low Pressure, Waterless Seal Gas Holder Diagram

Attachment ACM-11 Page 1 of 1



[Morgan 1935, pp.56-57]

#### ACM-11: High-Pressure Cylindrical Gas Holders

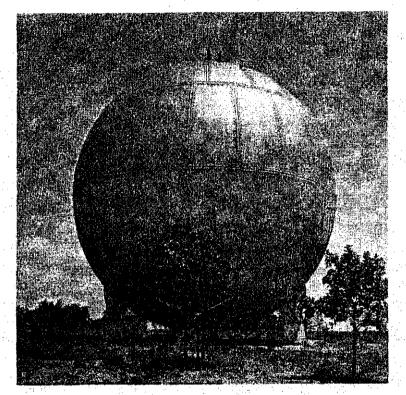


Fig. 27. Hortonsphere High Pressure Gas Holder, 57.5 feet in Diameter. (Courtesy of Chicago Bridge & Iron Works, Chicago, 111.)



with Background. (Courtesy of Chicago Bridge & Iron Works, Chicago, Ill.)

Morgan 1935, pp.60-61

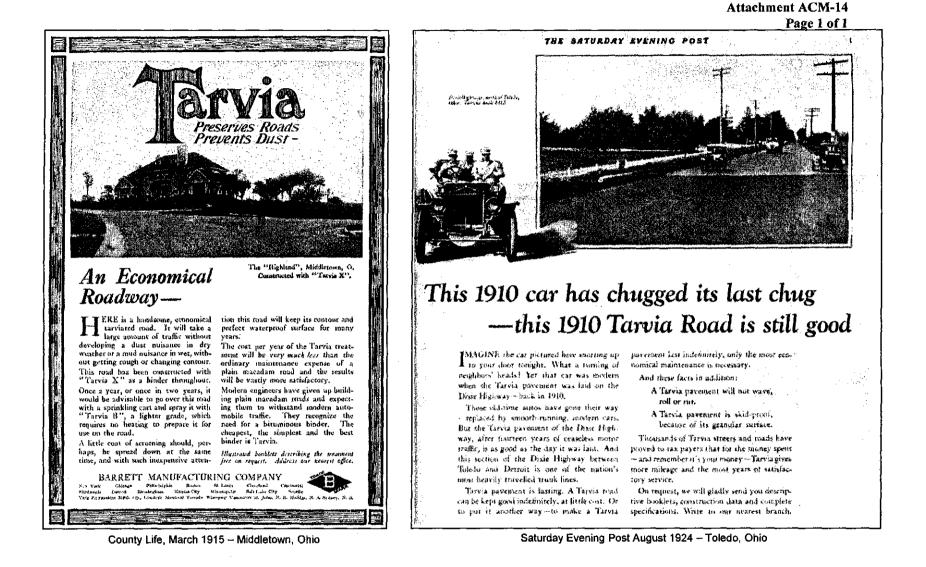
#### ACM-12: High-Pressure Spherical Gas Holder

Residual	Coal Gas	Carburetted Water Gas	Oil Gas	Description
<u>Liquids</u>	的名词形 网络外	地名法国马尔特卡尔普尔		
Tar	X	X	X	Mixture of liquid hydrocarbons
Wastewater	X	x	x	Excess water from gas-making
Ammonia	X			Ammonia-water solution at coal gas plants where water was used to absorb ammonia
Light Oil	[1]	[1]	[1]	Mixture of liquid aromatic compounds including benzene and toluene at MGPs with light oil recovery
<u>Solids</u>		a Militania	· K在外以主动:空间	
Coke	X			Remnant of bituminous coal after heating in retorts or ovens, primarily carbon
Lampblack			X	Fine carbon particles resulting from manufacture of oil gas
Sulfur Removal				
Spent Lime	x	x		Primarily pre-1880s: Lime solids that absorbed hydrogen sulfide and in the case of coal gas, hydrogen cyanide
Spent Oxide	x	x	X	After the 1880s: Iron oxide coated media (e.g., wood chips) that absorbed hydrogen sulfide and in the case of coal gas, hydrogen cyanide
Sulfur	[1]	[1]	[1]	Elemental sulfur solids resulting from use of liquid sulfur removal
Clinker		x		Fused ash of coke or coal input to the carburetted water gas process
Ash	[2]	[2]	[2]	Ash remaining from burning of coal or coke for steam production

#### ACM-13: Table of Residuals from Gas Manufacture

[1] Where this process was used; light oil recovery and liquid sulfur removal were not used at all MGPs

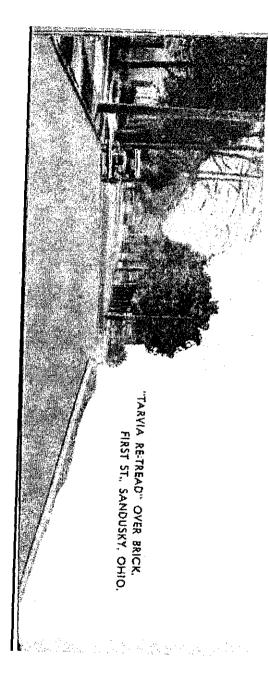
[2] In retort coal gas, coke was burned to heat the ovens; at other plants ash would have been generated if there were coal or coke fired boilers to produce steam.

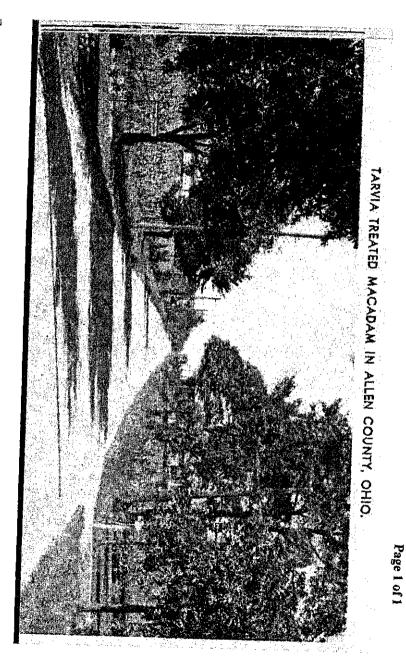


ACM-14: Examples of Tarvia Ads for Ohio Roads and Streets – Middletown and Toledo

# ACM-15: Streets – Allen County and Sandusky Examples of Tarvia Ads for Ohio Roads and

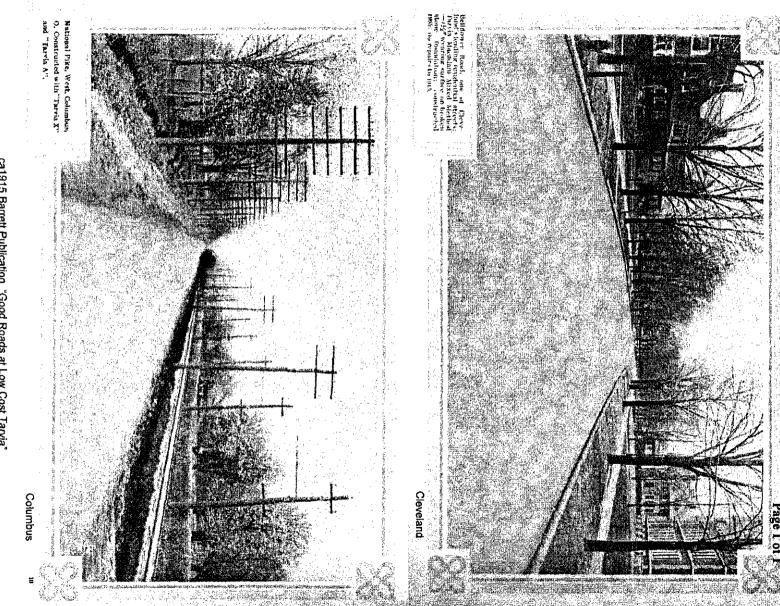
1935 Barrett Publication "Road Maintenance with Barrett Tarvia"





Attachment ACM-15

ACM-16: **Streets – Cleveland and Columbus Examples of Tarvia Ads for Ohio Roads and** 



ca1915 Barrett Publication "Good Roads at Low Cost Tarvia"

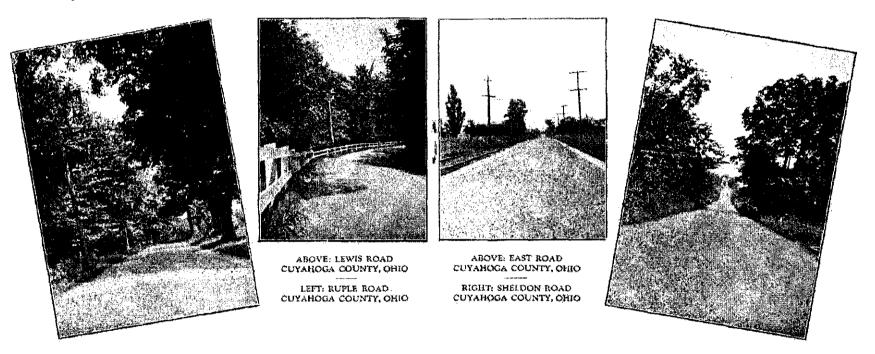
Attachment ACM-16 Page 1 of F

Attachment ACM-17 Page 1 of 1

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#### Barvia Re- Bread

Sarvia Re- Stead

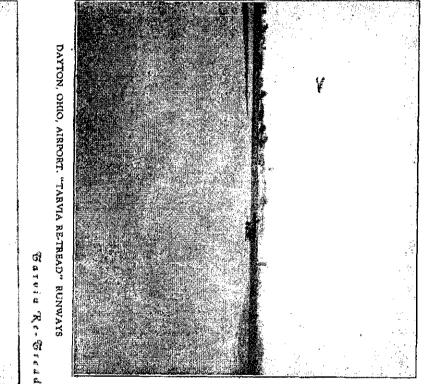


1929 Barrett Publication "Tarvia Re-Tread"

ACM-17: Examples of Tarvia Ads for Ohio Roads and Streets – Cuyahoga County

## Sarvia Re-Gread

## Attachment ACM-18 Page 1 of 1



BOX ROAD, LUCAS COUNTY, OHIO

1929 Barrett Publication "Tarvia Re-Tread"

ACM-18:

Streets – Dayton and Lucas County

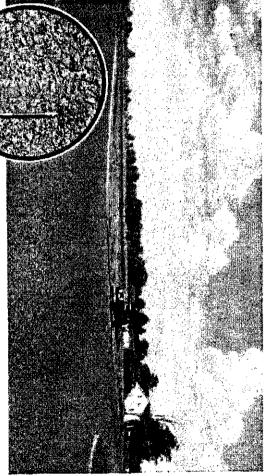
**Examples of Tarvia Ads for Ohio Roads and** 

# ACM-19: **Streets – Newtown and Lucas County Examples of Tarvia Ads for Ohio Roads and**

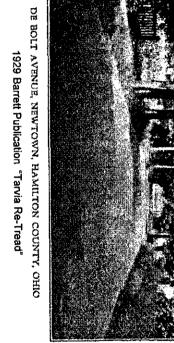
American City 1932

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up over the aggregate to form a slippery, dangerous lasting binding power. There is no oily surplus to work pavement. the surface Tarvia remains thickly viscous and retains its surface on which tires get a firm tread-bold. Beneath exposed on the road, thus providing a slightly granular



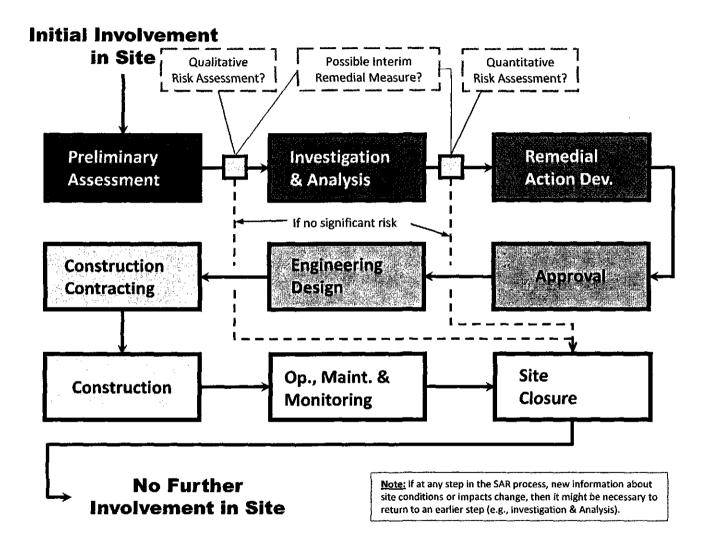
Tarvia holds the stone aggregate firmly in place and



Junction Repetroft Reed and U. S. Hiskway No. 28, Lucos County, Ohio. Terrin-built.

Attachment ACM-19 Page 1 of 1

March All Charles States



#### ACM-20: Overview of Site Assessment and Remediation (SAR) Process