



The Public Utilities Commission of Ohio

PUCO USE ONLY		
Date Received	Case Number	Version
	12-0667-EL-AGG	August 2004

12-667-EL-AGG

CERTIFICATION APPLICATION FOR AGGREGATORS/POWER BROKERS

Please print or type all required information. Identify all attachments with an exhibit label and title (Example: Exhibit A-5 Experience). All attachments should bear the legal name of the Applicant. Applicants should file completed applications and all related correspondence with the Public Utilities Commission of Ohio, Docketing Division, 180 East Broad Street, Columbus, Ohio 43215-3793.

**This PDF form is designed so that you may input information directly onto the form.
You may also download the form, by saving it to your local disk, for later use.**

A. APPLICANT INFORMATION

A-1 Applicant's legal name, address, telephone number and web site address

Legal Name Calverton Consulting LLC
Address 222 Euclid Avenue, Suite 702, Cleveland, Ohio 44114
Telephone # (888) 732-7773 Web site address (if any) www.CalvertonConsulting.com

A-2 List name, address, telephone number and web site address under which Applicant will do business in Ohio

Legal Name Calverton Consulting LLC
Address 222 Euclid Avenue, Suite 702, Cleveland, Ohio 44114
Telephone # (888) 732-7773 Web site address (if any) www.CalvertonConsulting.com

A-3 List all names under which the applicant does business in North America

Calverton Consulting LLC
Calverton Energy Services LLC

A-4 Contact person for regulatory or emergency matters

Name Jacob Sheridan
Title President

PUCO

2012 FEB 15 PM 12:27

RECEIVED-DOCKET

This is to certify that the images appearing are an accurate and complete reproduction of a case file document delivered in the regular course of business.
Technician [Signature] Date Processed FEB 15 2012

Business address 222 Euclid Avenue, Suite 702, Cleveland, Ohio 44114

Telephone # (888) 732-7773

Fax # (216) 282-0622

E-mail address (if any) Jacob@CalvertonConsulting.com

A-5 Contact person for Commission Staff use in investigating customer complaints

Name Jacob Sheridan

Title President

Business address 222 Euclid Avenue, Suite 702, Cleveland, Ohio 44114

Telephone # (888) 732-7773

Fax # (216) 282-0622

E-mail address (if any) Jacob@CalvertonConsulting.com

A-6 Applicant's address and toll-free number for customer service and complaints

Customer Service address 222 Euclid Avenue, Suite 702, Cleveland, Ohio 44114

Toll-free Telephone # (888) 732-7773

Fax # (216) 282-0622

E-mail address (if any) Info@CalvertonConsulting.com

A-7 Applicant's federal employer identification number # 453358649

A-8 Applicant's form of ownership (check one)

☐ Sole Proprietorship

☐ Partnership

☐ Limited Liability Partnership (LLP)

☐ Limited Liability Company (LLC)

☐ Corporation

☐ Other _____

A-9 (Check all that apply) Identify each electric distribution utility certified territory in which the applicant intends to provide service, including identification of each customer class that the applicant intends to serve, for example, residential, small commercial, mercantile commercial, and industrial. (A mercantile customer, as defined in (A) (19) of Section 4928.01 of the Revised Code, is a commercial customer who consumes more than 700,000 kWh/year or is part of a national account in one or more states).

■ First Energy

■ Ohio Edison

■ Residential

■ Commercial

■ Mercantile

■ Industrial

■ Toledo Edison

■ Residential

■ Commercial

■ Mercantile

■ Industrial

■ Cleveland Electric Illuminating

■ Residential

■ Commercial

■ Mercantile

■ Industrial

■ Cincinnati Gas & Electric

■ Residential

■ Commercial

■ Mercantile

■ Industrial

■ Monongahela Power

■ Residential

■ Commercial

■ Mercantile

■ Industrial

■ American Electric Power

■ Ohio Power

■ Residential

■ Commercial

■ Mercantile

■ Industrial

■ Columbus Southern Power

■ Residential

■ Commercial

■ Mercantile

■ Industrial

■ Dayton Power and Light

■ Residential

■ Commercial

■ Mercantile

■ Industrial

- A-10** Provide the approximate start date that the applicant proposes to begin delivering services
March 1, 2012

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:

- A-11** **Exhibit A-11 "Principal Officers, Directors & Partners"** provide the names, titles, addresses and telephone numbers of the applicant's principal officers, directors, partners, or other similar officials.
- A-12** **Exhibit A-12 "Corporate Structure,"** provide a description of the applicant's corporate structure, including a graphical depiction of such structure, and a list of all affiliate and subsidiary companies that supply retail or wholesale electricity or natural gas to customers and companies that aggregate customers in North America.
- A-13** **Exhibit A-13 "Company History,"** provide a concise description of the applicant's company history and principal business interests.
- A-14** **Exhibit A-14 "Articles of Incorporation and Bylaws,"** if applicable, provide the articles of incorporation filed with the state or jurisdiction in which the Applicant is incorporated and any amendments thereto.
- A-15** **Exhibit A-15 "Secretary of State,"** provide evidence that the applicant has registered with the Ohio Secretary of the State.

B. APPLICANT MANAGERIAL CAPABILITY AND EXPERIENCE

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:

- B-1** **Exhibit B-1 "Jurisdictions of Operation,"** provide a list of all jurisdictions in which the applicant or any affiliated interest of the applicant is, at the date of filing the application, certified, licensed, registered, or otherwise authorized to provide retail or wholesale electric services including aggregation services.
- B-2** **Exhibit B-2 "Experience & Plans,"** provide a description of the applicant's experience and plan for contracting with customers, providing contracted services, providing billing statements, and responding to customer inquiries and complaints in accordance with Commission rules adopted pursuant to Section 4928.10 of the Revised Code.

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:

A-11 Exhibit A-11 "Principal Officers, Directors & Partners"

(provide the names, titles, addresses and telephone numbers of the applicant's principal officers, directors, partners, or other similar officials.)

Ben Sheridan, Chairman, 24775 Woodside Lane, Beachwood, Ohio 44122 (216) 280-0000

Jacob Sheridan, President, 24775 Woodside Lane, Beachwood, Ohio 44122 (216) 721-5100

A-12 Exhibit A-12 "Corporate Structure,"

(provide a description of the applicant's corporate structure, including a graphical depiction of such structure, and a list of all affiliate and subsidiary companies that supply retail or wholesale electricity or natural gas to customers and companies that aggregate customers in North America.)

There are no affiliates or subsidiaries.

A-13 Exhibit A-13 "Company History,"

(provide a concise description of the applicant's company history and principal business interests.)

After spending time in the telecommunications industry over four years ago, Jacob Sheridan founded Calverton Consulting LLC to provide internet and phone services to small and medium sized businesses in Ohio. We help companies save time and frustration from confusing quotes by providing rates from numerous top quality service providers. We manage the transition process for our clients and are always available to answer any questions they may have during and after the transition. After developing relationships with over 20 internet and phone services providers, Calverton Consulting now provides internet and phone services around the country.

We aspire to provide Energy Services in Ohio in 2012 to help our clients realize even more savings from working with us. Calverton Consulting LLC was formed in 2011.

A-14 Exhibit A-14 "Articles of Incorporation and Bylaws,"

(if applicable, provide the articles of incorporation filed with the state or jurisdiction in which the Applicant is incorporated and any amendments thereto.)

Doc ID →

201124100592

**Form 533A Prescribed by the:
Ohio Secretary of State**Central Ohio: (614) 468-3910
Toll Free: (877) SOS-FILE (767-3453)www.sos.state.oh.us
Busserv@sos.state.oh.us**Expedite this form: (select one)**
Mail form to one of the following:☐ Expedite PO Box 1390
Columbus, OH 43216

*** Requires an additional fee of \$100 ***

☒ Non Expedite PO Box 670
Columbus, OH 43216**ARTICLES OF ORGANIZATION FOR A DOMESTIC
LIMITED LIABILITY COMPANY**
Filing Fee: \$125.00**RECEIVED**

AUG 26 2011

SECRETARY OF STATE

(CHECK ONLY ONE (1) BOX)

(1) <input checked="" type="checkbox"/> Articles of Organization for Domestic For-Profit Limited Liability Company (115-LCA) ORC 1705	(2) <input type="checkbox"/> Articles of Organization for Domestic Nonprofit Limited Liability Company (115-LCA) ORC 1705
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Name of limited liability company

Calverton Consulting LLC

Name must include one of the following words or abbreviations: "limited liability company," "limited," "LLC," "L.L.C.," "Ltd.," or "Ltd."

Effective Date

08/24/2011

(Optional)

mm/dd/yyyy

(The legal existence of the limited liability company begins upon the filing
of the articles or on a later date specified that is not more than ninety days
after filing.)

This limited liability company shall exist for

(Optional)

Period of Existence

Purpose

(Optional)

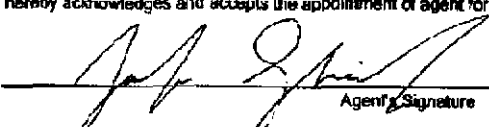
☐ Check here if additional provisions are attached

A-14 Exhibit A-14 "Articles of Incorporation and Bylaws," (Continued)

(if applicable, provide the articles of incorporation filed with the state or jurisdiction in which the Applicant is incorporated and any amendments thereto.)

Doc ID →

201124100592

ORIGINAL APPOINTMENT OF AGENT		
The undersigned authorized member(s), manager(s) or representative(s) of		
<u>Calverton Consulting LLC</u> Name of Limited Liability Company		
hereby appoint the following to be Statutory Agent upon whom any process, notice or demand required or permitted by statute to be served upon the limited liability company may be served. The name and address of the agent is		
<u>Jacob Sheridan</u> Name of Agent		
<u>24775 Woodside Lane</u> Mailing Address		
<u>Beachwood</u> City	<u>Ohio</u> State	<u>44122</u> Zip Code
<input type="checkbox"/> If the agent is an individual and using a P.O. Box, check this box to certify the agent is an Ohio resident.		
ACCEPTANCE OF APPOINTMENT		
The undersigned, named herein as the statutory agent for		
<u>Calverton Consulting LLC</u> Name of Limited Liability Company		
hereby acknowledges and accepts the appointment of agent for said limited liability company		
 Agent's Signature		

A-14 Exhibit A-14 "Articles of Incorporation and Bylaws," (Continued)

(if applicable, provide the articles of incorporation filed with the state or jurisdiction in which the Applicant is incorporated and any amendments thereto.)

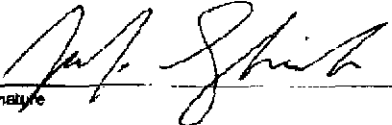
Doc ID →

201124100592

By signing and submitting this form to the Ohio Secretary of State, the undersigned hereby certifies that he or she has the requisite authority to execute this document on behalf of the limited liability company identified above.

REQUIRED

Articles and original appointment of agent must be authenticated (signed) by a member, manager or other representative.



Signature
Jacob Sheridan

Print Name

8/24/2011

Date

Signature

Date

Print Name

Signature

Date

Print Name

(See Instructions Below)

A-15 Exhibit A-15 "Secretary of State,"

(provide evidence that the applicant has registered with the Ohio Secretary of the State.)

Doc ID →

201124100592



DATE	DOCUMENT ID	DESCRIPTION	FILING	EXPED	PENALTY	CERT	COPY
08/30/2011	201124100592	ARTICLES OF ORGNZTN/DOM PROFIT LIM.LIAB. CO. (LCP)	125.00		.00	.00	.00

Receipt

This is not a bill. Please do not remit payment.

JACOB SHERIDAN
24775 WOODSIDE
BEACHWOOD, OH 44122

**STATE OF OHIO
CERTIFICATE**

Ohio Secretary of State, Jon Husted

2044299

It is hereby certified that the Secretary of State of Ohio has custody of the business records for

CALVERTON CONSULTING LLC

and, that said business records show the filing and recording of:

Document(s)
ARTICLES OF ORGNZTN/DOM. PROFIT LIM.LIAB. CO.

Document No(s):
201124100592



United States of America
State of Ohio
Office of the Secretary of State

Witness my hand and the seal of
the Secretary of State at Columbus,
Ohio this 26th day of August, A.D.
2011.

Jon Husted

Ohio Secretary of State

B-1 Exhibit B-1 "Jurisdictions of Operation,"

(provide a list of all jurisdictions in which the applicant or any affiliated interest of the applicant is, at the date of filing the application, certified, licensed, registered, or otherwise authorized to provide retail or wholesale electric services including aggregation services.)

None.

B-2 Exhibit B-2 "Experience & Plans,"

(provide a description of the applicant's experience and plan for contracting with customers, providing contracted services, providing billing statements, and responding to customer inquiries and complaints in accordance with Commission rules adopted pursuant to Section 4928.10 of the Revised Code.)

- We will use the forms provided by each utility or provider.
- We will offer the services to our existing and prospective clients.
- We will rely on our providers to do the billing. They will also provide level one customer service.
- 24/7 customer service will always be available for our clients.

B-3 Exhibit B-3 "Summary of Experience,"

(provide a concise summary of the applicant's experience in providing aggregation service(s) including contracting with customers to combine electric load and representing customers in the purchase of retail electric services. (e.g. number and types of customers served, utility service areas, amount of load, etc.).)

General Experience

Last year Jacob Sheridan, President of Calverton Consulting, worked for a Commercial Real Estate Company, Allegro Realty Advisors as an Associate. At Allegro he provided real estate consulting services to commercial real estate clients. Managed a portfolio for a Fortune 1000 client, provided M&A valuations, in-depth property research, transaction advisory services, and strategic guidance on dispositions. Also provided strategic advisory services to a large national retailer specializing in gourmet-food and gifts and assisted them with national site selection. In addition, worked with numerous large public sector clients on development consulting projects. During his time at Allegro Realty Advisors, Jacob developed strong analytical and client management skills.

Ben Sheridan, Chairman of Calverton Consulting, has been active in real estate investment and finance for nearly 10 years. In addition he is an active investor in, and advisor to, rapidly growing technology and financial services companies.

Previously, Ben spent 15 years at Progressive Insurance Company. He was General Manager of several divisions, and in his last position had profit and loss responsibility for \$3 billion of insurance premium. Prior to Progressive, Ben was a Management Consultant at McKinsey & Company, and a Financial Consultant at Arthur Andersen & Company.

Energy Experience

Most recently, Jacob Sheridan has spent the last 6 month researching the electric industry. He has developed and created marketing materials focused on educating business owners on the benefits of energy deregulation. During that same time, Jacob developed relationships with large providers who operate in the State of Ohio.

B-4 Exhibit B-4 "Disclosure of Liabilities and Investigations,"

(provide a description of all existing, pending or past rulings, judgments, contingent liabilities, revocation of authority, regulatory investigations, or any other matter that could adversely impact the applicant's financial or operational status or ability to provide the services it is seeking to be certified to provide.)

None.

B-5 Disclose whether the applicant, a predecessor of the applicant, or any principal officer of the applicant have ever been convicted or held liable for fraud or for violation of any consumer protection or antitrust laws within the past five years.

No.

B-6 Disclose whether the applicant or a predecessor of the applicant has had any certification, license, or application to provide retail or wholesale electric service including aggregation service denied, curtailed, suspended, revoked, or cancelled within the past two years.

No.

C-1 Exhibit C-1 "Annual Reports,"

(provide the two most recent Annual Reports to Shareholders. If applicant does not have annual reports, the applicant should provide similar information in Exhibit C-1 or indicate that Exhibit C-1 is not applicable and why.)

There are no annual reports. There was no revenue for this LLC in 2011.

C-2 Exhibit C-2 "SEC Filings,"

We do not have any 10k or 8k filings with the SEC.

C-3 Exhibit C-3 "Financial Statements,"

(provide copies of the applicant's two most recent years of audited financial statements (balance sheet, income statement, and cash flow statement). If audited financial statements are not available, provide officer certified financial statements. If the applicant has not been in business long enough to satisfy this requirement, it shall file audited or officer certified financial statements covering the life of the business.)

Calverton Consulting is a startup and has no financial history. We have a bank account with PNC and a voided check can be found below:

Calverton Consulting LLC
777 Euclid Avenue, Suite 702
Cleveland, Ohio 44114

DATE _____

PAY TO THE ORDER OF _____ \$ _____

VOID

PNC BANK
PNC Bank, N.A. 070
Ohio

FOR _____

6-12/410
321

MP

C-4 Exhibit C-4 “Financial Arrangements,”

(provide copies of the applicant's financial arrangements to conduct CRES as a business activity (e.g., guarantees, bank commitments, contractual arrangements, credit agreements, etc.).

We have none of these and we have no debt.

C-5 Exhibit C-5 "Forecasted Financial Statements"



PREPARED BY:

Jacob Sheridan
24775 Woodside Lane
Beachwood, Ohio 44122
Jacob@CalvertonConsulting.com
(888) 732-7773

PROJECTED INCOME STATEMENT

	2012	2013
REVENUE	\$ 50,000	\$ 150,000
EXPENSES:		
Salary	\$ 40,000	\$ 80,000
Insurance	\$ 2,000	\$ 4,000
Office Supplies	\$ 1,000	\$ 1,000
Website	\$ 1,000	\$ 2,000
Phones	\$ 500	\$ 500
Total Expenses	\$ 44,500	\$ 87,500
NET INCOME	\$ 5,500	\$ 62,500

BALANCE SHEET

	12/31/2012	12/31/2013
ASSETS:		
Cash	\$ 6,500	\$ 69,000
Accounts Receivable	\$ 8,000	\$ 24,000
TOTAL ASSETS	\$ 14,500	\$ 93,000

LIABILITIES & MEMBER'S EQUITY:

LIABILITIES:		
Accounts Payable	\$ 8,000	\$ 24,000
TOTAL LIABILITIES	\$ 8,000	\$ 24,000

MEMBERS EQUITY:		
Member's Contribution	\$ 4,000	\$ 4,000
Net Income	\$ 2,500	\$ 65,000
TOTAL MEMBER'S EQUITY	\$ 6,500	\$ 69,000

TOTAL LIABILITIES AND MEMBER'S EQUITY	\$ 14,500	\$ 93,000
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C-5 Exhibit C-5 “Forecasted Financial Statements” (Continued)

CASH FLOW STATEMENT		
	12/31/2012	12/31/2013
CASH AT BEGINNING OF YEAR	\$ 1,000	\$ 6,500
CASH FLOW FROM OPERATIONS:		
Net Earnings	\$ 5,500	\$ 62,500
<i>Addition to Cash</i>		
Increase in Accounts Receivable	\$ (8,000)	\$ (24,000)
Increase in Accounts Payable	\$ 8,000	\$ 24,000
NET CASH FROM OPERATIONS	\$ 5,500	\$ 62,500
NET INCREASE IN CASH	\$ 5,500	\$ 62,500
CASH AT END OF YEAR	\$ 6,500	\$ 69,000
ASSUMPTIONS		
In order to collect \$50,000 of commission a typical electric salesperson with commission of \$0.003 per kWh would need a portfolio of 16,666,666 kWh per year.		
In order to collect \$150,000 of commission a typical electric salesperson with commission of \$0.003 per kWh would need a portfolio of 50,000,000 kWh per year.		

C-6 Exhibit C-6 “Credit Rating,”

(provide a statement disclosing the applicant’s credit rating as reported by two of the following organizations: Duff & Phelps, Dun and Bradstreet Information Services, Fitch IBCA, Moody’s Investors Service, Standard & Poors, or a similar organization. In instances where an applicant does not have its own credit ratings, it may substitute the credit ratings of a parent or affiliate organization, provided the applicant submits a statement signed by a principal officer of the applicant’s parent or affiliate organization that guarantees the obligations of the applicant.)

Calverton Consulting LLC does not have a credit rating.

C-7 Exhibit C-7 “Credit Report,”

(provide a copy of the applicant’s credit report from Experian, Dun and Bradstreet or a similar organization.)

Calverton Consulting LLC does not have a credit report.

C-8 Exhibit C-8 “Bankruptcy Information,”

(provide a list and description of any reorganizations, protection from creditors or any other form of bankruptcy filings made by the applicant, a parent or affiliate organization that guarantees the obligations of the applicant or any officer of the applicant in the current year or within the two most recent years preceding the application.)

None.

C-9 Exhibit C-9 “Merger Information,”

(provide a statement describing any dissolution or merger or acquisition of the applicant within the five most recent years preceding the application.)

None.

SIGNATURE PAGE TO FOLLOW

AFFIDAVIT

State of Ohio :

Cleveland ss.
(Town)

County of Cuyahoga :

Jacob Sheridan, Affiant, being duly sworn/affirmed according to law, deposes and says that:

He/She is the Member (Office of Affiant) of Calverton Consulting LLC (Name of Applicant);

That he/she is authorized to and does make this affidavit for said Applicant,

1. The Applicant herein, attests under penalty of false statement that all statements made in the application for certification are true and complete and that it will amend its application while the application is pending if any substantial changes occur regarding the information provided in the application.
2. The Applicant herein, attests it will timely file an annual report with the Public Utilities Commission of Ohio of its intrastate gross receipts, gross earnings, and sales of kilowatt-hours of electricity pursuant to Division (A) of Section 4905.10, Division (A) of Section 4911.18, and Division (F) of Section 4928.06 of the Revised Code.
3. The Applicant herein, attests that it will timely pay any assessments made pursuant to Sections 4905.10, 4911.18, or Division F of Section 4928.06 of the Revised Code.
4. The Applicant herein, attests that it will comply with all Public Utilities Commission of Ohio rules or orders as adopted pursuant to Chapter 4928 of the Revised Code.
5. The Applicant herein, attests that it will cooperate fully with the Public Utilities Commission of Ohio, and its Staff on any utility matter including the investigation of any consumer complaint regarding any service offered or provided by the Applicant.
6. The Applicant herein, attests that it will fully comply with Section 4928.09 of the Revised Code regarding consent to the jurisdiction of Ohio Courts and the service of process.
7. The Applicant herein, attests that it will comply with all state and/or federal rules and regulations concerning consumer protection, the environment, and advertising/promotions.
8. The Applicant herein, attests that it will use its best efforts to verify that any entity with whom it has a contractual relationship to purchase power is in compliance with all applicable licensing requirements of the Federal Energy Regulatory Commission and the Public Utilities Commission of Ohio.
9. The Applicant herein, attests that it will cooperate fully with the Public Utilities Commission of Ohio, the electric distribution companies, the regional transmission entities, and other electric suppliers in the event of an emergency condition that may jeopardize the safety and reliability of the electric service in accordance with the emergency plans and other procedures as may be determined appropriate by the Commission.
10. If applicable to the service(s) the Applicant will provide, the Applicant herein, attests that it will adhere to the reliability standards of (1) the North American Electric Reliability Council (NERC), (2) the appropriate regional reliability council(s), and (3) the Public Utilities Commission of Ohio. (Only applicable if pertains to the services the Applicant is offering)

11. The Applicant herein, attests that it will inform the Commission of any material change to the information supplied in the application within 30 days of such material change, including any change in contact person for regulatory purposes or contact person for Staff use in investigating customer complaints.

That the facts above set forth are true and correct to the best of his/her knowledge, information, and belief and that he/she expects said Applicant to be able to prove the same at any hearing hereof.

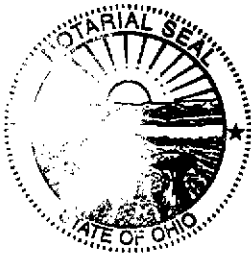
[Signature], Member
Signature of Affiant & Title

Sworn and subscribed before me this 14th day of February 2012
Month Year

[Signature]
Signature of official administering oath

SUSAN CHUPEK, BR. MGR.
Print Name and Title

My commission expires on 5-10-2014



SUSAN CHUPEK
Notary Public
State of Ohio
My Comm. Exp. 05-10-2014