



ORIGINAL

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Date Received	Case Number	Certification Number
11-5192	- GA-AGG	

CERTIFICATION APPLICATION
COMPETITIVE RETAIL NATURAL GAS BROKERS / AGGREGATORS

Please type or print all required information. Identify all attachments with an exhibit label and title (*Example: Exhibit A-16 - Company History*). All attachments should bear the legal name of the Applicant. Applicants should file completed applications and all related correspondence with the Public Utilities Commission of Ohio, Docketing Division, 13th Floor, 180 East Broad Street, Columbus, Ohio 43215-3793.

This PDF form is designed so that you may directly input information onto the form. You may also download the form by saving it to your local disk.

SECTION A - APPLICANT INFORMATION AND SERVICES

Applicant intends to be certified as: (check all that apply)

☒ Retail Natural Gas Aggregator ☒ Retail Natural Gas Broker

Applicant information:

Legal Name Commonwealth Energy, Ltd
Address 650 Clinton Lane, Cleveland, Ohio 44143
Telephone No. 440-483-7000 Web site Address www.energy-utility.com

Applicant information under which applicant will do business in Ohio:

Name Commonwealth Energy, Ltd
Address 650 Clinton Lane, Cleveland, Ohio 44143
Web site Address www.energy-utility.com Telephone No. 440-483-7000

List all names under which the applicant does business in North America:

Commonwealth Energy, Ltd

A-5 Contact person for regulatory or emergency matters:

Name Stedson R. McIntyre Title CEO
Business Address 650 Clinton Lane
Telephone No. 440-483-7000 Fax No. 216-707-3725 Email Address stedson#energy-utility.com

This is to certify that the images appearing are an accurate and complete reproduction of a case file document delivered in the regular course of business.
Technician AK Date Processed SEP 19 2011

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RECEIVED-DOCKETING DIV
2011 SEP 19 PM 4:22

A-6 Contact person for Commission Staff use in investigating customer complaints:

Name Stedson R.McIntyre

Title CEO

Business address P.O.Box 43086,Cleveland,Ohio 44143

Telephone No. 440-483-7000

Fax No. 216-707-3725

Email Address stedson@energy-utility.com

A-7 Applicant's address and toll-free number for customer service and complaints

Customer service address P.O.B 43086

Toll-Free Telephone No. 440-483-7000

Fax No. 216-707-3725

Email Address

A-8 Provide "Proof of an Ohio Office and Employee," in accordance with Section 4929.22 of the Ohio Revised Code, by listing name, Ohio office address, telephone number, and Web site address of the designated Ohio Employee

Name Commonwealth Energy,ltd

Title CEO

Business address 650 Clinton Lane,Cleveland,Ohio 44143

Telephone No. 440483-7000

Fax No. 216-707-3725

Email Address

A-9 Applicant's federal employer identification number 90-068-4367

A-10 Applicant's form of ownership: (Check one)

☐ Sole Proprietorship

☐ Partnership

☐ Limited Liability Partnership (LLP)

☒ Limited Liability Company (LLC)

☐ Corporation

☐ Other

A-11 (Check all that apply) Identify each natural gas company service area in which the applicant is currently providing service or intends to provide service, including identification of each customer class that the applicant is currently serving or intends to serve, for example: *residential, small commercial, and/or large commercial/industrial (mercantile) customers*. (A mercantile customer, as defined in Section 4929.01(L)(1) of the Ohio Revised Code, means a customer that consumes, other than for residential use, more than 500,000 cubic feet of natural gas per year at a single location within the state or consumes natural gas, other than for residential use, as part of an undertaking having more than three locations within or outside of this state. In accordance with Section 4929.01(L)(2) of the Ohio Revised Code, "Mercantile customer" excludes a not-for-profit customer that consumes, other than for residential use, more than 500,000 cubic feet of natural gas per year at a single location within this state or consumes natural gas, other than for residential use, as part of an undertaking having more than three locations within or outside this state that has filed the necessary declaration with the Public Utilities Commission.)

<input checked="" type="checkbox"/> Columbia Gas of Ohio	<input checked="" type="checkbox"/> Residential	<input checked="" type="checkbox"/> Small Commercial	<input checked="" type="checkbox"/> Large Commercial / Industrial
<input checked="" type="checkbox"/> Dominion East Ohio	<input checked="" type="checkbox"/> Residential	<input checked="" type="checkbox"/> Small Commercial	<input checked="" type="checkbox"/> Large Commercial / Industrial
<input checked="" type="checkbox"/> Duke Energy Ohio	<input checked="" type="checkbox"/> Residential	<input checked="" type="checkbox"/> Small Commercial	<input checked="" type="checkbox"/> Large Commercial / Industrial
<input checked="" type="checkbox"/> Vectren Energy Delivery of Ohio	<input checked="" type="checkbox"/> Residential	<input checked="" type="checkbox"/> Small Commercial	<input checked="" type="checkbox"/> Large Commercial / Industrial

A-12 If applicant or an affiliated interest previously participated in any of Ohio's Natural Gas Choice Programs, for each service area and customer class, provide approximate start date(s) and/or end date(s) that the applicant began delivering and/or ended services.

☐ Columbia Gas of Ohio

<input type="checkbox"/> Residential	Beginning Date of Service	End Date
<input type="checkbox"/> Small Commercial	Beginning Date of Service	End Date
<input type="checkbox"/> Large Commercial	Beginning Date of Service	End Date
<input type="checkbox"/> Industrial	Beginning Date of Service	End Date

☐ Dominion East Ohio

<input type="checkbox"/> Residential	Beginning Date of Service	End Date
<input type="checkbox"/> Small Commercial	Beginning Date of Service	End Date
<input type="checkbox"/> Large Commercial	Beginning Date of Service	End Date
<input type="checkbox"/> Industrial	Beginning Date of Service	End Date

☐ Duke Energy Ohio

<input type="checkbox"/> Residential	Beginning Date of Service	End Date
<input type="checkbox"/> Small Commercial	Beginning Date of Service	End Date
<input type="checkbox"/> Large Commercial	Beginning Date of Service	End Date
<input type="checkbox"/> Industrial	Beginning Date of Service	End Date

☐ Vectren Energy Delivery of Ohio

<input type="checkbox"/> Residential	Beginning Date of Service	End Date
<input type="checkbox"/> Small Commercial	Beginning Date of Service	End Date
<input type="checkbox"/> Large Commercial	Beginning Date of Service	End Date
<input type="checkbox"/> Industrial	Beginning Date of Service	End Date

A-13 If not currently participating in any of Ohio's four Natural Gas Choice Programs, provide the approximate start date that the applicant proposes to begin delivering services:

<input checked="" type="checkbox"/>	Columbia Gas of Ohio	Intended Start Date	Oct,1st,2011
<input checked="" type="checkbox"/>	Dominion East Ohio	Intended Start Date	Oct,1st,2011
<input checked="" type="checkbox"/>	Duke Energy Ohio	Intended Start Date	Oct,1st,2011
<input checked="" type="checkbox"/>	Vectren Energy Delivery of Ohio	Intended Start Date	Oct,1st,2011

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED.

- ✓ **A-14 Exhibit A-14 "Principal Officers, Directors & Partners,"** provide the names, titles, addresses and telephone numbers of the applicant's principal officers, directors, partners, or other similar officials.
- A-15 Exhibit A-15 "Corporate Structure,"** provide a description of the applicant's corporate structure, including a graphical depiction of such structure, and a list of all affiliate and subsidiary companies that supply retail or wholesale natural gas or electricity to customers in North America.
- A-16 Exhibit A-16 "Company History,"** provide a concise description of the applicant's company history and principal business interests.
- A-17 Exhibit A-17 "Articles of Incorporation and Bylaws,"** if applicable, provide the articles of incorporation filed with the state or jurisdiction in which the applicant is incorporated and any amendments thereto.
- A-18 Exhibit A-18 "Secretary of State,"** provide evidence that the applicant is currently registered with the Ohio Secretary of the State.

SECTION B - APPLICANT MANAGERIAL CAPABILITY AND EXPERIENCE

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED

- ✓ **B-1 Exhibit B-1 "Jurisdictions of Operation,"** provide a current list of all jurisdictions in which the applicant or any affiliated interest of the applicant is, at the date of filing the application, certified, licensed, registered, or otherwise authorized to provide retail natural gas service, or retail/wholesale electric services.
- ✓ **B-2 Exhibit B-2 "Experience & Plans,"** provide a current description of the applicant's experience and plan for contracting with customers, providing contracted services, providing billing statements, and responding to customer inquiries and complaints in accordance with Commission rules adopted pursuant to Section 4929.22 of the Revised Code and contained in Chapter 4901:1-29 of the Ohio Administrative Code.
- ✓ **B-3 Exhibit B-3 "Summary of Experience,"** provide a concise and current summary of the applicant's experience in providing the service(s) for which it is seeking to be certified to provide (e.g., number and types of customers served, utility service areas, volume of gas supplied, etc.).
- ✓ **B-4 Exhibit B-4 "Disclosure of Liabilities and Investigations,"** provide a description of all existing, pending or past rulings, judgments, contingent liabilities, revocations of authority, regulatory investigations, or any other matter that could adversely impact the applicant's financial or operational

status or ability to provide the services it is seeking to be certified to provide.

- B-5 Exhibit B-5 "Disclosure of Consumer Protection Violations,"** disclose whether the applicant, affiliate, predecessor of the applicant, or any principal officer of the applicant has been convicted or held liable for fraud or for violation of any consumer protection or antitrust laws within the past five years.

☒ No ☐ Yes

If Yes, provide a separate attachment labeled as Exhibit B-5 "Disclosure of Consumer Protection Violations," detailing such violation(s) and providing all relevant documents.

- B-6 Exhibit B-6 "Disclosure of Certification Denial, Curtailment, Suspension, or Revocation,"** disclose whether the applicant or a predecessor of the applicant has had any certification, license, or application to provide retail natural gas or retail/wholesale electric service denied, curtailed, suspended, or revoked, or whether the applicant or predecessor has been terminated from any of Ohio's Natural Gas Choice programs, or been in default for failure to deliver natural gas.

☒ No ☐ Yes

If Yes, provide a separate attachment, labeled as Exhibit B-6 "Disclosure of Certification Denial, Curtailment, Suspension, or Revocation," detailing such action(s) and providing all relevant documents.

SECTION C - APPLICANT FINANCIAL CAPABILITY AND EXPERIENCE

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED

- C-1 Exhibit C-1 "Annual Reports,"** provide the two most recent Annual Reports to Shareholders. If applicant does not have annual reports, the applicant should provide similar information, labeled as Exhibit C-1, or indicate that Exhibit C-1 is not applicable and why.
- C-2 Exhibit C-2 "SEC Filings,"** provide the most recent 10-K/8-K Filings with the SEC. If applicant does not have such filings, it may submit those of its parent company. If the applicant does not have such filings, then the applicant may indicate in Exhibit C-2 whether the applicant is not required to file with the SEC and why.
- C-3 Exhibit C-3 "Financial Statements,"** provide copies of the applicant's two most recent years of audited financial statements (balance sheet, income statement, and cash flow statement). If audited financial statements are not available, provide officer-certified financial statements. If the applicant has not been in business long enough to satisfy this requirement, it shall file audited or officer-certified financial statements covering the life of the business.
- C-4 Exhibit C-4 "Financial Arrangements,"** provide copies of the applicant's current financial arrangements to conduct competitive retail natural gas service (CRNGS) as a business activity (e.g., guarantees, bank commitments, contractual arrangements, credit agreements, etc.)
- C-5 Exhibit C-5 "Forecasted Financial Statements,"** provide two years of forecasted financial statements (balance sheet, income statement, and cash flow statement) for the applicant's CRNGS operation, along with a list of assumptions, and the name, address, email address, and telephone number of the preparer.

- C-6 **Exhibit C-6 "Credit Rating,"** provide a statement disclosing the applicant's current credit rating as reported by two of the following organizations: Duff & Phelps, Dun and Bradstreet Information Services, Fitch IBCA, Moody's Investors Service, Standard & Poors, or a similar organization. In instances where an applicant does not have its own credit ratings, it may substitute the credit ratings of a parent or affiliate organization, provided the applicant submits a statement signed by a principal officer of the applicant's parent or affiliate organization that guarantees the obligations of the applicant.
- C-7 **Exhibit C-7 "Credit Report,"** provide a copy of the applicant's current credit report from Experion, Dun and Bradstreet, or a similar organization.
- C-8 **Exhibit C-8 "Bankruptcy Information,"** provide a list and description of any reorganizations, protection from creditors, or any other form of bankruptcy filings made by the applicant, a parent or affiliate organization that guarantees the obligations of the applicant or any officer of the applicant in the current year or since applicant last filed for certification.
- C-9 **Exhibit C-9 "Merger Information,"** provide a statement describing any dissolution or merger or acquisition of the applicant since applicant last filed for certification.

SECTION D – APPLICANT TECHNICAL CAPABILITY

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED.

- D-1 **Exhibit D-1 "Operations,"** provide a current written description of the operational nature of the applicant's business functions.
- D-2 **Exhibit D-2 "Operations Expertise,"** given the operational nature of the applicant's business, provide evidence of the applicant's current experience and technical expertise in performing such operations.
- D-3 **Exhibit D-3 "Key Technical Personnel,"** provide the names, titles, email addresses, telephone numbers, and background of key personnel involved in the operational aspects of the applicant's current business.

Applicant Signature and Title

 CEO

Sworn and subscribed before me this 15th day of September Month 2011 Year

Signature of official administering oath



Print Name and Title DIANE L. SEMAN, NOTARY

My commission expires on December 30, 2012

DIANE L. SEMAN, Notary Public
In and for the State of Ohio
My Commission Expires Dec. 30, 2012



The Public Utilities Commission of Ohio

Competitive Retail Natural Gas Service
Affidavit Form
(Version 1.07)

In the Matter of the Application of)

for a Certificate or Renewal Certificate to Provide)
Competitive Retail Natural Gas Service in Ohio.)

Case No. - -GA-AGG

County of Cuyahoga

State of Ohio

Stedson McIntyre **COMMONWEALTH ENERGY, LTD.** [Affiant], being duly sworn/affirmed, hereby states that:

- (1) The information provided within the certification or certification renewal application and supporting information is complete, true, and accurate to the best knowledge of affiant.
- (2) The applicant will timely file an annual report of its intrastate gross receipts and sales of hundred cubic feet of natural gas pursuant to Sections 4905.10(A), 4911.18(A), and 4929.23(B), Ohio Revised Code.
- (3) The applicant will timely pay any assessment made pursuant to Section 4905.10 or Section 4911.18(A), Ohio Revised Code.
- (4) Applicant will comply with all applicable rules and orders adopted by the Public Utilities Commission of Ohio pursuant to Title 49, Ohio Revised Code.
- (5) Applicant will cooperate with the Public Utilities Commission of Ohio and its staff in the investigation of any consumer complaint regarding any service offered or provided by the applicant.
- (6) Applicant will comply with Section 4929.21, Ohio Revised Code, regarding consent to the jurisdiction of the Ohio courts and the service of process.
- (7) Applicant will inform the Public Utilities Commission of Ohio of any material change to the information supplied in the certification or certification renewal application within 30 days of such material change, including any change in contact person for regulatory or emergency purposes or contact person for Staff use in investigating customer complaints.
- (8) Affiant further sayeth naught.

Affiant Signature & Title

Sworn and subscribed before me this 15th day of September Month 2011 Year

Signature of Official Administering Oath

Print Name and Title DIANE L. SEMAN, NOTARY

DIANE L. SEMAN Commission expires on December 30, 2012
In and for the State of Ohio
My Commission Expires Dec. 30, 2012

(CRNGS Broker/Aggregator -Version 1.07) Page 7 of 7

ORIGINAL

Exhibit-A-14: Principal Officer & Partner.

Stedson R. McIntyre

President and Chief Executive officer

650 Clinton Lane
Highland Heights
Ohio 44143
440-483-7000
stedson@energy-utility.com.

Exhibit –A-15:Corporate Structure:

Commonwealth Energy,ltd

The Corporate structure of Commonwealth
Energy will be as follows:

Stedson R.McIntyre

President and Chief Executive officer:

Legal Advisor, (TBA)

Chief Financial Officer

TBA

Chief operation Officer:

Director of sales & marketing:

Page# 2

Companies that will supply or wholesale electric/ natural gas from Energy Suppliers.

Exhibit. A-16,Company History:

Commonwealth Energy, Ltd is a newly form company which was registered in the 2nd, quarter of 2011. The main interest of Commonwealth Energy is to provide energy to Residential and commercial business customers at an affordable cost:

Exhibit: 17. Articles of incorporation & By-Laws:

See Attach:

EXHIBIT 18: Evidence of registration by the Oh, Secy of State:

See attach:

Exhibit B -1, jurisdiction of Operation:
The State of Ohio (Not Certified, Registered nor Authorized)

Exhibit B-2, Experience & Plans:

If commonwealth Energy is certified by the Ohio PUCO as a minority owned company to provide the service as an Aggregators/Power Broker, commonwealth Energy will hire the required personnel with the best qualification .

Exhibit. B-3. Summary of Experience:

I have had over fifteen years of experiences in contracting customers and serving customers as President of Commonwealth Industries, Inc and Commonwealth distributors. (www.cdistributor.com)

Our Plan after receiving a certificate from the PUCO, it is my intentions to vigorously recruit a full staff with knowledge of aggregation/broker of the services to supply Ohio residents with the best cost effective utility rates.

Our plan will engage the print and electronic media to advertise our services and to further educate the residents of Ohio about their choices in the purchase of energy.

It is further our intention to engage every utility company to seek out the best energy rates for the residents of Ohio.

Page #4

Exhibit B-4. (disclosure of Liabilities):

None:

Exhibit. B-5.

No:

Exhibit B-6.

No

Section C: (Applicant Financial Capability & Experience):

Exhibit - C1: Annual Report:

N/A. The company is less than Six months old:

Exhibit- C2: (Filings)

The company is not required to file such document because it is not yet operating as a utility company/ Broker.

Exhibit-C3: (Financial Statements):

Page#5

N/A, The Company is less than Six months old.

Exhibit-C4: (Financial Arrangements):

Not completed nor Available at this time:

Exhibit-C5:(Forecast Financial Statements):
Financial Statements are Projected
Statements for Two years:

Exhibits-C6: (Credit Ratings):

There is no credit ratings with D&B, Duff & Phelps, or Fitch IBCA, due to the age of the company (less than 6months Old):

Page #6

Exhibit –C-7, Credit Report:

N/A. due to the age of the company:

Exhibit- C-8. Bankruptcy Information:

None:

Exhibit-C9.merger information.

None:

Section D:

Exhibit D-1, Operations

Exhibit D-2, Operations Expertise

Exhibit D-3, Key Technical Personnel:



DATE: 04/06/2011	DOCUMENT ID 201109500740	DESCRIPTION ARTICLES OF ORGNZTN/DOM. PROFIT LIM.LIAB. CO. (LCP)	FILING 125.00	EXPED .00	PENALTY	CERT .00	COPY .00
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Receipt

This is not a bill. Please do not remit payment.

HUFFMAN, ISAAC & KLYM, LLC
24441 DETROIT ROAD - #200
WESTLAKE, OH 44145

ORIGINAL**STATE OF OHIO
CERTIFICATE****Ohio Secretary of State, Jon Husted****2010528**

It is hereby certified that the Secretary of State of Ohio has custody of the business records for
COMMONWEALTH ENERGY, LTD.

and, that said business records show the filing and recording of:

Document(s)

ARTICLES OF ORGNZTN/DOM. PROFIT LIM.LIAB. CO.

Document No(s):

201109500740

United States of America
State of Ohio
Office of the Secretary of State

Witness my hand and the seal of
the Secretary of State at Columbus,
Ohio this 1st day of April, A.D.
2011.

Ohio Secretary of State