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Date Received	Case Number	Version
N _	EL-AGG	August 2004

CERTIFICATION APPLICATION FOR AGGREGATORS/POWER BROKERS

Please print or type all required information. Identify all attachments with an exhibit label and title (Example: Exhibit A-5 Experience). All attachments should bear the legal name of the Applicant. Applicants should file completed applications and all related correspondence with the Public Utilities Commission of Ohio, Docketing Division; 180 East Broad Street, Columbus, Ohio 43215-3793.

This PDF form is designed so that you may input information directly onto the form. You may also download the form, by saving it to your local disk, for later use.

A. <u>APPLICANT INFORMATION</u>

Fechnician ____

A-1 Applicant's legal name, address, telephone number and web site address

 Legal Name RENEWABLE RESOURCE VENTURES, LLC

 Address 285 OLD COUNTY LINE RD., WESTERVILLE, OHIO 43081

 Telephone # (614) 212-4933

 Web site address (if any)_____

A-2 List name, address, telephone number and web site address under which Applicant will do business in Ohio

 Legal Name
 RENEWABLE RESOURCE VENTURES, LLC

 Address
 285 OLD COUNTY LINE RD., WESTERVILLE, OH 43081

 Telephone # (614) 212-4933
 Web site address (if any)

A-3 List all names under which the applicant does business in North America

Contact person for re-	gulatory or emergency matters	C O	9 PH
Name DONALD SAUL		-	မ္မ
Title Manager			32

Date Processed ____SEP. 0 9 2011

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Business addres	S 285 OLD COUNTY LINE F	RD., WESTERVILLE, OH 43081	
Telephone #6	14) 212-4933	Fax # (866) 886-7401	
E-mail address (if any) donsaul@solary	/ISIONLLC.NET	

A-5 Contact person for Commission Staff use in investigating customer complaints

Name DONALD SAUL		
Title Manager		
Business address 285 OLD COUNTY LI	NE RD., WESTERVILLE, OH 43081	_
Telephone # (614) 212-4933	Fax # (866) 886-7401	
E-mail address (if any) DONSAUL	@SOLARVISIONLLC.NET	

A-6 Applicant's address and toll-free number for customer service and complaints

Customer Service address 285	OLD COUNTY LINE RD., WESTERVII	LE, OH 43081
Toll-free Telephone #	Fax #	(866) 886-7401
E-mail address (if any) INFO@	SOLARVISIONLLC.NET	

A-7 Applicant's federal employer identification number # 45-3171190

A-8 Applicant's form of ownership (check one)

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Sole Proprietorship	Partnership
Limited Liability Partnership (LLP)	□ Limited Liability Company (LLC)
□ Corporation	□ Other

A-9 (Check all that apply) Identify each electric distribution utility certified territory in which the applicant intends to provide service, including identification of each customer class that the applicant intends to serve, for example, residential, small commercial, mercantile commercial, and industrial. (A mercantile customer, as defined in (A) (19) of Section 4928.01 of the Revised Code, is a commercial customer who consumes more than 700,000 kWh/year or is part of a national account in one or more states).

🛛 Ohio Edison	Residential	Commercial	Mercantile	Industrial
🛛 Toledo Edison	Residential	Commercial	Mercantile	🛛 Industrial
🛛 Cleveland Electric Illuminating	Residential	Commercial	Mercantile	🖬 Industrial
🛛 Cincinnati Gas & Electric	Residential	Commercial	Mercantile	🖬 Industrial
🛛 Monongahela Power	Residential	Commercial	Mercantile	🛛 Industrial
American Electric Power				
Ohio Power	Residential	🛛 Commercial	Mercantile	🛛 Industrial
Columbus Southern Power	Residential	Commercial	Mercantile	🛛 Industrial
Dayton Power and Light	Residential	Commercial	Mercantile	🖬 Industrial

A-10 Provide the approximate start date that the applicant proposes to begin delivering services

OCTOBER 10, 2011

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:

- A-11 <u>Exhibit A-11 "Principal Officers, Directors & Partners"</u> provide the names, titles, addresses and telephone numbers of the applicant's principal officers, directors, partners, or other similar officials.
- A-12 <u>Exhibit A-12 "Corporate Structure,"</u> provide a description of the applicant's corporate structure, including a graphical depiction of such structure, and a list of all affiliate and subsidiary companies that supply retail or wholesale electricity or natural gas to customers and companies that aggregate customers in North America.
- A-13 <u>Exhibit A-13 "Company History,"</u> provide a concise description of the applicant's company history and principal business interests.
- A-14 <u>Exhibit A-14 "Articles of Incorporation and Bylaws,"</u> if applicable, provide the articles of incorporation filed with the state or jurisdiction in which the Applicant is incorporated and any amendments thereto.
- A-15 <u>Exhibit A-15 "Secretary of State,</u>" provide evidence that the applicant has registered with the Ohio Secretary of the State.

B. APPLICANT MANAGERIAL CAPABILITY AND EXPERIENCE

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:

- **B-1** Exhibit B-1 "Jurisdictions of Operation," provide a list of all jurisdictions in which the applicant or any affiliated interest of the applicant is, at the date of filing the application, certified, licensed, registered, or otherwise authorized to provide retail or wholesale electric services including aggregation services.
- **B-2** <u>Exhibit B-2 "Experience & Plans,"</u> provide a description of the applicant's experience and plan for contracting with customers, providing contracted services, providing billing statements, and responding to customer inquiries and complaints in accordance with Commission rules adopted pursuant to Section 4928.10 of the Revised Code.

- **B-3** Exhibit B-3 "Summary of Experience," provide a concise summary of the applicant's experience in providing aggregation service(s) including contracting with customers to combine electric load and representing customers in the purchase of retail electric services. (e.g. number and types of customers served, utility service areas, amount of load, etc.).
- **B-4** Exhibit B-4 "Disclosure of Liabilities and Investigations," provide a description of all existing, pending or past rulings, judgments, contingent liabilities, revocation of authority, regulatory investigations, or any other matter that could adversely impact the applicant's financial or operational status or ability to provide the services it is seeking to be certified to provide.
- B-5 Disclose whether the applicant, a predecessor of the applicant, or any principal officer of the applicant have ever been convicted or held liable for fraud or for violation of any consumer protection or antitrust laws within the past five years.

 ☑ No
 ☑ Yes

If yes, provide a separate attachment labeled as **Exhibit B-5 "Disclosure of Consumer Protection Violations"** detailing such violation(s) and providing all relevant documents.

B-6 Disclose whether the applicant or a predecessor of the applicant has had any certification, license, or application to provide retail or wholesale electric service including aggregation service denied, curtailed, suspended, revoked, or cancelled within the past two years.

☑ No □ Yes

If yes, provide a separate attachment labeled as <u>Exhibit B-6</u> "Disclosure of <u>Certification Denial</u>, <u>Curtailment</u>, <u>Suspension</u>, <u>or Revocation</u>" detailing such action(s) and providing all relevant documents.

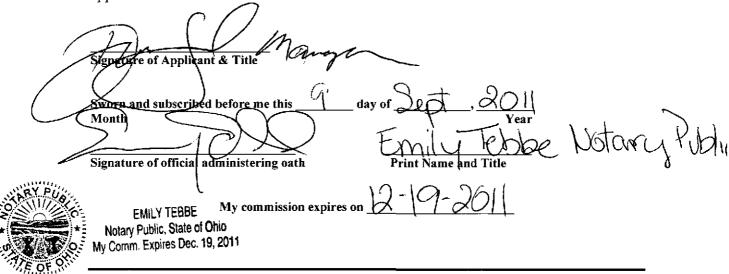
C. <u>APPLICANT FINANCIAL CAPABILITY AND EXPERIENCE</u>

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:

- C-1 <u>Exhibit C-1 "Annual Reports,"</u> provide the two most recent Annual Reports to Shareholders. If applicant does not have annual reports, the applicant should provide similar information in Exhibit C-1 or indicate that Exhibit C-1 is not applicable and why.
- C-2 <u>Exhibit C-2 "SEC Filings,"</u> provide the most recent 10-K/8-K Filings with the SEC. If applicant does not have such filings, it may submit those of its parent company. If the applicant does not have such filings, then the applicant may indicate in Exhibit C-2 that the applicant is not required to file with the SEC and why.

- C-3 <u>Exhibit C-3 "Financial Statements,"</u> provide copies of the applicant's two most recent years of audited financial statements (balance sheet, income statement, and cash flow statement). If audited financial statements are not available, provide officer certified financial statements. If the applicant has not been in business long enough to satisfy this requirement, it shall file audited or officer certified financial statements covering the life of the business.
- C-4 <u>Exhibit C-4 "Financial Arrangements,"</u> provide copies of the applicant's financial arrangements to conduct CRES as a business activity (e.g., guarantees, bank commitments, contractual arrangements, credit agreements, etc.,).
- C-5 <u>Exhibit C-5 "Forecasted Financial Statements,"</u> provide two years of forecasted financial statements (balance sheet, income statement, and cash flow statement) for the applicant's CRES operation, along with a list of assumptions, and the name, address, e-mail address, and telephone number of the preparer.
- C-6 <u>Exhibit C-6 "Credit Rating,"</u> provide a statement disclosing the applicant's credit rating as reported by two of the following organizations: Duff & Phelps, Dun and Bradstreet Information Services, Fitch IBCA, Moody's Investors Service, Standard & Poors, or a similar organization. In instances where an applicant does not have its own credit ratings, it may substitute the credit ratings of a parent or affiliate organization, provided the applicant submits a statement signed by a principal officer of the applicant's parent or affiliate organization that guarantees the obligations of the applicant.
- C-7 <u>Exhibit C-7 "Credit Report,"</u> provide a copy of the applicant's credit report from Experion, Dun and Bradstreet or a similar organization.
- C-8 <u>Exhibit C-8 "Bankruptcy Information,"</u> provide a list and description of any reorganizations, protection from creditors or any other form of bankruptcy filings made by the applicant, a parent or affiliate organization that guarantees the obligations of the applicant or any officer of the applicant in the current year or within the two most recent years preceding the application.

C-9 <u>Exhibit C-9 "Merger Information,"</u> provide a statement describing any dissolution or merger or acquisition of the applicant within the five most recent years preceding the application.



<u>AFFIDAVIT</u>

State of <u>0410</u>:

WESTERVILLE SS. (Town)

County of FRANKLIN :

DONALD SAUL, Affiant, being duly sworn/affirmed according to law, deposes and says that:

He/She is the MANAGER (Office of Affiant) of <u>RENEWABLE</u> (Name of Applicant); RESOURCE VENTURES, LLC

That he/she is authorized to and does make this affidavit for said Applicant,

- 1. The Applicant herein, attests under penalty of false statement that all statements made in the application for certification are true and complete and that it will amend its application while the application is pending if any substantial changes occur regarding the information provided in the application.
- 2. The Applicant herein, attests it will timely file an annual report with the Public Utilities Commission of Ohio of its intrastate gross receipts, gross earnings, and sales of kilowatt-hours of electricity pursuant to Division (A) of Section 4905.10, Division (A) of Section 4911.18, and Division (F) of Section 4928.06 of the Revised Code.
- 3. The Applicant herein, attests that it will timely pay any assessments made pursuant to Sections 4905.10, 4911.18, or Division F of Section 4928.06 of the Revised Code.
- 4. The Applicant herein, attests that it will comply with all Public Utilities Commission of Ohio rules or orders as adopted pursuant to Chapter 4928 of the Revised Code.
- 5. The Applicant herein, attests that it will cooperate fully with the Public Utilities Commission of Ohio, and its Staff on any utility matter including the investigation of any consumer complaint regarding any service offered or provided by the Applicant.
- 6. The Applicant herein, attests that it will fully comply with Section 4928.09 of the Revised Code regarding consent to the jurisdiction of Ohio Courts and the service of process.
- 7. The Applicant herein, attests that it will comply with all state and/or federal rules and regulations concerning consumer protection, the environment, and advertising/promotions.
- 8. The Applicant herein, attests that it will use its best efforts to verify that any cntity with whom it has a contractual relationship to purchase power is in compliance with all applicable licensing requirements of the Federal Energy Regulatory Commission and the Public Utilities Commission of Ohio.
- 9. The Applicant herein, attests that it will cooperate fully with the Public Utilities Commission of Ohio, the electric distribution companies, the regional transmission entities, and other electric suppliers in the event of an emergency condition that may jeopardize the safety and reliability of the electric service in accordance with the emergency plans and other procedures as may be determined appropriate by the Commission.
- 10. If applicable to the service(s) the Applicant will provide, the Applicant herein, attests that it will adhere to the reliability standards of (1) the North American Electric Reliability Council (NERC), (2) the appropriate regional reliability council(s), and (3) the Public Utilities Commission of Ohio. (Only applicable if pertains to the services the Applicant is offering)

11. The Applicant herein, attests that it will inform the Commission of any material change to the information supplied in the application within 30 days of such material change, including any change in contact person for regulatory purposes or contact person for Saff use in investigating customer complaints.

That the facts above set forth are true and correct to the best of his/her knowledge, information, and belief and that he/she expects said Applicant to be able to prove the same at any hearing hereof.

Signature of & Title fiant before me this and subscrite day of Month Name and Title Dotary Rublic Signature of official administering oath My commission expires on EMILY TEBBE Notary Public, State of Ohio My Comm. Expires Dec. 19, 2011

Exhibit A-11 "Principal Officers, Directors & Partners"

There are two Member Companies of Renewable Resource Ventures, LLC:

1. SolarVision, LLC: Greg Kuss is the President of SolarVision, LLC, and Donald Saul is the Executive Vice President of SolarVision, LLC, and both will be managers of Renewable Resource Ventures, LLC.

Greg Kuss, Manager Donald Saul, Manager 285 Old County Line Rd. Westerville, Ohio 43081 (614) 212-4933 gregkuss@solarvisionllc.net donaldsaul@solarvisionllc.net

2. Enlightened Energy Advisors, LLC: Kimberly Bojko is the President of Enlightened Energy Advisors, LLC, and will be a manager of Renewable Resource Ventures, LLC.

Kimberly Bojko, Manager 6930 Margarum Bend New Albany, Ohio 43054 (614) 783-4696 kbojko@enlightenedenergyadvisors.com

Renewable Resource Ventures, LLC 285 Old County Line Road Westerville, OH 43081 (614) 212-4933 FAX (866) 886-7401

Exhibit A-12 "Corporate Structure"

Renewable Resource Ventures, LLC has two Member Companies: SolarVision, LLC and Enlightened Energy Advisors, LLC. Three officers of the Member Companies will be the managers of Renewable Resource Ventures, LLC: Greg Kuss, President of SolarVision, LLC, Donald Saul, Executive Vice President of SolarVision, LLC, and Kimberly Bojko, President of Enlightened Energy Advisors, LLC. The mailing address for Renewable Resource Ventures, LLC is 285 Old County Line Rd., Westerville, Ohio 43081. The company does not have any affiliates or subsidiary companies that supply retail or wholesale electricity or natural gas to customers in North America.

Renewable Resource Ventures, LLC 285 Old County Line Road Westerville, OH 43081 (614) 212-4933 FAX (866) 886-7401

Exhibit A-13 "Company History"

Renewable Resource Ventures, LLC was recently formed as of August 30, 2011 and, thus, does not have a company history. The Member Companies, however, have the following histories and business interests:

SolarVision, LLC: This Member Company began in 2008 when the Advanced Energy Fund was enacted to assist residential and commercial installations of energy efficiency and solar power systems. Currently, they have developed 5 solar power arrays in various locations in Ohio, and will have a 5 MW solar power system installed by 1st quarter 2012. They have an additional 15.5 MW of solar power systems that will be installed by 2012 end of year.

Enlightened Energy Advisors, LLC: This Member Company began in 2011 as an energy consulting firm. The firm offers consulting services for energy clients on a variety of regulatory, energy, and utility matters.

Exhibit A-14 "Articles of Incorporation and Bylaws"

Renewable Resource Ventures, LLC's Articles of Organization are attached.

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Renewable Resource Ventures, LLC 285 Old County Line Road Westerville, OH 43081 (614) 212-4933 FAX (866) 886-7401 _Aug. 30. 2011_ 1:45PM____SolarVision LLC-

-No. 0160----P.



Form 533A Prescribed by the: **Ohio Secretary of State**

Centrel Ohlo: (614) 466-3910 Toll Free: (677) SOS-FILE (767-3453)

www.sos.state.oh.us Busserv@sos.state.oh.us

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Form 533A

Last Revised: 8/21/08

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Taft Service Solution	s Com.	•	
Name of Agent			· · · ·
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Ohio resident. The undersigned, nemo . <u>Renewable Resource</u>	ACCEPTANCE OF APPOIN ed herein as the statutory egent for Ventures, LLC Name of Limited Liabilit and accepts the appointment of agen	ITMENT ty Company ht for said limited liability company	
Ohio resident. The undersigned, nemo . <u>Renewable Resource</u>	ACCEPTANCE OF APPOIN ed herein as the statutory egent for Ventures, LLC Name of Limited Liabilit	ITMENT ty Company ht for said limited liability company	

Form 533A

Last Revised: 8/21/08

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-Aug. 30. 2011- 1:46PM----SolarVision LLC-

By signing and submitting this form to the Ohio Secretary of State, the undersigned hereby certifies that he or she has the requisite authority to execute this document on behalf of the limited liability company identified above.

REQUIRED

Articles and original appointment of agent must be authenticated (signed) by a member, manager or other representative.

Signatore Donald Saul

08/30/2011 Date

Print Name

Signature

Date

Dale

Print Name

Signature

Print Neme

. . .

(See instructions Below)

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Form S33A

FURTH JOAN

Last Revised: 8/21/08

Exhibit A-15 "Secretary of State"

Renewable Resource Ventures, LLC's certificate of registration with the Ohio Secretary of State is attached.

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201124400816

DATE: 09/02/2011

DESCRIPTION DOCUMENT ID 201124400816

ARTICLES OF ORGNZTN/DOM. PROFIT LIM.LIAB. CO. (LCP)

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Receipt

This is not a bill. Please do not remit payment.

TAFT STETTINIUS & HOLLISTER LLP 21 E. STATE ST., 12TH FLOOR ATTN: MARK A. BROADLEY, PARALEGAL COLUMBUS, OH 43215

STATE OF OHIO CERTIFICATE **Ohio Secretary of State, Jon Husted** 2045289 It is hereby certified that the Secretary of State of Ohio has custody of the business records for **RENEWABLE RESOURCE VENTURES, LLC** and, that said business records show the filing and recording of: Document(s): Document No(s): ARTICLES OF ORGNZTN/DOM. PROFIT LIM.LIAB. CO. 201124400816 Witness my hand and the seal of the Secretary of State at Columbus, Ohio this 31st day of August, A.D. 2011.

United States of America State of Ohio Office of the Secretary of State

lon Huster

Ohio Secretary of State

Exhibit B-1 "Jurisdictions of Operation"

As of the date of this filing, Renewable Resource Ventures, LLC, or any affiliate, is not certified, licensed, or registered to provide retail or wholesale electric services, including aggregation services, in any jurisdiction.

Renewable Resource Ventures, LLC 285 Old County Line Road Westerville, OH 43081 (614) 212-4933 FAX (866) 886-7401

Exhibit B-2 "Experience & Plans"

Renewable Resource Ventures, LLC has three managers who collectively have approximately 70 years of experience in the energy, financing, and real estate industries, including extensive experience with energy efficiency and renewable energy resources. With this experience, the company plans to provide energy consulting and aggregation services to consumers in Ohio, including to governmental entities that have governmental aggregation programs.

Specifically, the three managers have the following experiences:

Greg Kuss, President of SolarVision, LLC, has over 30 years of experience in the energy efficiency and solar industry. Mr. Kuss began one of the first product development companies in Ohio focused on renewable energy. Mr. Kuss has long been a passionate advocate for sustainable energy alternatives and an avid believer in their ability to improve our environment and affect positive change for future generations.

He and Mr. Saul began SolarVision, LLC in 2008 when the Advanced Energy Fund was enacted to assist residential and commercial installations of energy efficiency and solar power systems. Currently, they have developed 5 solar power arrays in various locations in Ohio, and will have a 5 MW solar power system installed by 1st quarter 2012. They have an additional 15.5 MW of solar power systems which will be installed by the end of 2012.

Donald Saul, Executive Vice President of SolarVision, LLC, has over 25 years of experience in credit management and product development. Throughout his career, Mr. Saul has shown exceptional talent in the area of generating high quality assets and revenue for financial institutions, leasing companies and several limited partnerships.

Prior to joining SolarVision, LLC Mr. Saul was the general manager of Cap Pro Ltd., a firm specializing in acquisition and resale of land and real estate. He also served as president/founder of AAA leasing for seven years and as assistant vice president for Society (Key) Bank.

Kimberly Bojko, President of Enlightened Energy Advisors, LLC, has over 14 years of experience in all areas of utility regulation. She is the founder of Enlightened Energy Advisors, LLC where she consults energy clients on a variety of regulatory, energy, and utility matters.

Ms. Bojko was previously the director of economic development and regulatory affairs for the Public Utilities Commission of Ohio where she developed economic development initiatives to attract energy intensive businesses to the state. She also served as chief of staff of the Commission and was responsible for developing policy positions and programs on state and federal matters for the staff, advising the commission on legal and regulatory matters, acting as the regulatory liaison with stakeholders and other state agencies, negotiating multifaceted cases, assisting in the development and implementation of legislative policies and initiatives, and participating in the day-to-day operations of the agency. Prior to joining the Commission, Ms. Bojko advocated for residential consumers at the Ohio Consumers' Counsel and was also in private practice representing industrial consumers and suppliers of regulated and competitive natural gas, electricity, telecommunications, and water services.

Renewable Resource Ventures, LLC will be a consultant to or administer of aggregation programs, and will not be directly entering into contracts with the end-use consumers or provide billing services pursuant to the aggregation programs. The consumers will continue to enter into contractual arrangements with a certified retail electric supplier (or through an opt-out arrangement) and will be billed by either that supplier or their electric utility. Customer inquiries and complaints will be directed to the supplier who has contracted with them or the customer's electric utility.

Exhibit B-3 "Summary of Experience"

While Renewable Resource Ventures, LLC is a newly formed company, the managers of Renewable Resource Ventures, LLC, have many years of experience in the energy industry, working with municipalities, utility companies, suppliers, and other governmental agencies. The managers are officers of the Member Companies that have provided energy consulting, energy efficiency, and financing services, have constructed renewable energy facilities, and have entered into contractual arrangements with consumers for the delivery of renewable energy, including 5 solar power arrays in operation with several more under construction or in contract.

Exhibit B-4 "Disclosure of Liabilities and Investigations"

Renewable Resource Ventures, LLC has no existing, pending, or past rulings, judgments, revocations of authority, regulatory investigations, or any other matter that would adversely impact its financial or operational status or ability to provide aggregation services.

ZC

Exhibit C-1 "Annual Reports"

Renewable Resource Ventures, LLC is a privately held company and is not required to prepare annual reports.

Renewable Resource Ventures, LLC 285 Old County Line Road Westerville, OH 43081 (614) 212-4933 FAX (866) 886-7401

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Exhibit C-2 "SEC Filings"

Renewable Resource Ventures, LLC is a privately held company and does not produce 10K/8-K filings, and is not required to file with the SEC.

Renewable Resource Ventures, LLC 285 Old County Line Road Westerville, OH 43081 (614) 212-4933 FAX (866) 886-7401

Exhibit C-3 "Financial Statements"

Renewable Resource Ventures, LLC was just formed as of August 30, 2011 and, thus, does not have any audited or officer certified financial statements available.

Renewable Resource Ventures, LLC 285 Old County Line Road Westerville, OH 43081 (614) 212-4933 FAX (866) 886-7401

Exhibit C-4 "Financial Arrangements"

Renewable Resource Ventures, LLC does not need to rely on outside financial arrangements to conduct its business of providing aggregation services.

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Exhibit C-5 "Forecasted Financial Statements"

Renewable Resource Ventures, LLC was formed August 30, 2011 and, thus, does not have forecasted financial statements available.

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Exhibit C-6 "Credit Rating"

Renewable Resource Ventures, LLC is not a publicly rated company. The company was formed as of August 30, 2011 and, thus, does not have a credit rating established.

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Exhibit C-7 "Credit Report"

Renewable Resource Ventures, LLC is not a publicly rated company. The company was formed August 30, 2011 and, thus, does not have a credit report established.

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Exhibit C-8 "Bankruptcy Information"

Renewable Resource Ventures, LLC is debt free. The company was formed as of August 30, 2011 and, thus, has not filed for reorganization or bankruptcy protection within the past two years. Additionally, none of the managers have filed for reorganization or bankruptcy protection within the past two years.

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Exhibit C-9 "Merger Information"

Renewable Resource Ventures, LLC was formed August 30, 2011 and, thus, the company has not been involved in any dissolutions, mergers, or acquisitions in the past five years. Additionally, none of the managers have been involved in a corporate dissolution, merger, or acquisition in the past five years.

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