



PUCO USE ONLY - Version 1.07		
Date Received	Case Number	Certification Number
	11-4170- GA-CRS	

CERTIFICATION APPLICATION COMPETITIVE RETAIL NATURAL GAS SUPPLIERS

41

Please **type or print** all required information. Identify all attachments with an exhibit label and title (*Example: Exhibit A-16 - Company History*). All attachments should bear the legal name of the Applicant. Applicants should file completed applications and all related correspondence with the Public Utilities Commission of Ohio, Docketing Division, 13th Floor, 180 East Broad Street, Columbus, Ohio 43215-3793.

This PDF form is designed so that you may directly input information onto the form. You may also download the form by saving it to your local disk.

SECTION A - APPLICANT INFORMATION AND SERVICES

A-1 Applicant intends to be certified as: (check all that apply)

☐ Retail Natural Gas Aggregator ☐ Retail Natural Gas Broker ☒ Retail Natural Gas Marketer

A-2 Applicant information:

Legal Name Energy Plus Natural Gas LP
Address 3711 Market Street, Suite 1000; Philadelphia, PA 19104
Telephone No. 877-320-0356 Web site Address www.energypluscompany.com

A-3 Applicant information under which applicant will do business in Ohio:

Name Energy Plus Natural Gas LP
Address 3711 Market Street, Suite 1000; Philadelphia, PA 19104
Web site Address www.energypluscompany.com Telephone No. 866-698-0912

A-4 List all names under which the applicant does business in North America:

A-5 Contact person for regulatory or emergency matters:

Name Joanna Hamrick Title General Counsel
Business Address 3711 Market Street, Suite 1000; Philadelphia, PA 19104
Telephone No. 267-295-0626 Fax No. 866-857-8014 Email Address jhamrick@energypluscompany.com

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this is to certify that the images appearing are an accurate and complete reproduction of a case file document delivered in the regular course of business.
Technician

A-6 Contact person for Commission Staff use in investigating customer complaints:

Name Marion Stabile Title Director Customer Care
Business address 3711 Market Street, Suite 1000; Philadelphia, PA 19104
Telephone No. 267-295-5533 Fax No. 866-857-8014 Email Address mstable@energypluscompany.com

A-7 Applicant's address and toll-free number for customer service and complaints

Customer service address Energy Plus Natural Gas LP; PO Box 38815; Philadelphia, PA 19104
Toll-Free Telephone No. 866-698-0912 Fax No. 866-857-8014 Email Address service@energypluscompany.com

A-8 Provide "Proof of an Ohio Office and Employee," in accordance with Section 4929.22 of the Ohio Revised Code, by listing name, Ohio office address, telephone number, and Web site address of the designated Ohio Employee

Name Lauren Brown Title Marketing Manager
Business address 100 East Campus Blvd, Suite 250; Worthington, OH 43235
Telephone No. (614) 438-2638 Fax No. (866) 857-8014 Email Address service@energypluscompany.com

A-9 Applicant's federal employer identification number 27-3309340

A-10 Applicant's form of ownership: (Check one)

- | | |
|--|---|
| <input type="checkbox"/> Sole Proprietorship | <input checked="" type="checkbox"/> Partnership |
| <input type="checkbox"/> Limited Liability Partnership (LLP) | <input type="checkbox"/> Limited Liability Company (LLC) |
| <input type="checkbox"/> Corporation | <input checked="" type="checkbox"/> Other Limited Partnership |

A-11 (Check all that apply) Identify each natural gas company service area in which the applicant is currently providing service or intends to provide service, including identification of each customer class that the applicant is currently serving or intends to serve, for example: *residential, small commercial, and/or large commercial/industrial (mercantile) customers*. (A mercantile customer, as defined in Section 4929.01(L)(1) of the Ohio Revised Code, means a customer that consumes, other than for residential use, more than 500,000 cubic feet of natural gas per year at a single location within the state or consumes natural gas, other than for residential use, as part of an undertaking having more than three locations within or outside of this state. In accordance with Section 4929.01(L)(2) of the Ohio Revised Code, "Mercantile customer" excludes a not-for-profit customer that consumes, other than for residential use, more than 500,000 cubic feet of natural gas per year at a single location within this state or consumes natural gas, other than for residential use, as part of an undertaking having more than three locations within or outside this state that has filed the necessary declaration with the Public Utilities Commission.)

<input checked="" type="checkbox"/> Columbia Gas of Ohio	<input checked="" type="checkbox"/> Residential	<input checked="" type="checkbox"/> Small Commercial	<input type="checkbox"/> Large Commercial / Industrial
<input checked="" type="checkbox"/> Dominion East Ohio	<input checked="" type="checkbox"/> Residential	<input checked="" type="checkbox"/> Small Commercial	<input type="checkbox"/> Large Commercial / Industrial
<input checked="" type="checkbox"/> Duke Energy Ohio	<input checked="" type="checkbox"/> Residential	<input checked="" type="checkbox"/> Small Commercial	<input type="checkbox"/> Large Commercial / Industrial
<input checked="" type="checkbox"/> Vectren Energy Delivery of Ohio	<input checked="" type="checkbox"/> Residential	<input checked="" type="checkbox"/> Small Commercial	<input type="checkbox"/> Large Commercial / Industrial

A-12 If applicant or an affiliated interest previously participated in any of Ohio's Natural Gas Choice Programs, for each service area and customer class, provide approximate start date(s) and/or end date(s) that the applicant began delivering and/or ended services.

☐ Columbia Gas of Ohio

<input type="checkbox"/> Residential	Beginning Date of Service	End Date
<input type="checkbox"/> Small Commercial	Beginning Date of Service	End Date
<input type="checkbox"/> Large Commercial	Beginning Date of Service	End Date
<input type="checkbox"/> Industrial	Beginning Date of Service	End Date

☐ Dominion East Ohio

<input type="checkbox"/> Residential	Beginning Date of Service	End Date
<input type="checkbox"/> Small Commercial	Beginning Date of Service	End Date
<input type="checkbox"/> Large Commercial	Beginning Date of Service	End Date
<input type="checkbox"/> Industrial	Beginning Date of Service	End Date

☐ Duke Energy Ohio

<input type="checkbox"/> Residential	Beginning Date of Service	End Date
<input type="checkbox"/> Small Commercial	Beginning Date of Service	End Date
<input type="checkbox"/> Large Commercial	Beginning Date of Service	End Date
<input type="checkbox"/> Industrial	Beginning Date of Service	End Date

☐ Vectren Energy Delivery of Ohio

<input type="checkbox"/> Residential	Beginning Date of Service	End Date
<input type="checkbox"/> Small Commercial	Beginning Date of Service	End Date
<input type="checkbox"/> Large Commercial	Beginning Date of Service	End Date
<input type="checkbox"/> Industrial	Beginning Date of Service	End Date

A-13 If not currently participating in any of Ohio's four Natural Gas Choice Programs, provide the approximate start date that the applicant proposes to begin delivering services:

<input checked="" type="checkbox"/>	Columbia Gas of Ohio	Intended Start Date	September 2011
<input checked="" type="checkbox"/>	Dominion East Ohio	Intended Start Date	September 2011
<input checked="" type="checkbox"/>	Duke Energy Ohio	Intended Start Date	September 2011
<input checked="" type="checkbox"/>	Vectren Energy Delivery of Ohio	Intended Start Date	September 2011

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED.

- A-14 **Exhibit A-14 "Principal Officers, Directors & Partners,"** provide the names, titles, addresses and telephone numbers of the applicant's principal officers, directors, partners, or other similar officials.
- A-15 **Exhibit A-15 "Corporate Structure,"** provide a description of the applicant's corporate structure, including a graphical depiction of such structure, and a list of all affiliate and subsidiary companies that supply retail or wholesale natural gas or electricity to customers in North America.
- A-16 **Exhibit A-16 "Company History,"** provide a concise description of the applicant's company history and principal business interests.
- A-17 **Exhibit A-17 "Articles of Incorporation and Bylaws,"** if applicable, provide the articles of incorporation filed with the state or jurisdiction in which the applicant is incorporated and any amendments thereto.
- A-18 **Exhibit A-18 "Secretary of State,"** provide evidence that the applicant is currently registered with the Ohio Secretary of the State.

SECTION B - APPLICANT MANAGERIAL CAPABILITY AND EXPERIENCE

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED

- B-1 **Exhibit B-1 "Jurisdictions of Operation,"** provide a current list of all jurisdictions in which the applicant or any affiliated interest of the applicant is, at the date of filing the application, certified, licensed, registered, or otherwise authorized to provide retail natural gas service, or retail/wholesale electric services.
- B-2 **Exhibit B-2 "Experience & Plans,"** provide a current description of the applicant's experience and plan for contracting with customers, providing contracted services, providing billing statements, and responding to customer inquiries and complaints in accordance with Commission rules adopted pursuant to Section 4929.22 of the Revised Code and contained in Chapter 4901:1-29 of the Ohio Administrative Code.
- B-3 **Exhibit B-3 "Summary of Experience,"** provide a concise and current summary of the applicant's experience in providing the service(s) for which it is seeking to be certified to provide (e.g., number and types of customers served, utility service areas, volume of gas supplied, etc.).
- B-4 **Exhibit B-4 "Disclosure of Liabilities and Investigations,"** provide a description of all existing, pending or past rulings, judgments, contingent liabilities, revocations of authority, regulatory investigations, or any other matter that could adversely impact the applicant's financial or operational

status or ability to provide the services it is seeking to be certified to provide.

- B-5 Exhibit B-5 "Disclosure of Consumer Protection Violations,"** disclose whether the applicant, affiliate, predecessor of the applicant, or any principal officer of the applicant has been convicted or held liable for fraud or for violation of any consumer protection or antitrust laws within the past five years.

☒ No ☐ Yes

If Yes, provide a separate attachment labeled as Exhibit B-5 "Disclosure of Consumer Protection Violations," detailing such violation(s) and providing all relevant documents.

- B-6 Exhibit B-6 "Disclosure of Certification Denial, Curtailment, Suspension, or Revocation,"** disclose whether the applicant or a predecessor of the applicant has had any certification, license, or application to provide retail natural gas or retail/wholesale electric service denied, curtailed, suspended, or revoked, or whether the applicant or predecessor has been terminated from any of Ohio's Natural Gas Choice programs, or been in default for failure to deliver natural gas.

☒ No ☐ Yes

If Yes, provide a separate attachment, labeled as Exhibit B-6 "Disclosure of Certification Denial, Curtailment, Suspension, or Revocation," detailing such action(s) and providing all relevant documents.

SECTION C - APPLICANT FINANCIAL CAPABILITY AND EXPERIENCE

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED

- C-1 Exhibit C-1 "Annual Reports,"** provide the two most recent Annual Reports to Shareholders. If applicant does not have annual reports, the applicant should provide similar information, labeled as Exhibit C-1, or indicate that Exhibit C-1 is not applicable and why.
- C-2 Exhibit C-2 "SEC Filings,"** provide the most recent 10-K/8-K Filings with the SEC. If applicant does not have such filings, it may submit those of its parent company. If the applicant does not have such filings, then the applicant may indicate in Exhibit C-2 whether the applicant is not required to file with the SEC and why.
- C-3 Exhibit C-3 "Financial Statements,"** provide copies of the applicant's two most recent years of audited financial statements (balance sheet, income statement, and cash flow statement). If audited financial statements are not available, provide officer-certified financial statements. If the applicant has not been in business long enough to satisfy this requirement, it shall file audited or officer-certified financial statements covering the life of the business.
- C-4 Exhibit C-4 "Financial Arrangements,"** provide copies of the applicant's current financial arrangements to conduct competitive retail natural gas service (CRNGS) as a business activity (e.g., guarantees, bank commitments, contractual arrangements, credit agreements, etc.)
- C-5 Exhibit C-5 "Forecasted Financial Statements,"** provide two years of forecasted financial statements (balance sheet, income statement, and cash flow statement) for the applicant's CRNGS operation, along with a list of assumptions, and the name, address, email address, and telephone number of the preparer.

- C-6 **Exhibit C-6 "Credit Rating,"** provide a statement disclosing the applicant's current credit rating as reported by two of the following organizations: Duff & Phelps, Dun and Bradstreet Information Services, Fitch IBCA, Moody's Investors Service, Standard & Poors, or a similar organization. In instances where an applicant does not have its own credit ratings, it may substitute the credit ratings of a parent or affiliate organization, provided the applicant submits a statement signed by a principal officer of the applicant's parent or affiliate organization that guarantees the obligations of the applicant.
- C-7 **Exhibit C-7 "Credit Report,"** provide a copy of the applicant's current credit report from Experion, Dun and Bradstreet, or a similar organization.
- C-8 **Exhibit C-8 "Bankruptcy Information,"** provide a list and description of any reorganizations, protection from creditors, or any other form of bankruptcy filings made by the applicant, a parent or affiliate organization that guarantees the obligations of the applicant or any officer of the applicant in the current year or since applicant last filed for certification.
- C-9 **Exhibit C-9 "Merger Information,"** provide a statement describing any dissolution or merger or acquisition of the applicant within the five most recent years preceding the application, or at any time as a participant in the Ohio Natural Gas Choice programs.

SECTION D – APPLICANT TECHNICAL CAPABILITY

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED.

- D-1 **Exhibit D-1 "Operations,"** provide a current written description of the operational nature of the applicant's business. Please include whether the applicant's operations will include the contracting of natural gas purchases for retail sales, the nomination and scheduling of retail natural gas for delivery, and the provision of retail ancillary services, as well as other services used to supply natural gas to the natural gas company city gate for retail customers.
- D-2 **Exhibit D-2 "Operations Expertise,"** given the operational nature of the applicant's business, provide evidence of the applicant's current experience and technical expertise in performing such operations.
- D-3 **Exhibit D-3 "Key Technical Personnel,"** provide the names, titles, email addresses, telephone numbers, and background of key personnel involved in the operational aspects of the applicant's current business.

Applicant Signature and Title

Kevin Kleinschmidt

Kevin Kleinschmidt, President

Sworn and subscribed before me this

12th

day of

July

Month

2011

Year

Megan E Basilio

Megan Basilio

Signature of official administering oath

Print Name and Title



My commission expires on 01/28/14



The Public Utilities Commission of Ohio

Competitive Retail Natural Gas Service
Affidavit Form
(Version 1.07)

In the Matter of the Application of)

Energy Plus Natural Gas LP)

for a Certificate or Renewal Certificate to Provide)

Competitive Retail Natural Gas Service in Ohio.)

Case No. - -GA-CRS

County of Philadelphia
State of PA

Kevin Kleinschmidt

[Affiant], being duly sworn/affirmed, hereby states that:

- (1) The information provided within the certification or certification renewal application and supporting information is complete, true, and accurate to the best knowledge of affiant.
- (2) The applicant will timely file an annual report of its intrastate gross receipts and sales of hundred cubic feet of natural gas pursuant to Sections 4905.10(A), 4911.18(A), and 4929.23(B), Ohio Revised Code.
- (3) The applicant will timely pay any assessment made pursuant to Section 4905.10 or Section 4911.18(A), Ohio Revised Code.
- (4) Applicant will comply with all applicable rules and orders adopted by the Public Utilities Commission of Ohio pursuant to Title 49, Ohio Revised Code.
- (5) Applicant will cooperate with the Public Utilities Commission of Ohio and its staff in the investigation of any consumer complaint regarding any service offered or provided by the applicant.
- (6) Applicant will comply with Section 4929.21, Ohio Revised Code, regarding consent to the jurisdiction of the Ohio courts and the service of process.
- (7) Applicant will inform the Public Utilities Commission of Ohio of any material change to the information supplied in the certification or certification renewal application within 30 days of such material change, including any change in contact person for regulatory or emergency purposes or contact person for Staff use in investigating customer complaints.
- (8) Affiant further sayeth naught.

Affiant Signature & Title

Kevin Kleinschmidt, President

Sworn and subscribed before me this

1st

day of

July

Month

2011

Year

Signature of Official Administering Oath

Print Name and Title

Megan Basilio



My commission expires on 01/28/14

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Exhibit A-14 "Principal Officers, Directors & Partners," provide the names, titles, addresses and telephone numbers of the applicant's principal officers, directors, partners, or other similar officials.

Richard Vague
CEO
Energy Plus Natural Gas LP
3711 Market Street, Suite 1000
Philadelphia, PA 19104
1-267-295-0630

Kevin Kleinschmidt
President
Energy Plus Natural Gas LP
3711 Market Street, Suite 1000
Philadelphia, PA 19104
1-267-295-0631

Steve Barnes
Chief Financial Officer
Energy Plus Natural Gas LP
3711 Market Street, Suite 1000
Philadelphia, PA 19104
1-267-295-0634

Exhibit A-15 "Corporate Structure," provide a description of the applicant's corporate structure, including a graphical depiction of such structure, and a list of all affiliate and subsidiary companies that supply retail or wholesale natural gas or electricity to customers in North America.

Energy Plus Natural Gas LP is a majority owned subsidiary of Energy Plus Holdings LLC. Energy Plus Holdings LLC also owns Independence Energy Group LLC.

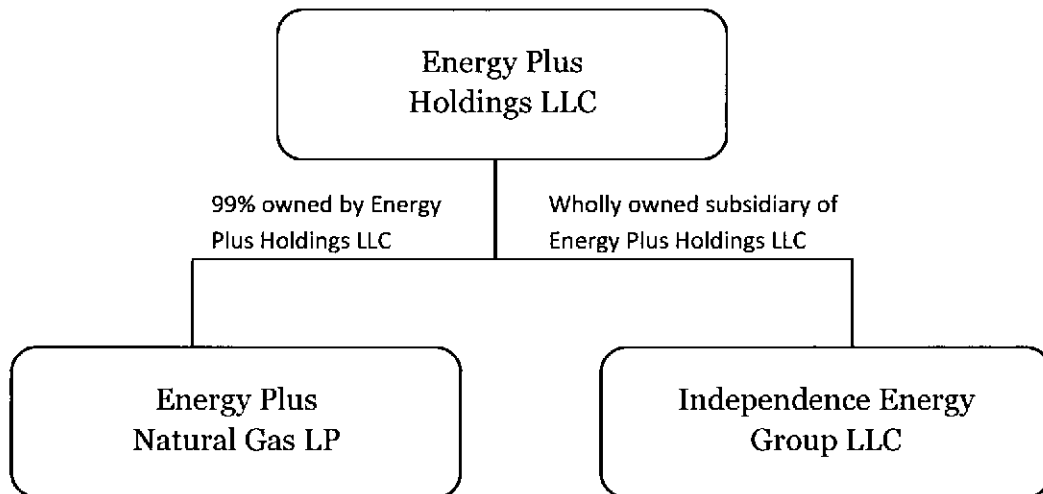


Exhibit A-16 "Company History," provide a concise description of the applicant's company history and principal business interests.

Energy Plus Natural Gas LP is an energy retailer serving natural gas customers in New York since November 2010. The company was also licensed as a natural gas retailer in New Jersey in March 2011, is currently recruiting customers, and expects to begin serving customers there in July 2011. Plans include expansion to serve as a competitive supplier for residential and commercial customers in the state of Ohio. Energy Plus Natural Gas is dedicated to completing the necessary actions and commitments required to provide reliable retail natural gas service to Ohio customers at competitive rates. Energy Plus brings together an experienced management team and a highly qualified technical staff to ensure the delivery of best in class value, service, and support to customers in Ohio.

Energy Plus Natural Gas was formed in August 2010 as a majority owned subsidiary of Energy Plus Holdings LLC, an established energy retailer serving electric customers in New York, Connecticut, New Jersey, Pennsylvania, Maryland, Texas, and Illinois. Energy Plus Holdings has also received supplier licenses in Ohio and Massachusetts but has not begun serving customers. Energy Plus Holdings has demonstrated its ability to successfully acquire and serve customers in all the markets it has entered. Since launching in 2007, Energy Plus has acquired tens of thousands of residential and small business customers. In addition, the team at Energy Plus has worked closely with the Public Service Commissions in each state to ensure both adherence to, and contribution toward, policies and regulations that will continue to promote the competitive landscape in the states of New York, Connecticut, New Jersey, Pennsylvania, Maryland, Texas, Illinois, and Massachusetts.

Exhibit A-17 "Articles of Incorporation and Bylaws," if applicable, provide the articles of incorporation filed with the state or jurisdiction in which the applicant is incorporated and any amendments thereto.

Delaware

PAGE 1

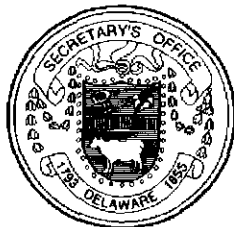
The First State


I, JEFFREY W. BULLOCK, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY THE ATTACHED IS A TRUE AND CORRECT COPY OF THE CERTIFICATE OF LIMITED PARTNERSHIP OF "ENERGY PLUS NATURAL GAS LP", FILED IN THIS OFFICE ON THE TWENTY-THIRD DAY OF AUGUST, A.D. 2010, AT 2:32 O'CLOCK P.M.

4863237 8100

100849945

You may verify this certificate online
at corp.delaware.gov/authver.shtml




Jeffrey W. Bullock, Secretary of State
AUTHENTICATION: 8188806

DATE: 08-24-10

**CERTIFICATE OF LIMITED PARTNERSHIP
OF
ENERGY PLUS NATURAL GAS LP**

The undersigned, desiring to form a limited partnership pursuant to the Delaware Revised Uniform Limited Partnership Act, 6 Delaware Code, Chapter 17, does hereby certify as follows:

1. Name. The name of the limited partnership is Energy Plus Natural Gas LP.
2. Registered Office. The address of the registered office of the limited partnership in the State of Delaware, County of New Castle, is 1313 N. Market Street, Suite 5100, Wilmington, Delaware 19801.
3. Registered Agent. The registered agent for service of process on the limited partnership in the State of Delaware, County of New Castle, is PHS Corporate Services, Inc.
4. General Partner. The name and address of the sole general partner of the limited partnership is as follows:

Energy Plus Holdings LLC
3711 Market Street, 10th Floor
Philadelphia, PA 19104

IN WITNESS WHEREOF, the undersigned General Partner has duly executed this Certificate of Limited Partnership as of this 23 day of August, 2010.

ENERGY PLUS HOLDINGS, LLC,
General Partner

By: Kevin Kleinschmidt

Print Name: KEVIN KLEINSCHMIDT

Title: PRESIDENT

Exhibit A-18 "Secretary of State," provide evidence that the applicant is currently registered with the Ohio Secretary of the State.

201035600050

DATE:	DOCUMENT ID	DESCRIPTION	FILING	EXPED	PENALTY	CERT	COPY
12/22/2010	201035600050	REGISTRATION OF FOREIGN LIMITED PARTNERSHIP (LPF)	125.00	200.00	.00	.00	.00

Receipt

This is not a bill. Please do not remit payment.

CT CORPORATION SYSTEM
ATTN JADE HINES
4400 EASTON COMMONS WAY, SUITE 125
COLUMBUS, OH 43215

**STATE OF OHIO
CERTIFICATE**

Ohio Secretary of State, Jennifer Brunner

1984333

It is hereby certified that the Secretary of State of Ohio has custody of the business records for

ENERGY PLUS NATURAL GAS LP

and, that said business records show the filing and recording of:

Document(s)

REGISTRATION OF FOREIGN LIMITED PARTNERSHIP

Document No(s):

201035600050



United States of America
State of Ohio
Office of the Secretary of State

Witness my hand and the seal of
the Secretary of State at Columbus,
Ohio this 21st day of December,
A.D. 2010.

A handwritten signature in cursive script, appearing to read "Jennifer Brunner".

Ohio Secretary of State

B-1 Exhibit B-1 “Jurisdictions of Operation,” provide a current list of all jurisdictions in which the applicant or any affiliated interest of the applicant is, at the date of filing the application, certified, licensed, registered, or otherwise authorized to provide retail natural gas service, or retail/wholesale electric services.

Energy Plus Holdings LLC	
State	License Number
New York	Approved Aug 8, 2007
Connecticut	Docket #09-01-21
Texas	Lic #10172
Massachusetts	CS-072
Pennsylvania	A-2009-2139745
New Jersey	ESL-0087
Maryland	IR-1805
Illinois	Docket # 10-0497
Ohio	11-341E

Energy Plus Natural Gas LP	
State	License Number
New York	Approved August 25, 2010
New Jersey	GSL-0100

Independence Energy Group LLC	
State	License Number
New York	Approved May 3, 2011
New Jersey	ESL-0100
Illinois	Docket # 11-0277

Exhibit B-2 "Experience & Plans," provide a current description of the applicant's experience and plan for contracting with customers, providing contracted services, providing billing statements, and responding to customer inquiries and complaints in accordance with Commission rules adopted pursuant to Section 4929.22 of the Revised Code and contained in Chapter 4901:1-29 of the Ohio Administrative Code.

Energy Plus Natural Gas LP plans to utilize a mix of marketing channels to reach potential customers and will utilize Utility consolidated billing to provide billing statements to customers. Energy Plus has an in-house customer service team who can be reached via a toll free phone number to respond to customer inquiries and/or complaints. Services provided by the call center will include handling calls regarding reports of outages and emergencies, inquiries related to bills, payment arrangements, discretionary service orders, and other routine matters.

In addition, the team at Energy Plus has worked closely with the Public Service Commissions in each state to ensure both adherence to, and contribution toward, policies and regulations that will continue to promote the competitive landscape in the states of New York, Connecticut, New Jersey, Pennsylvania, Maryland, Texas, Illinois, and Massachusetts.

As customer service and satisfaction are core principles of Energy Plus's business model, Energy Plus will take the necessary actions to ensure that it is in compliance with all regulatory requirements. Energy Plus intends to include the following core principles into its business operations:

- Call center management will have the necessary skills and qualifications that meet Energy Plus' standards on customer service and support. This will entail having a hands-on management team that is focused on developing, implementing, and managing the appropriate processes to ensure delivery and compliance with all rules, including providing service to any qualified applicant without discrimination, delivering the appropriate documents to customers, and accurately communicating and adhering to customer rights policies.
- Call center personnel will receive appropriate training to ensure knowledge of and compliance with all rules. In addition to initial training, representatives will be provided with additional training as needed to maintain knowledge of current rules. Floor supervisors and managers will also be available to assist customers who call in as necessary.
- Energy Plus will design and implement specific processes supporting the collection, tracking, and resolution of customer's complaints in a timely manner. Additional processes will be developed to ensure compliance of the necessary policies and timeframes.
- Energy Plus will make the Anti-Discrimination Rules easily accessible by the company's employees on a regular basis.
- Energy Plus intends to make its policies available for download on its website such that customers are aware of the necessary process to file a complaint with Energy Plus or how to contact the Public Utilities Commission of Ohio.

Exhibit B-3 "Summary of Experience," provide a concise and current summary of the applicant's experience in providing the service(s) for which it is seeking to be certified to provide (e.g., number and types of customers served, utility service areas, volume of gas supplied, etc.).

Energy Plus Natural Gas LP, which serves both residential and small commercial retail natural gas customers, currently operates in 5 New York utilities and will soon begin operating in 2 New Jersey utilities (service starting July 2011 for one and shortly thereafter for the other). In addition, the parent company, Energy Plus Holdings LLC, provides retail electric services to many thousand residential and small commercial customers in New York, Connecticut, Texas, Pennsylvania, New Jersey, Maryland, and Illinois.

Exhibit B-4 "Disclosure of Liabilities and Investigations," provide a description of all existing, pending or past rulings, judgments, contingent liabilities, revocations of authority, regulatory investigations, or any other matter that could adversely impact the applicant's financial or operational status or ability to provide the services it is seeking to be certified to provide.

Neither Energy Plus Natural Gas LP nor the parent company, Energy Plus Holdings LLC, have any existing, pending or past rulings, judgments, contingent liabilities, revocations of authority, regulatory investigations, or any other matter that could adversely impact the financial or operational status or ability to provide services in Ohio.

Exhibit C-1 “Annual Reports,” provide the two most recent Annual Reports to Shareholders. If applicant does not have annual reports, the applicant should provide similar information, labeled as Exhibit C-1, or indicate that Exhibit C-1 is not applicable and why.

Energy Plus Natural Gas LP is a privately held company and does not publish an Annual Report. Please refer to Exhibit C-3 for audited financial statements of the parent company, Energy Plus Holdings LLC, for the years ending December 31, 2009 and December 31, 2010. The audited financial statements for 2010 are inclusive of Energy Plus Holdings LLC and its subsidiaries.

Exhibit C-2 “SEC Filings,” provide the most recent 10-K/8-K Filings with the SEC. If applicant does not have such filings, it may submit those of its parent company. If the applicant does not have such filings, then the applicant may indicate in *Exhibit C-2* whether the applicant is not required to file with the SEC and why.

Neither Energy Plus Natural Gas LP nor the parent company, Energy Plus Holdings LLC, are required to make any SEC filings.

Exhibit C-3 -- Financial Statements

This exhibit contains confidential and proprietary information and has been submitted under seal.

C-4 Exhibit C-4 “Financial Arrangements”

This exhibit contains confidential and proprietary information and has been submitted under seal.

Exhibit C-5 “Forecasted Financial Statements,”

This exhibit contains confidential and proprietary information and has been submitted under seal.

Exhibit C-6 “Credit Rating,” provide a statement disclosing the applicant’s current credit rating as reported by two of the following organizations: Duff & Phelps, Dun and Bradstreet Information Services, Fitch IBCA, Moody’s Investors Service, Standard & Poors, or a similar organization. In instances where an applicant does not have its own credit ratings, it may substitute the credit ratings of a parent or affiliate organization, provided the applicant submits a statement signed by a principal officer of the applicant’s parent or affiliate organization that guarantees the obligations of the applicant.

Neither the applicant, nor the parent company have a credit rating with any of the organizations listed above.

Energy Plus Nat. LLC
ATTACHED

Exhibit C-7 "Credit Report," provide a copy of the applicant's current credit report from Experian, Dun and Bradstreet, or a similar organization.

Attached are Dun & Bradstreet Comprehensive Insight Reports for Energy Plus Natural Gas LP as well as the parent company, Energy Plus Holdings LLC.



Decide with Confidence

ENERGY PLUS NATURAL GAS LP

D-U-N-S® 96-396-6069 Single Phone 267 298-5374
3711 Market St 10th Fl,
Philadelphia, PA 19104

Comprehensive Report

Purchase Last Update
Date: Date:
06/27/2011 10/13/2010

Executive Summary

Company Info

2010

3

D&B Rating

D&B Rating

--

Predictive Analytics

Financial Stress Class

5

Financial Stress
Class

The Financial Stress Class of 5 for this company shows that firms with this class had a failure rate of 4.7% (470 per 10,000).

5

1,293

1,001

1,875

Risk Category

Risk Category

Credit Score Class

Credit Score Class

3

The Credit Score class of 3 for this company shows that 18.40% of firms with this classification paid one or more bills severely delinquent.

3

432

101

670

Business Information



Decide with Confidence

Business Summary

NAICS 1311
Crude petroleum/natural gas production

NAICS Description 211111
Crude Petroleum and Natural Gas Extraction

Business Status CLEAR

Business Owner KEVIN KLEINSCHMIDT, GEN PTNR

Credit Capacity Summary

D&B Rating

Production 10/09/2010



Business History

KEVIN KLEINSCHMIDT, GEN PTNR;
RICHARD VAGUE, LTD PTNR

As of 10/13/2010

The New York Secretary of State's business registrations file showed that Energy Plus Natural Gas LP was registered as a limited partnership on August 25, 2010.

Business started Aug 2010.

SELF REQUEST:

This record was originally created on August 23, 2010 at the request of Heather Farber, Dir of Ops.

KEVIN KLEINSCHMIDT. 2010-present active here.

RICHARD VAGUE. 2010-present active here.

Government Activity Summary

Activity Summary

Possible candidate for socioeconomic program consideration

No	N/A
No	YES (2011)
No	N/A
No	N/A
N/A	
No	
N/A	

The details provided in the Government Activity section are as reported to Dun & Bradstreet by the federal government and other sources.

Operations Data

10/13/2010

Description: Operates as a produces natural gas (100%).
Terms are on a contractual basis. Sells to general public and commercial concerns. Territory : United States.

Employees: 3.

Facilities: Leases premises in a building.



Decide with Confidence

Industry Data

SIC

Code	Description
13110102	Natural gas production

NAICS

Code	Description
211111	Crude Petroleum and Natural Gas Extraction

Financial Statements

Key Business Ratios (Based on 12 establishments)

D&B has been unable to obtain sufficient financial information from this company to calculate business ratios. Our check of additional outside sources also found no information available on its financial performance. To help you in this instance, ratios for other firms in the same industry are provided below to support your analysis of this business.

	This Business	Industry Median	Industry Quotient
Profitability			
Return on Assets	UN	7.9	UN
Return on Equity (Worth)	UN	2.6	UN
Short-Term Solvency			
Current Ratio	UN	0.9	UN
Quick Ratio	UN	0.7	UN
Efficiency			
Assets per Employee	UN	284.3	UN
Receivables / Net Accounts Receivable	UN	1.2	UN
Liquidity			
Total Liabilities / Net Worth	UN	42.6	UN

Most Recent Financial Statement

As of 10/13/2010

As of October 13, 2010, several attempts to contact the management of this business have been unsuccessful. Outside sources confirmed name and location of the captioned business.

As of October 13 2010 a search of Dun & Bradstreets Public Record database found no open suits, liens, judgements or UCCs to which Energy Plus Natural Gas LP at 3711 Market St 10th Fl, Philadelphia PA was named defendant or debtor. Public records received hereafter will be entered into the database and will be included in reports which contain a Public Filings section.

Indicators

Public Filings Summary

The following data includes both open and closed filings found in D&B's database on this company

Reported Filing Type	Number of Filings	First Filing Date
Bankruptcy	0	
Liens	0	
Suits	0	



Decide with Confidence

UCC

3

03/22/2011

The following Public Filing data is for information purposes only and is not the official record. Certified copies can only be obtained from the official source.

Full Filings

UCC Filings

Collateral	Negotiable instruments and proceeds - Account(s) and proceeds - General intangibles(s) and proceeds - Contract rights and proceeds	Original
Filing Date	2011 1055162	03/22/2011
Effective Date	04/13/2011	
Filing Office	SECRETARY OF STATE/UCC DIVISION, DOVER, DE	
Debtor Name	NATIONAL GRID NY, BROOKLYN, NY THE BROOKLYN UNION GAS COMPANY, BROOKLYN, NY ENERGY PLUS NATURAL GAS LP	
Collateral	RIGHTS	Original
Filing Date	1102245194851	02/24/2011
Effective Date	03/07/2011	
Filing Office	SECRETARY OF STATE/UCC DIVISION, ALBANY, NY ORANGE & ROCKLAND UTILITIES, INC., SPRING VALLEY, NY ENERGY PLUS NATURAL GAS LP	
Collateral	RIGHTS	Original
Filing Date	2011022401823	02/24/2011
Effective Date	03/02/2011	
Filing Office	SECRETARY OF STATE/UCC DIVISION, HARRISBURG, PA ORANGE & ROCKLAND UTILITIES, INC., SPRING VALLEY, NY ENERGY PLUS NATURAL GAS LP	

The public record items contained in this report may have been paid, terminated, vacated or released prior to the date this report was printed.

Additional UCC and SLJ filings for this company can be found by conducting a more detailed search in our Public Records Database.

Indicators

Public Filings Summary

The following data includes both open and closed filings found in D&B's database on this company

Filing Type	Reported Filings
UCC	0
SLJ	0
SLJ	0
UCC	3

Paydex

D&B has not received a sufficient sample of payment experiences to establish a PAYDEX score.

D&B receives nearly 400 million payment experiences each year. We enter these new and updated experiences into D&B Reports as this information is received. At this time, none of those experiences relate to this company.



Decide with Confidence

Payment Summary

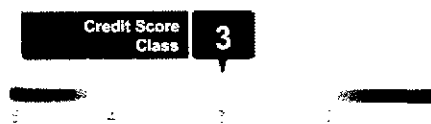
The Payment Summary section reflects payment information in D&B's file as of the date of this report.

D&B has not received a sufficient sample of payment experiences to establish a PAYDEX score.

Commercial Credit Score

Summary

Credit Score Class



Incidence of Delinquent Payment

90 days or more past due	18.40%
60 days or more past due	23.50%
30 days or more past due	55
Number of inquiries	432

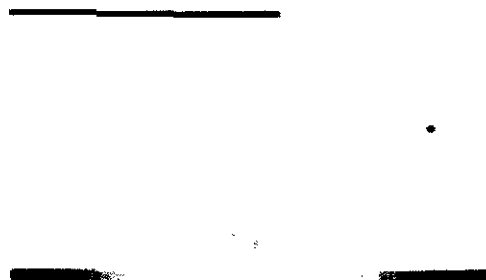
Key Factors

- No payment experiences.
- *Limited time in business.*
- High number of inquiries to D&B over last 12 months.

Notes:

- The Credit Score Class indicates that this firm shares some of the same business and payment characteristics of other companies with this classification. It does not mean the firm will necessarily experience delinquency.
- The Incidence of Delinquent Payment is the percentage of companies with this classification that were reported 90 days past due or more by creditors. The calculation of this value is based on an inquiry weighted sample.
- The Percentile ranks this firm relative to other businesses. For example, a firm in the 80th percentile has a lower risk of paying in a severely delinquent manner than 79% of all scorable companies in D&B's files.
- The Credit Score offers a more precise measure of the level of risk than the Class and Percentile. It is especially helpful to customers using a scorecard approach to determining overall business performance.

Credit Score Percentile Norms Comparison



- Higher risk than other companies in the same region.
- Higher risk than other companies in the same industry.
- Higher risk than other companies in the same employee size range.
- Lower risk than other companies with a comparable number of years in business.

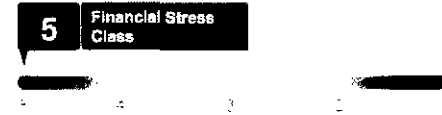


Decide with Confidence

Financial Stress Score

Summary

Financial Stress Class



Financial Stress Score Percentile

Financial Stress Score Percentile	1
Financial Stress Score	1293
Probability of failure in next 12 months	4.7%
Financial Stress Score	470/10,000
Financial Stress Score	0.48%
Financial Stress Score	48/10,000

Key Factors

- No payment experiences.
- Limited time under present management control.
- Higher risk legal structure.
- UCC Filings reported.

Notes

- The Financial Stress Class indicates that this firm shares some of the same business and financial characteristics of other companies with this classification. It does not mean the firm will necessarily experience financial stress.
- The probability of failure shows the percentage of firms in a given percentile that discontinue operations with loss to creditors. The average probability of failure is based on businesses in D&B's database and is provided for comparative purposes.
- The Financial Stress National Percentile reflects the relative ranking of a company among all scorable companies in D&B's file.
- The Financial Stress Score offers a more precise measure of the level of risk than the Class and Percentile. It is especially helpful to customers using a scorecard approach to determining overall business performance.

Financial Stress Percentile Comparison



- Higher risk than other companies in the same region.
- Higher risk than other companies in the same industry.
- Higher risk than other companies in the same employee size range.
- Higher risk than other companies with a comparable number of years in business.

Advanced Paydex + CLR



Decide with Confidence

D&B has not received a sufficient sample of payment experiences to establish a PAYDEX score.

D&B receives nearly 400 million payment experiences each year. We enter these new and updated experiences into D&B Reports as this information is received. At this time, none of those experiences relate to this company.

Credit Limit Recommendation

Risk Category

Risk is assessed using D&B's scoring methodology

06/27/2011

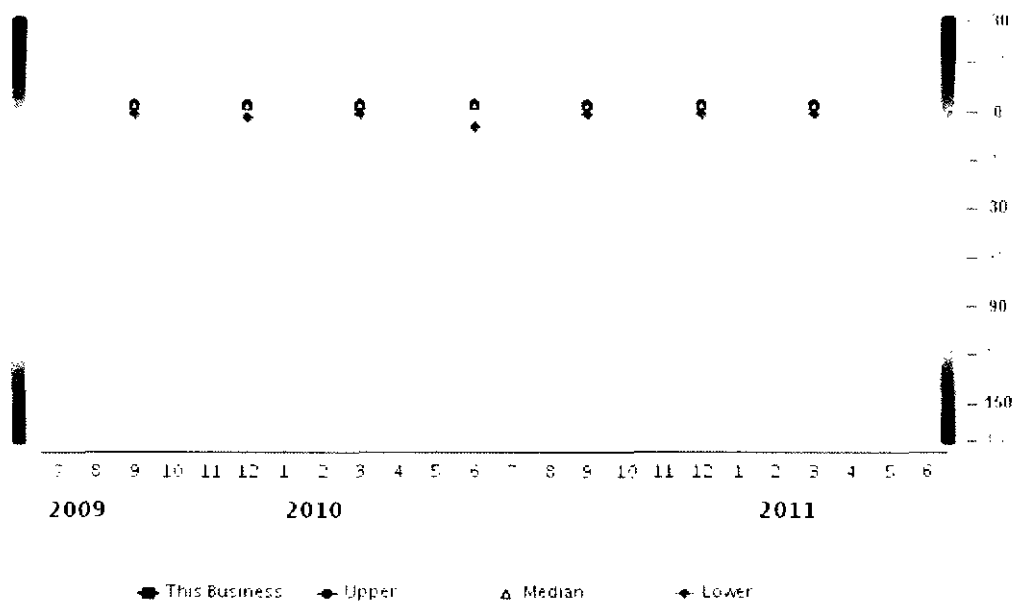
Risk Category

Key Factor

Risk is assessed using D&B's scoring methodology and is one factor used to create the recommended limits

PAYDEX Yearly Trend

Shows PAYDEX scores of this Business compared to the Primary Industry from each of the last four quarters. The Primary Industry is Crude petroleum/natural gas production, based on SIC code 1311.



Note:

- Industry upper quartile represents the performance of the payers in the 75th percentile.
- Industry lower quartile represents the performance of the payers in the 25th percentile.

Payment Summary

The Payment Summary section reflects payment information in



Decide with Confidence

D&B's file as of the date of this report.

D&B has not received a sufficient sample of payment experiences to establish a PAYDEX score.

Exhibit C-8 “Bankruptcy Information,” provide a list and description of any reorganizations, protection from creditors, or any other form of bankruptcy filings made by the applicant, a parent or affiliate organization that guarantees the obligations of the applicant or any officer of the applicant in the current year or since applicant last filed for certification.

Energy Plus Natural Gas LP, nor its parent Energy Plus Holdings LLC have ever filed for any type of bankruptcy protection

Exhibit C-9 “Merger Information,” provide a statement describing any dissolution or merger or acquisition of the applicant within the five most recent years preceding the application, or at any time as a participant in the Ohio Natural Gas Choice programs.

Energy Plus Natural Gas LP has no Merger Information to disclose.

Exhibit D-1 “Operations,” provide a current written description of the operational nature of the applicant’s business. Please include whether the applicant’s operations will include the contracting of natural gas purchases for retail sales, the nomination and scheduling of retail natural gas for delivery, and the provision of retail ancillary services, as well as other services used to supply natural gas to the natural gas company city gate for retail customers.

Energy Plus is supplying information on a key vendor relationship to emphasize and demonstrate the knowledge and experience that the company has agreed to leverage to ensure operational and technical success.

Energy Plus Natural Gas LP has agreed to partner with Energy Services Group (ESG), a well known and highly regarded vendor in the retail energy space, to assist with its operations in Ohio. ESG will perform all EDI transactions and will provide billing and CIS services.

Energy Services Group (ESG) was founded in 1997 and serves large and small retail energy suppliers as well as utilities to meet their transaction management (EDI), billing and CIS, and wholesale energy services needs. ESG serves more than 90 retail energy suppliers and utilities in the US who in turn, market or deliver energy to over 4.6 million customers in 20 states.

Energy Plus relies on ESG to provide EDI and CIS support. ESG’s services and systems address all of the retail energy business process needs that do not require end use customer interaction (ESG does not provide call center or bill print services). ESG’s expertise in serving clients in retail markets allows Energy Plus to focus on the customer experience while knowing that a reliable and scalable back office system serves as the foundation for its business. In addition, on the electric side Energy Plus leverages ESG’s Wholesale Energy Services (WES) group to manage the systems, business processes, interfaces, required data inputs and reporting necessary to forecast, schedule and settle loads in PJM.

Energy Plus Natural Gas LP partners with Quantum Gas & Power Services, LTD (Quantum) to provide nominations and scheduling of retail gas delivery to the city gate for retail customers.

Quantum is a Houston based energy services company that focuses on improved client business performance through total energy management solutions. Quantum specializes in providing energy management solutions and energy services to commercial and industrial Clients, natural gas producers, natural gas retail aggregators and marketers, retail electric providers, utilities, pipelines, etc. throughout the United States and Canada. Acting as agent for its Clients, Quantum manages the entire energy stream from production and generation to consumption including but not limited to energy usage/consumption reporting and assessments, energy procurement, risk management strategies and implementation, transportation and storage optimization/arbitration, operational, market, and regulatory intelligence, energy analyses and audits, contract and bill review, etc.

Exhibit D-2 “Operations Expertise,” given the operational nature of the applicant’s business, provide evidence of the applicant’s current experience and technical expertise in performing such operations.

Energy Plus has the necessary technical and managerial resources to comply with all scheduling, operating, planning, reliability, customer registration and settlement policies, rules, guidelines and procedures to operate as a retail natural gas supplier. As an active retail natural provider in New York, and with operations established to begin serving customers in New Jersey, Energy Plus has first hand knowledge of the customer operations and utility business management and will leverage its technical and managerial expertise, in combination with its relationships with business partners described in Exhibit D-1 to successfully develop and implement the infrastructure, systems, and processes to reliably provide service to Ohio consumers. As described in the details provided in Exhibit D-3, Energy Plus intends to also leverage key internal staff.

Exhibit D-3 “Key Technical Personnel,” provide the names, titles, email addresses, telephone numbers, and background of key personnel involved in the operational aspects of the applicant’s current business.

Richard Vague, Chief Executive Officer, Co-Founder
3711 Market Street, Suite 1000; Philadelphia, PA 19104
rvague@energypluscompany.com; 1-267-295-0630

Richard Vague has 30 years of experience in business-to-business and business-to-consumer marketing and financial services. Prior to co-founding Energy Plus in May of 2007, Mr. Vague co-founded and served as CEO for Juniper Financial, overseeing the acquisition of 4 million customers, \$4B in loans, and over 1,500 employees. Prior to this role, Mr. Vague served as President, then Chairman and CEO, of First USA. Under his leadership, First USA grew from \$200M in loans and 250 employees to \$70B in loans and 22,000 employees.

Kevin Kleinschmidt, President and Chief Operating Officer, Co-Founder
3711 Market Street, Suite 1000; Philadelphia, PA 19104
kkleinschmidt@energypluscompany.com; 1-267-295-0631

Kevin Kleinschmidt has a diverse background in marketing and financial services. In his current role as President and Chief Operating Office at Energy Plus, Mr. Kleinschmidt oversees all of Energy Plus’s operations. Prior to co-founding Energy Plus, he served as Managing Director of Partnership Marketing for Juniper Bank, then Barclaycard US. During his tenure the business grew from a start up to over \$3B in loans and 3 million customers. Prior to Juniper, Mr. Kleinschmidt was a stock analyst and investment banker at both Morgan Stanley and JP Morgan where his three year tenure provided him with the strong financial platform on which to develop his career.

Stephen H. Barnes, Chief Financial Officer
3711 Market Street, Suite 1000; Philadelphia, PA 19104
sbarnes@energypluscompany.com; 1-267-295-0634

Steve Barnes joined Energy Plus Holdings LLC in February 2009. Previously, Mr. Barnes was with Novitas Capital, an early stage venture capital firm, where he focused on investments in Technology-based companies. In addition to sourcing deals, performing due diligence on investments, structuring investment transactions, and serving on boards of directors of portfolio companies, Mr. Barnes served as the Fund’s Chief Financial Officer. In that role, he was responsible for managing the firm’s financial and other reporting to investors, tax strategies and compliance, overseeing the legal function and negotiation of agreements with limited partners, as well as having an integral role in overall portfolio allocation, analysis, and decision making. Prior to joining Novitas Capital, from 1996 to 1999, Mr. Barnes held various financial positions with Voxware, Inc. (Nasdaq: VOXW), a publicly traded speech-recognition technology company, most recently as Director of Finance and Investor Relations. Mr. Barnes earned a Bachelor of Science degree in Accountancy with high honors from Villanova University, and an MBA from the University of Pennsylvania’s Wharton School, and is a CPA.

Exhibit D-3 “Key Technical Personnel” (Cont.)

Joe Holtman, Managing Director of Wholesale Supply Operations

3711 Market Street, Suite 1000; Philadelphia, PA 19104

jholtman@energypluscompany.com; 1-267-295-5409

Joe Holtman joined Energy Plus in 2010 and brings extensive industry experience in his role as the Managing Director of Wholesale Supply Operations. Prior to joining Energy Plus, Mr. Holtman spent two years at Liberty Power as the Vice President of Wholesale Supply Operations. In his role at Liberty Power, he led the installation of a state-of-the-art risk management system and developed and implemented the necessary risk controls to ensure the company's strong financial success. In addition, Mr. Holtman was responsible for Liberty's energy procurement program across five major US markets, utilizing physical and financial hedges to protect fixed-price retail sales margins. Prior to his tenure at Liberty Power, he spent six years at the Consolidated Edison Company of New York where he served as the Director of Electricity Supply. At Con Ed, he was responsible for the purchase of \$3 billion of electricity supply annually, including financial hedging and accounting for four regulated utilities operating in three states. Earlier in his career, Mr. Holtman spent fifteen years at Orange and Rockland Utilities where he was responsible for the purchase of \$220 million of electricity and natural gas annually. He also performed electric and gas capacity and energy planning and procurement, supply contracting, accounting, regulatory affairs and risk management.

Hugh Scott, Chief Information Officer

3711 Market Street, Suite 1000; Philadelphia, PA 19104

hscott@energypluscompany.com; 1-267-295-5463

Hugh Scott joined Energy Plus in 2010. Previously, Mr. Scott held executive positions in both North America and Europe within the energy, telecommunications and banking industries. Mr. Scott joined Energy Plus from Direct Energy Residential where he was Chief Process Officer and Head of IT. He has received several awards including recognition by CIO Magazine for his leadership in 2010 and 2007. Mr. Scott holds a PhD in Computer Science from Warwick University.

Chris Suplick, Managing Director of Operations

3711 Market Street, Suite 1000; Philadelphia, PA 19104

csuplick@energypluscompany.com; 1-267-295-0632

Chris Suplick is responsible for the operations of Energy Plus's retail energy services. His daily responsibilities include overseeing the management of EDI and load servicing activities along with new customer enrollment, management and termination services. As a member of the Energy Plus team since June 2007, Mr. Suplick has been instrumental in establishing and launching the necessary systems for the organization to successfully deliver electricity and natural gas products across all markets. Prior to joining Energy Plus, he successfully managed the operations growth of a financial services firm from inception to an end state of over 4 million customers. Throughout his tenure Mr. Suplick gained extensive experience and expertise in developing complex systems to meet the dynamic regulatory needs of a consumer-focused organization. He leverages that experience at Energy Plus by developing state of the art processes to successfully exceed customer expectations.

Exhibit D-3 “Key Technical Personnel” (Cont.)

Amy Gorby, Senior Director of Customer Care
3711 Market Street, Suite 1000; Philadelphia, PA 19104
agorby@energypluscompany.com; 1-267-295-0629

Amy Gorby joined Energy Plus in 2009 and is responsible for all aspects of delivering superior customer care during the enrollment process and throughout the servicing of a customer’s account. Ms. Gorby brings over 10 years of experience managing large-scale domestic and international call center operations in the financial services industry for firms such as Chase and Barclays.

Her experience and technical skills have been instrumental in Energy Plus’ expansion into additional markets such as Connecticut and Texas. Ms. Gorby will continue to focus on delivering stable systems to deliver service and ensuring process and procedures are developed to ensure that Energy Plus always meets regulatory requirements while also delivering a superior customer service experience for consumers.