



PUC	O USE ONL	Y - Verste	m 1,977	
Date Received	Case N	amber	Certification Number	
	11-1209-	JA-AGG		÷

CERTIFICATION APPLICATION COMPETITIVE RETAIL NATURAL GAS BROKERS / AGGREGATORS

Please type or print all required information. Identify all attachments with an exhibit label and title (Example: Exhibit A-16 - Company History). All attachments should bear the legal name of the Applicant. Applicants should file completed applications and all related correspondence with the Public Utilities Commission of Ohio, Docketing Division, 13th Floor, 180 East Broad Street, Columbus, Ohio 43215-3793.

This PDF form is designed so that you may directly input information onto the form. You may also download the form by saving it to your local disk.

SECTION A - APPLICANT INFORMATION AND SERVICES

A-1 Applicant intends to be certified as: (check all that apply) Retail Natural Gas Aggregator ✓ Retail Natural Gas Broker A-2 Applicant information: T.E.S. Energy Services, L.P. Legal Name 17480 Dallas Parkway, Suite 200, Dallas, TX 75287 Address 972-447-0447 Telephone No. Web site Address Applicant information under which applicant will do business in Ohio: T.E.S. Energy Services, L.P. Name Address 17480 Dallas Parkway, Suite 200, Dallas, TX 75287 Web site Address www.tesenergyservices.com 972-447-0447 Telephone No. A-4 List all names under which the applicant does business in North America: T.E.S. Energy Services, L.P. TES Energy Services, LP Contact person for regulatory or emergency matters: Linda D. Graham Name Office Manager Title Business Address 17480 Dallas Parkway, Suite 200, Dallas, TX 75287 Telephone No. 972-447-0447 Fax No. 972-447-0400 linda@tesenergyservices.com Email Address

(CRNGS Broker/Aggregator - Version 1.07) Page 1 of 7

180 East Broad Street • Columbus, OH 43215-3793 • (614) 466-3016 • www.PUCO.ohio.gov The Public Utilities Commission of Ohio is an Equal Opportunity Employer and Service Provider

This is to certify that the remains appearing are an accurate and complete representation of a cide file ourse of business.

Determine the processed 3/7///

A-6	Contact person for Commission	n Staff use in inve	stigating c	ustomer compl	aints:	
	Name Linda D. Graham		Title	Office Manager		
	Business address 17480 Dallas Park	way, Suite 200, Dallas	, TX 75287			
	Telephone No. 972-447-0447	Fax No. 972-447-040	0	Email Address	linda@tesenergyserv	ices.com
A-7	Applicant's address and toll-fr	ee number for cus	tomer serv	vice and compl	aints	
	Customer service address 17480 Da	dlas Parkway, Suite 20	0, Dallas, TX	75287		,
	Toll-Free Telephone No.	Fax No. 972-	447-0400	Email Addre	ess linda@tesenergys	ervices.co
A-8	Provide "Proof of an Ohio Offi Revised Code, by listing name, designated Ohio Employee	4 • ·				
	Name CT Corporation System		Title	Timothy Robert	son	
	Business address 4400 Easton C	ommons Way, Suite 12	5, Columbus,	, OH 43219		*
	Telephone No. 614-621-1919	Fax No.	Ema	ail Address		
A-9	Applicant's federal employer i	dentification num	ber 20-0	598068		
A-10	Applicant's form of ownership	: (Check one)		,		
	Sole Proprietorship	,	Partne	ership		
	Limited Liability Partnership	(LLP)	Limite	ed Liability Com	pany (LLC)	
	☐ Corporation		Other	Limited Partners	hip	
A-11	(Check all that apply) Identificurrently providing service or class that the applicant is cur commercial, and/or large commin Section 4929.01(L)(1) of the Ohio I than 500,000 cubic feet of natural gas	intends to provide crently serving or nercial/industrial (A Revised Code, means	e service, in intends to mercantile) a customer the	ncluding identi o serve, for ex) customers. (A hat consumes, other	ification of each c cample: residention mercantile customer, or than for residential	customer al, small as defined use, more

residential use, as part of an undertaking having more than three locations within or outside of this state. In accordance with Section 4929.01(L)(2) of the Ohio Revised Code, "Mercantile customer" excludes a not-for-profit customer that consumes, other than for residential use, more than 500,000 cubic feet of natural gas per year at a single location within this state or consumes natural gas, other than for residential use, as part of an undertaking having more than three locations within or

outside this state that has filed the necessary declaration with the Public Utilities Commission.)

✓ Duke Energy Ohio ✓ Vectren Energy Delivery	✓ Mesidential ✓ of Ohio ✓ Residential ✓	Small Commercia Small Commercia	H
	Lil 1	.	· ·
			ny of Ohio's Natural Gas roximate start date(s) and
ate(s) that the applicant l			7 7
Columbia Gas of Ohio			
Residential	Beginning Date of Service		Pad Said
Small Commercial	Beginning Date of Service	,	End Date
Large Commercial	Beginning Date of Service		Ead Date
Industrial	Beginning Date of Service	}	End Date
Dominion East Ohio			
Residential	Beginning Date of Service		End Date
Small Commercial	Beginning Date of Service	· }	End Date
Large Commercial	Beginning Date of Service		End Date
Industrial	Beginning Date of Service	•	End Date
Duke Energy Ohio			
Residential	Beginning Dute of Service	V. 2000年6月,2月1日中国	
Small Commercial	Beginning Date of Service	•	End Date
Large Commercial	Beginning Date of Service		End Date
Industrial	Beginning Date of Service		End Date
Vectren Energy Delivery o	f Ohio		
	Beginning thate of Service		
Residential		and the second s	4. A. M.

A-13 If not currently participating in any of Ohio's four Natural Gas Choice Programs, provide the approximate start date that the applicant proposes to begin delivering services:

✓ Columbia Gas of Ohio	Intended Start Date vertices and the second
✓ Dominion East Ohio	Intended Start Date upon receipt of license
Duke Energy Ohio	Intended Start Date upon recently finese.
✓ Vectren Energy Delivery of Ohio	Intended Start Date upon receipt of license

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED.

- A-14 Exhibit A-14 "Principal Officers, Directors & Partners," provide the names, titles, addresses and telephone numbers of the applicant's principal officers, directors, partners, or other similar officials.
- A-15 <u>Exhibit A-15 "Corporate Structure</u>," provide a description of the applicant's corporate structure, including a graphical depiction of such structure, and a list of all affiliate and subsidiary companies that supply retail or wholesale natural gas or electricity to customers in North America.
- A-16 Exhibit A-16 "Company History," provide a concise description of the applicant's company history and principal business interests.
- A-17 Exhibit A-17 "Articles of Incorporation and Bylaws," if applicable, provide the articles of incorporation filed with the state or jurisdiction in which the applicant is incorporated and any amendments thereto.
- A-18 Exhibit A-18 "Secretary of State," provide evidence that the applicant is currently registered with the Ohio Secretary of the State.

SECTION B - APPLICANT MANAGERIAL CAPABILITY AND EXPERIENCE

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED

- B-1 <u>Exhibit B-1 "Jurisdictions of Operation</u>," provide a current list of all jurisdictions in which the applicant or any affiliated interest of the applicant is, at the date of filing the application, certified, licensed, registered, or otherwise authorized to provide retail natural gas service, or retail/wholesale electric services.
- B-2 Exhibit B-2 "Experience & Plans," provide a current description of the applicant's experience and plan for contracting with customers, providing contracted services, providing billing statements, and responding to customer inquiries and complaints in accordance with Commission rules adopted pursuant to Section 4929.22 of the Revised Code and contained in Chapter 4901:1-29 of the Ohio Administrative Code.
- B-3 Exhibit B-3 "Summary of Experience," provide a concise and current summary of the applicant's experience in providing the service(s) for which it is seeking to be certified to provide (e.g., number and types of customers served, utility service areas, volume of gas supplied, etc.).
- B-4 Exhibit B-4 "Disclosure of Liabilities and Investigations," provide a description of all existing, pending or past rulings, judgments, contingent liabilities, revocations of authority, regulatory investigations, or any other matter that could adversely impact the applicant's financial or operational

status or ability to provide the services it is seeking to be certified to provide.

B-5 Exhibit B-5 "Disclosure of Consumer Protection Violations," disclose whether the applicant, affiliate, predecessor of the applicant, or any principal officer of the applicant has been convicted or held liable for fraud or for violation of any consumer protection or antitrust laws within the past five years.

V	No	☐ Yes
IV (110	

If Yes, provide a separate attachment labeled as <u>Exhibit B-5</u> "<u>Disclosure of Consumer Protection Violations</u>," detailing such violation(s) and providing all relevant documents.

B-6 Exhibit B-6 "Disclosure of Certification Denial, Curtailment, Suspension, or Revocation," disclose whether the applicant or a predecessor of the applicant has had any certification, license, or application to provide retail natural gas or retail/wholesale electric service denied, curtailed, suspended, or revoked, or whether the applicant or predecessor has been terminated from any of Ohio's Natural Gas Choice programs, or been in default for failure to deliver natural gas.

7	No	☐ Yes
LY.	110	x.c:

If Yes, provide a separate attachment, labeled as Exhibit B-6 "Disclosure of Certification Denial, Curtailment, Suspension, or Revocation," detailing such action(s) and providing all relevant documents.

SECTION C - APPLICANT FINANCIAL CAPABILITY AND EXPERIENCE

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED

- C-1 Exhibit C-1 "Annual Reports," provide the two most recent Annual Reports to Shareholders. If applicant does not have annual reports, the applicant should provide similar information, labeled as Exhibit C-1, or indicate that Exhibit C-1 is not applicable and why.
- C-2 Exhibit C-2 "SEC Filings," provide the most recent 10-K/8-K Filings with the SEC. If applicant does not have such filings, it may submit those of its parent company. If the applicant does not have such filings, then the applicant may indicate in Exhibit C-2 whether the applicant is not required to file with the SEC and why.
- C-3 <u>Exhibit C-3 "Financial Statements</u>," provide copies of the applicant's two most recent years of audited financial statements (balance sheet, income statement, and cash flow statement). If audited financial statements are not available, provide officer-certified financial statements. If the applicant has not been in business long enough to satisfy this requirement, it shall file audited or officer-certified financial statements covering the life of the business.
- C-4 Exhibit C-4 "Financial Arrangements," provide copies of the applicant's current financial arrangements to conduct competitive retail natural gas service (CRNGS) as a business activity (e.g., guarantees, bank commitments, contractual arrangements, credit agreements, etc.)
- C-5 <u>Exhibit C-5 "Forecasted Financial Statements,"</u> provide two years of forecasted financial statements (balance sheet, income statement, and cash flow statement) for the applicant's CRNGS operation, along with a list of assumptions, and the name, address, email address, and telephone number of the preparer.

- C-6 Exhibit C-6 "Credit Rating," provide a statement disclosing the applicant's current credit rating as reported by two of the following organizations: Duff & Phelps, Dun and Bradstreet Information Services, Fitch IBCA, Moody's Investors Service, Standard & Poors, or a similar organization. In instances where an applicant does not have its own credit ratings, it may substitute the credit ratings of a parent or affiliate organization, provided the applicant submits a statement signed by a principal officer of the applicant's parent or affiliate organization that guarantees the obligations of the applicant.
- Exhibit C-7 "Credit Report," provide a copy of the applicant's current credit report from Experion, Dun and Bradstreet, or a similar organization.
- C-8 Exhibit C-8 "Bankruptcy Information," provide a list and description of any reorganizations, protection from creditors, or any other form of bankruptcy filings made by the applicant, a parent or affiliate organization that guarantees the obligations of the applicant or any officer of the applicant in the current year or since applicant last filed for certification.
- C-9 Exhibit C-9 "Merger Information," provide a statement describing any dissolution or merger or acquisition of the applicant since applicant last filed for certification.

SECTION D - APPLICANT TECHNICAL CAPABILITY

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED.

- Exhibit D-1 "Operations," provide a current written description of the operational nature of the applicant's business functions.
- D-2 Exhibit D-2 "Operations Expertise," given the operational nature of the applicant's business, provide evidence of the applicant's current experience and technical expertise in performing such operations.
- D-3 Exhibit D-3 "Key Technical Personnel," provide the names, titles, email addresses, telephone numbers, and background of key personnel involved in the operational aspects of the applicant's current business.

Applicant Signature and Title

Brian Markham, President Buin Markham

Sworn and subscribed before me this

fot day of March Month 201/ Year

Signature of official administering oath

My commission expires on



The Public Utilities Commission of Ohio

Competitive Retail Natural Gas Service Affidavit Form (Version 1.07)

	***				•		
In t	he Matter of the Application of)					
T.E.S	S. Energy Services, L.P.)	Case No.			-GA-AG	G
for	a Certificate or Renewal Certificate to Provide)					
Cor	npetitive Retail Natural Gas Service in Ohio.)					
Cou Stat	anty of Dallas te of _{Texas}						
	Brian Markham		[Affiant], being duly	y sworn/affi	rmed, i	ereby state	s that:
(1)	The information provided within the certification or complete, true, and accurate to the best knowledge of a	ertific ffiant:	ation renewal appli	cation and s	support	ing informa	tion is
(2)	The applicant will timely file an annual report of its natural gas pursuant to Sections 4905.10(A), 4911.18(A)	intra A), an	state gross receipts d 4929.23(B), Ohio	and sales Revised Co	of hund de.	lred cubic	feet of
(3)	The applicant will timely pay any assessment made Revised Code.	e purs	uant to Section 49	05.10 or S	ection	4911.18(A)	, Ohio
(4)	Applicant will comply with all applicable rules and pursuant to Title 49, Ohio Revised Code.	order	s adopted by the P	ublic Utilit	ies Cor	nmission o	f Ohio
(5)	Applicant will cooperate with the Public Utilities C consumer complaint regarding any service offered or p	ommi provid	ssion of Ohio and ed by the applicant.	its staff in	the in	vestigation	of any
(6)	Applicant will comply with Section 4929.21, Ohio Recourts and the service of process.	evised	Code, regarding o	onsent to th	e jurisd	liction of th	e Ohio
(7)	Applicant will inform the Public Utilities Commission the certification or certification renewal application w contact person for regulatory or emergency purpose complaints.	ithin 3	30 days of such mat	erial change	e, inciw	nng any ch	анде ш
(8)	Affiant further sayeth naught.	1		•			
	Brian Markham, President C	2	uan 1	Wark	<i>71 7 </i>	m	
	Sworn and subscribed before me this / pt day	of d	march	Month	4	011	Year
	Signature of Official Administering Oath My comm	P	rint Name and Tite	77~0/.	otary Pu	ikle MOSER blic, State of Imission Exp 19 25, 2012	

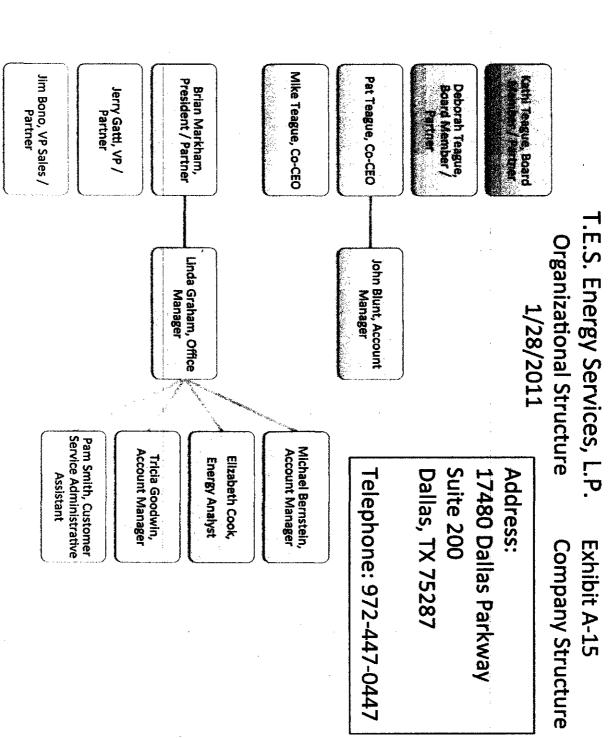
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Exhibit A-14 "CONFIDENTIAL"

OWNERSHIP OF T.E.S. ENERGY SERVICES, L.P.

Name	Address & Telephone	Percent of Ownership
Kathi Teague	5801 Greenwyck, Plano, TX 75093	30.5
Deborah Teague	972-403-0877 5175 Longvue, Frisco, TX	30.5
	75034 972-668-5165	
Jerry Gatti	4113 Juliard, Addison, TX 75001	15
Brian Markham	972-447-0447 9218 Clover Valley Dr.,	12
	Dallas, TX 75243 972-447-0447	 -
Jim Bono	136 Golden Rd., Sherman, TX 75090 972-447-0447	12





Page 1 of 2

Illuminated Partners, Inc., General Partner to T.E.S. Energy Services, L.P.

Officers

Address: 17480 Dallas Parkway Suite 200 Dallas, TX 75287

Telephone: 972-447-0447

> Brian Markham, President

Jerry Gatti, Vice-President

Pat Teague, Secretary

Directors: Brian Markham, Jerry Gatti, Pat Teague

Exhibit A-15
Company Structure

lage 2 of 2

Exhibit A-16 Company History

T. E. S. Energy Services, L.P.

Current Services:

Applicant is an energy consulting company of retail electric and natural gas supply to end user commercial, industrial and residential customers. We work with businesses in the commercial, industrial and government entities to help them make informed decisions on their energy procurement throughout the deregulated territories in TX and PA.

Exhibit A-17 Articles of Incorporation - T.E.S. Energy Services, L.P.

Form 207

Secretary of State P.O. Box 13697 Austin, TX 78711-3697 FAX: 512/463-5709

Filing Fee: \$750



Certificate of Limited Partnership Pursuant to Article 6132a-1 iled in the Office of the Secretary of State of Texas Filing #: 800253124 10/02/2003 Document #: 43410020003 Image Generated Electronically for Web Filing

he name of the limited partnership is:	E. S. Energy S	ervices, L.P.		
he name must contain the words "Umited arme. The name must not be the same as, artnership name on file with the secretary	deceptively similar to or	r similar to that of an existing (corporate, limited liability	words or letters of its company, or limited
	2. P	rincipal Office		
he address of the principal office in the Ur			e kept or made available	is set forth below:
I 504 Westlake Drive, Suite 1	101, Plano, TX, I	USA 75075		
Article 3 – Registered Agent	and Registered O	ffice (Select and comp	olete either A or B	and complete C)
A. The initial registered agent is an o	ganization (cannot be	limited partnership named	above) by the name of:	
	s s in the above of present settletter	the content them the scale of the scale	- de l'avadent vintus : l'indurant VIII Mer-	en e
		OR		
B. The initial registered agent is an in			orth below:	
First Name M.	Bruce M.I.	Lest Name Peele		Suffix
C. The business address of the register	ed agent and the regis	tered office address is:		
street Address 1504 Westlake Drive, Suite	101	city Plano	State TX	Zip Cede 75075
	4. Genera	Partner Information		
The name, street address, and the			nce of each general	partner is as follows:
Legal Entity: The general pariner is a lega	al entity named:			
Illuminated Partners, L.L.C.				
INDIVIDUAL: First Name	M.J.	Last Name		Suffix
Street Address		City	State	Zip Code
1504 Westlake Drive, Suite	101	Plano	TX, USA	75075
Mailing Address		City	State	Zip Code
	Supplemental	Provisions / Informati	оп	
The attached addendum, if any, is incorpo	erstad berein hy reference	No.1		
the amorton danding it any, is most	nated treatment of telepoin	ve.j		•
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	, Effect	ive Date of Filing		and applications of the second se

Page 1 of 3

Exhibit A-17 Articles of Incorporation - T.E.S. Energy Services, L.P.

OR

B. This document will become effective at a later date, which is not more than ninety (90) days from the date of its filing by the secretary of state. The delayed effective date is:

Name Reservation Document Number

EXECUTION

The undersigned sign this document subject to the penalties imposed by law for the submission of a false or fraudulent document.

signature of General Partner 1: Illuminated Partners, L.L.C., a Texas Limited Liability Company, By. M. Bruce Peele, Member

FILING OFFICE COPY

Page 2 of 3

FILED in the Office of the Recretary of State of Texas

CERTIFICATE OF AMENDMENT TO THE CERTIFICATE OF LIMITED PARTNERSHIP

FEB 15 2005

T.E.S. ENERGY SERVICES, L.P.

Corporations Section

The undersigned limited partnership (the "Partnership"), having filed an original certificate of limited partnership hereby duly executes this Certificate of Amendment to the Certificate of Limited Partnership, which is being filed with the Secretary of State in accordance with Section 2.02 of the Texas Revised Limited Partnership Act.

- I. The name of the Partnership is T.E.S. ENERGY SERVICES, L.P.
- II. Sections 2, 3 and 4 of the Certificate of Limited Partnership are hereby amended to read as follows:
 - 2. <u>Principal Office</u>: The address of the principal office of the Partnership where records of the Partnership are to be kept or made available is 17480 Dallas Parkway, Suite 125, Dallas, Texas 75287.
 - 3. Registered Agent and Registered Office. The registered agent of the Partnership for service of process is an individual resident of the State of Texas whose name and business address are Mike Teague, 17480 Dallas Parkway, Suite 125, Dallas, Texas 75287.
 - 4. General Partner: The name and address of the General Partner is as follows:

Name:

Illuminated Partners, Inc.

Mailing Address

17480 Dallas Parkway, Suite 125

Dallas, Texas 75287

SIGNED the 14 day of Jubuan, 2005.

GENERAL PARTNER:

ILLUMINATED PARTNERS, INC.

Bv:

Brian Markham, President

1\6900s\6960\62\D\CERT-AMD.DOC

lage 3 of 3

* 201104500150*

DATE: 02/14/2011 DOCUMENT ID 201104500150

DESCRIPTION REGISTRATION OF FOREIGN LIMITED PARTNERSHIP (LPF)

FILING 125.00 EXPED 100.00 PENALTY:

CERT .00

COPY

Receipt

This is not a bill. Please do not remit payment.

CT CORPORATION SYSTEM 4400 EASTON COMMONS WAY, SUITE 125 TIMOTHY ROBERTSON COLUMBUS, OH 43219

STATE OF OHIO CERTIFICATE

Ohio Secretary of State, Jon Husted

1996446

It is hereby certified that the Secretary of State of Ohio has custody of the business records for

T.E.S. ENERGY SERVICES, L.P.

and, that said business records show the filing and recording of:

Document(s):

Document No(s):

REGISTRATION OF FOREIGN LIMITED PARTNERSHIP

201104500150



United States of America State of Ohio Office of the Secretary of State Witness my hand and the seal of the Secretary of State at Columbus, Ohio this 11th day of February, A.D. 2011.

Jon Hastel

Ohio Secretary of State

Exhibit B-1

JURISDICTIONS OF OPERATION T.E.S. ENERGY SERVICES, L.P.

STATE	LICENSE #
Texas	80081
Pennsylvania	A-2010-2207746

Exhibit B-2 Experience & Plans T.E.S. ENERGY SERVICES, L.P.

Other:

Products and services include:

<u>Current Services:</u> Applicant is an energy consulting company of retail electric supply to end user commercial, industrial and residential customers. We work with businesses in the commercial, industrial and government entities to help them make informed decisions on their energy procurement throughout the deregulated territories in TX and PA.

Power Factor Correction

- Service Entrance Capacitor Banks eliminate low power factor utility penalties
- Motor Capacitors also eliminate distribution losses and save kilowatt hours (energy)

Energy / Operational Savings

- Lighting retrofits, on/off controls, daylight harvesting, skylights
- > Identify incorrectly sized motors, overloaded equipment, loads that can be turned off
- Electrical capacity increases
- Adjustable speed drives
- High efficiency motors
- > Identify compressed air leaks
- Air conditioning system desiccants
- Infrared inspection / scanning
- Predominant use studies to obtain sales tax exemption on gas and electric utility bills

Power Quality

- Measurements/studies to identify the root cause(s) of problems
- Provide power conditioning and various equipment solutions
- ➤ UPS
- Voltage regulation
- > Surge protection
- > Harmonic filters and line reactors
- Dip Proofing inverters
- Programming AC/DC adjustable speed drives to eliminate nuisance tripping
- Single phasing protection
- Flicker, motor soft starters
- Protective device coordination
- "Ride thru" techniques to eliminate nuisance equipment/process shutdowns

Engineering / Projects

- Studies
- > Specialized design such as power measurement systems
- Specification preparation
- Turn key projects

MIKE TEAGUE

17480 Dullar Plory 1200 . Dullar, To 72287 . Phone: 9720409-0577 . Pag: 972-447-0400 . E-Mail: suite Streeneng services.com

Objective

To provide quality comulting services through education and practical experiences.

Experience

Over-head and Underground Electrical Construction 1973-2004

- . Co-owner and President of Teague Industries Jine / the Utility Construction Co. , Inc.
- Teague Industries, inc. was a major contractor for Tease Utilities, inc. for \$4 years. We excelled in pioneuring the development of underground electrical distribution systems durangeous the State of Tease. As a comploment to the business we installed thousands of miles of fiber-optic cable throughout the region as well as Overhead distribution power lines.

Power and Demand Side Consulting 2009-present

- I was co-founder of TBS Briergy Service, LP i.e., Teague Energy Services. TBS Energy Services was founded to bring my energy background to the market place assisting customers with pricing options as well as domest.
 side management of their facilities. My knowledge of the grid systems as well the production of electricity is of benefit to the customer who does not have the background to make difficult decisions on their electricity seeds.
- Family
- I have been married for 36 years and have 3 children and three grandchildren

Education

Graduated from Sherman High School 1968

Associate Degree-Business Grayson County College 1968-1970
Studied Business and Accounting University of Texas at Austin 1968-1978

Community Involvement

Chairman of the local American Diabetes Association 1975
Plano Symphony Orchestra Board 1983-1985
Plano Parks and Recreation Board 1985-1986
Plano City Council Member 1989-1991
Collin County Sherill' Reserve (Certified Texas Peace Officer) 1991-8004

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Frisco, Texas [Postal Code]
Phone: 972-447-0447 ext. 107 Fax: 972-447-0400 E-Mail: kinda@tesenergyservices.com

Pat Teague

Experience

Teague Industries, Inc.

1975-1802

- 1975-77 Worked on Electrical Service Crew installing electrical services to residential
- 1977-79 Services Crew Foreman
- 1979-85 Superintendent of Services-in charge of as many as 30 services crews-90 people
- 1985 Vice-President of Construction for Blectrical Underground and Overhead Distribution
- -2002 Executive Vice-President for Teague Industries head of Construction in charge of up to 350 employees.

TES Energy Services

2002-2010

Co-Founder and Co-CEO of TES Energy Services

Education

Sherman High School

1970

University of Texas & Austin

1970-74

Degree-Bachelor of Science Business Administration

Page 2 of 5

Jim H. Bono

17480 Dalias Parkway, Suite #200 Dalias, TX 75287

Phone 972-447-0447 Fax 972-447-0400 email: jim@tesenergyservices.com

Objective

To assist customers with all of their energy needs. These needs would include electricity procurement, natural gas procurement, energy efficiency improvement projects, contract review, and billing audits. Building an overall relationship with customers where trust and honesty are vitally important and partnering with customers in make them as profitable as possible.

Experience

VP of Sales - TES Energy Services

3/2003 - Present

- Provide energy procurement and consultant services to customers throughout Texas.
- Assist clients with energy efficiency improvement projects
- Assist customers with billing issues as well as all service related problems.

Account Manager - TXU Energy

1/2000 - 3/2003

- Provide electricity and natural gas procurement services to commercial and industrial customers in Texas
- Build relationships with all customers to maintain and increase revenue.
- Assist all customers with service related problems

Education

Texas A&M University

May 1986

Bachelor of Science Degree in Electrical Engineering

Tyler Jr. College

May 1983

Associate of Art Degree

Skills

24 years of experience in the electric utility industry.

Motivated and enthusiastic about deleveloping relationships with all clients

Successfully built and renewed energy agreements with over 100 commercial and industrial customers

Organized effective marketing tools to communicate with accounts.

Page 3 of 5



Brian Markham, President

Brian Markham is the President of TES Energy Services, LP. Drawing a career that has cut across multiple industries inkling energy, distribution logistics, manufacturing representation and electrical supply distribution. Brian was also the past President of the Texas Electricity Professionals Association.

Prior to joining TES Energy Services, LP, Brian served as Vice President and General Manager of PhD. Southwest, Inc. PhD Southwest, Inc. is a leader in the distribution and logistics business throughout the United States.

Prior to joining PhD. Southwest, Inc., Brian worked within the house ware industry as the President and owner of D.U. Parker and Associates. D.U. Parker and Associates was a manufacturer representative in leading house ware manufactures.

Before joining D.U. Parker and Associates, Brian was the Director of Consumer Goods for Graybar Electric Co., located at the New York headquarters. Also, he served as the National Account Manager, Regional Manager and Branch Manager.

Brian earned his Bachelor of Business Administration at SMU and studied management courses at Harvard University. Brian served in the USMC.



Page 4 of 5

Jerry Gatti

17480 Dallas Parkway, Suite 200 Dallas, TX. 75001 Phone: 972-447-0447 Fax: 972-447-0400 E-Mail: jarry@tenenergyaervices.com

Objective

To offer complete energy consulting services nationwide.

Experience

TES Energy Services, LP

Oct. 2001 to Present

- Started energy services company in late 2001 as a business development idea out of Tesgue Industries, a utility construction firm founded in Texas in the late 1940's. Became "Registered aggregator" with Public Utility Commission of Texas in January 2002, the beginning of Texas deregulation of Electricity.
- As partner in firm, have built electric and gas clientele in Texas, California, Ohio, Maryland, California, Illinois, Washington DC. Need license in Pennsylvania to complete our services to nationwide clients.

ROK and Associates

September 1989 to October 2000.

- Sales Manager of Manufactures Rep firm covering Texas, Oklahoma, Arkansas, and Louisiana. Responsible for 17 salesmen and key accounts within territory doing \$95 million in sales.
- Responsible for transitioning firm from predominantly traditional auto parts to more lucrative retail product lines. Business more than tripled during tenure.

Education

Texas Christian University

Bachelor of Arts Degree

1967 to 1972

Skills

Business Development, Account management, and business computer skills.

Community Involvement

Boys and Girls Clubs, Prestonwood Country Club, Prestonwood Business Networking Group, Texas Electric Professionals Association, numerous Chambers of Commerce in the Dallas area.

Page 5 of 5

Exhibit C-1 Annual Report

T.E.S. ENERGY SERVICES, L.P.

Exhibit C-1 is not applicable. There are no annual reports to shareholders.

Exhibit C-2 SEC Filings

T.E.S. ENERGY SERVICES, L.P.

Exhibit C-2 is not applicable. As an energy consultant, we are not required to report to the SEC.

C.3 Financial Statement Confidential

Exhibit C-4 Financial Arrangements

T.E.S. ENERGY SERVICES, L.P.

Exhibit C-4 is not applicable. Applicant is applying for gas broker license, only.

Exhibit C-5 Forecasted Financial Statements

T.E.S. ENERGY SERVICES, L.P.

Exhibit C-5 is not applicable. Applicant is applying for gas broker license, only.

Exhibit C-6 Credit Rating

T.E.S. ENERGY SERVICES, L.P.

Exhibit C-6 is not applicable. Applicant is applying for gas broker license, only.

Exhibit C-7 Credit Report

T.E.S. ENERGY SERVICES, L.P.

Exhibit C-7 is not applicable. Applicant is applying for gas broker license, only.

Exhibit C-8 Bankruptcy Information

T.E.S. ENERGY SERVICES, L.P.

Exhibit C-8 is not applicable.

Exhibit C-9 Merger Information

T.E.S. ENERGY SERVICES, L.P.

Exhibit C-9 is not applicable.

Exhibit D-1 Operations T.E.S. ENERGY SERVICES, L.P.

Other:

Products and services include:

<u>Current Services</u>: Applicant is an energy consulting company of retail electric supply to end user commercial, industrial and residential customers. We work with businesses in the commercial, industrial and government entities to help them make informed decisions on their energy procurement throughout the deregulated territories in TX and PA.

Power Factor Correction

- Service Entrance Capacitor Banks eliminate low power factor utility penalties
- Motor Capacitors also eliminate distribution losses and save kilowatt hours (energy)

Energy / Operational Savings

- Lighting retrofits, on/off controls, daylight harvesting, skylights
- Identify incorrectly sized motors, overloaded equipment, loads that can be turned off
- > Electrical capacity increases
- Adjustable speed drives
- High efficiency motors
- ➤ Identify compressed air leaks
- ➢ Air conditioning system desiccants
- Infrared inspection / scanning
- Predominant use studies to obtain sales tax exemption on gas and electric utility bills

Power Quality

- Measurements/studies to identify the root cause(s) of problems
- Provide power conditioning and various equipment solutions
- ➤ UPS
- Voltage regulation
- Surge protection
- > Harmonic filters and line reactors
- Dip Proofing inverters
- Programming AC/DC adjustable speed drives to eliminate nuisance tripping
- Single phasing protection
- Flicker, motor soft starters
- Protective device coordination
- "Ride thru" techniques to eliminate nuisance equipment/process shutdowns

Engineering / Projects

- Studies
- > Specialized design such as power measurement systems
- Specification preparation
- Turn key projects

MIKE TEAGUE

17 (50 Dalles Plony 4200 + Dalles, Tr. 72207 + Photos: 5756 (59-4077 + Page 572-147-4160 + E-Mail: mainfleamacaparcsion.com

Objective

To provide quality consisting services through education and practical experiences.

Experience

Over-head and Underground Electrical Construction 1973-2004

- . Co-owner and President of Teague Industries Inc./ dbs Utility Construction Co., Inc.
- Teague Industries, line, was a major contractor for Teass Utilities, line. for 54 years. We excelled in
 pioneering the development of underground electrical distribution systems throughout the Sants of Teass. As a
 complement to the business we installed thousands of other of fiber-optic cubic throughout the region as well
 as Overhead distribution power lines.

Power and Densard Side Countiling 2009-present

- I was co-founder of TBS Energy Service, LP i.e., Teague Energy Services. TBS Energy Services was founded to bring my energy background to the market place assisting customers with pricing options as well as demand side management of their facilities. My knowledge of the grid systems as well the production of electricity is of bestelli to the customer who does not have the background to make difficult decisions on their electricity needs.
- Family
- I have been merried for 36 years and have 3 children and three grandchildren.

Education

Graduated from Sherman High School 1968

Associate Degree-Business Grayson County College 1968-1970 Studied Business and Accounting University of Texas at Austin 1968-1978

Community Involvement

Chairman of the local American Diabetes Association 1975
Plano Symphony Orchestra Board 1983-1985
Plano Parks and Recreation Board 1985-1986
Plano City Council Member 1989-1991
Collin County Sherff Reserve (Certified Texas Peace Officer) 1991-2004

Page 1 of 5

Frisco, Texas [Postal Code]

Phone: 972-447-0447 ext. 107 Fax: 972-447-0408 E-Mail: Meda@tesemergyservices.com

Pat Teague

Experience

Teague Industries, Inc.

1973-2002

- 1975-77 Worked on Electrical Service Crew Installing electrical services to residential
- 1977-79 Services Crew Foreman
- 1979-85 Superintendent of Services-in charge of as many as 30 services crews-90 people
- 1985 Vice-President of Construction for Electrical Underground and Overhead Distribution
- -2002 Executive Vice-President for Teague Industries head of Construction in charge of up to 350 employees

TES Energy Services

2002-2010

Co-Founder and Co-CEO of TES Energy Services

Education

Sherman High School

1970

University of Texas @ Austin

1979.74

Degree-Bachelor of Science Business Administration

23/10/19

Page 2 of 5

Jim H. Bono

17480 Dallas Parkway, Suite #200 Ballas, YX 75287

Phone 972-447-0447 Fax 972-447-0400 email: jim@tesenergyservices.com

Objective

To assist customers with all of their energy needs. These needs would include electricity procurement, natural gas procurement, energy afficiency improvement projects, contract review, and billing audits. Building an everall relationship with customers where trust and honesty are vitally important and partnering with customers in make them as profitable as possible.

Experience

VP of Sales - TES Energy Services

3/2003 - Present

- Provide energy procurement and consultant services to customers throughout Texas.
- Assist clients with energy efficiency improvement projects
- Assist customers with billing issues as well as all service related problems.

Account Manager - TXU Energy

1/2000 - 3/2003

- Provide electricity and natural gas procurement services to commercial and industrial customers in Taixas
- Build relationships with all customers to maintain and increase revenue.
- Assist all customers with service related problems

Education

Texas A&M University

May 1986

Bachelor of Science Degree in Electrical Engineering

Tyler Jr. College

May 1983

Associate of Art Degree

Skills

24 years of experience in the electric utility industry.

Motivated and enthusiastic about deleveloping relationships with all clients

Successfully built and renewed energy agreements with over 100 commercial and industrial customers

Organized effective marketing tools to communicate with accounts.

Page 3 of 5



Brian Markham, President

Brian Markham is the President of TES Energy Services, LP. Drawing a career that has cut across multiple industries inkling energy, distribution logistics, manufacturing representation and electrical supply distribution. Brian was also the past President of the Texas Electricity Professionals Association.

Prior to joining TES Energy Services, LP, Brian served as Vice President and General Manager of PhD. Southwest, Inc. PhD Southwest, Inc. is a leader in the distribution and logistics business throughout the United States.

Prior to joining PhD. Southwest, Inc., Brian worked within the house ware industry as the President and owner of D.U. Parker and Associates. D.U. Parker and Associates was a manufacturer representative in leading house ware manufactures.

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Brian earned his Bachelor of Business Administration at SMU and studied management courses at Harvard University. Brian served in the USMC.



Page 4 of 5

Jerry Gatti

17480 Dallas Parkway, Suite 200 Dallas, TX. 75001 Phone: 972-447-0407 Fax: 972-447-0400 E-Mail: jarry@tasenergyservices.com

Objective

To offer complete energy consulting services nationwide.

Experience

TES Energy Services, LP

Oct. 2001 to Present

- Started energy services company in late 2001 as a business development idea out of Teague Industries, a utility construction firm founded in Texas in the late 1940's. Became "Registered aggregator" with Public Utility Commission of Texas in January 2002, the beginning of Texas deregulation of Electricity.
- As partner in firm, have built electric and gas clientele in Texas, California, Ohio, Maryland, California, Illinois, Washington DC. Need license in Pennsylvania to complete our services to nationwide clients.

ROK and Associates

September 1989 to October 2000.

- Sales Manager of Manufactures Rep firm covering Texas, Oklahoma, Arkansas, and Louisians. Responsible for 17 salesmen and key accounts within territory doing \$95 million in sales.
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Page 5 of 5

Exhibit D-3 Key Technical Personnel

T.E.S. ENERGY SERVICES, L.P.

Name	Email Address	Telephone
Mike Teague, Co-CEO	mike@tesenergyservices.com	972-447-0447 ext 106
Pat Teague, Co-CEO	pat@tesenergyservices.com	972-447-0447 ext 107
Jerry Gatti, VP	jerry@tesenergyservices.com	972-447-0447 ext 104
Brian Markham, President	brian@tesenergyservices.com	972-447-0447 ext 101
Jim Bono, VP Sales	Jim@tesenergyservices.com	903-821-7275

Page 1 of 6

MIKE TEAGUE

17180 Dailes Photy #200 . Dailes, Tv 75287 . Phone: 9790408-0877 . Par: 972-147-0400 . E-Mail: mike@contemportrice.com

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