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BEFORE

THE PUBLIC UTILITIES COMMISSION OF OHIO

In the Matter of a Settlement Agreement)
Between the Public Utilities Commission of) Case No. 10-1167-TR-CVF
Ohio and Forest Green Consolidation Inc)

SETTLEMENT AGREEMENT

- 1) This agreement was reached between Forest Green Consolidation Inc, the Respondent in Staff Case No. CR09C357, and the Staff of the Public Utilities Commission of Ohio (Staff), pursuant to Rule 4901:2-7-11(A), Ohio Administrative Code (O.A.C.).
- 2) On September 3, 2009, a compliance review of the Respondent's facility located at 6950 River Road, Harrison, Ohio was conducted by Staff. As the result of discovery of the following apparent violations of Rule 4901:2-5-02, O.A.C., Staff timely notified Respondent pursuant to Rule 4901:2-7-07, O.A.C., that it intended to make a civil monetary assessment against Respondent in the following amounts:

Code	Violation	Forfeiture
49 C.F.R. § 382.305(b)(1)	Failing to conduct random alcohol testing at an annual rate of not less than the applicable annual rate of the average number of driver positions.	\$ 500.00
49 C.F.R. § 382.305(b)(2)	Failing to conduct a random controlled substances testing at an annual rate of not less than the applicable annual rate of the average number of driver positions.	\$ 700.00
49 C.F.R. § 391.51(b)(2)	Failing to maintain inquiries into driver's driving record in driver's qualification file.	\$ 500.00
49 C.F.R. § 391.51(d)	Failing to keep required records in driver's qualification file for 3 years after date of execution.	\$ 00.00
49 C.F.R. § 391.53(a)	Failing to maintain records relating to the investigation into the safety performance history of a new or prospective driver pursuant to paragraphs (d) and (e) of 391.23.	\$ 500.00
- 3) A conference was conducted pursuant to Rule 4901:2-7-10(B), O.A.C., at which the Respondent had a full opportunity to present any reasons why the violations did not occur as alleged, mitigating circumstances regarding the amount of any forfeiture, and any other information relevant to the action proposed to be taken by Staff.
- 4) For purposes of settlement, and not as an admission or evidence that the violations occurred, Respondent agrees that the findings of violations enumerated in paragraph two of this agreement may be included in the Respondent's Safety-Net record, and in Respondent's history of violations insofar as they may be relevant for purposes of determining future penalty actions.
- 5) The Respondent agrees to make payment of a civil forfeiture of one thousand one hundred (\$1,100.00) in six (6) consecutive monthly payments. The first five consecutive monthly payments shall be equal installments of two hundred dollars (\$200.00). The final consecutive monthly payment shall be one hundred dollars (\$100.00). The consecutive monthly payments will commence within thirty days after the effective date of this settlement agreement.

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- 6) The Respondent agrees to grant consensual access to the Staff to conduct compliance reviews, without notice, of all its facilities located in the State of Ohio, at any time during the period of one year from the effective date of this settlement agreement.
- 7) In the event that the Respondent fails to fulfill its obligations under this settlement agreement, or in the event that compliance reviews of facilities or roadside inspections of vehicles operated by the Respondent, conducted during the period of one year following the effective date of this settlement agreement, reveals a pattern of violations of the Hazardous Materials Regulations, 49 C.F.R. Parts 171 through 180, or the Federal Motor Carrier Safety Rules, 49 C.F.R. Parts 382, 383, 387, 390 through 397, by the Respondent, the Respondent agrees to make payment of an additional civil forfeiture of one thousand one hundred (\$1,100.00).
- 8) Respondent and Staff agree that nothing in this settlement agreement shall prevent Staff from assessing civil forfeitures pursuant to Chapter 4901:2-7, O.A.C., as the result of future compliance reviews or roadside inspections. Respondent and Staff further agree that nothing in this settlement agreement shall prevent Staff from proposing that the Commission make a Compliance Order pursuant to Chapter 4901:2-7, O.A.C., as the result of future compliance reviews or roadside inspections.
- 9) This agreement shall not become effective until adopted by and made the order of the Commission pursuant to Rule 4901:2-7-11(C), O.A.C. The date of any entry or order of the Commission adopting this settlement agreement shall be considered the effective date of this settlement agreement. Respondent further waives its right to rehearing in accordance with Rule 4901-1-35, O.A.C.
- 10) Respondent understands that this agreement may be adopted by the Commission as its order without notice pursuant to Rule 4901:2-7-11(D), O.A.C. If Respondent defaults in its obligations under this agreement, the Commission may set this agreement aside and order the Respondent to pay the amount indicated in the Notice of Intention to Assess Forfeiture served in this case, pursuant to Rule 4901:2-7-11(E), O.A.C.
- 11) This agreement, which is subject to the Rules of the Commission, constitutes the entire agreement of the parties.

Kenneth Henke
Kenneth Henke, Owner
For Forest Green Consolidation Inc

11-30-09
Date

Robert E. Marvin
Robert E. Marvin, Director
Transportation Department
Public Utilities Commission of Ohio
cc: Commission agenda/ CR09C357
TF

11/23/09
Date

P. U. C. O.
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