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April 11, 2008

Renee Jenkins Public Utilities Commission of Ohio Docketing Division 13th Floor 180 East Broad St. Columbus, Ohio 43215-3793

> RE: Renewal Application of Independent Energy Consultants, Inc. for Certification as a Competitive Retail Aggregator and Power Broker and Motion for Protective Order, Case No. 04-592-EL-AGG

Dear Ms. Jenkins:

Enclosed please find an original and ten (10) copies of the Renewal Application of Independent Energy Consultants, Inc. for Certification as a Competitive Retail Aggregator and Power Broker.

Also included is an original and ten (10) copies of Independent Energy Consultant's Motion for Protective Order regarding Sections C-3 and C-5 of the Application. The information responding to Sections C-3 and C-5 is stamped confidential and is contained in a single sealed envelope also marked confidential.

Please feel free to call me at (330) 337-6586 if you have any questions. Thank you for your assistance.

John L. Poque Ned C. Gold, Jr. Paul M. Dutton

Michael G. Marando

James L. Blomstrom Frederick S. Coombs, if!

Raich A. Beard

Alan D. Wenger

William L. Hawley

Thomas G. Carey, Jr.

John T. Dellick

Kevin P. Murphy

Shirley J. Christian

Neil H. Maxwell

Martin J. Boetcher

Patrick K. Wilson

Neil D. Schor

Shawna L. L'Italien

Lance A. Morrison

Michael J. McGee

Deborah A. Kaufmann

Matthew D. Gurbach

Christopher J. Baronzzi

Carmen V. Codice

Matthew G. Vansuch

Jennifer E. Lockshaw

Jess T. Enyeart

Of Counsel: Harry R. Conn Bruce Birrell

Very truly yours,

LANCE A. MORRISON

LAM:dka

Enclosures

This is to certify that the images appearing are an accurate and complete reproduction of a case file document delivered in the regular course of business.

2235 E. Pershing Street, Suite A / Salem, Ohio 44460 / (330) 337-6586 / Fax (330) 337-6662 / www.hhmlaw.com





The Public Utilities Commission of Ohio

RENEWAL APPLICATION FOR AGGREGATORS/POWER BROKERS

Please print or type all required information. Identify all attachments with an exhibit label and title (Example: Exhibit A-10 Corporate Structure). All attachments should bear the legal name of the Applicant. Applicants should file completed applications and all related correspondence with the Public Utilities Commission of Ohio, Docketing Division; 180 East Broad Street, Columbus, Ohio 43215-3793.

A.	RENEWAL INFORMATION			
A-1	Applicant's legal name, address, telephone number, PUCO certificate number, and web site address			
	Legal Name Independent Energy Consultants, Inc.			
	Address 820 Deepwoods Drive Aurora, OH 44202			
	PUCO Certificate # and Date Certified 04-116(2) May 23, 2006			
	Telephone # (330) 995-2675 Web site address (if any) www.naturalgas-electric.com			
A-2	List name, address, telephone number and web site address under which Applicant will do business in Ohio			
	Legal Name Independent Energy Consultants, Inc.			
	Address 820 Deepwoods Drive Aurora, OH 44202			
	Telephone # (330) 995-2675 Web site address (if any) www.naturalgas-electric.com			
A-3	List all names under which the applicant does business in North America			
	Independent Energy Consultants, Inc.			
A-4	Contact person for regulatory or emergency matters			
	Name Mark Burns			
	Title President			
	Business address 820 Deepwoods Drive Aurora, OH 44202			
	Telephone # (338) 995-2675 Fax # (216) 274-9176			
	E-mail address (if any) mburus@naturalgas-electric.com			

A-5	Contact person for Commission Staff	use in investigating customer complaints		
	Name Regina Burns			
	Title Operations Manager			
	Business address 820 Deepwoods Drive Aure	ora, OH 44202		
	Telephone # (330) 995-2675	Fax # (216) 274-9176		
	E-mail address (if any) rburns@naturalgas-	electric.com		
A-6	Applicant's address and toll-free num	ber for customer service and complaints		
	Customer Service address 820 Deepwoods D	prive Aurora. OH 44282		
	Toll-free Telephone # (888) 862-6060	Fax # (216) 274-9176		
	E-mail address (if any) info@naturalgas-ele			
A-7	Applicant's federal employer identific	ation number # _200989144		
A-8	Applicant's form of ownership (check one)			
	☐ Sole Proprietorship	☐Partnership		
	Limited Liability Partnership (LLP)	Limited Liability Company (LLC)		
	Corporation	Other		
	Corporation	O Calci		
	PROVIDE THE FOLLOWING AS SEPARA	TE ATTACHMENTS AND LABEL AS INDICATED:		
A-9		rectors & Partners" provide the names, titles, applicant's principal officers, directors, partners,		
A-10	Exhibit A-10 "Corporate Structure," provide a description of the applicant's corporate structure, including a graphical depiction of such structure, and a list of all affiliate and subsidiary companies that supply retail or wholesale electricity or natural gas to customers and companies that aggregate customers in North America.			
В.	APPLICANT MANAGERIAL (CAPABILITY AND EXPERIENCE		
	PROVIDE THE FOLLOWING AS SEPARA	TE ATTACHMENTS AND LABEL AS INDICATED:		
B-1	the applicant or any affiliated interes	tion," provide a 1st of all jurisdictions in which t of the applicant is, at the date of filing the ed, or otherwise authorized to provide retail or gregation services.		
B-2	and plan for contracting with customers	rovide a description of the applicant's experience, providing contracted services, providing billing er inquiries and complaints in accordance with section 4928.10 of the Revised Code.		

- **B-3** Exhibit B-3 "Disclosure of Liabilities and Investigations," provide a description of all existing, pending or past rulings, judgments, contingent liabilities, revocation of authority, regulatory investigations, or any other matter that could adversely impact the applicant's financial or operational status or ability to provide the services it is seeking to be certified to provide.
- B-4 Disclose whether the applicant, a predecessor of the applicant, or any principal officer of the applicant have ever been convicted or held liable for fraud or for violation of any consumer protection or antitrust laws within the past five years.

 □ No □ Yes

If yes, provide a separate attachment labeled as **Exhibit B-4 "Disclosure of Consumer Protection Violations"** detailing such violation(s) and providing all relevant documents.

B-5 Disclose whether the applicant or a predecessor of the applicant has had any certification, license, or application to provide retail or wholesale electric service including aggregation service denied, curtailed, suspended, revoked, or cancelled within the past two years.

□No □Yes

If yes, provide a separate attachment labeled as **Exhibit B-5** "Disclosure of Certification Denial, Curtailment, Suspension, or Revocation" detailing such action(s) and providing all relevant documents.

C. FINANCIAL CAPABILITY AND EXPERIENCE

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:

- C-1 <u>Exhibit C-1 "Annual Reports,"</u> provide the two most recent Annual Reports to Shareholders. If applicant does not have annual reports, the applicant should provide similar information in Exhibit C-1 or indicate that Exhibit C-1 is not applicable and why.
- C-2 <u>Exhibit C-2 "SEC Filings,"</u> provide the most recent 10-K/8-K Filings with the SEC. If applicant does not have such filings, it may submit those of its parent company. If the applicant does not have such filings, then the applicant may indicate in Exhibit C-2 that the applicant is not required to file with the SEC and why.
- C-3 <u>Exhibit C-3 "Financial Statements,"</u> provide copies of the applicant's two most recent years of audited financial statements (balance sheet, income statement, and cash flow statement). If audited financial statements are not available, provide officer certified financial statements. If the applicant has not been in business long enough to satisfy this requirement, it shall file audited or officer certified financial statements covering the life of the business.

- C-4 <u>Exhibit C-4 "Financial Arrangements,"</u> provide copies of the applicant's financial arrangements to conduct CRES as a business activity (e.g., guarantees, bank commitments, contractual arrangements, credit agreements, etc.).
- C-5 Exhibit C-5 "Forecasted Financial Statements," provide two years of forecasted financial statements (balance sheet, income statement, and cash flow statement) for the applicant's CRES operation, along with a list of assumptions, and the name, address, email address, and telephone number of the preparer.
- C-6 Exhibit C-6 "Credit Rating," provide a statement disclosing the applicant's credit rating as reported by two of the following organizations: Duff & Phelps, Dun and Bradstreet Information Services, Fitch IBCA, Moody's Investors Service, Standard & Poors, or a similar organization. In instances where an applicant does not have its own credit ratings, it may substitute the credit ratings of a parent or affiliate organization, provided the applicant submits a statement signed by a principal officer of the applicant's parent or affiliate organization that guarantees the obligations of the applicant.
- C-7 <u>Exhibit C-7 "Credit Report,"</u> provide a copy of the applicant's credit report from Experion, Dun and Bradstreet or a similar organization.
- C-8 <u>Exhibit C-8 "Bankruptey Information,"</u> provide a list and description of any reorganizations, protection from creditors or any other form of bankruptcy filings made by the applicant, a parent or affiliate organization that guarantees the obligations of the applicant or any officer of the applicant in the current year or within the two most recent years preceding the application.
- C-9 <u>Exhibit C-9 "Merger Information,"</u> provide a statement describing any dissolution or merger or acquisition of the applicant within the five most recent years preceding the application.

Malk Bus PRESIDENT Signature of Applicant & Title

Signature of official administering oath

ROSEMARY MYERS, NOTARY PUBLIC Print Name and Title

My commission expires on April 34,3013

ROSEMARY MYERS
Notary Public, State of Ohio
Portage County
My Comm. Expires April 24, 2012

<u>AFFIDAVIT</u>

State of	OH	10	

AURORA ss.

County of PORTAGE:

MARK R. BURNS, Affiant, being duly sworn/affirmed according to law, deposes and says that:

I NOEPENDENT ENERGY

He She is the PRESIDENT (Office of Affiant) of CONSULTANTS, IN (Name of Applicant);

That he/she is authorized to and does make this affidavit for said Applicant,

- The Applicant herein, attests under penalty of false statement that all statements made in the
 application for certification renewal are true and complete and that it will amend its application while
 the application is pending if any substantial changes occur regarding the information provided in the
 application.
- 2. The Applicant herein, attests it will timely file an annual report with the Public Utilities Commission of Ohio of its intrastate gross receipts, gross earnings, and sales of kilowatt-hours of electricity pursuant to Division (A) of Section 4905.10, Division (A) of Section 4911.18, and Division (F) of Section 4928.06 of the Revised Code.
- 3. The Applicant herein, attests that it will timely pay any assessments made pursuant to Sections 4905.10, 4911.18, or Division F of Section 4928.06 of the Revised Code.
- 4. The Applicant herein, attests that it will comply with all Public Utilities Commission of Ohio rules or orders as adopted pursuant to Chapter 4928 of the Revised Code.
- 5. The Applicant herein, attests that it will cooperate fully with the Public Utilities Commission of Ohio, and its Staff on any utility matter including the investigation of any consumer complaint regarding any service offered or provided by the Applicant.
- The Applicant herein, attests that it will fully comply with Section 4928.09 of the Revised Code regarding consent to the jurisdiction of Ohio Courts and the service of process.
- 7. The Applicant herein, attests that it will use its best efforts to verify that any entity with whom it has a contractual relationship to purchase power is in compliance with all applicable licensing requirements of the Federal Energy Regulatory Commission and the Public Utilities Commission of Ohio.
- 8. The Applicant herein, attests that it will comply with all state and/or federal rules and regulations concerning consumer protection, the environment, and advertising/promotions.
- 9. The Applicant herein, attests that it will cooperate fully with the Public Utilities Commission of Ohio, the electric distribution companies, the regional transmission entities, and other electric suppliers in the event of an emergency condition that may jeopardize the safety and reliability of the electric service in accordance with the emergency plans and other procedures as may be determined appropriate by the Commission.
- 10. If applicable to the service(s) the Applicant will provide, the Applicant herein, attests that it will adhere to the reliability standards of (1) the North American Electric Reliability Council (NERC), (2) the appropriate regional reliability council(s), and (3) the Public Utilities Commission of Ohio. (Only applicable if pertains to the services the Applicant is offering)

11. The Applicant herein, attests that it will inform the Commission of any material change to the information supplied in the renewal application within 30 days of such material change, including any change in contact person for regulatory purposes or contact person for Staff use in investigating customer complaints.

That the facts above set forth are true and correct to the best of his/her knowledge, information, and belief and that he/she expects said Applicant to be able to prove the same at any hearing hereof.

Mallburn PRESIDENT Signature of Affiant & Title

Signature of official administering oath

ROSEMARY MYEKS, NOTARY PUBLIC Print Name and Title

My commission expires on _

PIA STATE OF STATE OF

ROSEMARY MYERS

Notary Public, State of Onio

Portage County

My Comm. Expires April 24, 2012

Exhibit A-9 "Principal Officers, Directors & Partners"

Officers

Mark R. Burns, President 820 Deepwoods Dr. Aurora, Ohio 44202 (330) 995-2675

Regina M. Burns, Vice President 820 Deepwoods Dr. Aurora, Ohio 44202 (330) 995-2675

Regina M. Burns, Treasurer 820 Deepwoods Dr. Aurora, Ohio 44202 (330) 995-2675

Regina M. Burns, Secretary 820 Deepwoods Dr. Aurora, Ohio 44202 (330) 995-2675

Directors

Mark R. Burns 820 Deepwoods Dr. Aurora, Ohio 44202 (330) 995-2675

Regina M. Burns 820 Deepwoods Dr. Aurora, Ohio 44202 (330) 995-2675

Exhibit A-10 "Corporate Structure"

Independent Energy Consultants, Inc. has two full-time employees. The office address is 820 Deepwoods Dr. Aurora, OH 44202. The corporation does not have any affiliates and subsidiary companies that supply retail or wholesale electricity or natural gas to customers in North America. The corporation seeks to outsource key functions where possible. It has and will hire additional contractors/employees for special projects or when the need arises during this growth stage.

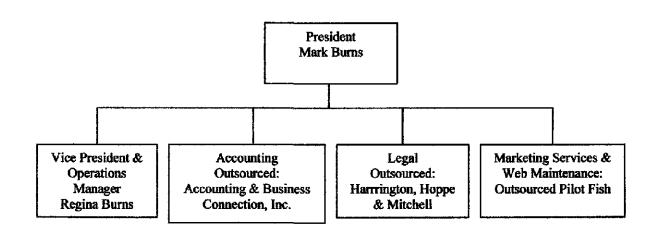


Exhibit B-1 "Jurisdictions of Operation"

Independent Energy Consultants, Inc. is certified by the Public Utilities Commission of Ohio to provide brokerage and aggregation services of natural gas and electricity throughout all deregulated areas of Ohio. They are similarly licensed in Virginia by the Virginia State Corporation Commission to provide brokerage and aggregation services of natural gas and electricity. Independent Energy Consultants, Inc. is also registered by the Public Utilities Commission of Texas as an Aggregator of Electric.

Exhibit B-2 "Experience & Plans"

Contract Services: With the aid of its legal counsel, Independent Energy Consultants, Inc. has developed a standard professional services agreement that it will use with its clients. It will also use the respective commodity suppliers' "Independent Agent Agreements" when brokering deals between suppliers and commercial and industrial customers.

Billing Statements: Independent Energy Consultants, Inc. brokers energy contracts for Commercial and Industrial customers and designs and implements Governmental Aggregation programs. In the process it never takes title to electricity, so there is no billing for the commodity. Independent Energy Consultants does provide invoices to its clients for consulting services rendered using a commercially available accounting system.

Customer Service Experience: During the past five years Mr. Burns provided customer service for over 40 governmental aggregation programs and to commercial and industrial customers. Most questions and calls were answered immediately, and all were responded to within 24 hours of receipt. Customer service often came in the form of assisting residents who had contacted their local government and/or Choice supplier and who still had questions or disputes.

Frequent communication with and detailed quarterly reports to governmental aggregators was used as a customer service technique to head off problems before they arose. This involved training of municipal personnel and preparation of material such as Frequently Asked Questions (FAQs), press releases and conducting informational town hall meetings.

Customer Complaints and Inquiries: During the past five years Mr. Burns has helped facilitate the resolution of numerous customer complaints. Virtually all of the questions and complaints, however, concerned matters involving the local utility and/or the supplier of choice.

Inquiries directed to Independent Energy Consultants, Inc. usually pertain to the customers seeking advice on the aggregation process, deciding whether or not to accept an offer, help understanding utility bills, etc.

Customer complaints or inquiries can be made in a number a ways. Customers may contact Independent Energy Consultants, Inc. by:

- Calling the direct number: (330) 995-2675
- Calling the toll free number: (888) 862-6060
- Sending a fax: (216) 274-9176
- Sending an e-mail: mburns@naturalgas-electric.com; rburns@naturalgas-electric.com; mburns@naturalgas-electric.com; <a href="
- Contact via the Independent Energy Consultants website: info@naturalgas-electric.com

Exhibit B-2 "Experience & Plans"

Partial List of Current and/or Former Clients

Salvice Revise (C	Control Citation
Springfield Township, Ohio	Development and maintenance of Opt-Out
<u> </u>	Electric & Opt-Out Natural Gas Programs
West Chester Township, Ohio	Development and maintenance of Opt-Out
	Electric & Opt-Out Natural Gas Programs
Colerain Township, Ohio	Development and maintenance of Opt-Out
New York Stock Exchange	Electric & Opt-Out Natural Gas Programs Audit of natural gas, electric and steam bills
Colgate Palmolive	Audit of electric bills
Aqua Ohio	Energy Efficiency Consulting services
Alban Tractor Retail Stores, MD	Electric Brokerage
Shadowood Condominiums, VA	Natural Gas Brokerage
Topekas Steakhouses, VA	Natural Gas Brokerage
Hooter Restaurants, VA	Natural Gas Brokerage
Woodland Meadows Apartments, OH	Natural Gas Brokerage
Diebold Inc., OH	Natural Gas and Electric Supply Side and
	Demand Side consulting services
Welch's Food Inc., PA	Natural Gas and Electric Supply Side and Demand Side consulting services
Xanterra Parks and Resorts, OH & NY	Natural Gas brokerage services
Gaither Petroleum Inc., TX	Natural Gas brokerage services
Mount Union College, OH	Energy Efficiency Consulting services
Buckeye Packaging Company, OH	Natural Gas brokerage services
Telefast Industries, OH	Natural Gas brokerage services
Aultman Hospital, OH	Natural Gas brokerage services
Village of Silver Lake, OH	Development and maintenance of Opt-Out
	Electric/Opt-in Nat. Gas/Opt-out Nat. Gas
City of Stow, OH	Development and maintenance of Opt-Out Electric/Opt-in Nat. Gas/Opt-out Nat. Gas
City of Green, OH	Development and maintenance of Opt-Out
	Electric/Opt-in Nat. Gas/Opt-out Nat. Gas
City of Norton, OH	Development and maintenance of Opt-Out Electric
City of Euclid, OH	Development and maintenance of Opt-Out
	Electric/Opt-in Nat. Gas/Opt-out Nat. Gas
Stark County, OH	Development and maintenance of Opt-in Nat. Gas
City of Canton, OH	Development and maintenance of Opt-In Nat.
	Gas/Opt-out Nat. Gas

Exhibit B-3 "Disclosure of Liabilities and Investigations"

Neither Independent Energy Consultants, Inc. nor any of its employees have ever had a judgment, investigation, or ruling against it. There are no circumstances that would financially or operationally impact its ability to perform the brokerage and aggregation service for which it seeks certification.

Exhibit C-1 "Annual Reports"

Independent Energy Consultants, Inc. is a privately held corporation, and as such, does not produce Annual Reports.

Exhibit C-2 "SEC Filings"

Independent Energy Consultants, Inc. is a privately held corporation, and as such, does not produce or file 10-K/8-K, 10-Q, etc., Reports with the Securities Exchange Commission.

Exhibit C-3 "Financial Statements"

Independent Energy Consultants, Inc. has filed this information Under Seal.

Exhibit C-4 "Financial Arrangements"

Independent Energy Consultants, Inc. does not need to rely on outside financial arrangements to conduct its business as a Power Broker/Aggregator. Independent Energy Consultants, Inc. is an energy-management consulting firm and does not take title to or trade energy, nor is it a capital intensive business.

Independent Energy Consultants, Inc. maintains a business checking account with Chase Bank in Aurora, Ohio.

Independent Energy Consultants, Inc. has business debit and credit cards with Chase Bank, and a Staples Office Supply credit card.

Exhibit C-5 "Forecasted Financial Statements"

Independent Energy Consultants, Inc. has filed this information Under Seal.

Exhibit C-6 "Credit Rating"

As a privately held S Corporation, Independent Energy Consultants, Inc. does not possess a published credit rating.

Exhibit C-7 "Credit Report"

The credit report for Independent Energy Consultants, Inc. as provided by Experian is provided on the following two pages.

Independent Energy Consultants, Inc. possesses a low-risk credit ranking score of 90.

The company owners also have an unblemished personal credit history.



SmartBusinessReports®

Experian CreditScore Report

As of: 04/07/08 14:09 ET

Independent Energy Cons Inc

820 Deep Woods Dr Aurora, OH 44202-9763

Phone: 330-995-2675

SIC Code/Description: 8748 - Business Consulting Services, Nec.

Business Type: Corporation

Experian BIN/File Number: 750177526 Experian File Established: April 2004 Experian Years on File: 4 Years

Credit Summary

The objective of the Credit Ranking Score is to predict payment behavior. High Risk means that there is a significant probability of delinquent payment. Low Risk means that there is a good probability of on-time payment.

Credit Ranking Score: 90

High Risk

Low Risk

90%

- ✓ Businesses Scoring Worse:
- ✓ Bankruptcies:
- ✓ Liens: 0
- ✓ Judgments Filed:
- ✓ Collections:

Key Score Factors:

- · Ratio of regularly reported trades to total trades.
- Number of current accounts.
- Length of credit history greater than average.
- · Risk associated with the company's industry.

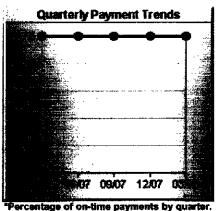
Recommended Action: Low Risk

	Key Facts	Executive Summary		
Number of Employees: Filing Data Provided by: Date of Incorporation:	2 Virginia 05/14/2004	Payment Tradelines (see <u>charts</u>):	1	
Years in Business:	More than 4 Years	UCC Filings:	0	
Key Personnel:	Vice Presi: Regina M Burns President: Mark R Burns			
Agent: Agent Address:	Corporation Service Company 11 S 12TH ST			

Richmond, VA

Payment Summary

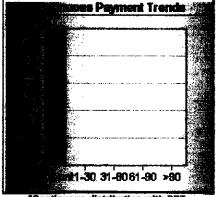
Insufficient information to produce **Monthly Payment Trends** chart.



Quarterly Payment Trends - Recent Activity

Insufficient information to produce **Monthly Payment Trends** table.

Date			Up to 30	31-60 DBT	61-90 DBT	>90 DBT
03/07	\$100		0%	0%	0%	0%
06/07	\$0	0%	0%	0%	0%	0%
09/07	\$0	0%	0%	0%	0%	0%
12/07	\$0	0%	0%	0%	0%	0%
03/08	\$0	0%	0%	0%	0%	0%



Continuous distribution with DBT.

Number of Accounts: 1 Present Balance: \$100 Highest Balance: \$100

Insufficient information to produce **Newly Reported Payment Trends** chart.

> Number of Accounts: 0 Present Balance: \$0 Highest Balance: \$0

Insufficient information to produce **Combined Payment Trends** chart.

> Number of Accounts: 1 Present Balance: \$100 Highest Balance: \$100

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Exhibit C-8 "Bankruptcy Information"

Independent Energy Consultants, Inc. has never filed for reorganization or bankruptcy protection.

Exhibit C-9 "Merger Information"

Independent Energy Consultants, Inc. became an Ohio corporation on April 20, 2004. Neither it nor its principals have ever been involved in a corporate dissolution, merger or acquisition.