**Exhibit A-11 - Principal Officers, Directors & Partners**

Provide the names, titles, addresses and telephone numbers of the applicant’s principal officers, directors, partners.

John McDaniel

Operations Mgr

2621 West Grand Reserve #419

Clearwater, FL 33759727

727 417-7889

brent@usagroupenergy.com

Elizabeth Currier

Finance Manager

206 Garden Circle

Belleair, FL 33756

727 408-0691

bess@usagroupenergy.com

Mike Campbell

Administration

1540 Chateau Wood Dr

Clearwater, FL, 33764

727 224-8243

mike@usagroupenergy.com

Edward Bryan

Business Development

6131 Simpson Ave

North Hollywood, CA 91606

727 492-4923

ed@usagroupenergy.com

Caleb McDaniel

Partner - MGRM

2621 West Grand Reserve #419

Clearwater, FL 33759727

727 417-6913

caleb@usagroupenergy.com

Legal Name: Utility Services Advisory Group, LLC

**Exhibit A-12 - Corporate Structure**

Provide a description of the applicant’s corporate structure, including a graphical depiction of such structure, and a list of all affiliate and subsidiary companies that supply retail or wholesale electricity or natural gas to customers and companies that aggregate customers in North America.

Utility Services Advisory Group, LLC is a FL LLC with Caleb McDaniel listed as the MGRM and Edward Bryan listed as the MGR.

No subsidiaries or affiliates that supply retail or wholesale electricity or natural gas to customers and companies that aggregate customers in North America.

USAG basic organizational chart showing what the applicant and managers oversee.

Legal Name: Utility Services Advisory Group, LLC

**Exhibit A-13 - Company History**

Provide a concise description of the applicant’s company history and principal business interests.

USAG began in April 2013 as a FL licensed telemarketing room selling discounted electric and gas service to consumers only. About one year ago it dropped residential sales altogether and switched over to commercial sales only and has been ever since. The CRESs we sell for generally call us a broker, affiliate, channel partner, agent or sales representative. We have sold for companies such as Direct Energy, Nordic, Energy.Me, Glacial, Star G&E, Champion, Hudson, etc. and our relationship with all of them is that of an independent contractor. Our only business interest is commercial sales of gas and electric services and we are working to get licensed in Ohio for electric.

Legal Name: Utility Services Advisory Group, LLC

**Exhibit A-14 - Articles of Incorporation and Bylaws**

If applicable, provide the articles of incorporation filed with the state or jurisdiction in which the Applicant is incorporated and any amendments thereto.

Included after this page.

Legal Name: Utility Services Advisory Group, LLC

**Exhibit A-15 - Secretary of State**

Provide evidence that the applicant has registered with the Ohio Secretary of the State.

See foreign corporation registration certificate.

Legal Name: Utility Services Advisory Group, LLC

**B. APPLICANT MANAGERIAL CAPABILITY AND EXPERIENCE**

**PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED**:

**Exhibit B-1 - Jurisdictions of Operation**

Provide a list of all jurisdictions in which the applicant or any affiliated interest of the applicant is, at the date of filing the application, certified, licensed, registered, or otherwise authorized to provide retail or wholesale electric services including aggregation services.

We are directly licensed in the state of IL as described here:

CERTIFICATE OF SERVICE AUTHORITY

IT IS CERTIFIED that Utility Services Advisory Group, LLC is granted service authority to operate as an agent, broker, or consultant for the procurement or sale of retail electricity supply for third parties in the State of Illinois.

We also currently sell retail electric services from our Florida location for multiple third parties under their licensing and agreements in the following states: OH, PA, NJ, DC, NY, TX, MA, ME, VT, MD

Legal Name: Utility Services Advisory Group, LLC

**Exhibit B-2 - Experience & Plans**

Provide a description of the applicant’s experience and plan for contracting with customers, providing contracted services, providing billing statements, and responding to customer inquiries and complaints in accordance with Commission rules adopted pursuant to Section 4928.10 of the Revised Code.

As we are a sales call center our plan has been and will continue to be contacting commercial businesses from purchased lists, free lists and supplier lists of businesses to sign them up for discounted pricing on their electric services. The contracts used will be those provided by our suppliers. We don’t do any billing or customer service for contracted businesses. We do the sign up part and turn them over to the supplier/utility who provides the customer service and billing. Customers can contact us from the numbers we provide as part of the sign-up process including contact information in faxes, emails and on our website. Our toll free, local, fax, and emails are available and monitored to handle any question or problem. Customers receiving service will be directed to their biller/supplier for service or billing questions or complaints.

Legal Name: Utility Services Advisory Group, LLC

**Exhibit B-3 - Summary of Experience**

Provide a concise summary of the applicant’s experience in providing aggregation service(s) including contracting with customers to combine electric load and representing customers in the purchase of retail electric services. (e.g. number and types of customers served, utility service areas, amount of load, etc.).

For the past 3 years we have been contacting commercial companies with single and multiple locations and analyzing their bills, loads and rates and presenting pricing options and contracting. Our sales reps have anywhere from a few to 10 years of experience selling to commercial businesses.

Legal Name: Utility Services Advisory Group, LLC

**Exhibit B-4 - Disclosure of Liabilities and Investigations**

Provide a description of all existing, pending or past rulings, judgments, contingent liabilities, revocation of authority, regulatory investigations, or any other matter that could adversely impact the applicant’s financial or operational status or ability to provide the services it is seeking to be certified to provide.

None of the above.

Legal Name: Utility Services Advisory Group, LLC

**B-5 -** Disclose whether the applicant, a predecessor of the applicant, or any principal officer of the applicant have ever been convicted or held liable for fraud or for violation of any consumer protection or antitrust laws within the past five years.

No Yes – X

If yes, provide a separate attachment labeled as **Exhibit B-5 "Disclosure of Consumer**

**Protection Violations”** detailing such violation(s) and providing all relevant documents.

Yes. Brent McDaniel. Attachment with details follows.

Legal Name: Utility Services Advisory Group, LLC

**Exhibit B-5 - Disclosure of Consumer Protection Violations**

**John Brent McDaniel as described below.**

Please note that this is the same information we submitted to the State of Florida which granted both an individual telemarketer license and a company telemarketing license with Brent McDaniel named as a principal. Documents numbered and included are:

1. Portion of the application submitted to the FL Division of Consumer Services.
2. The follow up clarification showing exactly where in the docs to refer to.
3. The relevant case pages notated to help with the clarification.
4. The approved and granted personal telemarketer license.
5. The approved and granted FL company telemarketing license following the clarification.

Item 1. Portion of the application submitted to the FL Division of Consumer Services.

**2.** Are you involved in pending litigation or have you had entered against you an injunction, a temporary restraining order, or a final judgment or order, including a stipulated judgment or order, an assurance of voluntary compliance, or any similar document, in any civil or administrative action involving racketeering, fraud, theft, embezzlement, fraudulent conversion, or misappropriation of property or the use of any untrue, deceptive, or misleading representation or the use of any unfair, unlawful, or deceptive trade practice?

**Yes**

Date of Action: 06/08/2012

Docket Number: 8:09-cv-2309-T-23TBM

Court or administrative agency rendering the decision, judgment or order:

United States District Court Middle District of Florida Tampa Division

Governmental agency which brought the action: FTC

**Details:**

Supporting docs included. File called FTC Final.pdf In summary: Page 2 shows the FTC’s proposed final injunction.

**Page 10** shows the actual injunction duration: 10 years from April 2013 Page 11 the US District Judge’s statement shows the attempts by the FTC to overstate the actual scene: “Time and again throughout the litigation, the FTC has portrayed the defendants as criminals who operated a fraudulent business that preyed mercilessly on vulnerable homeowners. However, the defendants were found civilly liable not for operating a scam but for deceptively marketing a service. The defendants/ business helped many homeowners reduce a monthly payment and saved a home from foreclosure. Prohibiting the marketing and sale of a debt-relief product or service and the telemarketing of a financial product or service, an injunction prudently limited to ten years, protects consumers without unnecessarily or disproportionately punishing the defendants.”

**Page 12** states the 10 year injunction and what it covers (debt relief service, financial product or service, mortgage-assistance product or service)

Page 13 part B summarizes the Prohibitions referred to above. Please note that the FTC’s proposed permanent ban on all telemarketing was not accepted by the judge and the actual injunction only includes telemarketing on the subjects stated above.

Legal Name: Utility Services Advisory Group, LLC

**B-6** Disclose whether the applicant or a predecessor of the applicant has had any certification,

license, or application to provide retail or wholesale electric service including aggregation service denied, curtailed, suspended, revoked, or cancelled within the past two years.

**X** No **□** Yes

Legal Name: Utility Services Advisory Group, LLC

**C. APPLICANT FINANCIAL CAPABILITY AND EXPERIENCE**

**PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED**:

**Exhibit C-1 - Annual Reports**

Provide the two most recent Annual Reports to Shareholders. If applicant does not have annual reports, the applicant should provide similar information in Exhibit C-1 or indicate that Exhibit C-1 is not applicable and why.

No official shareholder annual reports.

2015 year to date and 2014 financial statements included and are used for any investor.

Legal Name: Utility Services Advisory Group, LLC

**Exhibit C-2 - SEC Filings**

Provide the most recent 10-K/8-K Filings with the SEC. If applicant does not have such filings, it may submit those of its parent company. If the applicant does not have such filings, then the applicant may indicate in Exhibit C-2 that the applicant is not required to file with the SEC and why.

Utility Services Advisory Group, LLC is not required to file with the SEC as we are a privately-held company.

Legal Name: Utility Services Advisory Group, LLC

**Exhibit C-3 - Financial Statements**

Provide copies of the applicant’s two most recent years of audited financial statements (balance sheet, income statement, and cash flow statement). If audited financial statements are not available, provide officer certified financial statements. If the applicant has not been in business long enough to satisfy this requirement, it shall file audited or officer certified financial statements covering the life of the business.

Financial Statements Included.

Legal Name: Utility Services Advisory Group, LLC

**Exhibit C-4 - Financial Arrangements**

Provide copies of the applicant's financial Arrangements to conduct CRES as a business activity (e.g., guarantees, bank commitments, contractual arrangements, credit agreements, etc,).

There are no bank commitments.

There are no credit agreements.

Contractual arrangements with suppliers follow.

Investor Agreement follows.

Legal Name: Utility Services Advisory Group, LLC

**Exhibit C-5 - Forecasted Financial Statements**

Provide two years of forecasted financial statements (balance sheet, income statement, and cash flow statement) for the applicant’s CRES operation, along with a list of assumptions, and the name, address, email address, and telephone number of the preparer.

Statement follows containing balance sheet, income statement and cash flow statement information. We use cash accounting.

Legal Name: Utility Services Advisory Group, LLC

**Exhibit C-6 - Credit Rating**

Provide a statement disclosing the applicant’s credit rating as reported by two of the following organizations: Duff & Phelps, Dun and Bradstreet Information Services, Fitch IBCA, Moody’s Investors Service, Standard & Poors, or a similar organization. In instances where an applicant does not have its own credit ratings, it may substitute the credit ratings of a parent or affiliate organization, provided the applicant submits a statement signed by a principal officer of the applicant’s parent or affiliate organization that guarantees the obligations of the applicant.

If an applicant is not rated by one of the rating agencies listed in Exhibit C-6, they should do one of two things:

1. If the applicant’s parent is rated by one of the rating agencies listed in Exhibit C-6, provide the parent’s credit rating
2. If neither the applicant nor the parent (if the applicant has a parent) is rated, the applicant should state that it is not rated by an agency on the list.  Such a statement does not penalize the applicant. The vast majority of applicants are not rated by an agency listed in C-6.

**Utility Services Advisory Group is not rated by an agency on the list.**

If the applicant is rated by D&B, Experian or a similar agency, it should provide that rating in Exhibit C-7.  If not the applicant should state so in Exhibit C-7.

If an applicant is rated in C-6; they can enter “N/A” for C-7.

Legal Name: Utility Services Advisory Group, LLC

**Exhibit C-7 - Credit Report**

Provide a copy of the applicant’s credit report from Experion, Dun and Bradstreet or a similar organization.

Utility Services Advisory Group is not rated by an agency on the list.

Legal Name: Utility Services Advisory Group, LLC

**Exhibit C-8 - Bankruptcy Information**

Provide a list and description of any reorganizations, protection from creditors or any other form of bankruptcy filings made by the applicant, a parent or affiliate organization that guarantees the obligations of the applicant or any officer of the applicant in the current year or within the two most recent years preceding the application.

No bankruptcy filings.

Legal Name: Utility Services Advisory Group, LLC

**Exhibit C-9 - Merger Information**

Provide a statement describing any dissolution or merger or acquisition of the applicant within the five most recent years preceding the application.

No dissolution or merger or acquisition.

Legal Name: Utility Services Advisory Group, LLC

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**Signature of Applicant & Title**

**Sworn and subscribed before me this \_\_\_\_\_\_\_\_\_ day of \_\_\_\_\_\_\_\_\_\_\_\_, \_\_\_\_\_\_\_\_\_**

**Month Year**

**\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_**

**Signature of official administering oath Print Name and Title**

**My commission expires on \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_**

Legal Name: Utility Services Advisory Group, LLC